CONGRESS OF THE UNITED STATES HOUSE OF REPRESENTATIVES S BCOMMITTES ON OVERSIGHT AND INVESTIGATIONS

COMMITTEE ON INTERSTATE AND FOREIGH COMMERCE WASHINGTON, D.C. 20515

Eckhartt

March 21, 1980

Honorable Joseph M. Hendrie Chairman Nuclear Regulatory Commission 1717 H Street, N.W. Washington, D.C. 20555

Dear Mr. Chairman:

The Subcommittee on Oversight and Investigations of the House Committee on Interstate and Foreign Commerce is charged under Rule X of the Rules of the House of Representatives with the review and study on a continuing basis of the application, administration, execution and effectiveness of those laws or parts of laws, the subject matter of which is within the jurisdiction of the Committee, and the organization and operation of the federal agencies and entities having responsibilities in or for the administration and execution thereof, in order to determine whether such laws and the programs thereunder are being implemented and carried out in accordance with the intent of Congress and whether such programs should be continued, revised or eliminated. We are further directed to study any conditions or circumstances which may indicate the necessity or desirability of enacting new or additional legislation within the Committee's jurisdiction. In meeting these responsibilities the Subcommittee is presently undertaking an inquiry into the role and the impact of the Office of Management and Budget (OMB) in the administration of those laws and the operation of federal agencies for which our. Committee has jurisdiction.

In this regard we will appreciate your assistance in responding to the following questions and attaching any relevant documents which support those responses:

Please provide a description of those agency actions or requirements which are subject to review by the Office of Management and Budget, including but not limited to budgetary requests, requests for changes in statutory authority, agency structure, personnel ceilings, prospective testimony before Congressional Committees and proposed agency regulations. Additionally, please describe for each the basis for that review, including but not limited to whether they are mandated by statute, occur at the request of OMB or by practice of the agency. Please state when these proposals are submitted to OMB

4/23.. To OGC to Prepare Reply for Signature of Chairman.. Date Due: May 1 : Cpys to: RF, OCA to Ack, rapifaxed to EDO.. (Note: response to be coordinated 800711 0278 with EDO)...80-0812

(e.g., before or simultaneously with submittal to Congress) and whether OMB's response is considered mandatory or merely advisory to the agency.

- Please describe the process by which your agency makes proposals to OMB and the manner in which OMB reviews and acts upon those proposals. Include any self-initiated reviews by OMB. Please provide for the past five years, by name and title, the individual or individuals within your agency who works (worked) directly with OMB personnel as well as the name of the individual or individuals within OMB who are (were) directly responsible for the review of your agency's proposals.
  - 3. For each of the last five fiscal years please supply the following information:
    - a. The amount of budget authority requested of the Office of Management and Budget for your agency, including supplemental requests, as well as the amount approved by OMB in each instance. If such requests were made based upon particular laws or programs, please provide that information by law or program.
    - b. The number of personnel for the agency requested of OMB as well as the number of personnel approved by OMB. If such personnel was requested based upon particular laws or programs, please provide that number according to such law or program. Include a discussion of how the personnel ceiling was reached for your agency and the extent to which that ceiling has necessitated contracting by your agency with outside consultants.
    - c. All proposals which you have submitted to OMB for changes in statutory authority affecting your agency as well as a description as to the manner in which OMB has or has not acted upon those proposals.
    - d. Copies of all testimony prepared for delivery to Congressional Committees which have undergone changes or proposed changes as a result of OMB review. If possible, please provide copies of the testimony as it was submitted to OMB before such changes or proposed changes were made. If copies of pertinent testimony are not available, then please provide as detailed a description as possible of such changes or proposed changes that have been made by OMB.

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e. Any other decision or action on the part of OMB which has had an impact, whether to hinder, enhance or alter, the current mission of your agency or the development of any new regulatory programs or activities.

The Subcommittee will appreciate your cooperation with this request and asks that we receive your response by the close of business April 14, 1980. Should you have any questions regarding the request, please contact Subcommittee Counsel Patrick McLain (225-4441).

