NUCLEAR REGULATORY COMMISSION

IN THE MATTER OF:

THREE MILE ISLAND SPECIAL INQUIRY DEPOSITIONS

DEPOSITION OF PETER A. BRADFORD

Place - Washington, D. C.

Date - Friday, October 19, 1979

Pages 1 - 189

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| 1 | UNITED STATES OF AMERICA |
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| 4 | In the Matter of: |
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| 6 | THREE MILE ISLAND : SPECIAL INQUIRY DEPOSITIONS: |
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| 9 | DEPOSITION OF PETER A. BRADFORD |
| 10 | Chairman's Conference Room |
| 11 | 1717 H Street, N.W. Washington, D. C. |
| 12 | Friday, October 19, 1979 |
| 13 | DEPONE. |
| 14 | BEFORE: |
| 15 | For the Nuclear Regulatory Commission: |
| 16 | MITCHELL ROGOVIN, Director, Special Inquiry Group E. KEVIN CORNELL, NRC Staff Director WILLIAM G. BALLAINE, ESQ., Special Inquiry Group |
| 1.7 | JOSEPH SCINTO, Special Inquiry Group ROBERT BERNERO, Special Inquiry Group |
| 18 | ROBERT CHIN, ESQ., Special Inquiry Group |
| 19 | RICHARD C. DeYOUNG, Deputy NRC Staff Director JOSEPH KUCHTA, Consultant DEBBIE HODGES, Consultant |
| 20 | ALSO PRESENT: |
| 21 | |
| 22 | THOMAS GIBBONS, Legal Assistant WILLIAM CLEMENTS, Legal Assistant |
| 23 | |
| 24 | |
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4 Peter A. Bradford 3

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AFTERNOON SESSION Page 94

1 PROCEEDINGS 2 (9:30 a.m.) 3 Whereupon, 4 COMMISSIONER PETER A. BRADFORD 5 was called as a witness and, having been first duly sworn, 6 was examined and testified as follows: 7 EXAMINATION 8 BY MR. BALLAINE: 9 Would you state your full name, please? 10 Peter Amory Bradford. 11 Did you receive the letter that was sent to 12 you by the Special Inquiry Group confirming your deposition 13 here today under oath? 14 Yes, I believe we did receive them. I have no general recollection of that. 16 Do you recall whether you read all of the 17 documents? A Yes, I believe I aid, but I don't have a general 18 recollection. 19 Do you understand the information set forth in 20 21 the letter, including the general nature of the inquiry, and our right to have an attorney present here today as 22 your representative? 23 24 A Yes. 25 Do you also understand the fact that the

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information you are providing may eventually become public?

A Yes.

Q Do you have a representative with you today?

A Yes, Tom Gibbons and Bill Clements.

Q I know you are probably aware of this, but the testimony that you are giving today has the same force and effect as if you were testifying in a court of law. Our questions and your responses will be taken down and transcribed. You will later receive a copy of that transcript and, of course, have an opportunity to read it and make any changes that you deem necessary.

However, to the extent that your subsequent changes are viewed as significant, those changes could arguably be viewed as affecting your credibility. The point of saying this is simply that, of course, you should make every effort to be as complete and accurate as you can be.

A (Commissioner Bradford nods in the affirmative.)

Note: Continue on page 5.)

| 1 | Q Sir, prior to today, we also made a request |
|----|--|
| 2 | that you bring to the deposition any telephone logs that |
| 3 | your secretary may have made covering the period during the |
| 4 | events at TMI, and I'm showing you Exhibit 5091. |
| 5 | (Exhibit 5091 identified.) |
| 5 | Q Is that the telephone log that we requested? |
| 7 | A Yes, it is. |
| 8 | Q And all of these notations are prepared by your |
| 9 | secretary and purport to reflect telephone calls that were |
| 10 | made to you or by you from the 28th of March through |
| 11 | April 4th? |
| 12 | A That is correct. I'm just checking quickly, there |
| 13 | may have been times when she was not here late at night |
| 14 | or on the weekend when I made entries myself, but in just |
| 15 | going through it quickly, I don't see any of those. |
| 16 | Q Let me also show you what has been marked as |
| 17 | Exhibit 5092. |
| 18 | (Exhibit 5092 identified.) |
| 19 | Q This document which you furnished us this |
| 20 | morning contains a legend in the first page, "Draft |
| 21 | Chronology of Events in the Three Mile Island Accident." |
| 22 | Do I understand that this form of chronology was |
| 23 | prepared on or about April 24, 1979 at your request by |
| 24 | people on your staff to try to reflect certain pertinent |
| 25 | events between March 28th and March 31 1979, concerning TMI? |

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| 1 | A That would be a better characterization of the |
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| 2 | earlier drafts. This became, in fact, the final, although |
| 3 | as you can see there are handwritten notations on it and |
| 4 | it was never typed up finally, so that by the time of this |
| 5 | draft, I had made my own entries to it as well, so that |
| 6 | what you said about having it prepared under my direction |
| 7 | is only partly correct. This draft contains everything |
| 8 | that I would have added to it. |
| 9 | Q Okay, and |
| 10 | A The actual effort to prepare it, I think, |
| 11 | probably began two ,two and a half weeks before. |
| 12 | Q And am I correct that at least on or about |
| 13 | April 24 you reviewed it and at least this document, |
| 14 | and to the best of your knowledge and belief it is |
| 15 | accurate, or at least as of the time you reviewed it? |
| 16 | A Yes. Certainly as of the time I reviewed it, |
| 17 | and the only modification today would be if I had learned |
| 18 | something since then that called any of the items into |
| 19 | question. |
| 20 | Q Let me show you what has been marked as Exhibit |
| 21 | 5093. |
| 22 | (Exhibit 5093 identified.) |
| 23 | Q This is another document that was furnished us |

this morning at our request.

Does this document accurately reflect your best

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recollection, anyway, of all appearances that you have made in connection with TMI before either some kind of Federal body or even a state or local body concerning TMI?

A Yes, it does. In fact, the Middletown meetings wouldn't be an official body at all. Those were just two different groups of concerned citizens.

Q You are referring to Item No. 7 on that?

A Yes.

Now, we had asked you in our letter to you, sir, to bring with you all documents you may have in your possession or control that you had reason to believe had not been turned over previously to the Special Inquiry Group, and I think at that time we specified things like diaries and personal notes. Other than the exhibits that we have already referred to in this deposition, can you think of anything else that you have that may be in that category?

A No, I can't.

Q I think you also indicated off the record that Hugh Thompson, one of your technical assistants may have some notes and we would appreciate if they could be furnished to us when he returns.

Did you ---

A Let me just note on that, Hugh did have a particular understanding with the Senate committee, to whom

he furnished those notes having to do with the fact that his notes during those five days aren't all related to Three Mile Island, and I think, if I remember rightly, he furnished -- or they examined the entire notes, but as far as any public record was concerned, he deleted the parts that were not Three Mile Island related.

Why don't I just let him get in touch with you when he gets back and see if he can work out a similar understanding.

Q I think that will be fine.

I may as well note for the record that Tom
Gibbons of your staff has also been kind enough to furnish
fairly extensive notes, and in fact, we will be referring
to those notes, I think, during the course of the deposition
here today.

By the way, had you ever reviwed Mr. Gibbons' notes relating to TMI?

A I reviewed his notes before the first set of -before the first and only appearance, I guess, that the
full Commission made before the Kemeny Commission, I believe,
in June. I reviewed his notes, together with the
Commission transcripts of the first three days that the
transcripts were kept, at that time. I haven't reviewed
them in detail since.

Q By the way, have you reviewed any written

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| 1 | documents | in | preparation for your testimony today? | |
|---|------------|------|--|---|
| 2 | A | In | specific preparation, relatively little. | |
| 3 | did reread | i ti | he first day of the the transcripts fo | r |

March 30, but ---

Q The Commission meeting transcripts?

A That's right, but in another sense I have been reviewing now for depositions relating to Three Mile Island, three times in the last month, and for those depositions taken as a whole, yes, I have reviewed the depositions of other Commissioners and the staff before the Kemeny Commission. I have reviewed again, the Commission transcripts and various of the documents prepared by the Commission staff since then, such as NUREG 0600.

Q Have you, by chance, reviewed any of the depositions of Commissioners that was conducted by this Special Inquiry?

A No, I have not.

Q Have you, by any chance, reviewed any of the depositions of NRC staff members, conducted by this Special Inquiry?

A No. In fact, I guess I didn't know they were available. Not that I wouldn't have if I had had them.

Q Sir, I think at the outset we are going to discuss with you, if we can, some of what we call generic questions and then later on today, I am going to be posing

some more specific questions, try to get a better idea of your involvement in the days immediately following the --- the beginning of the incident at TMI.

Is it fair to say that in trying to determine whether or not a reactor is safe enough to operate, the test is now whether there is a so-called adequate protection of the health and safety to the public?

A That certainly is a statutory test.

Q And is it a fair reading of that statutory test, the way it is actually implemented by the NRC, nemely, that the NRC does not necessarily determine whether or not a particular plant design is the safest possible design of which it is aware, but merely it meets some kind of a threshold standard?

A Yes, I think that is fair, that is, there are certainly different reactor designs in operation, and I'm not aware of any finding that they were all equal. So I think implicit in that fact is just the statement that you have made. They are all over some thresholds, but there is no requirement that the safest possible choice among those be the one made.

Q So that in some instances you may have a situation where a particular design that passes muster in the licensing process was not necessarily going to be the safest design of which the NRC staff is aware at a

giver p' t in time. Is that a fair statement?

A It is certainly a fair statement that it isn't necess? 'ly going to be the safest possible design, since I don't know that the staff has ever engaged in a safety ranking. I don't know that it would be fair to say that they, at any given moment, are aware of safer designs than the one that they have under review on some absolute scale of safety.

Q Well, certainly with respect, at least, to important pieces of equipment or systems that are part of a design, isn't it fair to say that the staff may accept a piece of equipment or a system in the design that in its own view is not necessarily as safe as another system or another piece of equipment, of which it is aware at that point in time?

A If the staff felt that the public health and safety was adequately affected by design you specified, then that certainly is possible. I don't -- Well, let me leave it at that. It is possible.

BY MR. BERNERO:

Q Commissioner Bradford, in your deposition before the President's Commission, you used a phrase that if I recall it correctly, described the staff or the NRC's description of what is acceptable as a revealed standard. I would like to explore this concept.

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Is it your view that the agency has somehow, in its collective mind ---

A Are we talking now about adequate protection or are we talking about backfitting, before the Kemeny Commission?

Q Well, I understood in that context that you intended it as a judgment of acceptable safety, whether it would be for backfitting or for initial design acceptance.

First of all, do you believe that the agency is operating in a mode in which its criteria of acceptance of safety, whether for initial design acceptance or for backfitting, is exposed by practiced rather than stated?

A Well, you have the regulations, the reg guides, the branch technical positions, the license conditions. All of those are stated safety concerns, but beyond that one speaks to the more general question of how safe is safe enough. Is there a 1 in 10 to the minus something or other standard always applied to the reactor design as a whole, then I think the answer is, "No, that it is not a numerical standard, it is a revealed standard, and one can only assess it by looking at the plants that are out there and seeing what the AEC before it, and now the NRC have, in fact, licensed."

Q In 1973, long before you joined the Commission,

there was a document published, the first major report on "Anticipated Transients Without Scram", WASH 1270, and that document in its preamble section described a risk acceptance philosophy, 1 in a million recurrence interval of a serious nuclear accident for a single plant could be acceptable if it was no greater than one in a million per year.

Later on, a similar numerically compatible standard appeared in the Standard Review Plan for the estimation of external risks to a reactor.

In your view, is this a legitimate or an effective way for such a criterion to be exposed or revealed?

- A Let's see, do you mean the Standard Review ---
- Q Through staff reports, staff reports, staff actions, basically.

A Well, I think that before a criterion like that can represent the Commission safety policy it has to not only be accepted at some point by the Commission, but that the Commission -- if that is an important enough matter -- that the Commission should inform the relevant congressional committees that this was, in fact, the standard that we were now working with, and let them, as they saw fit, modify it in the Atomic Energy Act.

Q Are you suggesting that perhaps the Congress should

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adopt some explicit numerical standard for risks, or rather that they should be aware of the agency's practice?

No, just that they should be aware of the agency practice and have a chance to modify it if they thought that were necessary.

That is, the number 10 to the minus 6 or whatever other number was the one chosen, isn't a technical judgment of what the risk is in the context you would be using it, it is a judgment of the acceptable risk, and Congressmen who represent the people who are going to be living around the plants are, in every bit as good a position as the Commissioners and the NRC staff are to have a voice in what the acceptable level of risk to those people is.

Do you feel that the Commission as a body and yourself as an individual Commissioner are clearly aware of the staff's use of numerical risk criteria?

I was aware that that 10 to the minus 6 number did appear in the Standard Review Plan, but I have never focused on how it is applied and then what it works out to in practice. I can't speak for the other Commissioners.

Were you aware that this was an outstanding issue, even in Three Mile Island's operating licensing hearing?

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A I was not, but tell me which issue?

Q I'm referring to the airplane crash probability --

A Oh, all right.

Q -- which is an application of the ---

A Yes, I was aware of that, yes.

Q -- thing.

Would you have the opinion that for the future, the Commission should take a more explicit role in the development and the application of ---

A Let's see. Bob, let me stop you for a second.

Was the number that the staff used for the acceptability of a -- what is it, a plane weighing more than 200,000 pounds going more than 200 miles an hour, was that also 10 to the minus -- that was not, I think, 10 to the minus 6.

Q Well, if you go into the Standard Review Plan, just as a matter of accounting past developments, the current practice for a single event is to use an analysis of that single event at 10 to the minus 7, whereas, in the previous analysis, even for Three Mile Island, a consciously overconservative analysis at 10 to the minus 6 was the practice. They are purportedly equivalent in affect.

A Yes.

MR. SCINTO: Since I was counsel on that case, I think I do have to inject, there was a dispute over both

the standard and the staff's evaluation, but before the Appeal Board.

MR. BERNERO: Yes.

MR. SCINTO: That, I don't think is necessary.

COMMISSIONER BRADFORD: Yes, in fact, I suppose in some way that question is still pending before the Commission.

We should try to be careful about discussing that.

MR. BERNERO: Yes, I don't want to pursue that too
far.

BY MR. BERNERO:

Q Going now to another thing which you mentioned in your deposition before the President's Commission, our concern is toward the staff approach of using design basis accidents. This deterministic test of acceptability for design where the staff uses Class 1 thru 8 accidents and somehow determines that there are sufficient safety features to make the plan acceptable for operation.

Historically it seems that Brown's Ferry, the fire, and Three Mile Island were outside the design basis envelope somehow. In your deposition before the President's Commission you spoke of the possibility of a Class 10 accident. In effect ---

A I gather since then, though not because I said it, the phrase has begun to appear in other places as well,

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1 but go ahead.

Q Well, rather than -- I don't intend to get into the controversy of whether or not the NRC should identify the Three Mile Island accident of March 28th as a Class 9 accident or some other thing, but my concern is: Do you believe that the agency can effectively regulate the safety of reactors using a design basis accident approach, using that envelope of accidents, or do you think something else is necessary?

A What do you have in mind by "something else", that is, I'm not sure that I see any other way to do it, if I understand the design basis accident approach correctly.

Give me an idea of what you are thinking or is this something else?

Q When you spoke of a Class 10 accident, it implied to mean that you had in mind that we have an insufficient set of design basis accidents ---

- A No.
- Q -- and we need to add a couple of more.
- A No, I'm sorry.

The context in which that phrase arose had to do with whether or not Three Mile Island was a Class 9 accident, and the line of thought was that if Three Mile Island were a Class 9 accident, did that then mean that all Class 9 accidents were now possible, the full spectrum of

accidents that would fall within that desinition.

What I was trying to say in suggesting that considering Three Mile Island to be a Class 9 accident, might imply a need for Class 10, was that it might well still be that there was a class of accidents so unlikely that we would not consider them in the licensing process, but that Three Mile Island had raised the threshold for falling into such a class, so that there might be a class of Three Mile Island type accidents which were now Class 9, and then there was still a set of so-called unthinkable accidents which then become Class 10.

I wasn't thinking of changing the design basis accident approach.

Q I think that's the way I understood you.

You would change the length of the spectrum ---

A No. This was very much an off-the-cuff speculation at the time, and it wouldn't be more than that to pursue it now.

I was simply trying to follow through in response to Mr. Cane's line of questioning, what the consequences were for the review process of considering Three Mile Island to be a Class 9 accident. And in fact, I was saying, well it may mean that Class 9 accidents now have to be considered as -- in the review process, but it may also mean that they are now a class of accidents --

a Class 10 which does not.

Q Well, if I could put it in another way, does this say, then, that although there might be deficiencies in the definition of the spectrum of design basis accidents or in the analysis of them, the concept is an acceptable one, the concept of a fixed spectrum of design basis against which you could test the design of a plant, as an acceptable one to you; that you don't find a philosophical difficulty with that as a result of the Three Mile Island?

A Not as an approach, perhaps with the way it has been applied. But, yes, not as an approach.

Now, any answer like that has to -- I have to caution you in two respects. One is that I have no technical or engineering background to bring to bear on a question like that. And the other is that your review and the Kemeny Commission review and our own thinking about this accident is still ahead of us, and I don't, for a minute, mean to suggest in saying that it seems to me to be an acceptable approach that as early as next month or sometime next year I won't feel that there is a better approach and that this one should be modified.

Q Well, some have suggested that the use of the design basis accident envelope or envelopes should be supplemented by quantitative risk assessment. This Commission was most recently involved in a controversial

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statement of position regarding the Lewis Committee Report and in general, the topic of quantitative risk assessment.

Do you, personally, as a Commissioner believe that this is a useful way to validate the design basis accident approach to licensing?

A Well, the risk assessment, what we said about the risk assessment effort in WASH-1400 was that the methodology was not only not well enough developed for direct use in the licensing process, but also not well enough developed to make sweeping statements on the -- regarding the level of reactor safety presently attained.

What we also said was that it was a useful effort to continue with the data improved, and as the methodology improved to continue in the hope that as we got more data and as we got better at doing risk assessment work, it would help to confirm what we were doing in the licensing process.

We also said that as far as using it for specific purposes the staff would have to state the methodology very clearly and acknowledge the uncertainties very clearly. I still think that is about the right position.

Q Well, those who suggest the use of quantitative risk assessment with the design basis accident approach, apparently would suggest using some design basis bound,

setting the threshold, the outer limit of the design basis in some quantitative way. And do you think that the methodology lends itself to that?

A Theoretically, but I don't -- and again, with all the cautions that mine is not a background that makes me an authority on risk assessment, as I understand it we are not there yet, as to nuclear safety, people who know this field of risk assessment well, don't feel that we have either the data or the methodology yet to be making definitive statements about the overall levels of safety that we have attained using risk assessment.

BY MR. ROGOVIN:

Q Commissioner, I think what the issue is brought out to require us to pursue is that the two accidents, most recently, Brown's Ferry and Three Mile Island, were not designed basis accidents.

The plants were licensed without giving consideration to those accidents, and the issue then becomes: Do we simply tack on to the design basis accident approach for future use, the experience that we have had with these two accidents or is there any way to try to encompass such accidents in future licensing procedures?

A Well, taking what I've come to accept about what is true about risk assessment methodology, which is that one cannot presently find a satisfactory basis for assessing

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overall nuclear safety there.

I don't think that one should get away from design basis accidents by saying we are going to go instead to risk assessment. I don't have any high degree of confidence that the design basis accident approach doesn't suffer from the same failure that much else in the NRC does, namely, (a) certain discouragement through the years of the asking of really hard questions about -- the pushing us of what would happen if? kinds of questions added in a way that would suggest -- that might lead to the expansion of accidents that should be considered design basis accidents.

I think that if you state the proposition as simply being an alternative between tacking each new accident on to design basis, on the one hand, or shifting the methodology altogher that that's somewhat artificial, I think that one can go back and look at the design basis accident approach in a way that really encourages people to keep raising concerns, that learns much better than we have from events in operating reactors today and get a much better degree of confidence, at least, that the design basis accidents are really the right ones.

I don't think we are proposing in these questionings that it is a red or dead, either or, situation, that we scrap design basis accidents for something else.

I think the question implies the possibility of a

-- essentially what you are saying, that design basis accidents also have tacked on the quantitative risk assessment concept.

One of the issues that we observed, particularly those who -- on the Special Inquiry Group who have not dealt with the NRC is -- perhaps this is too sweeping, but a set of rules that require certain basis to be touched in the licensing procedure, the assumption being that if you touch all of these basis, you score in game-like fashion, and the intensity in which the staff ---

A Not just score, you would win. The other team isn't allowed on base at all.

Q Right. And the intensity in which the staff and the licensee played the game could cause, and in some instances does cause, a blinding to major safety issues, the assumption being everything that has to be done are in the rules, and if you do all of those things, you end up with a safe plan.

Would you care to comment on such a generalization?

A Let's see, I have read a fair number of comments to that effect, that is that the industry tends to regard compliance with NRC regulations is about all that they have to do, and certainly the regs say that if -- then the licensing board practice says that if you read the regulations you get your license.

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There is a dangerous degree of illusion, obviously in that. For one thing, no matter how good the licensing process, you don't have a safe plan unless you run it safely, as well, and unless there is a rigorous inspection and enforcement regimen that is backing up the licensing process. But even as to the licensing process it certainly assumes that -- let's see, to stay within your metaphor -- well, maybe I can't -- it assumes something about the positioning of the bases or the yard lines or whatever else, that they are all where they should be. And to be confident about that, as I have said before, you have to have this continuning feedback going on with operating experience, and it seems to me the agency has got to be run in a way that really encourages the staff to continually raise hard questions about what is going on in the plants and what the implications of that are for the reactor design and for licensing review.

Q Commissioner, one of the concerns expressed to us by a vendor, in the context of what are the disincentives to safety, the concern was that incremental safety advances will not, in some instances, take place, simply because it would be a variant from accepted practice, and as a consequence cause time delay. What we had stressed to us was that time was money and that time and time again the vendor has to reexamine whether a standard

plan or an accepted design should be used in deference to an incremental change. All vendors all agreed, in our conversations with them that obviously if it was a serious safety issue they would face it, but incremental change does not take place because it requires a new start with the staff on an issue.

Do you see disincentives working within the agency?

A I guess they must be there, just because I have heard the same complaint raised frequently myself.

