ENCLOSURE



UNITED STATES NUCLEAR REGULATORY COMMISSION WASHINGTON, D. C. 20555

December 4, 1979

REGULATORY GUIDE DISTRIBUTION LIST (DIVISION 1)

Proposed Revision 2 to Regulatory Guide 1.97, "Instrumentation for Light-Water-Cooled Nuclear Power Plants to Assess Plant and Environs Conditions During and Following an Accident," is being developed to describe a method acceptable to the NRC staff for complying with the Commission's requirements to provide instrumentation to monitor plant variables and systems during and following accidents in a nuclear power plant. This proposed revision is being released for comment to encourage public participation in its development.

The proposed revision of Regulatory Guide 1.97 was initiated as a result of the lessons learned from an evaluation of the investigation of TMI-2 and is being developed on a high-priority basis. The scope of proposed Revision 2 has been expanded to include all accident-monitoring instrumentation needed by the plant operator (licensee) to protect the health and safety of the public, including that required for emergency planning.

The guide also includes consideration of degraded core cooling conditions to a greater extent than was considered in Revision 1 to Regulatory Guide 1.97, which was issued in August 1977.

The guide endorses, with certain exceptions, a standard which is still under development, draft ANS-4.5, "Functional Requirements for Accident Monitoring in a Nuclear Power Generating Station," Draft 4. dated November 1979. The draft standard has not yet been approved as an American National Standard, but permission has been granted to use Draft 4 of the standard with proposed Revision 2 of Regulatory Guide 1.97 during the public review and comment period. Comments received on the draft standard will be transmitted to the ANS-4 working group that is developing the standard in addition to being given consideration by the staff for inclusion in the regulatory guide. Since the standard and the guide are being developed in parallel, comments can be resolved, as appropriate, by modification to either the standard or the guide.

Concurrent with the public review and comment period, the NRC staff will arrange meetings with the various owners' groups and/or utilities to obtain input on backfitting recommendations and impact.

It would be helpful if comments on the guide and the standard are accompanied by specific word changes to the guide in order to avoid any misunderstanding as to the thrust of the comment.

Sincerely,

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Guy A. Arlotto, Director Division of Engineering Standards Office of Standards Development

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U.S. NUCLEAR REGULATORY COMMISSION OFFICE OF STANDARDS DEVELOPMENT

DRAFT REGULATORY GUIDE AND VALUE/IMPACT STATEMENT

December 1979 Division 1 Task RS 917-4

Contact: A. S. Hintze, (301) 443-5913 PROPOSED REVISION 2* TO REGULATORY GUIDE 1.97

INSTRUMENTATION FOR LIGHT-WATER-COOLED NUCLEAR POWER PLANTS TO ASSESS PLANT AND ENVIRONS CONDITIONS DURING AND FOLLOWING AN ACCIDENT

A. INTRODUCTION

Criterion 13, "Instrumentation and Control," of Appendix A, "General Design Criteria for Nuclear Power Plants," to 10 CFR Part 50, "Domestic Licensing of Production and Utilization Facilities," includes a requirement that instrumentation be provided to monitor variables and systems for accident conditions as appropriate to ensure adequate safety.

Criterion 19, "Control Room," of Appendix A to 10 CFR Part 50 includes a requirement that a control room be provided from which actions can be taken to maintain the nuclear power unit in a safe condition under accident conditions, including loss-of-coolant accidents, and that equipment, including the necessary instrumentation, at appropriate locations outside the control room be provided with a design capability for prompt hot shutdown of the reactor.

Criterion 64, "Monitoring Radioactivity Releases," of Appendix A to 10 CFR Part 50 includes a requirement that means be provided for monitoring the reactor containment atmosphere, spaces containing components for recirculation of lossof-coolant accident fluid, effluent discharge paths, and the plant environs for radioactivity that may be released from postulated accidents.

This guide describes a method acceptable to the NRC staff for complying with the Commission regulations to provide instrumentation to monitor plant variables and systems during and following an accident in a light-water-cooled nuclear power plant.

*The substantial number of changes in this proposed revision has made it impractical to indicate the changes with lines in the margin.

This regulatory guide and the associated value/impact statement are being issued in draft form to involve the public in the early stages of the development of a regulatory position in this area. They have not received complete staff review, have not been reviewed by the NRC Regulatory Requirements Review Committee, and do not represent an official NRC staff position.