Sincerely

Bob Eckhardt

Chairman Subcommittee on

Oversight and Investigations

ENCLOSURE 1

Budget History FY 1977 - FY 1981 (Dollars in Thousands)\*

		FY 19	977		FY 19	978	FY	1978 Supp	lem	ental		FY 19	979
		mmission equest	OMB Approval		mmission equest	OMB Approval	Con	mission quest		OMB proval		mmission equest	OMB Approval
NUCLEAR REACTOR RE	GIII	ATION											
	\$	14,325 (649)	\$ 14,325 (613)	\$	14,575 (642)	\$ 14,307 (618)	\$	1,000	\$	(-)	\$	18,310 (635)	\$ 15,680 (616)
STANDARDS DEVELOPM	ENT												
Program Support		5,598	5,598		6,010	5,747		.34		-		7,010	6,800
People		(170)	(153)		(153)	(153)		(-)		(-)		(160)	(157)
INSPECTION & ENFOR	CEM	ENT											
Program Support		3,730	3,730		4,350	3,979		600		500		4,780	4,310
People		(683)	(592)		(713)	(666)		(75)		(61)		(860)	(715)
NUCLEAR MATERIAL S	AFE	TY & SAFE	GUARDS										
Program Support		8,400	8,400		11,520	10,971		2,700		2,700		13,020	13,020
People		(306)	(276)		(315)	(284)		(-)		(-)		(301)	(293)
NUCLEAR REGULATORY	RE												142 100
Program Support		130,040	109,255		139,015	132,810				-		154,970	147,100
People		(143)	(135)		(167)	(150)		(-)		(-)		(176)	(162)
PROGRAM TECHNICAL	SUP	PORT											
Program Support		1,387	1,387		910	892		300		,-,		1,650	1,650
People		(226)	(212)		(251)	(241)		(-)		(-)		(239)	(239)
PROGRAM DIRECTION	& A												
Program Support		4,300	4,300		10,285	10,250		,-,		,-,		2,890	2,890
People	-	(573)	(548)	-	(606)	(583)		(-)		(-)	-	(634)	(606)
Subtotal Program										2 000		name of the	6101 ACO
Support	\$		\$146,995	\$	186,665	\$178,956	\$	4,600	\$	3,200	\$		\$191,450
Total People		(2,750)	(2,529)		(2,847)	(2,695)		(75)		(61)		(2,999)	(2,788)
Personnel Comp.	\$	69,095	\$ 65,750	\$	77,670	\$ 73,800	\$	760	\$	455	. \$	88,400	\$ 84,020
Personnel Benefits	5	6,225	5,920		7,000	6,700		70		45		8,000	7,740
Admin. Support		17,500	17,300		17,980	17,580		960		1,040		31,940 7,380	31,940 6,780
Travel		4,990	4,755		5,505	5,370		600 10		10		10,130	8,740
Equipment	-	8,710	8,710	4	10,280 305,100	\$292,150	\$	7,000	\$	5,350	\$	348,480	\$330,670
TOTAL	4	274,300	\$249,430	4	303,100	\$2.52,150	4	,,000	4	0,000	*	3.0,100	4000,010

Budget History FY 1977 - FY 1581 (Dollars in Thousands)

	> 0	1980 0MB	Colle	Commission	V 1980 Supplemental	Commission Domest	OMB
	Rednest	Approvat	Же	Rednest	Approva	vednest	Approva.
NUCLEAR REACTOR REGULATION Program Support \$ People	10N 20,576 (746)	\$ 21,151	**	10,760	\$ 10,760	\$ 26,073 (775)	\$ 26,073
STANDARDS DEVELOPMENT Program Support People	6,700 (162)	6,425 (157)		1,520	1,520	7,150 (168)	7,150
INSPECTION & ENFORCEMENT Program Support People	1 5,285 (729)	5,285 (724)		600	(11)	6,794 (958)	6,691
NUCLEAR MATERIAL SAFETY Program Support People	SAFETY & SAFEGUARDS 16,525 (309)	S 15,779 (297)		2,400 (23)	2,400 (16)	24,245 (347)	21,745 (335)
NUCLEAR REGULATORY RESEARCH Program Support People	ARCH 186,350 (173)	168,000 (159)		27,200	23,900	227,600 (189)	207,100 (178)
PROCEAM TECHNICAL SUPPORT Pregram Support People	RT 1,935 (249)	1,930 (246)		1,155 (32)	1,145 (20)	2,798 (294)	2,798 (294)
PROGRAM DIRECTION & ADM Program Support People	& ADMINISTRATION 2,572 (621)	2,400 (597)		(8)	(5)	2,537	2,537 (753)
Subtotal Program Support Total People	\$ 239,943 (2,989)	\$220,970 (2,896)	₩.	43,635	\$ 40,325 (60)	\$ 297,197 (3,518)	\$274,094 (3,390)
Personnel Comp. Personnel Benefits Admin. Support Travel Equipment TOTAL	\$ 94,715 8,995 36,300 7,327 11,250 \$ 398,530	\$ 92,140 8,720 34,100 7,320 10,050 \$373,300	<b>⇔</b>	1,255 120 7,933 625 1,000 54,568	\$ 500 7,530 625 170 \$ 49,200	\$ 114,745 11,010 53,646 9,200 16,280 \$ 502,078	\$112,730 10,820 47,366 9,200 14,280 \$468,490

ENCLOSURE 2