It does seem to me odd, though, if that is a deep-seeded perception on the vendor's side of the house that we don't have a more standardized set of power plants out there than we do. In fact, standardization, it seems to me is gained at best grudging acceptance in the industry. They do continue to prefer custom built plants, or at least until very recently, continue to prefer custom built plants. The reason is that it may well have nothing to do with safety, but a picture of an industry in which all plants are the same or there are only two types of plants out there, and safety is, in some way hampered because the vendors are reluctant to make changes of any sort, seems to me to overlook the fact that changes are made all the time, and that, in fact, if you talk to people who know far more about standardization than I do, Joe Hendrie for one, they

are forever dismayed by the insistence that they companies have on making changes.

BY MR. BERNERO:

Q I'd like to go back for a moment.

Twice in this deposition you have remarked, when we were talking about design basis accidents and risk assessment, that you did not have a technical background, that you did not have technical qualifications. Do you consider this a serious detriment to exercise of your role as a Commissioner?

A None of the Commissioners are expert in everything that the agency does. Having spent six years, before I came on the NRC, as a regulatory commissioner, and having had legal training, it is very helpful in a great many areas of the agency business.

Nevertheless, when it comes to dealing with a reactor accident and with assessing its implications with things like design changes, it would be nice to have a background that included degress in nuclear physics, engineering, philosophy and various other subjects that I'm -- don't happen to be trained in.

Yes, it is a detriment, but I wouldn't be prepared to say that in terms of the overall function of being an NRC Commissioner it was any more of a detriment than say, the absence of a law degree would be to other

1 Commissioners on particular types of issues.

Q Well, if we look at the issue of trying to establish an appropriate way to license plants, selecting perhaps from design basis accidents, the subtleties, distinguishing accidents require a great deal of engineering insight to appreciate how accurately or carefully the thing has been done, and on the other hand quantitative risk assessment, which is an arcane field all unto its own.

judge this, and this gives the Commissioners a choice of endorsing what technical experts propose or insisting on some other test or some other approach. And I'm not sure that I understand you. It seems that in these areas, and so cricial to the mission of the NRC, judging acceptable safety. It seems almost that the agency is trapped in two arcane fields that require a great deal of technical knowledge.

A Let me give you an example then of the kinds of things that having a background in regulation are helpful, even on a question like that.

It seems to me to be important that the NRC bring to bear on those questions, all of the perspectives and all of the expertise that it can get. But to do that, it is important that the licensing process be structured in a way that encourages outside participants as well as

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the staff and the license applicants, to bring their views in and assures that those viewswill get a fair hearing. Because in the end, I think no matter how good the technical expertise of the Commissioners might be, if there is a substantial section of the technical community that has concerns that hasn't been heard from the agency's decision, is going to come out tilted in the direction of those whose views have been fully heard.

So it does no harm to have a background in regulation and a legal background as a way of being sure that all of the views that ought to come into the process come in and are heard effectively through the various stages of the Licensing and Appeal Board and Commission review processes. But that is probably also true in other ways in looking at the Inspection and Enforcement system, and then comparing it to, for example, the enforcement mechanisms available to other agencies and in other walks of life.

Having said all of that, still, what you have said is true. I have to, on technical matters in the end, generally decide more in terms of which set of propositions seem to have the -- as courts would say, the weight of the evidence or the perponderance of the evidence behind them, rather than going out and recalculating the basis, assumptions myself, any technical perspective that I can bring to bear

1 on it.

Q This leads rather naturally to another related question.

Right now, the Commission has to exercise greater care that it not compromise itself or its members with exparte communications, because it is the potential licensing decision-maker for any licensing action. If the Commission is to do an effective job of making sure that the process is working, and is reevaluating itself or reexamining itself thoroughly and in a timely fashion, can the Commission, in your view, afford to tie itself up as a decision-making body for individual licensing actions?

A Let's see, by "tie itself up," you don't mean spend a lot of time on it. You are really talking about the ex parte rules and the extent to which they cut us off from the staff?

Q Yes, yes.

A We have under review now, in the General Counsel's office the whole question of the exparte rules and how rigid a barrier they really have to be to staff conduct.

I have a feeling -- well, an informed feeling, perhaps, but -- that our ex parte regulations over the years have developed somewhat more rigidly than they have to. The practice, after all, is a carry-over from the

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than the NRC does, and which seems pretty clearly to have delegated regulation very heavily to the staff, and to have erected a set of practices and procedures that made it as hard as possible for regulatory issues to take up a great deal of the AEC's time.

Now, when the NRC came into being it adopted a lot of those practices, procedures and outlooks lock stock and barrel, which created the odd situation of a regulatory Commission whic' had distanced itself about as much as possible for the regulatory processes.

Atomic Energy Commission, which had many more responsibilities

I hope that the General Counsel's review will show us ways in which we can harmonize the adjudicatory functions with our function as the agency's top mangement more comfortably than perhaps had been the case before.

I haven't seen specific cases yet in which our ability to manage has been explicitly compromised by ex parte considerations. On the other hand, it is the nature of the problem that I wouldn't necessarily know about.

So I can't say to you conclusively that there isn't a problem there.

Q Well, it seems to me that the great care and concern about ex parte implies that there are technical issues in individual licensing cases that might have been discussed by the Commission, and that suddenly in the

licensing-hearing process where that technical issue is debated that now the Commission would be compromised, and this brings the concern.

Do you feel it is the role of the Commission to be the adjudicatory body for whether a technical issue is on one side of a line or on the other? I would like, very much, by the way, to use the Three Mile Island airplane crash as an example, but I'm not sure we can. Do you think we can --- thereby demonstrating the point.

A I was going to say, if the answer is "no" it is going to be much harder for me to defend the proposition, except that there are other examples.

For example, was it Hope Creek where we --MR. BALLAINE: Let's try the LNG.

COMMISSIONER BRADFORD: The LNG. And if you want to talk more about probabilities and design basis accidents we could use Hope Creek.

BY MR. BERNERO:

Q Well, I would like to ---

MR. SCINTO: Prairie Island was an adjudicatory case, or was at one time, over the Appeal Board on the issue of the steam generator, and was eventually before the Commission for its review of the Appeal Board decision. And recent Prairie Island information may be stimulating memories. I think it would be useful.

BY MR. BERNERO:

Q See, what I'm really driving at is that it appears to me that the concept of the Commission as the ultimate adjudicatory body, ties up the Commission not in judgments of policy -- of the policy upon which one makes technical judgments of acceptability or nonacceptability, but in the implementation of that policy.

A Right. But I don't think it has to, that is, I think the AEC was more than willing to have that result and to say, therefore, we are just going to distance ourselves from all of this.

But it seems to me, first of all, that the NRC can -- the Commissioners can say, if the technical issue is important enough we will -- that one extreme, simply disqualify ourselves from the individual case that it might come up, and then take it up as a management issue right now, because we think it has got to be dealt with, and the Appeal Board would be the list line of appeal before the Circuit Court in terms of the licensing process.

So in a really important case we can do that. I suppose it is possible, though I have never looked at the law on it, for the Commissioners to say, all right, two of us will worry about this as a management technical issue, and three of us will -- we will just hold ourselves apart from that to constitute the last line of appellate review if

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it looks as though this is an issue that needs a Commissioner's perspective on it right away.

BY MR. ROGOVIN?

- Commissioner, don't you see this situation as and outgrowth of that approach, on the one hand you really are not acting in an adjudicatory function in a lot of cases.
 - That's right. A
 - 0 You are standing in readiness to do so.
 - That's right. A
- And to put yourself in that readiness position, you must forego the ability to supervise the staff and to get into technical issues at an early stage because of the possibility of acting as an adjudicatory body later on. The sum of it being you are in the worst of both worlds. You neither have effective supervisory contact with your staff and you are not engaged in an active adjudicatory role within the flow of licensing matters.

There are two answers to that, one being that we could become more active in the adjudicatory mode. I have favored taking review in a fair number of cases which have not actually been reviewed at the Commission level, and so I guess I would have to say that my first response to that is that while it may, in fact, be an accurate description of the results of the Commission's practices

brought about, it doesn't have to be. We could, in fact, be playing a more active adjudicatory role.

The second point is that I am not at all sure that the public or the Congress would be prepared to see us drop out of the adjudicatory process, entirely. The prospect that that raises is the unsavory one of licenses being issued by the Commission without the Commissioners themselves ever being directly involved in the process, in fact, being explicitly barred from it.

It seems to me that the people of New Hampshire, from the Governor on down, would have found it very unsatisfactory to have written letters or tried to communicate with us about Seabrook, and would have got an back a set of answers to the effect that: "I'm sorry, but a man named Allen Rosenthal is in charge of Seabrook, and there is nothing that we can say or do that can change his mind without raising the gravest legal questions." That is not a very satisfactory posture for the Commission to be in.

There was one other point I wanted to make, yes. On the Maine Public Utilities Commission we drew the lines differently, that is, the staff was barred from ex parte communications with either the utilities who were seeking rate increases on the one hand, or intervenors on the other, and the commission could communicate back and forth

freely with the staff.

Now, I understand that that freedom has since been restricted by statute in Maine, so I'm not sure the legislature necessarily thought it was the answer. But there are not constitutional barriers, at least, as perceived by the courts in Maine, to just drawing the ex parte line in a different way entirely from the way the NRC does it now.

Q The ex parte question raises, in our thinking, two issues.

One is assuming the Commission form of government, should the Commissioners play a more active role in the licensing, does the public expect -- does the public anticipate that when a nuclear reactor is given a license to do a dangerous thing, that the five Commissioners have participated in the issuance of that license, not that they had an opportunity to consider it and chose not to, but that they were the final determiners of the propriety of that license. And we see that that role is not exercised that frequently by the Commissioners.

We also see an area where a stronger leadership role within the Commission has to be played, either by a single administrator or by an effective coordinator of the various offices. But what we see with what may be a candid camera picture of the existing operation is the

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perhaps the ex parte rule and the lack of an active adjudicatory body on the part of the five Commissioners.

Again, the worst of both worlds.

lack of that strong leadership, precluded by way of

We are not, in this questioning trying to indicate which is the better, but we are interested in your views as to why we are in this polition?

A It certainly is a fair concern, and it is not one that -- to the extent that it involves specific people, personnel, it is not one that is easy to address in a transcript that stands a pretty good chance of eventually becoming public. We have obviously got some management problems in the agency, from the top on down. And I think that the explanation for some of the difficulties you have cited lies in that area.

The Commission itself is closely divided on many issues, and may not communicate a very clear view of itself and its desires down through to the staff. Some of the mechanisms for communication and some of the people who are involved in that management change don't work as effectively as I would like. On the other hand, other Commissioners may feel that the problem lies with other mechanisms and other people than I would, so it would be quite difficult to agree on the changes that are needed.

Q Well, I think this ---

A I wanted to just to tie that back to the questions that you were -- one of the questions you were on before.

I don't think that the particular difficulties that this NRC and this particular management have experienced, in the year or two that I have been here, will lead me, at least, to draw adverse conclusions, either about the NRC's role in adjudicatory process, or about whether a collegial body can effectively run a safety regulatory agency. I think enough of them are unique and there are enough other serious problems with most of the alternatives that I can think of or have seen proposed, that I would tend to still favor a collegial framework within -- a collegial body with an adjudicatory role, and would emphasize changes that need to be made elsewhere.

Q Well, assuming that the NRC Commissioners would, in fact, play an adjudicatory role in daily practice, do you see the necessity or desirability of having leadership focused in a single administrator as more advantageous than attempting to have the same five Commissioners also attempt to ---

A Are you saying a single administrator without other Commissioners, or a single administrator in the EDO position?

Q Well, it is conceivable that the adjudicatory function of the Commission could be set aside as a singular

activity, and that would be the sole role, and administrator, whether he is called an EDO or however it is structured, would, in effect, run the agency and the licensing decisions would ultimately be determined by a Commission.

A Well, it is not clear to me that it should get more safety that way.

Look at the history of nuclear regulation, at least as I see it. The problem hasn't been, I don't think, that the AEC and the NRC, in its early years, were somehow sharply divided because of collegiality or too involved in adjudicatory, and therefore screened off by the ex parte rule.

The -- if you think of the Chairman of the agency from Lewis Strauss through Joe Hendrie, take away their fellow commissioners, and ask what was really hampering nuclear safety, was that these men were being held back by a collegial function, and Dixie Lee Ray, were being held back by the collegial function, from otherwise imposing their tremendous desire for regulatory reform and increased safety. I just don't think that is consistent with history.

Q Commissioner Bradford, I would maintain that the problem in the NRC is not safety management, and that the management of the institution is failing. The talent and the technical expertise exists, it is a question of getting

it to the surface.

Let me give you this example: LERs can be analyzed and can bring safety issues to bear, and indeed they are. And yet the information found within is not getting out to the people involved. This agency has a history of not appointing heads of offices and having acting heads sitting in their stead for long periods of time. That's not a safety issue, but it can be in the context of bad management. Many of these things appear to go to the doorstep of the collegial manner of administration.

A I think that to some extent, you are emphasizing very heavily the situation that you called the candid camera shot, in terms of the time frame that your study is involved in.

My own view of the history, if you go back through the 60's and 70's and then what kinds of management apparatuses and attitudes and outlooks that it created, were that the -- obviously, it was an agency that was concerned about safety. Nobody wanted an accident, but that there were also very heavy pressures, first in the direction of commercialization, and then later in the direction of dealing with the 30 and 40 and 50 applications that were expected, and ultimately the 1200 reactors by the year 2000 that the AEC was predicting as recently as 6 or 7 years ago. And that

the management structure that was -- structure and attitudes that resulted from those strong pressures did not encourage the raising of new safety questions, in some cases actively discouraged it, even punished it.

And that the management -- the process of learning from the LERs of asking questions that would have led people really to focus on evacuation planning, operator training, control room design, reactor instrumentation, instrumentation to monitor radiation going up the stacks, small break loss of coolant accidents, that that questioning process was not being implemented, was not in place. That wasn't a management problem. That was the fundamental, it seems to me, objectives and goals of the agency as communicated down relatively clearly from the top management, were goals and objectives that didn't encourage the raising of those kinds of questions.

Now, the point that you made about acting -people being left acting for a long time is a fair one in
that it is a management problem, but it has behind it some
fundamental disagreements over the outlook that the
Commissioners have wanted in those positions. And it isn't
just that there is something about the NRC management
structure that somehow requires the position stay open for
9 or 10 or 12 months with people acting in ---

Q I appreciate that. What I'm saying is that it

may well be in the nature of a collegial body attempting to do its best, not that it is not mindful of its responsibilities, but the meer nature of the five people ---

A Well, remember, for part of the period you are talking about there was four people.

O Well ---

A There was a period of -- well, I think for most of the NRC's history, it has had less than five commissioners.

Q That only underscores the point that a Commission form of management lends itself to a diversity of views, the fact that you may be at a deadlock position with an even number of members. It does not bring strong leadership to bear unless you have unanimity among the Commissioners or a willingness to delegate on certain managerial areas to a Chairman or a single view point.

A Those -- you have stated the drawbacks of collegial management perfectly well, but again, there are other drawbacks to the single administrator structure.

And while it is certainly true that a person whom you or I might define as a good single administrator, can probably get more good things done quickly than five people whom, even if we agreed all five of them were excellent commissioners, that is a proposition that carries within itself the other side of the coin, too, that someone whom I might think was a simply terrible single administrator

could do a lot more damage a lot more quickly than -And along with that there is the fact that the change from
one administrator to another carries with it greater
swings in outlook, than you get on an agency with three
or five commissioners, when one of them, in the normal
course of events is replaced.

It is also hard to see how you can make a single administrator as independent as you can make a collegial agency, that is, if he is in the Executive Branch he is appointed by the President and it is easy to recreate the spector of the AEC in the sense that a safety agency may find itself taking direction from the energy agency.

If there is a single administrator, you also have, at least in terms of the current -- most of the current models that occur to me, you probably would have the President appointing people at the office head level in the same way that he would assistant secretaries. So it's --

Q Well, the pleasures and pains and the pluses and the minuses really also go to the question of accountability, and one might argue that there is less accountability with a commission, there is anonymity, there is not the ability to make change, and the problems of management, as I see it, are stacked against a commission.

A Well, except that I think that it is pretty clear that over the first -- Well, from 1954 to '77 or '78 when we

really began to get to the point where this agency was having some collegial disagreements, for the first 23 or 24 years of their regulatory history, the nuclear regulatory apparatus, whether it was the regulatory divisions of the AEC or was the NRC, had, in effect, the pleasures of the single administrator structure because there weren't great disagreements on regulatory matters within the Commission, without having the pains. And it doesn't seem to me that that produced -- what that did produce was exactly the kind of regulatory practices that you all are now investigating and questioning.

MR. BERNERO: Excuse me, I'm concerned about your personal well-being. It is quarter to 11:00. Would you like to take a little break?

COMMISSIONER BRADFORD: I guess I would. I have been running a cold and if I could just go and get some nose drops, my personal well-being would be improved.

MR. ROGOVIN: Why don't we take 10 minutes.

(A brief recess was taken.)

BY MR. BERNERO:

Q I would like to take up the matter on this discussion of Commission form of governments. You wrote a memorandum recently about how the Commission might react in a crisis, and the separation of --

A That's a slightly different branch. Could I just

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1 make one other point with regards to ---2 Surely. 3 -- the question of Commission functioning during 4 normal times. 5 Bob, you had been talking before about 6 hindrances placed on us by the ex parte rules. It does 7 seem to me that we do take up a lot of problems that may 8 exist in particular cases on a generic basis, even while 9 they are pending in the licensing process. Operator training 10 comes to mind right away as one that is clearly an issue in the TMI-1 reopening proceeding, and yet that the 11 Commission has met on several times. I just wouldn't want 12 to leave the record as implying that simply because a matter 13 14 was pending somewhere in some one licensing proceeding 15 the Commission can't address it generically. BY MR. BALLAINE: 16 Actually, I would like to cover one more aspect 17 of this question before we focus on Commission form in an 18 emergency situation. 19 As I read your deposition, I got the impression 20 that you might have the view that ---21

Q Yes. Kemeny.

deposition?

-- that, gee, insofar as the adjudicatory function

Is that my Kemeny deposition or the Hart

is concerned, I really think the Commission is a good idea, as to other functions, well, maybe the Commission form is less effective than another.

Now, first of all, is my characterization of what you said then generally correct?

A Yes. Well, to the adjudicatory process, it is clearly better to have 3 or 5 Commissioners, and the 3 or 5 question, seems to me, to be one at least worth thinking about. Certainly the main Commission, in many ways, functions more smoothly with three. On the other hand, with the Sunshine law it would mean that two Commissioners could never speak, so ---

Q Yes, but now just getting away from adjudicatory,
I think your words were it was somewhat harder to justify
having a Commission, and I wonder whether, in fact, it would
be your view that if we put aside the adjudicatory job
completely you would come out in balance saying, well, I
guess I would lean more towards a single administrator than
I do to a commission form, or would you still come out for
a commissioner form?

A Let's see, you can't put aside the adjudicatory process without putting aside the licensing process.

What you are really saying is that if this were just an inspection and enforcement and research agency ---

Q Suppose -- yes. What I had in mind, really is to

think of the Commissioners as the pure adjudicators. I
mean, they really are the supreme court sitting waiting for
a decision and doing nothing else.

Now, maybe when you talked about their adjudicatory role you had much more in mind. You had in mind the licensing process.

A Well, if one went in that direction, I would suppose it would then not make much sense to have 3 Boards. You would be talking about an adjudicatory system that was really a Licensing Board and a Commission.

O Yes.

A --And it might also handle civil penalties, and then everything else run by an administrator.

I don't think that is necessarily a better system than the one we have now, but I think I would agree that if one went in the direction of lolling off the licensing process and then leaving it under an adjudicatory board, the structure you would have left would probably work as well or better under a single administrator.

But let me think a little more about that and maybe in the context of correcting the transcript or something, let you know if I -- Because I haven't thought about that question before.

Q Obviously I was misinterpreting what you had meant by "adjudicatory role". I take it you really had in mind

just overall, oversight of the licensing process, including, in some instances, some final review or -- of the case or a decision not to take up a final licensing process.

A No, I didn't mean that, but it seems to me that the licensing process can't be separated out from its adjudicatory side. If ---

Q It could if you thought of yourself like a supreme court, wouldn't it?

A Yes.

Q You don't know what a case would come to.

A Yes, but the staff presentation of its case, which would then be completely separated from Commission overview, but that really is the way it is now.

The Licensing Boards, the Appeals Boards hearing process would remain under the Commission -- But what I thought what you had in mind was something close to two separate agencies, and what I was saying is you would be taking everything out of the single administered agency, except the preparation of the staff case in the licensing process.

Q Okay, that is a pretty close statement. I think I may have thought something different. I may not matter, but I think I just thought of being the purest adjudicative body which is, give me a case, I'm somewhere in the system now, give me a case, I'm representing -- I'm

a Commission and I'm representing disparate views, and maybe in this structure, I will review all cases and make a decision, or at least change the degree of participation.

A Well, you would have to do that or else make it a part-time job.

Q And frankly, I thought that was kind of what you were saying when you talked about the pluses of the Commissioners in the adjudicative role, and this gets back to what Mr. Rogovin was saying that we think it is important to be in the adjudicative role, and yet to a great degree, you don't appear, at least to be in it. You may be in it more than we see, but ---

A Well, I have tried -- I have tried to get us in -- No, no. Your perception of how much we are in it is -- it may be right, let me come to that in a minute.

But I have personally tried to get us in it more often than we have been. The other thing that you wouldn't see, just from reviewing the number of cases we have taken up is that that General Counsel's office does review and prepare for Commission scrutiny a summary of, I guess, almost all of the Appeal Board's decision, so that there is at least a decision by the Commission not to review, in certainly any case of any importance. And what the Commission is saying, in effect, is they are dissatisfied with the Appeal Board's handling of the case.

| O Those memorand | | BY MR. SCINTO: |
|------------------|---|----------------|
| Q mose memorand | Q | Those memorand |

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Q So that as far as the perception is from ---

ndum aren't published are they?

A That's right.

No.

Q -- you cannot identify a Commission position with respect to the issue?

A That's right, you would -- except to the extent that you can infer the Commission is satisfied with the Appeal Board's decision.

Q The same kind of inference one makes or doesn't make with respect to the Supreme Court?

