



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

November 21, 2019

MEMORANDUM TO: Margaret M. Doane
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE U.S.
NUCLEAR REGULATORY COMMISSION'S OVERSIGHT
OF SUPPLEMENTAL INSPECTION CORRECTIVE
ACTIONS (OIG-19-A-19)

REFERENCE: DEPUTY EXECUTIVE DIRECTOR FOR REACTOR AND
PREPAREDNESS PROGRAMS OFFICE OF THE
EXECUTIVE DIRECTOR FOR OPERATIONS,
MEMORANDUM DATED OCTOBER 10, 2019

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated October 10, 2019. Based on this response, recommendations 1 and 2 remain open and resolved. Please provide a status update for the open recommendations by September 30, 2020.

If you have questions or concerns, please call me at (301) 415-5915, or Paul Rades, Team Leader, at (301) 415-6228.

Attachment: As stated

cc: C. Haney, OEDO
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Audit Report

AUDIT OF THE NRC OVERSIGHT OF SUPPLEMENTAL INSPECTION CORRECTIVE ACTIONS

OIG-19-A-19

Status of Recommendations

Recommendation 1: Update NRC inspection guidance to support documentation of significant planned corrective actions associated with 95001 and 95002 supplemental inspections.

Agency Response Dated
October 10, 2019:

The staff agrees with the recommendation and plans to update the NRC inspection guidance to support documentation of significant planned corrective actions associated with 95001 and 95002 supplemental inspections.

Specifically, the staff plans to update Inspection Manual Chapter (IMC) 0611, Appendix C, the governance for documenting Supplemental Inspection Procedures (IPs) 95001 and 95002, and other governance, as necessary, by August 31, 2020. The goal of the revision will be to assure that inspectors document enough information to assure effective follow-up inspection can be scheduled and completed in accordance with the plan proposed by the licensee and accepted by the NRC at the end of the supplemental inspection.

Contact: Thomas R. Hipschman, (301) 415-1169

OIG Analysis:

The proposed actions meet the intent of this recommendation. The recommendation will be closed after OIG reviews the update to IMC 0611, Appendix C, and verifies that it supports appropriate documentation of significant planned corrective actions associated with 95001 and 95002 supplemental inspections.

Status:

Open: Resolved.

Audit Report

AUDIT OF THE NRC LICENSE AMENDMENT REQUEST ACCEPTANCE REVIEW PROCESS

OIG-19-A-19

Status of Recommendations

Recommendation 2: Implement an efficient means for inspectors to readily identify and retrieve information about completed and planned corrective actions associated with 95001 and 95002 supplemental inspections.

Agency Response Dated
October 10, 2019:

The staff agrees with the recommendation and plans to make improvements in guidance needed to support an efficient means for inspectors to readily identify and retrieve information about completed and planned corrective actions associated with IPs 95001 and 95002.

Specifically, the staff plans to update IMC 0611, Appendices C and D, the governance for documenting both supplemental inspection and baseline problem identification and resolution inspection reports, respectively, and other governance, as necessary, by August 31, 2020. The revisions will direct the documentation of specific information needed to readily identify and retrieve significant completed and planned corrective actions. This will include input from various staff efforts to improve efficiency and effectiveness in the Reactor Oversight Process.

OIG Analysis:

The proposed actions meet the intent of this recommendation. This recommendation will be closed after OIG reviews the update to IMC 0611, Appendices C and D, and verifies that the update supports identification and retrieval of information pertaining to significant planned and completed corrective actions associated with 95001 and 95002 supplemental inspections.

Status:

Open: Resolved.