A Yes, and in fact, there have been cases where I have urged review, not because I thought the result was wrong, but because I thought some part of the Appeal Board's reasoning was going to cause trouble in another case at another time, and I didn't want to take it up and readjust that particular ---

BY MR. ROGOVIN:

Q Well, Commissioner, just on this point, we have all worked under the assumption that the Commission should be doing more adjudicatory work. The Commission has the opportunity and has declined. Would you see a marked change in the numbers of cases that would be adjudicated if, say your point of view were to prevail, are you more

| 1 | interested in having cases brought up than perhaps other |
|----|--|
| 2 | Commissioners? |
| 3 | A The best source would be the Secretariat's |
| 4 | records, but I think probably, I have favored taking |
| 5 | review more than any other Commissioner. Would you guess |
| 6 | that was right, Tom? |
| 7 | MR. GIBBONS: (Nods in the affirmative.) |
| 8 | BY MR. ROGOVIN: |
| 9 | Q And can you give us some generalizati as to |
| 10 | how many more cases the Commission would have a involved |
| 11 | in? |
| 12 | A Four a year. |
| 13 | MR. GIBBON: It is probably more. It is hard to |
| 14 | tell, because a lot of the orders are interlocutory, so |
| 15 | when you say cases |
| 16 | BY MR. ROGOVIN: |
| 17 | Q Yes. |
| 18 | A We can try and compile something or have the |
| 19 | Secretariat compile something that would show the Commission |
| 20 | votes on whether or not to review cases and get that |
| 21 | out to you if that would help. |
| 22 | Q That would be helpful. Let's see if we can |
| 23 | frame the question, recognizing, as you have testified |
| 24 | that the Commissioners get an opportunity through the |
| 25 | General Counsel's memorandum to decide whether or not to |

| 1 | hear a particular matter. The question then becomes: (a) |
|----|--|
| 2 | how many of those memoranda, which I guess we would consider |
| 3 | the case, recognizing interlocutory features of pending |
| 4 | matters; how many of those memoranda do you review each |
| 5 | year, and then how many would you have concluded should |
| 6 | have been reviewed by the Commission? |
| 7 | MR. BALLAINE: And how many were, I guess, or |
| 8 | is this |
| 9 | MR. ROGOVIN: Yes. |
| .0 | COMMISSIONER BRADFORD: Okay. |
| .1 | BY MR. SCINTO: |

Q You indicated they were reviewd by the General Counsel's office. Does those types of review really tend to focus on fundamental safety issues or do they tend to focus on procedural issues or environmental issues?

I'm asking what is the nature with this -- Given your background of what the Commission has done in the past, if they had been more active in an adjudicatory role in the past, would that adjudicatory role have really locused on safety kinds of issues?

A I think that the answer, at least in some cases is "yes" but through a couple of steps.

For example, in the Hope Creek case my concern
was that there should have been a cost-benefit analysis
cone with regard to further guarding against the possibilities

of an accident involving LNG near the plant. Now, in
a sense, that's procedural, that is it was simply that
the law would require the analysis. On the other hand,
if the analysis had shown that some significant improvement
in safety would have been achieved at a relatively low
cost, it would have had a safety result. And that would be

Q Okay, as an example, in Hope Creek, for the wide scope that can be met within the framework of cost benefit, do you think a Commission review woul. have focused on the technical difference in analytical technique between that used by the staff than that the Appeal Board used, if it would have rejected the staff's view and used some other technique? I think that's a fair calculation.

true, I think, of some of the other concerns, which in the

first step would appear to be procedural concerns as well.

Do you think the Commission's review would have focused on the technical difference between those two techniques?

A I'd have to go back and reread the papers to be sure.

My guess is probably not. The terms in which I urge review would not have carried us into that.

Another case that comes to mind is -- involved North Ann: and the question of whether the Appeals Board

was barred by the regulations by looking further at the generic safety issues. They felt that they were. I'm not sure there, that a change in their view of the regulations would have made any concrete difference, when they had something in mind that they wanted to look at and were barred from looking at, or not, but if we had, in fact, taken it up and said, look, if you want to look at these things you can. That would have been a purely procedural change which might then have left the Appeals Board to inquire into some issue that was troubling them.

Q Yes, right.

I was just trying to identify the distinction between the nature of the Commission's view, rather than suggest one better than another.

A Yes. I can only speak for myself. You know, Joe Hendrie might be more much capable than I of going down into the guts of the details and making a change.

BY MR. BERNERO:

Q I would like to go back to the Comussion in a crisis question.

First of all, I would like to ask a clarifying question. Do you believe that with the present statute the Commission could agree that perhaps the Chairman or one of your number would be the sole representative and spokesman, and decision-maker for the Commission during a

1 crisis, and by that, I mean agree in advance, not as you 2 propose in the first one hour of the accident? 3 I think the things you said -- Let's see, spokesman, 4 yes. What were the others you added? 5 Spokesman. Decision-maker, primarily the Q 6 dec sion-maker? 7 Well, the General Counsel says no to that, if 8 by "decision-maker" you mean including giving orders to shutdown ---10 Q To shutdown, evacuate or whatever. Any public 11 health related decision. 12 Well, the General Counsel's view is that we 13 cannot make a delegation of that sort to a member of the 14 Commission. We can to the staff. 15 You can to the staff? 16 A We can to e staff. 17 0 But you cannot to the --18 Okay, now, in that context do you think that 19 it would be possible for the Commission to delegate that 20 to a selected staff member? I don't mean someone 21 selected by ex officio, meaning someone who happens to 22 sit in an office, but if one postulated that the senior 23 staff were called and in advance one had an emergency 24 management team where due account was taken of personality 25 differences and decisiveness or technical ability. Do you

think it would be desirable and/or practical for the Commission to delegate that responsibility to a staff member, in that context?

A I think at the moment, it is essential. It is hard to see how we can have an effective EMT without exactly such a delegation.

The more difficult question is how that delegated individual should then relate to the Commission or to an individual Commissioner who might be in the Response Center also during the crisis. But as far as having a legally adequate chain through which the Commissioner's authority can flow to an individual you would have to do that.

Q So in other words, you seem to be saying that it has to be done and it can be done, and therefore, it should be done, that an individual in the staff, not a Commissioner would be the one to speak to the Governor of Pennsylvania or whatever state is affected?

A No, on the contrary.

As far ar exercising the Commission's authority in terms of directing the staff or giving explicit orders to the licensee it would have to be done. I would think that if the EMT arrived at a consensus that an evacuation was in order, the sensible way to convey that to the Governor would be for the Chairman to do it. It would just eliminate all of "a questions that went on in

the Three Mile Island sequence, in which somebody called Collins back and said, on whose authority are you ---

Q Okay. So you are qualifying then, the EMT individual, the staff individual in this context would not have vested all of the decision-making and spokesman authority.

A I'm assuming the EMT has made the decision that an evacuation is in order.

O Yes.

A I'm -- as you may have gathered from earlier depositions, not of the view that the Commission ought to countermand such a decision by the staff. I don't think, if we clearly understood it we would even have countermanded it that Friday morning, but even if I'm wrong in that, my own feeling is that once the top staff, given their knowledge and their general perspective, and they just aren't an alarmest crew on reactor safety issues, arrive at a decision that people should move. People ought to move.

Q Is the opposite true? If the top staff has not decided -- the method of hindsight, if we go back to that Wednesday, March 28th, if the top staff has not decided to evacuate, wouldn't you consider it possible for the Commission to call for such action?

A Let's see. Not in the situation -- It is hard for me to imagine a situation in which that would happen.

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It is not inconceivable, but it is hard for me to imagine

it.

BY MR. ROGOVIN:

Q Could I give you this situation where you and your comparares have concluded that there is insufficient information, and that the question of indicision and lack of information weighs so heavily that even though the information they have does not cause anyone to say EPA guidelines are in play or anything of that natrice. There might be an overriding policy that a group of Commissioners might implement.

A What's missing at the moment, and that situation could arise in the current framework, the Manual Chapter and whatever giuidance was given, what is missing, I think, are some clear criteria from the Commission to the EMT as to the framework in which we want measures to protect the public, perhaps including evacuation to be addressed.

For myself, I would want those measures to state very clearly that uncertanties as to a significant danger of immediate releases ought to be resolved in favor of moving people, and that just ought to be stated in those criteria, so that we wouldn't get into a situation in which the Commission was -- excuse me, the EMT was very uncertain about what was going on inside the reactor, but was saying in effect, while we figure this out people can stay where

they are around the plant. That just doesn't seem to me
to be a situation that we should allow to exist.

Now, in fact, it is exactly the situation that existed on Wednesday and Thursday, and the only thing I can say about that -- or at least on Wednesday -- the only thing I can say about that is that we didn't know it. And I don't think the EMT knew it very clearly either.

Q Commissioner, just so that the record is clear, is it your position that the delegation from the Commission to the EMT would, in a fast moving situation, give the EMT or indeed, the senior officer at the site the authority to make an evacuation recommendation without ---

A Yes, that's probably "ight. I don't know that I have thought it through in sufficient detail, that though is probably right and I think as a corollary to that, we should notify, through our State Program office or in some other way, the governors of all of the states that have reactors in the event of an accident at the plant, here are the people whom you might be hearing from with regard to evacuation or other public health and safety measures. Not necessarily by name, but at least so that you wouldn't get into this question of whether a particular individual has the authority.

BY MR. BERNERO:

Q Who is Doc Collins?

A Yes.

BY MR. ROGOVIN:

Q One of the situations or the situation that arose in TMI, and I would like to get your comment on it and the longrange impact of it, that Doc Collins, indeed, did at the request and the order of Harold Denton, called the State of Pennsylvania and told them that an evacuation was being recommended. Subsequently that morning, when the evacuation recommendation did not sit well with the State of Pennsylvania, the State asked whether this was an official NRC position, and to the best of my understanding ---

A Let's see, this was the phone call back to

Collins from Maggie O'Riley or somebody in the Governor's

Office -- you are referring now to Thornburgh's conversation
with Joe Hendrie?

Q Well, it is the latter what I'm really referring to. And the best of my understanding is that the Governor was advised that it was not the official NRC position.

Now, what is your view as to how such a sequence of events, without regard to how we got to that position, but how will that impact on people with vested responsibility of making those phone calls in the future?

- A You are talking now about the NRC staff?
- Q The Doc Collinses of the future?
- A Well, I think that's why it is important to get

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the new criteria in place.

I hadn't thought of it before, but obviously it would have a chilling affect, that there would obviously be a feeling that one more phone call ought to be made first, namely, to the Commission to make sure that it was all right.

Q And it is an inhibiting act as well, because, at least in this instance, Collins now reads in depositions, that he was not giving what he thought to be the NRC position on evacuation.

A All I can say about that is that while it may have been a mistake to have told someone at Collins' level to make the call in the first place, as distinguished from having Denton or Lee Gossick do it, or calling down here and suggesting that the Chairman or one of the Commissioners make the call, with that aside, it seems to me, and I said it that morning, that when the top staff from the EMT arrives at an evacuation consensus, that really shouldn't be a subject for debate among the Commissioners.

That particular situation may have allowed for a little more -- that sort of luxury, than a situation in which very large releases were immediately in prospect. That is, what was triggering the concern there was the prospect that people would receive exposures on the order of -- what was it, 1,000 or 1,200 milirem, and a lot of

debate about whether that was a measured number or a calculated number, whether it was offsite or above the stack. So that there appeared to be little time to seesaw back and forth over it. But I think the fundamental principle should be that if the staff reaches an evacuation consensus, then that recommendation ought to be transmitted.

BY MR. BALLAINE:

Q Your last answer, and also what you said in the deposition, still suggests a problem. I think you will certainly concede that there may be instances in which ultimately that final phone call is going to have to be made or confirmed by somebody, a Commissioner, perhaps the Chairman.

A (Nods in the affirmative.)

Q If the Commissioners are not part of the actual staff decision-making process, do you genuinely think that you can have a situation where the Chairman is prepared to act as the ceremonial queen who gives no independent review to it and doesn't get caught up in the very same bind that you may well have gotten caught up in on that Friday morning, where you want to gather information so you can be informative, and in the process of doing that no information comes in, and before you know it, low and behold, no decision or the original decision is countermanded, in effect. Can you really avoid that?

A You probably can't avoid it all together, but

it can certainly be diminished.

For one thing, leaving aside your choice of metaphors, I think it is possible to have an understanding among the Commissioners that the conservative thing to do, when the staff decides that an evacuation is ordered, is to recommend evacuation without much further discussion of the subject.

For another --

BY MR. ROGOVIN:

Q However, Commissioner, put into the equation that that morning there was a recommendation for protective action to stay indoors, a recommendation to evacuate five miles, and a recommendation that pregnant women and preschool children be evacuated, and that be an advisory. So you had various levels ---

A Yes, but those all flowed from the fact that the Commission didn't confirm the first advisory. Had that been done then ---

- Q The most sweeping?
- A Yes.
- Q No question, the most sweeping was the first by the staff?
- A And you know, in this case, what the Commission did turned out to be the right thing to do, more or less.

I mean, one could debate whether the other advisories were necessary or sensible, but a broader evacuation would, in retrospect have been unnecessary.

What concerns me is that in the cases we were talking about earlier, during the Commission's normal operation, 10 to the minus 6 is the sort of acceptable standard for the possibility of something joing terribly wrong.

I don't think you can even get it down to 10 to the minus 1 if you postulate that when the Commission's top staff arrives at an evacuation recommendation, the Commission will sit around and debate it and eventually countermand it. I think if we did that in 10 situations there would be just a serious public exposure in more than one of them. This happens to be one of the ones where there wasn't.

BY MR. BERNERO:

- Q Would you care to distinguish whether that 10 to the minus 1 or approach to it, would be descriptive of the reality of the situation or the remote perception of the situation?
 - A I don't understand the question.
- Q Well, you seem to be saying that when there is uncertainty that the risk of serious exposure offsite is very high, and one should say take a conservative approach,

and if the uncertainty and the perception of risk are in the mind of the regulator, in this case we are talking about regulator, you start out on the very moment that you are informed of the accident with the greatest uncertainty, either to say something went wrong, I don't know what yet, I will tell you. And a logic would seem to drive you, if you take that conservative position that whenever there is an accident you would evacuate, and really, there is a balancing. There is a balancing of gaining facts in order to improve your perception of what the reality really is. And it is only with hindsight that you can go back and look at that reality.

I'm not sure I understand what your philosophy is on that.

A I can't lay out for you the perfect set of criteria for advising an evacuation. I can tell you that my threshold for having the Commission overrule the staff consensus on that is a much higher one than we arrived at that morning.

But it doesn't fall as low as everytime that the emergency core cooling system goes on people have to leave their homes. I mean, that's obviously frivolous.

On the other hand, when you start talking about thermocouple readings in the thousands of degrees and no one being quite sure what is going on in there and what

it is going to take to get water back over the core, that is not so frivolous.

BY MR. ROGOVIN:

Q Commissioner, let me ask you a question that bottoms on the proposition that perhaps the 5 Commissioners are not the appropriate body to make a recommendation to a governor for an evacuation.

What would you consider to be the backgrounds and disciplines that should be involved in making such a recommendation?

A I think -- subject to change -- but I think that that recommendation should actually flow through the Chairman, that he should be the one who calls the governor, unless time simply doesn't permit it, in which case it should go to the EMT, and I think we have more or less the right people involved in the EMT, at the moment, though there may be something to be said for having the head of the office, that is, NRR if it is a reactor, and NMSS if it is a fuel cycle facility, actually be the person running the EMT. I'm not sure -- well, let me withdraw that and just think about it some more. You may want to send the head of NRR to the site, as we did in Pennsylvania, in which case it is probably good to have the EDO running the EMT, but many of the functions shift to the site with the Director of NRR.

Q The theory of the question, the logic behind the question is that all the NRC can add to the situation is the stability of the reactor, the anticipated releases and attendant problems to that piece of equipment. The governor, on the other hand, has more matters to consider. The fact that it is a snowy January night and it is 4:00 a.m., when he receives the call, the fact that the highways around the particular site are impassable, that there are hospitals—there are a host of emergency problems.

Now, when the NRC makes its recommendation, is there a Footnote saying, of course, we have not considered the specifics of your locale, and our recommendation may or may not fit in with the bigger picture, or do you take on the responsibility of knowing what his other problems are regarding the geography of the plant?

A Well, in political science terms, I think it is clearly the former, that the governor is the one who actually has to order the evacuation, but in more political and less science terms, in fact, it is going to be very hard for a governor, confronted by an NRC recommendation to evacuate, to tell people that in his best judgment they ought to stay where they are.

There is another factor that pulls the other way in that situation as well. Presumably the evacuation recommendation will come somewhere in what is perceived to

be a deteriorating situation, that is, it is expected that
matters may get worse. And if that is right, then, almost
no matter how bad the weather is and what time of day or
night it is you would think that the governor would at
least want to start the process, because if he has to move
people in a hurry on snowy roads later on, he is going to
be worse off than if he can move them in a somewhat organized

fashion starting somewhat earlier.

Q Well, the question then really puts into the equation the issue of whether the NRC or its Commissioners have the other disciplines or have information that relate to the other matters that the governor will have to be considering.

A Yes, and the chances for many of those matters, such as the local meteorology, of what the wind direction is and the read conditions, the capabilities of the state police. In all probability, we wouldn't. Certainly we would be unlikely to have the disciplines, and probably our information would not be as good as his would.

Q Does this lead you to consider the possibility of entities such as FEMA or some other governmental agency that might have a better grasp of emergency evacuation problems, playing a role in the recommendation, ultimately to the governor?

A I would consider FEMA involvement at an earlier

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1 time. I wouldn't rule out that it might be FEMA instead of 2 the NRC that should be certifying to emergency response 3 plan, acceptability in the first place. 4 And a FEMA representative at the EMT is a 5 conceivable situation, is it not? 6 Yes, it is. I hadn't thought about it 7 before. 8 My reluctance to accept the proposition out of 9 hand had to do with the need to make other phone calls to 10 another agency to get somebody else involved ---11 Yes, I ---12 -- But if you -- the notion of adding a FEMA 13 representative to the EMT, I think, is probably a good one. 14 BY MR. BALLAINE: 15 I may be repeating a question that was askad, 16 but I want to try it because I'm not clear on the answer. 17 We understand that you think there ought to be high threshold before the Commissioners would overrule a 18 staff recommendation to evacuate. What about a situation 19 20 where there is a specific question posed to the EMT, should we evacuate, and the answer comes back, "no". Do you 21 22 have the same threshold or is part of your view point that something that I inferred from your deposition before 23

the Kemeny Commission, that if the staff says we ought to

recommend evacuation, why, my goodness, there must be pretty

good cause for it. That doesn't necessarily mean if they don't think that the evacuation is warranted that you have the same compelling reason to accept their recommendation of no evacuation.

A As I said in response to Mitch's question earlier, I think that there is a flaw in the current practice, and perhaps also the Manual Chapter regarding the EMT, in the sense that it doesn't lay forth the proposition that someone has to be responsible from the outset for constantly raising and considering measures such as evacuation or other, the public health protection measures, and certainly there are no criteria set forth by the NRC for these to act under.

So that it is at least conceivable under the current practice that you get in situations in which the Commission might, for some reason, feel that those considerations have been given too little attention at the EMT, and would merit an advisory of some sort to the governor, either with regard to evacuation or staying inside. But my own inclination, faced with the situation where I had those kinds of doubts, would be to instead, press the EMT to consider the doubts that I had further, and either give me a basis to stop worrying about them, or else conceivably come around to the view they should act on it then themselves.

I would feel that these guys just aren't focusing on some technical issue that I have seen. It is a little more like I might say, well, it seems to me they are allocating the uncertainty the wrong way, they are acknowledging that there is great uncertainty, but that they don't feel it is necessary for people to move yet. It is conceivable that

after a process of dialogue.

Q You still think it would be appropriate to go back to the EMT in the first instance and try to at least make sure that they have focused as much as you have on the possible grounds for evacuation?

I might say that I'd feel differently about that, but only

A I'm assuming that any accident that stretches out over time at all is likely to result in the Commission being in Beth da, at least, and in constant touch with the EMT, a.. raising the questions that it has and that it is getting from the outside world. And that, I should think, might, from time to time, lead to the situation in which the Commission would question the key posture on public health protection measures.

But I think the criteria for overruling the EMT on evacuation and advising one when the EMT feels it is not necessary, once we get the right criteria for evacuation consideration in place, is if anything, only

1 very slightly lower than going the other way. 2 BY MR. BERNERO: 3 At the outset of this discussion of the 4 emergency response, somewhere in the early part there you said that it was vital or necessary or urgent, I forget the 5 6 exact word, for the Commission to designate an EMT individual, a staff member, a person solely responsible. 7 8 About 10 days ago a memorandum came from Mr. Rogovin to the Commission that touched on this subject. 9 10 Has the Commission done so? Let's see, the Manual Chapter says that the 11 Executive Director is in charge. Commissioner Gilinsky 12 sent out a memorandum in which he addressed the Rogo in 13 concerns, and as I understand it, that memorandum is still 14 also in effect. 15 In your opinion, right now, there is a clear 16 line of authority? 17 No, I didn't say that, but go ahead. A 18 Well, are you satisfied at the moment? Q 19 We met last week, I think, and the Chairman's 20 notion was that it he would go to the Response Center and 21 take command. 22 I'm not satisfied with that, if the General 23 Counsel doesn't feel that it is legal, I'm afraid that 24

we could spend an awful lot of months trying to clean up the

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mess that could be made by actions taken in response to an argument of an illegal order or advisory from the NRC, and we ought to put that question to the Congress as soon as we can.

In the meantime, I think that the EDO acting under the procedures in the Gilinsky memorandum ought to be the person directly in charge of the Response Center, though I don't have a quarrel in the world with the Chairman's feeling that he would rather be in physical proximity with the Center, in the Center or in an office right next to it. And, in fact, I think I would probably be inclined to go out there myself, and I think the other Commissioners would too, although I don't think we would add very much if all 5 of us physically stood around in the Response Center jogging people's elbows.

The -- But I don't think the Commission has agreed on that. That meeting came to no conclusive end.

Q Doesn't this whole pattern, as you look at it from the experience of Three Mile Island, and the deliberations that have been going on now, this controversy about who is in charge during an emergency, doesn't that whole framework say that for crisis management there shouldn't even be a consideration of a -- you know, that perhaps through legislation that the Commissioners would be ordered to go home and pray. Doesn't it seem that?

A Well, I think going home and praying overstates it. I think it is fair to say that for crisis management there ought to be a single-headed apparatus and that the most effective role the other Commissioners can play is, on the one hand, of a consultative one, if anyone wants to confirm a judgment reached by the actual crisis management team, and on the other, just necessarily it would be hard to get more than two or three minutes of praying time because the telephone would start ringing. There are a lot of people out there who want to know what is going on during an accident and they are going to want to hear it from the Commissioners, and it is a little like Seabrook. You just can't say, I'm sorry, I have turned this one over to -- well, in Seabrook it was to Rosenthal, he or Mr. Gossick, and I don't know anything about it.

And in fact, you don't want to do that,
because some of the people on the emergency management
team are going to have to answer all of those phone calls.
So the Commission serves, I think, as a fairly useful
function in terms of its ability to deal with public
and congressional and Executive Branch concerns during a
crisis.

Now, something -- if you define your crisis differently from Three Mile Island and say that it breaks very fast and that decisions have to be made in the first

hour or two or three, then I think, basically what you have said is correct, there has got to be a single head and the Commissioners just aren't even going to have time to get into a role in which they can be doing anything useful.

not in one building is of great importance too, I mean, if the important time is just a couple of hours, then the fact that the Commission can't even get to the Response Center in less than half an hour to 45 minutes if the traffic is bad, dictates that they not be the ones who would be expected to take immediate charge.

Q I'm glad you brought that "one building" up.

It is a guestion that frequently occurs, especially to

those in the Inquiry who come from the outside.

It is practical practice ---

A It must have occurred to those who have to come down to Bethesda day in and day out, and the staff as well.

Q Yes. Well, the staff, of course, has long toughened its skin to the problem, I think.

But typically, when a new agency is formed, one of the first orders of business matters is, get a building. This agency is now five years old, roughly, and isn't this another symptom, that we don't have a building because of the colleagial nature ---

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- 1 Collegial nature of the Commission? No. A You don't think so? 0
 - Listen, if you can find a building, I'll move there tomrrow, and I don't care where it is.
 - Well, everyone says that, and nothing happens.

I think that the Commission made a decision -this was before my time -- that it wanted the building to be downtown, and that that has slowed down the process of getting into a building. But I don't think that it is a disagreement among the Commissioners that has slowed it down.

The original decision had been that the Commission would move to Bethesda. They might not be in a single building, but the Commissioners would physically be sitting in Bethesda today.

So you think it is not a lack of a strong single manager at the top so much as a general unpalliatability of the decision.

Well, I'm not sure why more progress toward a building downtown hasn't been made. I would guess it lies in part in the congressional pace set in part, and in fact, there is a good deal of dissatisfaction in Bethesda with that decision. But it doesn't seem to me, in any case, that it reflects collegiality so much as the fact that the decision to consolidate downtown ---

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Q Well, I'm not really suggesting a mixed collegial decision as the problem, but a lack of singular vigor in executive management.

I recall when ERDA was formed from the Atomic Energy Commission, the staff of ERDA, certainly the nuclear derived staff which dominated that organization wanted to stay in Germantown. The first Administrator of ERDA selected and got very quickly, a downtown site, because I suggest that it was a singular person pounding the table and saying we are going to do it.

A Well, I just don't see anything about the decision to move the NRC downtown that would be terribly different from that decision. But why Bill Anders or Marc Rowden or Joe Hendrie didn't behave -- or whoever it was, Bob Seamens -- you know, I don't know whether it had to do with real estate problems, whether it was different personalities, I just don't know. But I don't think it is collegiality, because there has not been a single meeting, since I have been here at which people said, Jesus, Joe, I just don't think you ought to be working so hard to get that building downtown. No one has made that any harder for the NRC Chairman that I know of, than it would have been for Seamens.

Now, I wasn't here, as you know, from '75 to mid-'77, so there are other people who can give you better

testimony on what the actual problems of getting the

Commission downtown are. All I can say is that it hasn't,

since I have been here, been a matter of collegiality.

It might have been much easier if the Commission decision -
well, it would have been much easier if the Commission

decision had been to move to Bethesda, because it is a lot

easier to move two floors out there than it is to move

four buildings down here, in terms of the number of people.

You wouldn't get a full consolidation that way, but you

would have everybody within a 10 or 15 minute commute, and

specifically, you would have the Commission within a few

minutes of the Response Center.

Q I think you keep going back to collegiality, and on this question, as a mixed decision, rather than a lack of vigor in pressing the decision. I don't doubt that there is consensus in the Commission that all 5 Commissioners believe we should consolidate. It is just that, for instance, there have been many congressional hearings since Three Mile Island happened. I just postulate that if there were a single administrator in charge of the agency, among other things, in an aside or even pounding the table at a congressional hearing, there would have been a statement. Here's a dramatic example of where, being in a separate building hurts.

It would have been a point made with some vigor,

and with a collegial body at the top, you just don't get that kind of an answer.

A No, Bob, I just don't agree with that.

I think if it occurred to me to say that at a hearing, I would have. I think that if it had occurred to Joe he would have been delighted to say that, because he believes very strongly in getting into a single building.

I think what you are talking about there is the difference between some one who might have perceived it and stressed it and those of us who don't. I did say it to the Kemeny deposition, but I have not said it in congressional hearings.

BY MR. ROGOVIN:

- Q Has it been said to the Administration?
- A Excuse me?
- Q Has it been said to the Carter Administration?
- A Not by me, but I don't know.

Well, I shouldn't even say that. Just in conversations with people at DOE and OMB casually, yes.

Not in the table-pounding sense that Bob was suggesting, but yes, the point certainly has been made. It has had to have been made in discussions with OMB.

BY MR. BERNERO:

Q I would suggest that you just use the word that triggers what I'm going after, that you would have been

happy to say it or Joe Hendrie would have been happy to say it if you perceived it, and what I'm suggesting is that where a single administrator, as a line manager, knows that 100 percent of the responsibility is his or hers. It is a quite different situation than where you have a collegial body sharing the responsibility. The perception of need and the perception of responsibility is quite different.

A Well, certainly it is true that all five Commissioners can't on a day-in, day-out basis perceive themselves with being 100 percent in charge of the agency. Obviously, if I gave an instruction to Harold Denton that Kennedy disagreed with, he would sent a counterinstruction. You have to, as an individual Commissioner, be conscious that you have a 20 percent say and not a 100 percent or a 51 percent say in what goes on in the agency.

But as far as the particular point we are discussing now, I really don't think that Joe's ability as Chairman of a five-member agency to talk about the need to be in one building is any less than his ability if he were the single administrator. You would have to ask him why he didn't explicitly make the point at c .gressional hearings, and I won't swear that he hasn't, but I assume you all have read the transcripts.

The congressional inquiries have not really gone

been aspects of Senator Hart's last hearing to which the answer was: well, and of course this would have gone better if we had all been in one building. But there really was — the questions have tended to focus much more, I think, on Commissioner value judgments about questions like evacuation and rather less on a question to which one building was the answer. But I would have to go back and read the transcripts to be confident of that.

The bottom line is that I don't think that it isin my opinion it is not because we are a collegial
agency, that none of us thought to say we would be better
off in one building at those hearings.

Q I have one last question on Commission structure that I would like to raise with you.

In your testimony earlier today, you went back through the history of the agency and seemed to be comparing the Atomic Energy Commission era with the Nuclear Regulatory Commission era as, perhaps comparable in the relationship between the Commission and the staff.

Are you familiar -- Well, first of all, were you suggesting that?

A No. In fact, it seems to me that there are some clear distinctions. The AEC had much broader responsibilities, as I understand it, they operated much more

| on a central | lead-commissioner basis in which one |
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| commissioner | would have been basically responsible for |
| dealing with | the regulatory and licensing apparatus, and |
| the other con | mmissioners wouldn't normally have gotten |
| much involved | d in it at all. |

Q Well, would you go on then, and suggest that perhaps having a lead regulatory commission and a director of regulation as existed then, in the Atomic Energy Commission, was in fact, similar to having a single administrator?

A That is, in a way, the point that I was trying to make to Mitch before. Yes, in some ways it is, and what it has produced is all this various phenomena that you are investigating. And what that suggests, to me, is that a single administrator is not necessarily the answer, unless -- Whatever the structure is, it has to be a structure that radiates down into the staff the encouragement of the continual raising of safety questions as being the highest agency priority.

BY MR. ROGOVIN:

Q But Commissioner, I think you pushed the analogy a touch too far.

If we restrict ourselves to: was the agency better managed with the lead commissioner, director of regulations approach, compared to now and not look to whether

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accidents are a determiner of management, would you view, from what you have learned and heard, that perhaps it was a better managed operation under those constraints?

Well, I don't have the familiarity with what you might consider the indicia of good management. How long positions stood vacant, how easily information flowed? I just don't have a feel for that would have worked under the AEC. I would guess that the problems were different, and that -- Well, what you really come back to is the proposition I suggested before, that there are going to be efficiencies in having a single administrator. There are also going to be some drawbacks and that the events that seem to spring to light as being flaws in nuclear regulation as the result of Three Mile Island, don't for me, cut very strongly in the direction of the single administrator half as much as they do, a need for, as I say, this attitude that would encourage the questions to which emergency planning, operator training, reactor instrumentation, were the answers. Those questions weren't getting asked.

I don't think that the reasons why not have much to do with whether we're one or five people at the time.

Q Commissioner, to change the subject slightly, you are perceived by some ---

A Let me just add. I wouldn't say "weren't getting

asked," I'm sure that people could go back and find that the questions were being asked, they weren't getting effectively asked, they weren't getting asked, pursued, and answered.

Q Well, that's what I referred to earlier as not a failure of the safety, but a failure in management, that such a phenomenon demonstrates.

A Yes, but that is an attitudinal -- The kinds of management that lead to that result stem, I think, from the attitudes that share the agency's priorities, and that is, I think, a safety nature of leadership question that really is apart from whether, in effect, the various trains run on time in the various sections of the staff.

O I think that we have fallen into an enormous trap in considering that a single administrator or Commission form of government will create a new day and the blue bird of happiness will be ours by that one stroke. I think you would agree that either form with good leadership could carry the day and do a very credible job and one we would be proud of.

So that we are talking about incremental things that ---

A That's right. If you stipulate that both are going to be the excellent leadership at the top, then the problems become of a different nature than what we are

talking about here, whether nuclear regulation is suitable to the much broader swings that you can get from going to one administrator to the next with a change in the administration, and whether you can achieve the kind of independence that the Congress had in mind in setting the NRC up in the first place, with a single administrator structure.

Q Commissioner, you are perceived by some members of the nuclear industry as the public interest representative. The Commissioner who is principally interested in insuring that intervenors, the public at large get an opportunity to be heard and play a role in the decision-making in NRC judgments.

Do you, yourself, adopt such a mantle?

A Well, I'm always leery of the phrase "public interest" just because I have never been very confident that I know what it means in the abstract.

But as far as trying to make sure that diverse concerns get effectively heard in the agency, I care a lot about that and I think it comes back to the question Bob asked earlier about technical background versus other useful backgrounds.

I have come to feel, in whatever it is, 8 years now on regulatory commissions, that the regulatory processes do tend to obviously be tilted by the groups with

which they are in most frequent and effective contact, and one of the real weaknesses of all types of regulation is that it doesn't normally seek out and try effectively to hear the concerns of people who are skeptical about that regulatory agency's doings and its basic mission.

Q Do you feel that there have been substantial advances in insuring that the public is a participant in NRC proceedings?

A Certainly not as substantial as I would like, that is, we are not funding intervenors in any meaningful way. It is a continuing struggle to try and assure that what I think are proper -- well proper is the wrong word -- useful procedures and cross examination and discovery, to pick two examples, are available in our most important hearings proceedings.

Q Do you see any possible advantage in a reexamination of the licensing procedures in that the two hearing or the two licensing step transaction, it has been argued, never settles anything, that there is a reopening, a rehearing at the conclusion of the process.

A Let's see, I think there were two different questions wrapped in there, one having to do with the two-step hearing and the other having to do with the standards for reopening or reraising issues.

I suppose as -- my legal background would incline

me to be very surprised when I came in here and realized how much the review is deferred until the operating license stage in nuclear regulation. It first emerged in the fact that we have these ever-increasing budget requests with no new applications coming in, and that was a paradox that I only came to understand when I realized what a large part of the review was devoted to operating licenses, compared to construction permits, and that therefore, the lion's share of the OLs was what was driving the budget.

Now, from a purely legal point of view that doesn't make much sense. What one would obviously wants to do would be to get the issues settled for the construction permit stage, because once the plant is built, it is going to be a lot harder to make changes effectively. What I'm told -- I know Joe Hendrie testified to the Udall committee that it would literally put an end to the nuclear industry if they were required to present the completed design at the construction permit stage, at least until we had gotten into a much more complete standardization program than we have now.

So I think what is driving the two-step process is less what makes sort or good orderly, tidy,legal sense than at least the perceived needs of those who are building the plants to be able to make significant changes

later on during the construction process.

It has been argued that if the Commission -
if the staff were to look to criteria at the construction

stage or at the first stage, there being but one stage,

that the only continuing monitoring by the staff would be

to insure that the criteria that were initially put forward

by the licensee/applicant were, in fact met, and that the

hearing would be on the criteria and the subsequent

developments would merely -- not merely -- but would be

a monitoring to insure that there was adherence.

Is this something that you have considered or might think desirable?

- A So there would be no operating licensing hearing --
- Q That's right.
- A -- at the end.

It seems to me that you could only do that in conjunction with an absolutely fundamental restructuring of the construction permit review.

At the moment -- Again, I guess that's what you are assuming?

Q Yes. Let me be less obtuse.

As we hear the pulling and hawing from various interest groups, the criticism from industry is that the multiple hearing gives the public interest groups multiple shots at blocking a particular plant or the location of a

plant.

A Which has never actually happened, though, there has only been OL hearings.

Q No, but what they are saying is the delay is built into the process and do you go forward if you are told that the process is going to take 14 years, that a reorganization from that -- What I'm postulating is the consideration of a reorganization from both the public interest point of view and from the industry point of view, that the current system does not serve either satisfactorily, unless you are prepared to say that there is an interest in those who are opposed to the process, to nuclear power, that they have a legitimate interest in an obscure, ineffective, time-consuming process which eventually works to their advantage.

I would assume that you don't hold any belief to support such a legitimate ---

A No. With those adjectives you can help me out.

It seems to me that if industry is prepared to get an application at the time of the construction permit review such that what is being reviewed is really the plant that will be built at the end, then there is the potential for at least shifting much of the review emphasis back to that stage.

The criterion both for reopening -- excuse me.

The criterion both for requiring an OL hearing and for requiring the changes during construction require an amendment to the construction permit, would then become very important. At the moment, I may be wrong, but I don't think we have ever -- I'm not sure quite the way to say that. But we do not have clear criteria for what represents an amendment to a CP, especially an amendment on which a hearing would have to be granted. And if you didn't have an OL hearing at the end of that process, that would become a really crucial focus.

The other consideration that can be added to improve the licensing process is certainly early siting, and the review and approval of sites in advance of the location of a particular plant.

Q And if there were to be a reexamination of this process, part of the reexamination could also invision intervenor funding, the use of the staff ---

COMMISSIONER BRADFORD: Just one second. Do you mind my consulting with Tom?

MR. ROGOVIN: No, go ahead.

(Commissioner Bradford confers with his legal assistant.)

BY MR. ROGOVIN:

Q Let me make sure my earlier comments were understood, I would also invision consideration given to

intervenor funding, intervenor possible use of staff, of the tasking of the staff, perhaps the setting up of a public counsel's office within the NRC to coordinate intervenor participation, and many of the advantages that one could find in other agencies, but brought to bear at an early stage and then a decision made by the Commission, one way or the other, and then the implementation of that decision through the monitoring and examination of the construction to insure that the plants is being safely built.

A Let me just add one other factor to the importance of them getting the standards right for CP amendments and for a subsequent OL hearing, if there were new information.

That is, that it would then also be very important to have a rigorcus and clearly understood system of inspection and enforcement during construction, such that, practices that in some way deviated from the construction permit, from the commitments made, could clearly be haulted and clearly be corrected, and clearly be used as a basis for civil penalties. The process we have now, in which a lot of commitments turn out to be very difficult or impossible to enforce, wouldn't be a satisfactory basis for a process in which there was no clear OL review at the end.

BY MR. BERNERO:

Commission.

| | Q | Commi | ss | ioner | Bradfo | ord, | I'd | 11} | ke to | shift o | gear | S |
|------|------|--------|----|-------|--------|------|-----|-----|-------|----------|------|----|
| here | and | pursue | a | point | that | came | up | in | the | transcri | pt | of |
| your | inte | erview | or | depos | ition | befo | re | the | Pres | sident's | | |

I'm on Page 53 of the transcript of that deposition which took place on the 10th of September.

I want to read you a passage as a preamble to a question.

In the context of discussing the connectors, the electrical connectors issue, you said the following: "I think I mentioned, at least in passing, that one of the concerns in all of this was, as to the special concern from a lawyer's point of view, the lack of enforceability of the regulatory framework that seems to lie behind the connector problem."

You went on with other words, but it is this issue of "... lack of enforceability..." that I wanted to ask you about.

Are you saying that in your belief the statutory authority of the agency has gaps in it, or that the practice of the agency has gaps in it?

A More the latter, the combination of regulations, commitments and enforcement actions has gaps in it, that the -- I don't think there is anything in the Atomic Energy Act which would make it difficult to impose civil penalties on, to stay in that framework, people who said

that they would use qualified connectors and did not.

The problems lie more in the vagueness of what the term "qualified connectors" may turn out to mean, the requirements for documenting and of the ability to enforce the commitment that was actually required of the licensee. It is not a statutory problem.

Q Okay, so in other words, you are saying then that given the statutory authority that the agency has, it can indeed go into a licensing issue, such as that, and set up for itself, adequate regulations to define the needed performance criteria, whatever they might be.

Would you say that the same is true ---

A One qualification there. The Commission is seeking to raise the level of its civil penalty authority, and I think that is probably necessary.

Q Yes. I didn't mean to go into that, that is just to enhance the effectiveness of enforcement action.

A Right.

Q If you face the same issue of agency authority in crisis reaction or crisis management with respect to action regarding the licensee's operation of the plant, do you feel that the agency has all of the safety authority it needs in the statute, to take an effective role in ordering the licensee to do something or to not do something?

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| 3 | A Well, I'm told by OGC and also by NRR, during |
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| 4 | Three Mile Island that they did not doubt that they had |
| 5 | the authority to take any action, and I have no basis to |
| 6 | second-guess that. It may be that since then they have |
| 7 | had some second thoughts, but as far as I know, we have |
| 8 | whatever authority we would need to require licensee |
| 9 | action. |
| 10 | Q Would you agree that there is a need for the |
| 11 | agency to clarify how it would exercise that authority |
| 12 | and when it would do so? |
| 13 | A Yes. That is with the understanding that it |
| 14 | is never going to be possible to foresee all situations. |
| 15 | The important thing is to make the criteria as clear as |
| 16 | we can. |
| 17 | Q Okay. |
| 18 | MR. BERNERO: With that clarification, I would |
| 19 | suggest that we break for lunch. |
| 20 | COMMISSIONER BRADFORD: No objections. |
| 21 | (Whereupon, the taking of this deposition was |
| 22 | adjourned for luncheon at 12:15 noon.) |
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During a crisis?

During an emergency.

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AFTERNOON SESSION

1:20 p.m.

BY MR. BALLAINE:

Q Let me direct your attention now, sir, to March 28, 1979.

You have indicated on prior occasions --

- A Oh, yes, that. I thought you would never ask.
- Q -- Or you hored I would never ask. One or the other.
- -- You have indicated previously that you spent some time at the Incident Response Center. Approximately how long were you there?

A Roughly 2 hours. To the extent that my logs differ from anything I tell you, use the logs because it has been a long time.

Q During your time there, did you make any observations with respect to the way in which the Center was operated that you would want to share with us now?

A It is very hard, and I have put this same question in to the two other interviews or depositions that I have had on the accident, for me to separate what I know now from what I knew at the time, and it was easy to fall into a trap of inserting subsequent knowledge as an observation at the time. I will try notto do that.

I went to the Response Center, rot because I had any sense of the seriousness of the accident, but because I had never been there when it was in operation and wanted to get some feel for what went on there. Because I didn't

have a sense of the seriousness of the accident, and in fact, wasn't to get that sense for another 48 hours or so, I didn't assess the operations of the Response Center with a critical perspective of how they were dealing with a major reactor accident. I had the sense the plant was, while not completely under control, whatever had happened had happened and things were now coming back to normal and the accident was being wound down.

Against that background, the Center seemed to be functioning perfectly well, and in retrospect, obviously since that wasn't the case, there was a serious problem ---

Q I'm just interested in what your impressions were.

A -- at least between what was actually happening in the Center and what was actually happening in the reactor.

The greatest difficulty then -- it was obvious even then -- Vick Stello was having a very hard time getting accurate and complete information both about conditions in the reactor and about the licensee's intentions and explanations for why the licensee was doing what he was doing. And vick was working very hard on the telephone, which is a pretty frustrating instrument if you haven't got the person on the other end that you need, and it was clear that there was a problem there, that he wasn't getting the information that he needed.

But as to the functioning then, within the

Response Center between the three rooms, I didn't observe anything that troubled me at the time.

Q As with respect to your observation that Mr. Stello was having some difficulty finding out the licensee's intentions with respect to the reactor, was there anything in particular that occurred to you that should be done or were you present during any conversations when people were discussing what should be done to rectify that particular difficulty?

A Let's see, rectifying it wasn't discussed while

I was at the Center. I'm trying to remember just when the

decision was made to send Dick Vollmer up there. I think

that was later that same day, and the expectation was that

the more senior NRC people that got there it would improve

communications within the site and the Center.

Q So, Vollmer, among other things, could be the link to the licensee to determine the licensee's intentions with respect to how to deal with the reactor?

A I don't know that it was that specific. Vollmer's presence would just give us a more senior person there to direct the NRC efforts on the site, including the business of communicating back to Bethesda of what was really going on.

Q At any time Wednesday, were you aware as to whether or not somebody at the Incident Response Center had a line

to the senior management at Med Ed or GPU or someone connected with the utility?

A No, I was not.

I'm just trying to remember if there was anything that would definitely -- that made me conclude that they did not, and I think the answer to that is, "no", that the expressions, for example, of dissatisfaction with the technical depty that GPU could bring to bear and the need to get Babcock and Wilcox involved, I think came mostly later, perhaps Thursday, and certainly Friday. I don't remember that on Wednesday.

Q So the subject just never came up?

A As far as I was concerned, really, through Thursday, it was a condition that was improving, and consequently there just wasn't the urgency of establishing better communications that there would have been if I had felt that the situation was deteriorating, or was still very uncertain.

Q We've talked in terms of Mr. Stello's difficulties in finding out the licensee's intentions, was it your observation even more generally that there certainly was some deficiency as respects to the quality of information that was coming back from the site as to what was going on in the reactor?

A Yes.

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| | Q | As | with | re | spect | t to | tha | at | proble | m, | did | you, | at |
|------|-------|-----|-------|-----|-------|------|-----|-----|---------|----|------|------|---------|
| any | time | Wed | nesda | у, | have | any | COI | nve | ersatio | ns | with | Mr. | Ahearne |
| abou | it th | at, | who a | lsc | was | at | the | Ir | cident | Re | spon | se C | enter? |

A John and I talked while I was at the Response

Center and we were often two parties in conversations that

included two or three others. So the subject was certainly

touched on, but we never stood aside and said, "What can

we do about remedying the information problem?"

Q As best you can recall, was it your impression that sending Volimer to the site, basically would alleviate the problem of the quality of information coming from the site?

A Yes.

Q Now, when you talked about your expectations as to ---

A There were other dimensions of the problem, that is technical difficulties with having a telephone through Region I or just the fact that the phones often seemed to be busy on the site itself. Something was obviously going to have to be done about that, aside from simply sending Vollmer, but I guess my sense was that whatever needed to be done in that respect would also more easily get done, once the senior person was at the site.

Q With respect to your statement that something

| 1 | obviously would have to be done to improve telephone |
|---|--|
| 2 | connections, what did you think was going to be done and |
| 3 | by whom? |

A I wasn't sure either what was going to be done or by whom, again, because the situation in the reactor didn't seem as urgent as I subsequently learned that it was. I just didn't pay the degree of attention to that, both that I wish now that I had, and also that we were to come to pay to it on Friday.

Q By the way, at anytime while you were at the Incident Response Center, did you make any suggestions or give any directions to the staff people who were working there?

A No.

Q Now, with respect to ---

A Other than perhaps something having to do with keeping the Commission advised as to what was going on.

Certainly nothing having to do with actions to be taken at the site.

Q With respect to this decision to send the Vollmer team to the site, you have already indicated what your expectations were, I wonder if you can tell me what the basis for your expectations were? Were you told something specifically, something that was some assumptions you may have made or what?

| A I'll tell you the place I would look. I don't |
|--|
| remember now, but the place I would look would be the |
| 6:00 o'clock briefing that the Commission had on |
| Wednesday from the staff by telephone. Whatever was said |
| in there shaped whatever expectations I had. |

Q Did you have the impression, by the way,
Wednesday or even Thursday morning, by the time of the
briefing by the staff that Mr. Vollmer was going to -when he arrived at the site to be the senior manager, and
I don't mean the senior NRC official, but the "man" in
charge of all the NRC personnel at the site?

A Generally, yes, although I guess I hadn't given a lot of thought as to how that would work out in terms of the relationship with I&E, Inspection and Enforcement, and Region I.

(Discussion off the record.

commissioner Bradford: Let me just elaborate on that last answer to the extent of saying that I didn't have the sense that we were sending Dick Vollmer up there to take on the role of Harold Denton, later to come, that is, interacting with Governor Thornburgh and President Carter and the news media on a grand scale.

But in terms of the framework of your question, that is, NRC people at the site, yes.

BY MR. BALLAINE:

| Q | Yes, I ju | st wanted to | see whether | in your mind |
|-----------|-------------|--------------|---------------|--------------|
| that was | the senior | management | official resp | ponsible for |
| the activ | vities of t | he people at | the site. | |

A Yes.

Q On Exhibit 5091, which is your telephone log or your secretary's telephone log, there is an indication about a telephone call involving Doug Costle, C-O-S-T-L-E.

Was that a call that was related in any way to TMI or did it cover some subject unrelated?

A The later calls involving Costle, those on Friday, certainly were.

If I remember rightly, and one would have to check the telephone logs for a day or two before, this call had to do with another subject and was probably his returning the call that I had made a day or two earlier.

Q By the way, I notice "P.B." initials over in the lefthand column, does this have some significance?

A It is a good thing you asked that question, because that contradicts what I just told you. "P.B.", I think, in Ann's notation means that I made the call, and the 10:00 o'clock notation here probably means that that's when it was returned. You might just want to confirm that with Ann on your way out, but I think that's the way these notations work. So I think what that means is that I called him and then he called back at 10:00.

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| 1 | Q Okay, well If what you say is true, then |
| 2 | there were two separate calls, I gather, placed by you |
| 3 | to Jessica Mathews, according to this telephone log, on |
| 4 | the 28th. Do you have any recollection of those calls? |
| 5 | A Only in general terms, and the same answer, I |
| 6 | think, that is that they were not TMI-related. I did |
| 7 | not talk to her again, apparently later on that weekend. |
| 8 | I think that she did talk several times with Victor |
| 9 | Gilinsky. |
| 10 | (Discussion off the record.) |
| 11 | BY MR. BALLIANE: |
| 12 | Q Directing your attention to Thursday, March, |
| 13 | 29th. |
| 14 | There was a briefing that morning by staff |
| | |

staff members with respect to the situation at TMI. recall whether you had any particular concerns in your mind that you were hoping would be raised or something that could be thrashed out during the briefing?

- This is the Thursday morning meeting?
- The Thrusday morning briefing by the staff of the Commission.

Just one question that carried over in my mind from what I had heard the day before, and I did ask it at the briefing which is: I was still trouble by the dome monitor, the one that gave the very high radiation

| reading. And everyone was saying that it was obviously |
|--|
| roken. I didn't have the technical background, really |
| to second-guess that, but I just wanted to come back to |
| that and see if that was still the consensus, just because |
| it seemed to me to be a funny way for an instrument to |
| fail, to have gone up, and up, and up, and up without any |
| reason. |

Q Was that concern removed or diminished in any way after the briefing was over?

A Well, yes, because the thrust of the briefing again was that the primary objective was still to get on the residual heat removal system and satisfactory progress in that direction was being made and things should be under control within an hour or two, and that one instrument just wasn't corroborated by the readings from the operating level in the containment. And it was also asserted that the measurements, the so-called shine measurements, outside of the containment were not consistent with the readings anywhere near as high as that instrument was giving.

I think all of that is in the transcript.
BY MR. BERNERO:

Q I would like to pursue this point a bit, Commissioner.

Was your sense of misgiving associated with the

one instrument or was more general? Perhaps maybe I should amplify the question.

It seems in retrospect that the bulk of that briefing to the Commission contained statements of imprecise or nonexistent knowledge of what had happened in the plant, what sort of condition it was in. Did you come away from that briefing with a general sense of misgiving?

A Not on that subject.

I had not then, and didn't really begin to until
Roger Mattson's phone call in the middle of the day, Friday,
begun to focus on what might still be going on in the core,
and this is an area where the absence of technical
sophistication, obviously was a disadvantage to me. It
was the kinds of things I thought I could understand,
which dealt much more with radiation levels offsite, and
whether there was a reason for a continuing concern about
emissions of the radioactivity that had already accumulated
somewhere in the system and I wasn't exactly sure where.

I just didn't have any sense on Thursday that there might still be problems inside the reactor that we ought to be worried about. I still have that sense that that part of the accident was over with and there were some difficulties in getting the right pressure, and temperature configurations to go on to the residual heat

removal system, none of which I knew very much about, but I was more or less reassured as to that part of the accident. In retrospect you are right, reading that transcript there is just a large gap in the area of what was going on in the reactor.

BY MR. BALLAINE:

Q According to the chronology of events which is Exhibit 5092, on Thursday, there is a notation at 2:30 -- actually, excuse me. I'm interested in the one at 1:30, "Discussed dangers to pregnant women with Tom Gibbons."

Is this the first time, as best you recall, when you discussed this issue of pregnant women's susceptibility to radiation?

A Yes. I had asked either a technical intern or maybe Hugh Thompson, my technical assistant, to explain to me more clearly than I then understood, what the health and safety significance of levels of exposure in the 20s and 30s and 40s of milirem per hour might mean, and ---

- Q These were figures you had heard in the briefing?
- A Yes, I had heard here in the briefing.

-- And the answer was couched in part in terms of comparisons to things like chest x-rays, which on the one sense is reassuring, that is, well it is not much more than a chest x-ray. But on the other hand, raised in my

Me

mind the thought that one doesn't give chest x-rays to certain types of people, such as pregnant women if it can be possibly avoided. Therefore, if there were, in fact, pregnant women who were getting the equivalent of a chest x-ray every hour or two or three in the area near the reactor, that was a concern I hadn really focused on before.

Tom's wife happened to have been pregnant at that time, which is maybe why we had a special little discussion on it.

That actual notation must have come from you,

Tom, because it is not something that I have written down

anywhere.

MR. GIBBONS: Yes, that is correct.

A -- It must have come when Tom was helping to fill out my chronology, he must have recollected that.

Q Well, I notice according to the chronology there is a later reference to a communication involving pregnant women, but I guess what I will ask you is just to tell me as best you recall, in sequence, any other communications or conversations you had during the course of that day, Thursday, with respect to this particular issue?

A Well, I just remembered talking about that question and I can't spot it specifically Thursday afternoon versus Friday morning, with Hugh Thompson and

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1 Myu, M-Y-U, Campbell who was then an intern in my office. 2 And we probably talked about it three or four times that 3 afternoon. The reference in the chronology here is -- it has 4 to do with the fact that Victor Gilinsky was talking to 5 6 Lieutenant Governor Scranton, and by then I had become sufficiently concerned about that question, at least, that 7 I thought we ought at least to raise it with -- I thought 8 I ought to at least raise it with Victor and let him 9 10 decide whether or not to raise it with the State of 11 Pennsylvania or whether we should just think about it 12 some more first. 13 I take it he was on the phone when you passed him the note? 14 15 As I remember it, yes. Do you recall that? MR. GIBBONS: I wasn't there. That's what you 16 17 told me when you came back. BY MR. BALLAINE: 18 I guess the question that I'm interested in 19 merely is whether you were called from Mr. Gilinsky 20 saying something over the telephone? 21

A I'm sorry to say, I do not.

Do you recall what I might have told you when I came back?

MR. GIBBONS: I just remember that you told me

that Victor was on the phone.

COMMISSIONER BRADFORD: I think that is
probably right.

I think I went down to his office to discuss the concern with him, found that he was on the phone to Lieutenant Governor Scranton and may have simply left him a note and went back to my own office.

BY MR. BALLIANE:

Q Any particular reason why you went to discuss it with Commissioner Gilinsky?

A No. In terms of my normal interactions with other Commissioners ---

MR. GIBBONS: Could we go off the record?
MR. BALLIANE: Yes.

(Discussion off the record.)

COMMISSIONER BRADFORD: I can't recall whether I knew that Victor was about to speak with officials in Pennsylvania.

He, you remember, had been Acting Chairman, the first day of the accident, and on Thrusday afternoon he was still continuing to carry many of those functions because the Chairman had gone up to brief the Congress about the accident. So it is possible that I went specifically because I knew that he might be talking to the State officials from Pennsylvania later on during the

day.

It is also just possible that this is something that I would naturally have taken up with him, because on many matters, among the Commissioner, he and I tend to have a similar perspective.

BY MR. BALLAINE:

Q Now, according to the telephone log Thursday, there is a notation of a conversation with Dan Ford. I guess that is also reflected in your chronological log.

Do you have a recollection of your conversation with him?

A I have a recollection of a conversation with Dan which began -- it was his call -- Yes, that would fit with this one (Looking at the document.) -- in which he was basically just calling to inquire what was actually happening.

I don't remember the specifics any more than that. He was, for some reason, dissatisfied with the information that he was able to obtain from the radio and the newspapers, and ---

Q Any particular conversations about evacuation, for example?

A Not that day, no. I think this should reflect a later conversation with Dan Ford when evacuation was discussed. But I don't remember it on Thursday. You could ask him and it would probably be clearer in mind than in

1 mine.

Q The Incident Response Center tapes reflect on Day 2, Channel 10, No. 422, a conversation which we think we have identified as involving Hugh Thompson and Jim Sniezek.

I'm going to have this marked as Exhibit 5094.

(Exhibit 5094 identified.)

Q Now, there is no indication on this that you were part of the conversation, okay, so I'm just using this as a reference point. The Exhibit is 3 pages in total.

According to the transcript, Hugh Thompson is identified as saying: "Commissioner Bradford wants some additional information as soon as we can reasonably get it with respect to the radiation level at York Haven and the surrounding communities."

Do you have any recollection of wanting such information?

A As to the areas around the reactor, yes. I don't remember designating York Haven in particular.

Q I was wondering if there were any particular communities that you were concerned with on Thursday, for some reason or another?

A Well, Goldsboro would have been the one right across the river, but again, I'm not even sure how many

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1 communities that I knew the names of on Thursday. 2 May I just read through this, because I had 3 not come across this one before. 4 (Commissioner Bradford reads the document.) 5 Now, that you have read it, does it refresh 6 your reco. ction any further as to ---7 o, it does not. I'm sure Hugh is reflecting 8 the concern I expressed, that we get that information 9 and get it with reasonable frequency. 10 In fact, what interested me as I read through it is that I don't recall -- we certainly didn't get it 11 12 at four-hour intervals as Hugh requested, and there may 13 have been only one or two calls that fell into that 14 area. Now, is it your testimony that you are 15 generally interested in radiation readings in the area 16 of the site as distinguished from some particular interest 17 in certain readings in certain areas? 18 Yes. Yes, I'm not sure how York Haven got in to 19 the discussion, unless that happened to be the downward 20 direction of the plant. 21 According to your telephone log there is also 22 an indication of a telephone conversation involving Saul 23

Levine. Was that in any way related to TMI?

Almost certainly not, but I can't remember what it

l was related to.

Remember, the Commission schedule on Thursday afternoon will show a couple of meetings that has nothing to do with TMI. Apparently we were sufficiently sanguine about the state of affairs at the reactor, to go back to meetings on -- let's see, one is our "Relationship to the DOE Program for Dealing with Highlevel Wastes," and I'm not sure what the 4:45 meeting was.

O All right.

For the record, the witness was referring again to the draft chronology which is 5092.

Now, according to this same draft chronology, at approximately 5:30, Mr. Gibbons got you out of a meeting and told you about radioactive water. Does this relate to that so-called industrial waste dumping issue?

A Yes, it does. We didn't appreciate that it was industrial waste dump at the time, it just seemed more serious than that.

Q Okay.

Why don't you tell me in your own words the sequence of events, as best you recall, just on Thursday, on that particular matter?

A At some point, maybe it was that Thrusday morning briefing, the Commission indicated to the staff through Commissioner Ahearne that obviously none of this water was

to be released without Commission approval. I don't remember whether that was on the transcript, or just informally after the meeting.

Then late in the day, actually it was during what appears on this chronology as the 4:45 meeting, word came in that in fact, water -- I think from the Auxilliary Building as we first understood it, had been released.

Q Do you think you believe this was water on the floor of the Auxillary Building that had come out of the -- that was coolant water?

A The assertion was made, I think, that it was water that was well within the Tech Specs, and I don't -- I think I would have assumed that it couldn't possibly have been -- Well, I may have assumed it was coolant water, given that I didn't have any real idea of the extent of the damage to the core at that point.

I just didn't have any very clear picture of where it might have come from. It just seemed to me to be a bad idea for the water to be leaving the site and going into the river, given how little we knew, unless it absolutely had to.

Tom told me about it and in talks with John
Ahearne, who was especially exercised because it
contravened his specific understanding of the instructions
he had given in the morning. He then went and talked to

| 1 | the Chairman and the Chairman, I think, called the |
|----|--|
| 2 | Response Center |
| 3 | Q You weren't present during that call? |
| 4 | A I was not. I think I have read the transcript |
| 5 | of it, but I was not there. |
| 6 | Q Did you or other Commissioners in your presence |
| 7 | have any further involvement on Thrusday, that you recall, |
| 8 | with respect to this particular issue? |
| 9 | A Well, later on that night, probably 9:00 or 10:00 |
| 10 | o'clock, John Davis, I think, called ma. He told me that |
| 11 | it had stopped, and also that it was the industrial waste |
| 12 | water. And I think I first told John that they ought to |
| 13 | be very sure and call Commissioner Ahearne to make sure |
| 14 | that he knew that, and I decided with all the confusion |
| 15 | it was better if I just called him myself. So I think I |
| 16 | called him directly, and John Davis may have as well. |
| 17 | Q Did there come a time later on, either Thursday |
| 18 | or Friday, when you found out that somebody from NRC had |
| 19 | given permission for them to actually dump some of this |
| 20 | industrial waste water? |
| 21 | A I don't remember it. |
| 22 | Q Do you recall whether or not it was a subject |
| 23 | that was discussed again after, for example, 9:00 p.m |
| 24 | the 9:00 p.m. conversation with Commissioner Ahearne? |

I think not Remember, that by the next morning

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it was overtaken by so much more serious concerns, and I just don't remember coming back to it again.

BY MR. CHIN:

Were you aware of any controversy between NRC and the Commonwealth of Pennsylvania over this particular

Now that you mention it, I think that we were told at the time that the Commonwealth of Pennsylvania was pretty upset about it, but I hadn't thought about that in -- what is it, 7 months now since then. So it is a pretty foggy recollection.

BY MR. BALLAINE:

When you say, "... at the time..." do you mean the first time you heard of it or is that ---

Yes. Between the time Tom Gibbons first told me about it at 5:30 and the time John Ahearne went and talked to Joe Hendrie. I think someone mention ' that the Commonwealth of Pennsylvania wanted it stopped.

BY MR. SCINTO:

- Wanted the discharge of the water stopped? that what you are referring to?
- That's my belief, but always with the caution that ---
 - 0 Okay. I recognize that. I was going to ask you

| 1 | the question and I thought you said the Commonwealth |
|----|--|
| 2 | wanted it stopped, and I wasn't sure whether you were |
| 3 | referring to the discharge of the water or the NRC's |
| 4 | intervention? |
| 5 | A Ah, no, the discharge of the water. |
| 6 | Q Okay, that's what they wanted stopped? |
| 7 | A Yes. |
| 8 | BY MR. CHIN: |
| 9 | Q Do you recall any controversy arising out |
| 10 | feeling that the Commonwealth felt that it was NRC's |
| 11 | responsibility to stop the water rather than the |
| 12 | Commonwealth? |
| 13 | A I don't know what the Commonwealth's feeling |
| 14 | in the matter was. Certainly, in terms of the overall |
| 15 | posture of the State role in protecting people from |
| 16 | radioactivity from nuclear reactors, it would have to have |
| 17 | beer the NRC in all likelihood, the issue in the order, |
| 18 | because the states are basically preempted in that area. |
| 19 | I won't say, "would have to have been," but it |
| 20 | might well have seemed to them that the order could better |
| 21 | cover from the NRC. |
| 22 | Q And you agree with that? |
| 23 | A Yes. I think legally we would be on sounder |
| 24 | ground having the order come from the NRC. |
| 25 | That is not to say that there isn't some way |

| 2 | but as a general proposition, because it is a matter that |
|----|--|
| 3 | involves radioactivity and it involves a power reactor, |
| 4 | much clear authority lies with the Federal Government. |
| 5 | BY MR. BALLAINE: |
| 6 | Q I notice, by the way, on the telephone log there |
| 7 | is also an indication, I take it, that you called Jessica |
| 8 | Mathews, but I gather you didn't get through and had to |
| 9 | leave word. |
| 10 | A Yes. |
| 11 | Q Do you have any recollection of why it was that |
| 12 | you were calling her? |
| 13 | A I think that I do, and I think that it was non- |
| 14 | TMI. |
| 15 | Q Directing your attention now to Friday morning, |
| 16 | March 30, 1979 |
| 17 | A That was non-TMI. |
| 18 | Q Okay. |
| 19 | Now, on Friday morning, early in the morning, |
| 20 | you had a you met Mr. Gibbons and a third person in |
| 21 | the morning for breakfast, I understand? |
| 22 | A Yes. |
| 23 | Q Did that relate, in any way, to TMI? |
| 24 | A No, it did not. The third person was the |
| 25 | General Counsel of the Maine Public Utilities Commission, an |

the State could have found, in an emergency, to enforce it,

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| and the same | he happened to be in Washington. In fact, to note that |
| - Taranta | his name appears on the chronology as having been present |
| | at the meeting Thursday night, we discussed |
| Total State | Q Horace Libby? |
| - 1 | A Yes He was in town we have both known him |

A Yes. He was in town, we have both known him from the days when we worked on the Maine Public Utilities Commission. So he sat in on that meeting and then we had breakfast with him Friday morning, but that was not really TMI related. In fact, it was not TMI related at all.

Q Now, with respect to Friday morning, obviously there came a time when you first were present and received information from the staff, there had been some kind of a reading from the site and a recommendation for evacuation.

Do you remember whether your first impression was that there had been a staff position favoring evacuation out some distance as a result of what had happened that morning?

A My impressions -- I can recreate them in general, but the specifics are pretty blurred.

My impression was that there had been a significant release of radioactivity, I believe the number used was 1200 milirem and it wasn't clear whether that was calculated or measured, as the conversation went on.

At one point Harold Denton certainly said that an evacuation recommendation had been made to the State of

Pennsylvania. Joe Fouchard then said right afterwards, that they are waiting for you to call and confirm it, or words to that effect. And the difficulty is that I have read the transcripts since then, so I know what I should have known and I think that is a fairly accurate appraisal of what I actually heard and knew.

I did not come away with the feeling that there had been a clear and unequivocal consensus arrived at in the Response Center, that that had been transmitted to the State of Pennsylvania and we were now being informed of it as well, though that may be what Harold intended to convey.

The conversation didn't start out that way. The conversation started out with Lee Gossick talking about release numbers, and then kind of wondered around with some conversation about releases, something about calling the State of Pennsylvania.

Now, by the way, with respect to that 1200 mr reading, do you remember what your initial impression was, your first impression was as to where that calculation, for what point that calculation or reading was made with respect to the site, over the site, site boundary, two miles out, whatever?

A No, I certainly don't recall it now. If it is not in the transcripts ---

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I am interested in your impression?

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I think that the time, my impression was that it was a calculated release rather than a measured one, but that later on in the course of the same conversation, someone else suggested that -- whether by coincidence or

what, that the same 1200 milirem number had been measured

over the stack.

So I think that there was a good deal of confusion as to whether it was a calculation -- the best I can recall, the first number was a calculated one and then there was a measured number, which was the same. But the calculated one was offsite and the measured one was over the stack.

Let me clarify one thing.

Where were you when this series of conversations first began with Mr. Gossick? In your office?

Those conversations were all in my office.

For reasons I don't recall now, I think Commissioner Gilinsky came to my office around 9:30, right after we had gotten a phone call from the Response Center. He had had a call directly from Gossick, and then I think John Ahearne came in a moment or two later.

As the conversation with Gossick was going on?

No. The conversation with Gossick really began, as I remember it, after all five Commissioners got

| 1 | there, although possibly after just after the Chairman |
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| 2 | got there. |
| 3 | 9 For what it is worth, let me just show you the |
| 4 | transcript of March 30th. Really, the only question I |
| 5 | have is whether from recollection, you can tell us how |
| 6 | much conversation you had before what is recorded in |
| 7 | the transcript. |
| 8 | A I see. Okay, so your problem |
| 9 | Q Yes. |
| 10 | A Your problem is that you don't have the |
| 11 | beginnings of this conversation. Was it not recorded on |
| 12 | the Response Center tapes? |
| 13 | Q It is not clear to me. For some reason we don't |
| 14 | get anything that Well, we get very small snatches, |
| 15 | but I have a feeling something is missing, don't you? |
| 16 | MR. BERNERO: Yes. |
| 17 | MR. BALLIANE: I don't know why. |
| 18 | MR. BERNERO: Switching channels there, that |
| 19 | happens all the time to trace a conversation. |
| 20 | BY MR. BALLAINE: |
| 21 | Q They got you and they got John Ahearne or |
| 22 | something like that, because maybe this much worth of |
| 23 | conversation is very small lines, and then it gets into |
| 24 | here. Now, maybe that's everything and that's why I'm |
| 25 | interested as to the sequence? |

| 1 | A No. I can't recall anything that predates this |
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| 2 | anymore. |
| 3 | Tom's notes would be the only independent source |
| 4 | we have, a record of what was said before the tape arrived. |
| 5 | Q Now, am I correct |
| 6 | MR. GIBBONS: If we could go off the record? |
| 7 | MR. BALLAINE: Just do it on the record. |
| 8 | MR. GIBBIONS: All right, we will go on record. |
| 9 | My notes show Kennedy coming in at 9:37, and |
| 10 | I had had the squawk box going to the other people starting |
| 11 | at 9:30. So there was 7 minutes. |
| 12 | MR. BALLAINE: And Commissioner Kennedy is there |
| 13 | right away? |
| 14 | MR. GIBBONS: Kennedy is right there right away, |
| 15 | so there is 7 minutes that went on. |
| 16 | MR. BALLAINE: Of something. |
| 17 | COMMISSIONER BRADFORD: And do your notes give |
| 18 | any indication of what was said in those 7 minutes? |
| 19 | MR. GIBBIONS: Yes. |
| 20 | (Commissioner Bradford looks at Mr. Gibbons' notes. |
| 21 | COMMISSIONER BRADFORD: It looks as though |
| 22 | evacuation was at least discussed in that 7 minute segment, |
| 23 | but I can't remember in what terms. |
| 24 | BY MR. BALLAINE: |
| 25 | Q Okay. Am I correct that it was your opinion that |

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the staff had recommended evacuation and that the Commission should, therefore, affirm that recommendation to the State?

A Tom's notes show, though the transcript does not, my saying at one point that it would seem to me that the conservative thing to do was to go ahead and confirm the staff ---

- Q And that's your recollection?
- A Yes.

MR. GIBBONS: Could we go off the record for one second?

MR. BALLAINE: Yes.

(Discussion off the record.)

MR. BALLAINE: On the record.

Off the record, Mr. Gibbons said something to the Commissioner about whether they knew that Mr. Collins had actually called the State, or something like that.

BY MR. BALLAINE:

Q I do understand, and all I really care about is that you did have some view that there was a staff recommendation?

A That the staff had communicated to the State and that an evacuation was in order. But whether -- I think it was also clear, from the thrust of what Joe Fouchard was saying thoughout that conversation, that the State wanted

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some kind of confirmation from the Commission itself. 1 It also was not clear whether this was a staff 2 consensus, because you had Harold Denton on the one hand 3 saying we recommended it a while ago, and Brian Grimes 4 saying he didn't think it was in order. 5 Do you have a recollection of that at the time, 6 by the way? 7 Not independently of having reviewed the 8 transcripts, but I do have a recollection at the time, that 9 there were different staff views, and then I think you 10 have later, Denton actually saying he is not so sure about 11 it anymore, either. 12 I gather now that Grimes, had in fact, 13 communicated his review separately to the Chairman before 14 the transcripts started. I did not know that until fairly 15 recently, but I gather it is in the deposition of the 16 Hart Committee. 17 Now, were you present when Chairman Hendrie 18 first got a telephone conversation with the Governor? 19 Yes. 20 As of that time, it was still your opinion that 21 whatever the staff had recommended should be also

> That's what I would have done at the time, when it came to calling up the Governor, but it seemed to me that

recommended on behalf of the Commission by the Commissioners?

this concern whether people might get more of a dose by going out of their houses and somehow comming across the plume, was a legitimate one. So I didn't feel strongly enough about my own separate feeling to feel that I should say, by the way, Governor, the vote is 4 to 1 or something of that sort.

Q Actually, you are anticipating some other questions.

My first question in this regard is do you remember whether you had any idea what Chairman Hendrie was going to recommend, if anything, to the Governor during that first conversation?

A I think that I did not, as the conversation began.

Q Did you have any impression as to whether there had been any so-called collegial decision at the time of this first conversation with the Governor and the Chairman?

A No. I think that the best description I could give of the process was that the Chairman had either arrived at his own decision as to what he wanted to say, or had simply sensed a consensus and felt he was conveying it. But there was certainly no process of voting or even a formal once-around-the-table each person expresses his views of that discussion.

Q Did you have any sense, by the way, as to the

consensus of the Commissioners at that time?

A No, I really did not. I think you just have to let each one speak for himself as to what he felt was in order at that point in time.

There was a real tension between, in effect, doing what seemed to me to be the conservative thing, which is confirming the staff recommendation on the one hand, and on the other, this perception that that might, in fact, not be the conservative thing if people could get more of a dose by moving around. And on the third hand, also there was always the expectation that more information might come in shortly as to whether the release was still going on or had been terminated. So that the temptation to wait for more information, always given that we were talking about exposures in the milirems and not about sudden major exposures of much higher levels, the temptation to wait for better information exercised, really quite a strong pull during the evacuation discussions on Friday morning.

I think the tone of them changed after the Mattson phone call, but what I have just said is correct for the Friday morning discussion.

Q When you talk of the idea that one of the conservative things might have been to confirm the staff's recommendation, in what respect? Simply because

evacuation seemed to you to be a conservative thing to do or for some other reason?

A Conservative in the sense that the staff had been thinking about this for longer than we had, and that we had no sources of information independent of information the staff had already used in arriving at its conclusions.

Another point that I found compelling was one stated in a phone call, that they just couldn't be sure, even if this release had been cut off that there wouldn't be more just like it, they couldn't be sure what caused this one, they couldn' be sure of what intervals the future releases would come. Had it just been a matter of this one release, which had been cut off, with a high degree of confidence that there wouldn't be any more, then I think I would have been more moved by this concern that the plume had passed by and was disbursing and people shouldn't, in effect, go out and mingle with it.

BY MR. BERNERO:

Q Excuse me.

Commissioner Bradford, I would just like to be sure we understand that prior to the Mattson phone call that the thrust of the discussion was whether or not to evacuate with respect to radiological releases of this intermediate level?

| 1 | A That's right. |
|----|---|
| 2 | Q And that after the Mattson phone call it was |
| 3 | a discussion of the potential for very much larger |
| 4 | releases? |
| 5 | A That's right, and I think that's a very important |
| 6 | point. |
| 7 | The Mattson phone call, combined with the later |
| 8 | concern that we now know to have been, in some ways |
| 9 | ill-founded, about a hydrogen/oxygen mixture in the reactor |
| 10 | MR. BERNERO: Okay. |
| 11 | BY MR. BALLAINE: |
| 12 | Q And now, we are well before the Mattson phone |
| 13 | call? |
| 14 | A Well, three hours before, perhaps. |
| 15 | Q Now, there came a time when there was a second |
| 16 | phone call between the Governor and the Chairman. Were you |
| 17 | present either during that phone call, at the beginning |
| 18 | of that phone call? |
| 19 | A To the best of my recollection, I was present |
| 20 | for part of it. Tom, correct me if you recall any |
| 21 | differently. |
| 22 | I believe it took place in either the Chairman's |
| 23 | office or in one of the assistant's offices just off the |
| 24 | Chairman's office. |
| 25 | MR. GIBBONS: It was definitely in Bill Dorie's |

office.

MR. BALLAINE: That phone call itself?

MR. GIBBONS: Yes.

BY MR. BALLAINE:

Q All right, and the Commissioners at the time were meeting in this room, the Chairman's Conference Room or the Chairman's Office?

A No. I think the meeting in my office had really just broken up and ---

MR. GIBBIONS: I would say that -- I think my notes reflect it, but we had been in the Chairman's office maybe a half an hour or so before ---

COMMISSIONER BRADFORD: Okay, and the meeting -- BY MR. BALLAINE:

Q Before the second call?

A Okay, then the meeting had moved down to the Chairman's office.

We didn't actually meet in this room, I think, at all, during that Friday, Saturday, Sanday period. The only meeting we had in those early days in here was on Wednesday morning when both Gilinsky and Kennedy and I met in here.

Q Now, at the time of the second phone call, did you know whether Chairman Hendrie was going to recommend, if anything, to the Governor with respect to evacuation?

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| 1 | A I just don't recall now, in fact, I don't |
|----|---|
| 2 | even recall whether that call was initiated by Chairman |
| 3 | Hendrie or by Governor Thornburgh, anymore. |
| 4 | Q Had there been any so-called collegial decision |
| 5 | by the Commissioners as respects to what should be |
| 6 | recommended? |
| 7 | A No more so than before. |
| 8 | Q Now, as of the time of the second phone call, |
| 9 | what was your opinion as to what should be recommended? |
| .0 | A I think that I was basically content well, |
| 1 | content is the wrong word, but I was prepared to accept |
| 2 | the pregnant women and small children recommendation that |
| • | was being made, largely because I felt it might be sufficien |
| 4 | in the long run, at least it was progress from what had |
| .5 | seemed to me to be an insufficient recommendation made earlier. |
| .6 | I also felt, realistically, a recommendation like |
| .7 | that would begin to cause other people to think about |
| .8 | whether or not they should leave also, and that therefore, |
| .9 | if the larger evacuation became necessary it would be |
| 20 | easier, perhaps, as a result of that advisory. |
| 1 | Q Can you tell us, according to your best |
| 22 | recollection, how this recommendation about pregnant |

nt women and/or small children came to be discussed amongst the Commissioners prior to the time of the actual phone call by the Chairman?

| 1 | (Reporter's note. There was a brief pause |
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| 2 | in the deposition while there was a change of reporters.) |
| 3 | (Continued on next page.) |
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BY MR. BALLAINE: gsh Why don't I do this. I'm going to pose a fresh 2 Q question which, with a little bit of luck, won't be too far 3 off from the last question I asked. 4 Can you tell us from your best recollection the sequence of conversations involving the subject of evacuating pregnant 6 women and/or small children leading up to the time of the 7 second conversation between Chairman Hendrie and Governor O Thornburgh? 10 Do you want me to start with the origin of the concern in my office the preceding day? 11 12 Friday. 0 13 Friday only. Okay. Q By the way, is it your belief that we haven't 14 already discussed the origin of your concern the previous 15 16 day? No. I knew we had. 17 A 18 Q Just Friday morning, then. I have very little recollection of discussing that 19 subject on a Friday morning. I know that either Thrusday 20

afternoon or Friday morning I had mentioned it to John 21 Ahearne, as well as to Commissioner Gilinsky in the manner 22 we've already discussed. 23 And I know that John mentioned it. I may have also while 24

the discussion was still taking place in my office before we 25

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moved down to the Chairman's office.

or how Serious they would be.

But I don't recall a discussion before the second phone call to Governor Thornburgh in which the commission as a whole discussed that specific subject and said that this is what we ought to do.

that recommendation. But I can't now remember how we arrived at it or the discussions from which he might have derived it.

One other thought in that context. What had triggered his second call to Governor Thornburgh, as I recall, is that we received news of the second release which laid against this concern that we weren't sure how often this would happen

I certainly was not unhappy to hear the Chairman make

It seemed enough to override the earlier recommendation that people should just simply stay inside and wait for the first one to pass.

Q Where did that information concerning the second release come from, as best you can recall?

A To us from the response center. To them?

20 No, that's okay. Just as far as you're concerned.

Now the conversations you described in response to my question about pregnant women and small children, in fact, had there been conversations that embraced the appropriateness

of evacuating small children or did they relate only to

25 pregnant women?

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Well. my own concern had been in terms of the group of the population that would be exceptionally susceptible to being exposed to radiation. That would be pregnant women and also very young children.

I can't recall whether each of the conversations that I then had with other commissioners used the terms "pregnant women and then small children" or "especially susceptible groups of the population." or just how it was phrased.

But at least in your mind it hadn't been limited to pregnant women. 10

11 A No.

> Prior to the second phone call, had there ever been any discussion amongst any commissioners as to the distinction between an advisory and a recommendation or an evacuation?

No. It was clear to us that we did not have the power to order an evacuation. But at least as far as I was concerned, if we advised the Governor or recommended something to him, those were the same animals -- as to whether he gave an advisory or an order, that was something that I hadn't focused on.

Okay. What about with respect to the issue of how far -- do you recall any specific conversations on how far out the recommendation would go?

No. I think at that point we were using five miles 25

UH gsh I as a minimum area of concern.

2 Q Why? Simply because that had been the way the staff

3 had originally relayed?

4 A Yes. They seemed to be thinking in terms of

5 multiples of five, five and then ten.

6 And then I guess on Saturday, Joe at one point talked of

7 20. But basically the only time that I remember talking in

8 terms of a smaller radius than that was sunday afternoon,

when we were talking more in terms of 2 or 3.

Now according to your log, which is Exhibit 5091,

II there are two conversations in the morning with Doug Costle of

12 the Environmental Protection Administration.

13 Did these phone converations relate to TMI?

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15 A Yes, they did.

10 Q Now with respect to the one listed at 9:55, do I

17 understand from these notes that this was Mr. Costel's call

lo to you, if you recall?

19 A I don't recall that that is the way the log reads.

20 Yes, I do recall, too, because I was in the meeting with the

21 other commissioners and came out of that meeting to return

22 it, I guess.

23 That is the way to read this line is that he called at

24 9:55. I had to let it pass for 10 minutes and then at 10:05

25 I came out and returned it.

What's the substance of the conversation you had with him, as best you recall?

A I'm afraid that I can't separate out that converation at 10:05 from the later one at 10:35. It's possible at 10:05 I simply called back and said, I can only talk for a few minutes, or for a few seconds now.

Can I call you back in half an hour?

In any case, what those conversations taken together were was a request from Costle for all the information I could give him just off the top of my head. And he specifically wanted to know whether I thought that there was any use for the airplane that EPA then had out in Nevada, which was capable of sensing and analyzing different types of radiation very quickly.

I said that I could certainly see no harm in it. And if he was in a position to bring it east, by all means to do so.

Do you recall whether you had any discussion with him with respect to this question of evacuation, which I take it from the time was still pending among the commissioners?

A I don't recall. There were several other converations with him that day and I'm sure that it wsa touched on in some of them, but I just don't recall in those first two.

25 Q Now there came a time, as you indicated earlier,

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when Dr. Mattson spoke indicating concern with respect to the

2 state of the reactor. And according to your deposition

before the Kemeny Commission, page 185, there came a time

after Mattson expressed his concerns by middle to late

5 Friday. According to the transcript of the Kemeny Commission

o deposition, you were "uncomfortable for about 48 hours with

7 the condition of the commission evacuation recommendation."

Could you clarify what you meant by your discomfort of the condition of the evacuation recommendation?

A Yes. After the Mattson call and, more specifically, after Joe Hendrie first raised the possibility of a hydrogen/oxygen detonation in the reactor vessel, it seemed to me that there were sequences of events that could result in much larger releases of radioactivity than we had been previously talking about within very short times; that is, short in terms of the times people would need to react to them.

while it looked as though all of those events were unlikely ones. I was uncomfortable both that somehow the commission wasn't focusing a little more on whether people should e near the plant, and also with our either making an evacuation recommendation to Governor Thornburgh, or at least telling him in no uncertain terms that the times that he might have to implement an evacuation might be very short, as little as half an hour, and letting him judge for himself whether he

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- wanted to take precautionary steps in light of that knowledge.
- 3 attended the meeting involving some HEW officials, including
- 4 Mr. Costle.
- 5 Is that right?
- o A Yes, although he wouldn't be an HEW official.
- 7 Q All right, sorry.
- b A HEW officials and Mr. Costle.
- This concern you've expressed, you believe you had
- 10 it before that meeting?
- II A I think not because I think the hydrogen/oxygen
- 12 concern wasn't expresed until 7:30 or so that night for the
- 13 first time.
- Io me. I think at the time of that meeting, I would have
- 15 been still very concerned as a result of the concerns that
- 16 Roger Mattson expressed, but I wouldn't have had a specific
- 17 sequence of events that I was looking at.
- 18 Q Okay. Now you indicated that you thought the
- ly governor at least should be told the amount of time you
- 20 might have to have an evacuation, maybe as little as one-half
- 21 an hour.
- Where did you get that figure from, as best you recall?
- 23 A That figure I probably didn't have specifically
- 24 until the next day. I think it came during a conversation
- 25 with the other commissioners, but specifically with the

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- Chairman, in which I tried to just walk through what would
- 2 happen or what could happen if a hydrogen/oxygen detonation
- 3 were to occur in the reactor.
- 4 It was something that I knew nothing about myself. But
- 5 the line of questioning I had was is it possible that it
- o could happen? Can we be sure that there is no way, for
- 7 example, for the hydrogen/oxygen mixture to ignite?
- o The answer was no.
- Can we be sure that if it doesn't ignite, it won't rupture
- 10 the reactor vessel?
- II The answer to that was no.
- 12 Can we be sure that if that doesn't happen there won't be
- 13 a sudden significant release of radiation?
- 14 The end result of that line of questioning in any case
- 15 was that there could be a very short period of time. That
- is somewhere in the transcript. I haven't reviewed it
- 17 recently.
- 18 Q I take it, then, the half hour that you were
- ly referring to would be from the time of an explosion that
- 20 would rupture the vessel.
- 21 A That's right.
- 22 Q That the releases would be such that people would
- 23 have to evacuate in half an hour in order to avoid some
- 24 severe doses.
- 25 A That's right.

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- a And this was Chairman Hendrie, then, in effect,
- 2 whom you were running through this line of things.
- 3 A That's right. Well, not just Chairman Hendrie; it
- 4 was the whole crew that was then meeting in the Chairman's
- 5 office.
- 6 These are commissioners?
- 7 A Commissioners, and by then a fair number of
- o commission staff as well -- commissioners, technical
- y assistants, legal assistants, general counsel.
- 10 0 Okay. Now, again, according to your telephone log.
- 11 you had a conversation with Dan Ford, I take it, at 4:30.
- 12 Do you recall anything about the substance of that
- 13 converation you had?
- 14 A No. I don't. I can conjecture that it was very
- 15 like the first one, only more urgently sta ed. That is,
- Dan's calls to me during that time were essentially requests
- 17 for information and I would guess in view of the very
- to considerable change in information since the time we had
- 19 talked on Thursday, that he was calling again to find out
- 20 what new information I had to give him.
- 21 Q I just wondered whether you recall any conversations
- 22 about evacuation?
- 23 A If I remember correctly, the first time that Dan
- 24 expressed a strong vew on evacuation was not until late the
- 25 following day to me. But I could easily be wrong about that.

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I do know that at the time given for that conversation, 2 4:30, with me having to leave to be at HEW by 5:00, we could

3 not have talked for more than four or five minutes.

And do I understand from prior testimony that to the best of your knowledge and belief, the meeting involving HEW and Mr. Costle was not the result of some suggestion or statement by you, but you believe it was at Mr. Costle's urging.

one at 12:55, perhaps the one at 1:35, he said that he and Mr. Califano had been talking and had felt that they should get their staff experts, especially the HEW radiation people, together and they would appreciate it if someone from the NRC -- specifically me -- would come over and give them the best assessment we could of what was going on at Three Mile Island.

And I agreed to do that and suggested that Commissioner Gilinsky should come as well to have someone who understood the technical side of things at least a little better than I did.

Now describing some of the things that were said at the meeting that afternoon, on page 196 of your deposition before the Kemeny Commission, I believe you say: "They had strong opinions and strong concerns themselves. They were really trying to get answers."

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My first question on that is whether they expressed any
opinions or concerns with respect to evacuation recommendations
during this meeting?

A Not that I recall. We had typed up the notes that Hugh Thompson has from that meeting, and if you'd like, we will consider that part of your request for his notes.

In general, as I remember the meeting, it began with them asking pretty much for worst case scenarios -- what is the worst thing that can go wrong?

And Victor Gilinsky responded to that. That one we didn't have the hydrogen/oxygen mixture concern in front of us to work with, if I remember correctly. And they just kept pressing — what, in my opinion, were the radiation releases that could be expected?

How likely were the events that could lead to that?

That was the first part of the discussion.

They were also concerned that they were having difficulty getting accurate information from the response center from the site about off-site levels of radiation, and we agreed to make sure that they had someone, I think, in the response center, and that that person was getting all the information that they needed.

I don't remember the specifics, but, in essence, we had to tighten up the coordination are at deal between our response center and the HEW people.

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Now directing your attention to Saturday, March 31st. I think you indicate in the deposition before the Kemeny Commission that by Saturday morning you had become 3 sufficiently concerned with respect to the evacuation situation that you expressed your concern to Doug Costle. 5

Is that correct?

Saturday in the middle of the day, yes. You see, on Friday night, quite late, I think the last thing in the transcript is Friday night. You have a meeting between Joe Hendrie, John Ahearne, and me, and that meeting came about because I think Joe and I and also Victor Gilinsky, though he didn't attend it, had begun to focus on this hydrogen/oxygen question.

And while it seemed clear to us that the commission wasn't going to come to any stronger evacuation consensus that day, we did think that it ought to be passed on to the governor, so that if he wanted to make an independent assessment of it and decision about it.

And so John and I at least went back to the Chairman and asked him at least to call Harold Denton and see whether that scenario - that assessment had been discussed with Governor Thornburgh in Harrisburg that evening.

- This is late Friday night?
- Late Friday night. And Denton wasn't available. 24
- The Chairman had a conversation with Vic Stello that was not 25

OH gsn I on the squawk box.

It may have been by then on the communications system to the white House that had been installed. While they didn't talk directly to hydrogen and oxygen, they seemed to say that some very sort scenarios had been discurred with the Governor and that, therefore, he probably was aware that he had to have everything in writing to us.

Q They seemed to say --

A The half of the conversation that I heard,
Hendrie talking to Stello.

Now at the time I thought they were talking about hydrogen and oxygen. But I talked to Vic Stello since then and he said he sure aidn't understand it that way because he never thought that there was any oxygen in the reactor in the first place, and if he had thought Joe Hendrie was talking about that, why, by golly, he would have stopped that concern right there instead of waiting until Sunday.

So it's clear that what I thought I was hearing in the Chairman's office was not what Vic Stello thought he was hearing in the trailer at Harrisburg, or in the hotel at Harrisburg, or wherever that was.

And Denton, who had actually carried on the conversation with the Governor, wasn't there, anyway. So we couldn't confirm it with him.

25 Q All right. Now on Saturday, you did talk to Mr.

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Costle. The telephone log reflects a call, although not

2 necessarily one that got through at 1:46, and then there is

3 a similar note in your chronology.

4 Do you believe that at the time you expressed your concern

5 to Doug Costle it took place around 1:4 on Saturday

o afternoon or was it earlier?

7 A No. it was earlier, and I'm not sure.

d Here's one at 1:10.

y A That's the one.

10 Q Now that is reflected on the chronology. Okay.

11 So you think that that was when you talked to him and

12 expressed your concern?

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A Yes, it was before we left here in the cars to go out to the response center. And what had happened there was we had a long report from Harold Denton which included his conversations with Governor Thornburgh. And I had tried to ask during that phone call whether Harold had discussed this hydrogen/oxygen evolution with the Governor, and Harold said that he had not, which surprised me because, as I said, I understood from my half of the Stello conversation that he

21 had. But he did say that he had discussed scenarios with

22 the covernor and that he might have as little as half an hour

23 in which to execute an evacuation.

I was puzzled by that because I didn't know of any

25 scenarios other than of the hydrogen/oxygen explosion, which

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would leave him with as little as half an hour. uH gsh

> So I wanted to ask Harold just exactly what scenario he had in mind if it wasn't hydrogen and oxygen.

But as you read through the transcript of that conversation just as I was approaching that question, the White House operator interrupts the call and says, I'm sorry, Mr. Denton. The President wants to talk to you.

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So, obviously, I had to let Harold go to talk to the President. We were then leaving to go out to Bethesda, and it was clear to me that I wasn't going to be able to get that question addressed effectively, again, until much later that afternoon. if at all.

And since for all I then knew, this hydrogen/oxygen situation was becoming serious. The numbers we were beginning to hear that oxygen might be getting into the bubble at the rate of I percent a day, but nobody knew quite how much hydrogen was there. Nobody knew that the radiolysis rate was. No one knew whether there was a detonation mechanism.

It just seemed very uncertain. And it wasn't at all clear to me that Governor Thornburgh had ever been advised that this was a concern of the NRC's.

It also seemed to me that one way of conceivably getting us to focus more on that question, or at least getting Governor Thornburgh advised of it in some other way, was to express the concern to people in the Executive Branch who were dealing with the same questions.

Let me first find out -- I see on page 186 of your deposition before the Kemeny Commission that you described your concern as the fact that the commission, I gather, was not dealing with the evacuation situation systematically.

Now that may be the way that you described it in the conversation, but I'm not entirely clear what that means.

Why don't you just tell us as best you can the substance of what you actually said to Mr. Costle on Saturday?

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813.02.1 It wouldn't be very different from what I said to A uh gsh you in my last answer. 2 The recitation of all these? 0 3 Yes. That is, there is this possibility -- there 4 are these great uncertainties associated with it; specifically, 5 nobody sees a way for the detonation to be set off because 0 it's not the kind of atmosphere that could occur casually. 7 Furthermore, the general feeling at that time was that 8 mere flammability wasn't the problem. The mixture had to get up to the level at which it could detonate. 10

It's typical of the uncertainties in the situation that 11 about 24 hours later Bob Budnitz was telling us that 12 flammability was. indeed. a problem. 13

So I would have just described the problem in those terms to Costle and explained that I, as nearly as I could cell, ascertained that the Governor's office had been given a very clear picture of it, and I didn't see any prospect of our doing it in the near future unless the people on the Executive Branch team either took their own look at it and concluded that it was a serious problem, or else indicated back to us that they had a real concern about it.

Putting aside for the moment your belief that the Governor hadn't been given a clear picture of the situation, was there something that you believed the commissioners should have been doing that they weren't doing?

A By way of informing the Governor?

2 () Of anything. Either deciding one way or the other 3 on evacuation, informing the governor. I'm just trying to 4 get an idea whether there was something specific that you 5 believed the commissioners should have been doing — putting

7 A I understand the question. I was an inchoate set of concerns at the time, though it later became the memo that you alluded to earlier about what the commission

10 should do in the event of a crisis.

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Again, we didn't seem to have any fixed agenda on which we addressed certain problems at certain times. And I couldn't for the life of me imagine that the President would have put us here or the Congress confirmed us here to try to fix the reactor in a situation like that.

It seems to me that we really ought to have been addressing the evacuation question above all others and come to grips with that, and having made a decision one way or the other, abided with that until other new information came in and then gone on and worked on whatever else seemed worth working on.

But the discussions seemed to have a lack of focus to them, which was understandable in view of the shortage of hard information, but which had the effect of allocating all of the uncertainty about what was going on in the

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- reactor back against the people who were still in the
- 2 communities around it.
- 3 Q You indicate that the commission should have been
- 4 addressing evacuations questions. Part of the time you did
- 5 express your concerns to Mr. Costle.
- 6 What had you done to try to get the commission to focus on
- 7 that?
- A Well. I stated my own view both on Friday morning
- 9 and again on Saturday.
- 10 Q When you say "your own view," do you mean that there
- 11 shoul he an evacuation?
- 12 A That the conservative view was to conform to the
- 13 staff recommendation. Then I spent a good part of the
- 14 Friday morning, some of it on the transcripts and some of it
- 15 off trying to get that phone call made, trying to find out
- 16 whether the Governor had been told of these short lead times.
- 17 Q Now we're just talking about addressing the
- 18 evacuation question.
- 19 A The two are related because it seemed to me that if
- 20 we weren't going to make an evacuation recommendation, the
- 21 very least we could do was to give him the information so that
- 22 he could make a separate decision.
- 23 You can't separate those two.
- 24 Q Let me ask you this. As of the time -- all of my
- 25 questions will be in this period right up to the time that you

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called Mr. Costle. I take it that at that time it was your

2 opinion that there should be an evacuation not limited to

pregnant women and pre-school children.

Is that right?

5 A It was my opinion that we ought to at the very least

o advise the Governor that he might have as little as half

7 an hour.

To me that would have said, furthermore, that it would be

y our recommendation to evacuate.

10 Q Okay, just your own.

11 A But I attached less significance to that than to

12 giving him the unequivocal information about the half hour

13 because the evacuation decision is his. The fact that if

14 we can tell him clearly that if he's got a half hour, that

15 seems to me to be 75 to 80 percent of what we have to do.

16 If we then go on to say, and in our judgment, if we were

sitting in your chair, we'd evacuate, well, that might be

18 nice for him to know, but he's the one sitting in that chair.

During Saturday, up to the time that you talked

20 to Mr. Costle, was your belief that there were other

21 commissioners who shared your view either that the Governor

22 should be expressly told about this possible half hour time

23 period in order to evacuate, or that there should be a

24 recommendation of evacuation now?

25 A I'm sure that there were other commissioners who

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shared the view that the Governor should be told because it

2 was specifically to talk out that feeling that John Ahearne

3 and I went to see the commissioner, at whatever time that

4 was. And we did that following a conversation perhaps that

I had alone with Victor Gilinsky or perhaps that John and I

o both had with Victor.

50 yeah, there were clearly at least three of us at that point.

y Q Gilinsky, you and Ahearne.

10 A Who felt that the Governor should be told from the beginning as much as we knew about the hydrogen/oxygen

12 concern.

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I guess what really my question would be is why, prior to calling Mr. Costle, didn't you or somebody, another commissioner with a like view, simply say, here's what a

16 majority of commissioners want to do to recommend, let's

have a vote, or why aren't we deciding on the recommendation

lo or at least getting on the phone right now and telling the

19 Governor exactly what the facts are?

20 A Well, it was my hope to do that after the conversation with Denton.

Remember that after the conversation with Stello at 11:00

that night, the impression that John Ahearne and I had was

24 that the Governor had been told.

25 Q Okay, but you were disabused of that.

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A I was disabused of that during the Denton conversation on Saturday morning.

At sometime also, either before or just after Denton called, I think Victor Gilinsky expressed himself pretty unequivocally that a broader evacuation was in order, something to the effect that what should be said is if you don't have to be around that power plant this weekend, it would be just as well if you went and stayed somewhere else.

The pattern of those discussions was that things like that would get said, but that the discussion would then drift off away from that.

Nobody would then say, would you make a motion to that effect. Can we have a vote?

At least at the time you called Mr. Costle, you could have easily felt strong enough to have done something.

A By that time, the commission meeting had broken up and two or three commissioners were already on their way to Bethesda. The commission wasn't going to reassemble in any decision-making configuration until much later that afternoon.

So at the time I made the call, it was because it was clear to me that at least several more hours were going to pass before it was even possible to raise the question collegially.

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Before that, the best answer I can give you is that in that situation I was going by my own sense of how hard the commission deliberative process could be pushed without sort of breaking down into closely split votes, which I think is a luxury you don't have.

It does not good.

As I may have said on another transcript, saying that the commission voted 3 to 2 not to evacuate Harrisburg. It just is not a sensible kind of recommendation to transmit.

It seems to me to be very important that whatever recommendation, if the time came when the Chairman had to call up the Governor and say, our recommendation is that you evacuate, that there ought to be no doubt that that was an absolutely serious recommendation from the Nuclear Regulatory Commission that the commissioners had arrived at and were prepared to stand by it. that to have the Chairman transmitting a 3 to 2 recommendation that he didn't agree with.

I take it that you assume that 'ou wouldn't have 18 14 agreed with him.

That was my sense of the conversations, at least as A 20 of Saturday, noon. And for that matter, I shouldn't say 21 3 to 2. I have no particular notion what the three 22 commissioners -23

I wanted to clarify that. 24

I'm just speaking hypothetically. Whether it's 3 to 25 A

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2 or conceivably, 4 to 1, it seemed important that it really carry the weight of commission authority, that the people on the other end of the phone not be put through a different version of who the hell is Doc Hollinson? Are we talking about 50 percent or 60 percent of the commission, and will this change again? In that questioning process, in which I tried to walk through the sequence which led to conceivably half an hour in which to evacuate, it was, I had thought, designed to

It aidn't seem to get there. And one of the reasons that it wasn't getting there was we couldn't figure out Saturday morning and Saturday noon what, in fact, the Governor had already been told.

the government was in order.

lead to the conclusion that at least some firmer advice to

And behind all that also was the question of allocating the uncertainty. There was obviously -- there would seem to be two clear barriers to anything going more seriously wrong; One, that the mixture hadn't reached a detonation level; two, that there was nothing to set off a detonation that anyone could think of going on in the reactor.

All right. I take it then that at least by the time you called Mr. Costle, that you were convinced that you were not going to be able to get the commissioners to do something that you felt had to be done in connection with this

evacuation issue?

A That I wasn't going to be able to get it done soon enough. I couldn't tell on what schedule it would be possible to really face up to the hydrogen/oxygen problem.

And I guess you really need to combine a couple of my last two answers to. I think, understand why I at least felt that it wasn't time yet to sort of pound on the table and say, we're doing this all wrong. We've got to do it somehow differently. Which would be a pretty direct challenge, after all, to the leadership of the person that's in charge of the commission.

The two things you have to blend are, one, the uncertainty about what the Governor had already been told. The other was, and you have to put that word together with the fact that there seem to still be these two fairly firm barriers to an actual explosion taking place inside the reactor.

So that it seemed as though there were at least a day or two more before this came of concern and also, after the Denton phone call — I mean after the Stello phone call — it seemed possible that the governor had been told that he only had half an hour, that he might only have half an hour and that for some reason, that didn't seem to him to dictate an evacuation.

Q Yes. But still, when you called Costle, you had made up your mind that the commission, working through the

- OH gsh I commission was not going to get either a message passed to
 - 2 the Governor that had to be passed, or a recommendation made
 - 3 to the Governor that had to be made, in your view, with some
 - 4 degree of speed.
 - 5 A That's right.
 - 6 Q And I take it what your hope or intention was was
 - 7 that this kind of information or recommendation would come from
 - 8 another source in the Executive Branch of government?
 - A Not necessarily. In the Executive Branch, they,
 - 10 after all, had the DOE labs to turn to. They also could
 - 11 take a look at this problem. They could reach their
 - 12 own conclusion. Or they could simply get back to us in some
 - 13 semi-formal way. That is, Jack Watson or whoever else, if
 - 14 they felt it worth doing, could have come back to the NRC and
 - 15 said, look, will you please give us a yes or no recommendation
 - 16 on evacuation given this concern.
 - 17 Q Did you talk with any of the other commissioners
 - 18 about your intention to call Mr. Costle?
 - 19 A No. I did not.
 - 20 Q Did you tell them after the phone call, any of
 - 21 them?
 - 22 A I've told Victor Gilinsky since then.
 - 23 Q Since then means well after the incident?
 - 24 A Yes. Perhaps in April. Maybe not even then.
 - 25 Q At the time you called Mr. Costle, did you have any

impression as to whether there was an NRC staff position on the appropriateness of evacuation?

A No. The last word that we'd had on that was Roger
Mattson's call.

Q That had been the prior day.

A I'm afraid that that stuck fairly strongly in my mind. Then Harold Denton having reached the site was typically more sanguine on the whole question of lower and immediate levels of releases.

That didn't concern him so strongly on Friday morning. And he was not making calculations about radiolysis. And as came out in the phone call on Saturday morning, that whole concern, he said something just like, I haven't focused on that before.

So the fact that he was sanguine about what had concerned him Friday morning, on the one hand, was that it was nice to have that information; but on the other hand, he was also saying that he just hadn't been thinking about what by then was worrying me.

you did not believe that there was a "staff" recommendation one way or the other with respect to further evacuation.

A I think my sense of the staff position as of then was that the evacuation based on the immediate level releases had, in effect, at least been withdrawn because that problem

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- by then was under control. There was no recommendation, I
- though, on the hydrogen/oxygen concern. I didn't even come
- 3 until Sunday to know the extent to which the staff was aware
- 4 that I had been working on it.
- 5 Q That actually was going to be my next question,
- o whether you considered on Saturday having somebody, or maybe
- 7 you, going formally back to the staff and saying, here's what
- 8 we understand.
- At the commissioner's level, what do you understand? What's
- 10 your recommendation?
- A My understanding was that Joe had by then done that.
- 12 In fact, it now turns out he did it in a 2:00 a.m. call to
- 13 Roger Mattson.
- But even on Saturday morning, he made reference to the
- 15 fact --
- 16 Q So you believe that the staff -- I'm just
- 17 wondering what your belief was?
- 18 A I believed that the problem was being worked on.
- 19 What was troubling me was that by leaving people where they
- 20 were while the problem was being worked on, we were putting
- 21 a lot of confidence in those two barriers.
- 22 And the other point, two other points that were troubling
- 23 me. One, I had no great faith, then or now, in evacuation
- 24 plans as a way of moving people on anything like one or two
- 25 hours' notice. And the other was that by then, we were three

or four days into an accident, which the staff assessment

2 had not, for whatever reasons, gotten correct in the first

3 two days.

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There had been huge, by then, clearly huge gaps between what was going on in the reactor and what we at H Street and the staff at Bethesda thought was going on at the reactor.

O So you lost some confidence in the staff's ability to accurately evaluate.

A Leaving the staff's ability aside, at least lost some confidence in the whole process of transmitting information about the reactor and analyzing it and getting it to the commission.

I wasn't sure where the problems were, but they were obviously huge problems. And to go from being confident about the safety of the reactor, in general, on Tuesday night to hinging everything on a couple of percentage points of oxygen and the fact that nobody could offhand think of a way to set fire to it on Saturday, is just a bigger jump than I was prepared to make.

O Now on Saturday, you had some senior staff officials on the site. So presumably, they were closer to adequate sources of information than the staff had been on Wednesday and Thursday, when everybody was located in Bethesda, or the senior staff was at Bethesda, right?

25 A That's right.

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A Had you given any consideration to whether there

ought to be specific directives sent to Denton or to Stello

to say, you know, look, let's lay this all out and get those

people working on an analysis right away, get a judgment

from them as to whether or not my concerns are warranted,

putting aside whatever you testified to already doing in

the Denton conversation?

Yes. That was the process that I thought Joe had 0 set in motion. The answer was that it was going to take another couple of days to get those calculations back. 10

On Friday, a couple of days. On Saturday, just another

24 hours. 12

> And what was troubling me was that there just wasn't enough margin of safety, given the margin of safety that had already gone awry the first three days of the accident.

In Mr. Gibbon's note - I was going to say page 41, 16 0

41 of the 4th day. I guess. 17

At any rate, at the very bottom it says --18

Could I just add one thought to what you said 19 about why not push the process harder? 20

You have to look, I think, at those three days, Friday 21

through Sunday, as something of a continuum. That is, I didn't when I called Costle simply throw up my hands and say, 2:

I give up on getting a sensible answer. 24

We then went on working on that question on through until

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Oh gsh 1 Sunday afternoon, when Bob Budnitz came.

on the one hand, Victor Gilinsky pulled together an evacuation matrix which clearly said that if there were a flammable hydrogen/oxygen mixture in the reactor, it was time to evacuate. On the other hand, Budnitz had come in and said, yes, flammability is something that you'd have to worry about because that alone, let alone detonation, will generate sufficient pressures.

So all of a sudden, instead of having to have 16 percent oxygen, it turned out to be important if you only had 4 or 5 percent oxygen in the vessel.

So eventually, that process reached a point where the four commissioners did concur in what turns cut to be the absolutely incorrect conclusion that I had had all along, which was that evacuation was necessary.

10 Q What do you mean it was for the wrong conclusion?
17 It was just for the wrong reasons.

A Incorrect in terms of how much oxygen was actually being generated. There was no problem. I'm not sure if it was incorrect in terms of the right way to allocate uncertainties.

In fact, I'm sure it's not to the extent that I would have done it the same way again.

Q Referring to the bottom of page 41 for Saturday the 31st, there's a notation next to the hour of 1:15. PB, says

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163 813.02.16 Thornburgh, may get a call from another source. uli ush All that I could have meant by that, I don't remember 2 A using those exact words, was that the Executive Branch were 3 to conclude that this was a significant concern, there was nothing then to prevent them from advising the Governor 5 separately. 0 If the NRC continued not to conclude that any further 7 advisory were necessary -- and the Executive Branch did --O they might call for it separately. During your conversation with Mr. Costle, had you had 10 any particular discussion of the fact that perhaps somebody 11 else in the Executive Branch would actually call Governor 12 Thornburgh and provide the type of information that you 13 thought had to be provided? 14 Not that I remember. My recollection was that this 15 was just a concern that you all ought to be aware of, and 16 we ought to be working on it, if it seems to you to be a real 17 one. For what it's worth to you, I don't think that we're 18 19 allocating the uncertainty correctly. You may take a look at it, but you may come to another 20 21 conclusion.

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013.03.1 It appears that there was a telephone call that DH gsh was made to the Governor's office by a man named Anthony Robbins of NIOSH. 3 Have you ever heard of the gentleman or have any reason to believe that that's as a result of your telephone conversation 5 with Mr. Costle? 6 A It's interesting that you tell me that. That's the 7 first time that I've heard that, and it explains somebody 8 else's once asking me if I'd ever talked to Anthony Robbins, a question which at the time I couldn't understand. 10 11 (Laughter.) BY MR. BERNERO: 12 Does he live at York Haven. 13 He was at the meeting at 5:00 on March 30th. That 14 A is the first time I met him and I think it's the only time 15 that I ever spoke to him. 10 I did not ever speak personally with him about this 17 concern. 10 BY MR. BALLAINE: 14 He was, by the way, I believe, at the meeting on 20 Saturday in the situation room. 21 Do you think he was also at the Friday meeting? 22

I think he was at the Friday meeting. I had

forgotten that he was at the Saturday meeting. It's not

inconceivable that I talked with him once in the weeks

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following the accident because I did talk a couple of times

with Rick Cotton, who was Califano's chief aide following

the accident, and he at one point told me of some questions 3

that some of the HEW staff people had, and I may have talked

directly with one of them, and it may have been Robbins. 5

But since you're talking about those, now I never discussed 6 that concern with Robbins. 7

There is, again, on your telephone log a notation 8 called to Mr. Dan Ford again. But if I read this correctly, you didn't speak to him at that time. Did you talk to him 10

Yes. It appears on my chronology that I talked 12 with him at about 9:30 on Saturday night.

on Saturday or Sunday, as best you recall?

Did you talk at all about the kinds of concerns 14 that you had expressed to Mr. Costle? 15

No. I didn't. He, by then, was very concerned and felt very strongly that some form of more extensive evacuation was in order, but I just didn't feel that I could discuss the commission deliberations with him.

And while I had asked him for any information or analysis that he could give me and responded as best I could to his questions, I had to repeatedly say, look, I'm sorry. I just can't talk about that. It's part of the commissioners' deliberative process and maybe some day we can talk about it, but we can't tonight.

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I don't have a specific recollection of Chairman Hendrie's saying that now. But Tom's notes are a much more reliable source of what was actually said during those three 14 days than my memory today. 15 Do you have a recollection of that? Q 16 17

than was then in effect.

MR. GIBBON: An independent recollection of the notes? I do remember Hendrie discussing the fact that he had called the meeting in Bethesda at the press conference so that he could get the staff's calculations.

On page, again with respect to Mr. Gibbon's notes

for Saturday, on the 45th page there's a notation, I think,

attributable to JH, which I take to be Commissioner Hendrie,

Now what I'm first interested from you, Commissioner, is

whether you have a recollection as to whether the commissioner

at any time indicated, yes, if at any time we have reached a

point that the bubble in our view is flammable. Well, then,

at that point, we will recommend some broader evacuation

if we have calculations showing flammability, would have

called Governor to get people out of there.

THE WITNESS: These were calculations on hydrogen and oxygen?

MR. GIBBON: Yes. The reason he called the meeting in Bethesda was so if the staff's calculations had turned out badly, they could have an immediate commission meeting. And

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I got the firm impression that he had put off the phone call to Governor Thornburgh until he could get the staff's calculations

3 MR. BALLAINE: All right.

BY MR. BALLAINE:

You indicated earlier on Sunday that there did come
time when the commissioners made a recommendation, or at
least some kind of decision.

A Let me just, if I could, clarify one other thing.

Tom, do you recall if this was being said during a

commission meeting?

MR. GIBBON: You see, that's, again, the point that I made at the beginning of this session, that I think unless somebody's prepared to make an extensive retrieval effort with the original tapes, these transcripts are at best a very spotty guide to all that was said during those three days on the subject of hydrogen and oxygen and on the subject of evacuation, on any subject.

BY MR. BALLAINE:

Now with respect to the decision that was made, at least among commissioners who were still here in the Washington area on Sunday, at that time did you believe that there was any staff recommendation one way or the other with respect to the appropriateness of evacuation?

A I didn't have a firm continuing belief on that question, other than that I assumed that any time the staff

OH gsh

thought that evacuation was in order, they would certainly tell us.

By then it wasn't clear that the staff was still in charge of coming to evacuation conclusions independently of the commission. We were then working in a room 30 feet from the response center.

Harold Denton, who was the essential member of the EMT, was off at Harrisburg, and I don't remember having the feeling that the staff was being asked to independently assess or was independently assessing on its own, whether it should through the EMT mechanism come to an evacuation recommendation.

In retrospect, do you think that it was appropriate for the commissioners to have been making this kind of judgment amongst themselves as to evacuation recommendations without some kind of staff consideration and reinion?

A Well, let's see, we did have staff input into Victor Gilinsky's night-long effort on Saturday.

Q That had nothing to do with whether or not you would make a recommendation.

A It was how to go about making that recommendation. We also, in the sort of second link, had staff input into whether the conditions in those boxes under the heading of evacuation had been met.

So that on the one had, the group working with Steve Hanauer had laid out the evacuation criteria, and on the other hand,

JH gsh

a group of staff and outside consultants reporting back to

Bob Budnitz had worked on describing what the conditions

3 were.

And about all that we all actually did on Sunday afternoon was to discover that there was a mesh between one part of the staff's conditions for evacuation and the other part of the staff's assessment of what the actual conditions were.

among the commissioners, did you believe that there was any one member of the staff that you could point to who actually would have made that same recommendation of evacuation?

A You're asking me what I did believe at the time, won't get us anywhere because I didn't have any belief because I wasn't asking the questions.

Q You didn't give any thought.

A If you ask me to think back on it and you asked whether there might have been, I just don't know. Bob Budnitz was certainly very concerned.

Q Anyone else?

A I don't know who else Budnitz may have worked on.
But what he did was to sketch for us the flammability
contamination, the reactor vessel.

He also set forth ways in which it was at least possible that enough heat or a spark or something could be generated within the vessel so that you actually could set the thing off

- H gsh 1 So he h
 - So he had, in effect, taken down both of the barriers.
 - 2 All right. But I'm asking for other people, and
 - 3 I guess the answer is --
 - A Well, my point is that he was working with a bunch
 - of other people in pulling all those things together.
 - o And when I tell you that I can't think of anybody else,
 - 7 it doesn't mean that there weren't 40.
 - 0 0kay. Now you talked about this concern that you
 - y expressed to Mr. Costle on Saturday. And I take it that you
 - 10 have had other conversations in the same vein with him over
 - 11 the weekend.
 - 12 Is there anyone else that you expressed this concern to
 - 13 other than people within the staff or the commissio.?
 - 14 A Let's see. I certainly expressed it to Tom.
 - 15 Q I mean outside the NRC.
 - 10 A And this is on either Saturday or Sunday?
 - 17 Q Friday, Saturday Sunday, or Monday.
 - 18 A Well, it wasn't done until Saturday. To whom I
 - 19 expresed the concern? You mean --
 - 20 Q The kind of concern that you expressed to Mr.
 - 21 Costle. You know, the Governor needed more information and
 - 22 he should be given recommendation.
 - 23 A You're not asking if I told anyone else that I
 - 24 called Costle.
 - 25 Q No.

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                        Not that I can remember. Physically, I was at the
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               commission offices almost all of the waking hours during that
               period.
                It's conceivable, and even likely, that I told my wife.
               But I would doubt very much that I told anyone else who was
               involved with the Three Mile Island accident.
          0
                         Let me show you what's been marked as Exhibit
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                5095.
                                        (Exhibit 5095 identified.)
                         This, I believe, is a one-page memorandum which
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                  Q
                you prepared at or about the time that the commission was
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                considering a public statement explaining the licensing process
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                  Now I'm really only interested in one thing that you say
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                in that memo. It's, I guess, the third sentence. You say:
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                "I have a sense that there's some odd maneuvering at work
          15
                here."
          16
                   And I wonder if you could elaborate a little bit for me
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                on what you meant by that?
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                          That's really what is laid out in the rest of the
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                memo. Do you mind if I take a moment to read it?
          20
                          Sure. Please do.
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                   Q
                          (Pause.)
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I wonder if you can elaborate on odd maneuvering

here, unless you think that you can't do any better than what's

Yes. okay.

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of gsh I actually said in the letter.

2 A I can do a little better, but not much.

The substantive concern is the one that is set forth in the January 31 memorandum.

What had happened at that point was that the commission had withdrawn its endorsement from the executive summary and some of the rest of the so-called Rasmussen Report, WASH-1400.

We were thereupon called to testify as to why we had done this and what it all meant.

We were getting letters from the industry suggesting some interpretations to us. We were getting letters and questions from Congressmen saying, in effect, but, of course, you still believe that everything is safe, don't you?

And the draft testimony that was coming up from within the staff, also the off-site policy evaluation continued to say in various ways, reactors are safe.

It seemed to me that the end result of this process was that industry spokesmen and all of their thousands of rotary and other service club appearances around the country were looking for a statement by the NRC to substitute for the old "safer than me" that they've been able to take from the executive summary of the Rasmussen Report.

I just didn't want the commission's testimony explaining its actions based on the Lewis Report to be used on that way.

What I was really trying to do was to get that reactors are

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safe formulation out of the commission testimony, which was going to be given in the next week or so.

Am I correct that on or about February 26th there was a hearing at which you were present. And all the commissioners in which the Chairman said, at least in substance, that there was adequate protection to health and safety. I take it that you were distinguishing between that statement and the statement of what is "safe," because what is safe is a very subjective term.

The testimony got reworked substantially and in the A end, I still wasn't fully comfortable with it. So I gave a short separate statement at that same hearing.

Okay. I think I recall that. I want to talk or 13 a moment only about Davis-Besse. 14

You, of course, were approached by Mr. Creswell shortly 15 before TMI, as it happens, under the so-called open door 10 17 policy.

Had you ever had an inspector come to you under the open 18 door policy prior to Mr. Creswell? 14

Not an inspector. I've had other employees come. 20

That's my next question. Just how many have during the time that you've been commissioner before Mr. Creswell?

There are three that come to mind, none with concerns as specific as Creswell's to a particular reactor and a particular sequence of events.

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And other than those instances, were you aware of any other instances in which somebody had gone to a

commissioner under the open door policy?

A Since I've been with the commission?

5 Q Yes.

A Yes, I think three others, including one that was
quite specific, a particular concern with a particular
reactor.

out, was having a great many difficulties while the technical concerns were looked at.

12 I think they were found to be not serious.

Now as I understood your testimony before in deposition by the Kemeny Commission, your belief before the Creswell incident was that basically, there were no reprisals against people who came forward under the open door policy to the commission.

Is that, in fact -- was that in fact your belief?

19 A Yes, that's still my belief.

20 Q Okay.

A My concern about reprisals in Creswell's case didn't have to do with his coming to the commission. He had said that even before he came to the commission, he had felt that his continued raising of the concerns within the region had resulted in personnel evaluations that he considered

ud gsh I adverse.

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I take it that he's the only one of the people who
came to you under the open door policy that made that kind
of allegation?

The others in each case had already been subjected to act ons that they considered to be adverse. Indeed, that was part of the reason for coming to me.

With respect to the other people, did you do anything in the aftermath of the open door meeting in order to determine whether there, indeed, was some kind of retribution for what the person was doing in coming to you, at least?

A Yes, I did, in the sense of trying to learn more about the background to the controversies. In each case, these were long-standing controversies within the agency. In two of the three cases -- no, let me put it differently.

In two of the three cases, I think that the individuals are now reasonably satisfied, at least the last I knew of their situations they were, as to the personnel side of the activity. And one of the three -- no, I guess I can't say that.

We have dealt in many ways with the particular safety concerns raised by two of the three and are still working on the concerns expressed by the third.

I wonder if you have any opinion today as to whether or not there is some kind of retribution quite apart

- uli gsh
- from what might happen on the merits to someone who jumps over
- 2 the normal chain of command under a kind of open door policy
- 3 within the NRC?
- 4 A Not to my knowledge is the best that I can do for
- 5 you.
- o In Creswell, I haven't heard anything to that effect in
- 7 Creswell's case since the open door meetings, which, of
- o course, also means since Three Mile Island.
- It would be pretty unlikely. I think, for him to be the
- 10 target of reprisals at this point.
- 11 Q By the way, in connection with the Creswell matter,
- 12 we know from deposing Commissioner Ahearne that some devices
- 13 were used in an effort to keep the staff from finding out
- 14 that Creswell had come to the commissioners, or at least to
- 15 you and to Commissioner Ahearne, and still allowing you to
- 16 try to check back and find out.
- 17 A Not were used; would have been used.
- 18 Q Okay. It became obviated by the circumstances.
- !y I wonder what was done in the prior experiences that you had,
- 20 if anything, to keep the people back on the staff from
- 21 finding out that an individual had jumped over their heads.
- 22 to so speak, in order to speak to you about their concern.
- 23 A Well, as I say, all three of the cases were ones of
- 24 long-standing concern. They were all pretty soon after I
- 25 came here.

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I take it there wasn't the one concern?
UH ash
                         Confidentiality is not a serious problem. They
               were matters that I would have logically inquired into any
               way because they've been the subject of extensive public.
               and in some cases, conventional discussions.
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                   So it was easier to make it without specifically saying
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                that the individual had come in the open door because --
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                        Are you of the opinion that the open door was
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                valuable, at least as far as your specific experience has
                been concerned?
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                         Yes. Certainly, the policy seems to be an essential
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                one.
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                          I wonder whether you thought in particular with
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                respect to the particular things that were brought to your
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                attention, that it turned out to be valuable insofar as the
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                NRC's function of, you know, trying to insure adequate
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                safety is concerned, as distinct from other perfectly valid
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                considerations that might be served.
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                   A It certainly was valuable. It would have been more
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                valuable, of course, if somehow it had led us to re-evaluate
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                what to do about the Davis-Besse sequence in time to get the
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                right advisory notices out to Three Mile Island.
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                         That actually reminds me of one more question in
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                that regard.
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You indicated in your deposition before the Kemeny 25

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Commission that Hugh Thompson made some kind of preliminary analysis that there was some merit to Mr. Creswell's concern.

At any time, to the best of your recollection, did he pinpoint certain aspects that he did view to be of merit or other aspects that he viewed to be of less merit?

Not that I can recall now. Basically I asked him to make sort of a threshold determination. This is something that we're going to want to follow up on. And he said, yes, and explained to me in general terms.

Q Is there anything in writing, by the way, or was this oral?

A I think this is just oral. He talked extensively with Creswell by phone himself and then we talked about it.

I had originally indicated to Creswell that I would be glad to go out to his region and meet with him. He had offered to come to Washington at his own expense.

That seemed unnecessary. But unfortunately, three weeks before Three Mile Island and about ten days after Creswell first called me. we got into the brouh ha involving shutting down the five plants that had the computer code problem and errors in their seismic design, and we had to testify four or five times in the following days before the Congress on that.

24 I just never got out there.

Creswell felt that his concerns were urgent enough that

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confirmed.

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they couldn't wait. So he came up.
OH gsh
                  But it was sometime during those three weeks that he
               made, whenever the threshold appraisal was, that this clearly
               was not a frivolous set of concerns.
                       All right. Let me go back a bit to something that
               was a matter of discussion earlier.
                   I want to show you what's been marked as Exhibit 5096.
           7
                                       (Exhibit 50% identified.)
           O
                          It's a memorandum for Jack Watson signed by
                  Q
                Joseph Califano, dated noon, March 31, 1979.
          10
                  Take a look at it, if you would, and tell me whether
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               you've ever seen it before and, if so, when the first time
          12
               you saw it was.
          13
                         (Pause.)
          14
                         I have never seen it before. May I take a moment
          15
                to read it?
          10
          17
                  Q
                         Sure.
                         (Pause.)
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                       Okay. Never seen it before?
          14
                         I have never seen it before. I had heard some two
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                  A
                or three months after the accident that Califano had, in
          21
                fact, made a recommendation of some sort here. But I've
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Q During any of the conversations with Mr. Costle, was 25

never seen the memorandum and, in fact, I never had that

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there ever discussion of any memoranda that were prepared

either by EPA or HEW with respect to, you know, the need to

urge further evacuation?

No.

To the best of your knowledge and recollection, did you have a conversation, again, not having seen this, do you 6 remember having had conversations with anybody at HEW in 7 which you expressed the kinds of concerns that you've

testified to having expressed with Mr. Costle?

I do not, but I'm checking the telephone logs to see. I did talk to Rick Cotton in Califano's office, but not until the day after the memorandum you've just shown me was sent.

I don't remember whether my conversation with Cotton would have touched on my concerns or not. I rather doubt it because by the middle of Sunday afternoon, it had begun to appear to me that the NRC was on a course that would result in our dealing with those concerns one way or the other.

I may later have told Cotton about the concerns that I had had during the accident, but I'm almost certain I did not tell him of them before.

Now I'm absolutely certain that I didn't tell him before that memo was dispatched because Costle was the first person outside of this agency that I told.

That was at nearly 1:00 and that memo, which is clearly

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of gsh 1 quite a long one, was not written casually or hurriedly. It seems to have arrived at the White House a few minutes after

> 2:00.

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I notice. by the way, that there was a reference in the Friday log to Rick Cotton, although it's not entirely

clear to me whether or not -

A I think that that means that I did no speak with him. Again, why don't you stop by and ask on your way out to run through what the marks mean. That flat squiggle is distinguished from a check. It doesn't mean that I spoke to nim, but I don't know what the difference is between the flat mark and the blank space.

Okay. There is a notation on April 2.

BY MR. CHIN:

The only question I have, in the Califano memo, you talked about a 20-mile kind of a radius. Was that discussed on Friday afternoon over at the Secretary's office?

A I think so. I think in those worst case scenarios, one of the questions that came up was how far out might the releases extend.

Q That was a question posed to you?

A Posed to Commissioner Gilinsky. But as it turned out, he did most of the talking for the NRC at that meeting. I noticed in this memo, they referred to our having said times as short as 6 hours, which confirms my recollection that we weren't fully aware of the hydrogen/oxygen concern and where it would lead us until after we went over to HEW on Friday afternoon.

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And the 20 miles dealt with an explosion or a ull ash meltaown? The 20-mile figure came up again. Joe Hendrie used 3 it in a press conference - I guess that was after this -on Saturday afternoon. And just as I'm not sure exactly 5 where he took that number from when he used it in his press conference, I don't know whether Califano got it from here. 7 Hugh's notes may give you some better feel for the exact 8 numbers that we discussed. But I think basically, what Victor did was to describe the worst case scenarios from the 10 earlier studies that have been done and let them draw their 11 12 own conlclusions. Q Is your recollection that Mr. Gilinsky raised the 13 20-mile limit? 14 No. I'm sorry. I don't remember 20 miles 15

15 A No. I'm sorry. I don't remember 20 miles
16 specifically being discussed as different from 10, 30, or 50.
17 That may well be a number that they derived themselves from
18 our discussion.

Let me look at the memo again for a minute. I don't remember it saying that we told them 20 miles.

21 Q No. I don't think that it says that.

A That's right. The 20 miles is the number that they arrived at based on whatever we told them and whatever information they may have derived from their own calculations.

25 MR. CHIN: That's all. Thank you.

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| h gsh | 1 | MR. BALLAINE: Mr. Commissioner, we have a couple |
| • | 2 | more questions we want to ask you. |
| | 3 | BY MR. SCINTO: |
| | 4 | Just one. I am right in indicating that Mr. |
| | 5 | Creswell came to see you shortly before the Three Mile |
| | 6 | Island accident. |
| | 7 | Is that correct? |
| | 8 | A That's right. I think the 20th of March sticks in |
| | y | my mind. |
| | 10 | Q In Mr. Creswell's discussion with you, did he |
| | 11 | discuss just the famous incident at Davis-Besse or did he |
| | 12 | discuss other incidents? |
| | 13 | A He discussed just Davis-Besse. He didn't confine |
| | 14 | himself to one famous incident. |
| | 15 | His concern was that that was a badly managed plant, that |
| | 10 | there were at least two specific problems that had occurred |
| | 17 | there that troubled him, along with the managment and its |
| | 18 | attitudes in general. |
| | 19 | I don't think he discussed other incidents at other |
| | 20 | plants. |
| | 21 | Q I was going to ask you, did he discuss with you |

the Rancho Seco incident that is in his memorandum?

I don't recall his mentioning Rancho Seco.

concerns raised by Mr. Creswell in this memorandum relating to

Are you aware of any commission follow-up on the

- OH gsh
- the Rancho Seco incident?
- 2 A No. In fact, you'll have to refresh my memory.
- 3 This is his memorandum of what date?
- 4 It's in January, the memorandum in January. It
- 5 went up through the chain and eventually was sent to the
- 6 licensing board.
- 7 MR. BALLAINE: I think it was in the big package.
- THE WITNESS: Yes. I recall that memo. I thought
- you meant a separate memo solely on Rancho Seco.
- 10 BY MR. SCINTO:
- 11 Q No.
- 12 A No. The whole set of actions that we've taken
- 13 with regard to the B&W plants and operating reactors generally
- 14 after Three Mile Island, I'm not aware of any specific
- 15 actions.
- That were taken specifically in connection with the
- 17 incident that Creswell described.
- 18 A At Rancho Seco.
- 19 Q Right. And you obviously don't recall what
- 20 incident this is.
- 21 A This is the one with the lightbulb.
- 22 Q You recall what the incident was.
- 23 (Laughter.)
- 24 THE WITNESS: Yes. But I think I learned about it
- 25 during the commission's deliberations on what to do about the

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other Baw plants after Three Mile Island.

2 BY MR. SCINTO:

Is it your understanding that the action that the commission has taken with respect to the other B&W plants responds to that concern?

o A I can only answer that yes in a general sense. I can't tell you which action, specifically.

8 I recognize that. I wasn't anticipating that you would be able to do that.

A I was a packet of actions related to B&W plants' response to the set of concerns that have been raised about them as a result of the Three Mile Island and Rancho Seco.

13 I think there was also a feedwater problem at ()conee.

14 BY MR. CHIN:

One quick clarifying question just before we end.

In the guidelines that you discussed as necessary for the EMT to consider in recommending evacuations, do you believe those guidelines should include some deliberation about he conditions at the site and the area surrounding the site such as population, hospitals, nursing homes, prisons?

Should the staff consider those aspects in their deliberations, particularly when there's some uncertainty involved?

A We ought to know if there are special difficulties associated with evacuating a particular area. There might be

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- some reason why one would want to move a little more
- 2 quickly to deal with the specific problems
- 3 But, again, the most we would do with that would be to
- 4 pass it on as advice to the Governor. And I don't think we
- 5 really ought to be the ones who dwell on that.
- o Conceivably, FEMA, and certainly the Governor's office,
- 7 when they're aware that there may be some very short lead
- s times involved, ought to be moving.
- We ought to be able to tell them and you ought to pay
- 10 special attention to hospitals, or whatever. Whatever local
- II geographic features seem troublesome.
- 12 But I don't think that we ought to be spending a lot
- of time conducting the specific analysis about what ought to
- 14 be done during the accident. During the licensing and the
- 15 approval of the emergency plant, yes.
- 16 Q So in other words, the commission should be
- 17 concerned largely with the technical assessment rather than
- lo site assessment.
- I think that's generally true. The greatest
- 20 service that we can do is to pass on a clear picture of
- 21 what's going on in the reactor and above all else, a clear
- 22 picture of what the uncertainties associated with what our
- 23 level of knowledge is.
- 24 We can tag a recommendation onto that, but the main thing
- 25 is that the Governor be given just the clearest picture we can

813.04.7 give him of what it is that he's dealing with. uti gsh MR. CHIN: Thank you. THE WITNESS: Can I ask myself a question? 3 (Laughter.) 4 THE WITNESS: You asked at the beginning of the morning questions about revealed standards and overall 6 safety philosophy. 7 I had a concern about exactly what adequate protections for public health and safety meant. In very specific instances for some time, and last spring, probably, I think 10 as a result of the Rasmussen Report. discussions and debates 11 had asked OTC to do an extensive history of the meaning of 12 adequate protection of the public health and safety. 13 They've just sent that up as of a few days ago. And it's 14 15 a document that might be of interest. MR. BERNERO: Yes. we'll contact you. 16 17 THE WITNESS: I've only had a chance to skim it myself, but it seems to be a fairly sweeping history and 18 analysis from the point of view of what the commission is 18 allowed to take into account. 20 MR. BALLAINE: Okay. Apparently, at long last we 21 have no further questions. Because this is a continuing 22 investigation. we can only adjourn this deposition. We may 23

have to come back and ask you some further questions. 24 Hopefully, that won't be the case. 25

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But we want to thank you for your candid responses and thank the Reporter also.

(Whereupon, at 4:00 p.m., the hearing was adjourned.)

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