Public comments are being solicited on both drafts, the guide (including any implementation schedule) and the value/impact statement. Comments on the value/impact statement should be accompanied by supporting data. Comments on both drafts should be sent to the Secretary of the Commission, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Docketing and Service Branch, by FEB 1.4 1980

Requests for single copies of draft guides (which may be reproduced) or for placement on an automatic distribution list for single copies of future draft guides in specific divisions should be made in writing to the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Director, Division of Technical Information and Document Control.

B. DISCUSSION

Indications of plant variables and status of systems important to safety are required by the plant operator (licensee) during accident situations to (1) provide information required to permit the operator to take preplanned manual actions to accomplish safe plant shutdown; (2) determine whether the reactor trip, engineered-safety-feature systems, and manually initiated systems are performing their intendec functions (i.e., reactivity control, core cuoling, maintaining reactor coolant system integrity, and maintaining containment integrity); (3) provide information to the operator that will enable him to determine the potential for causing a breach of the barriers to radioactivity release (i.e., fuel cladding, reactor coolant pressure boundary, and containment) and if a barrier has been breached; (4) furnish data for deciding on the need to take unplanned action if an automatic or manually initiated safety system is not functioning properly or the plant is not responding properly to the safety systems in operation; and (5) allow for early indication of the need to initiate action necessary to protect the public and for an estimate of the magnitude of the impending threat.

At the start of an accident, it may be difficult for the operator to determine immediately what accident has occurred or is occurring and, therefore, to determine the appropriate response. For this reason, reactor trip and certain other safety actions (e.g., emergency core cooling actuation, containment isolation, or depressurization) have been designed to be performed automatically during the initial stages of an accident. Instrumentation is also provided to indicate information about plant parameters required to enable the operation of manually initiated safety systems and other appropriate operator actions involving systems important to safety.

Instrumentation is also needed to provide information about some plant parameters that will alert the operator to conditions that have degraded beyond those postulated in the accident analysis. In particular, it is important that the operator be informed regarding that status of ccolant level in the reactor vessel or the existence of core voiding that would indicate degraded core cooling. Direct indication of coolant level in the reactor vessel is not currently available in pressurized water reactors. However, it is imperative that this capability be developed within a reasonable time in order to provide the operator with this vital information in a positive, unambiguous manner. It is essential that degraded conditions be identified so that the operator can take

actions that are available to mitigate the consequences. It is not intended that the operator be encouraged to prematurely circumvent systems important to safety but that he be adequately informed in order that unplanned actions can be taken when necessary.

Examples of serious events that could threaten safety if conditions degrade beyond those assumed in the Final Safety Analysis Report are loss-of-coolant accidents (LOCAs), overpressure transients, anticipated transients without scram (ATWS), reactivity excursions, and releases of radioactive materials. Such events require that the operator understand, within a short time period, the ability of the barriers to limit radioactivity release, i.e., the potential for breach of a barrier, or an actual breach of a barrier by an accident in progress.

It is essential that the required instrumentation be capable of surviving the accident environment in which it is located for the length of time its function is required as defined by Section 3.0 of Draft Standard ANS-4.5,* "Functional Requirements for Accident Monitoring in a Nuclear Power Generating Station," Draft 4 dated November 1979. It could therefore either be designed to withstand the accident environment or be protected by a local protected environment. If the environment surrounding an instrument component is the same for accident and normal operating conditions (e.g., some instrumentation components outside of containment or those in the main control room powered by a Class 1E source), the instrumentation components need no special environmental qualification.

It is important that accident-monitoring instrumentation components and their mounts that cannot be located in other than non-Seismic Category I buildings be conservatively designed for the intended service.

Parameters selected for accident monitoring can be selected so as to permit relatively few instruments to provide the essential information needed by the operator for postaccident monitoring. Further, it is prudent that a limited

^{*}Copies may be obtained from the American Nuclear Society, 555 North Kensington Avenue, La Grange Park, Illinois 60525. Although this standard has been balloted by the responsible subcommittee and reviewed by the responsible consensus body, Draft 4 does not reflect the resolution of all comments. A subsequent draft is intended to address the comments that formed the basis of the negative subcommittee ballots.

number of those parameters (e.g., containment pressure, primary system pressure) be monitored by instruments qualified to more stringent environmental requirements and with ranges that extend well beyond that which the selected parameters can attain under limiting conditions. It is essential that the range selections not be arbitrary but sufficiently high that the instruments will always be on scale; for example, a range for the containment pressure monitor extending to the burst pressure of the containment in order that the operator will not be blind as to the level of containment pressure. Provisions of such instruments are important so that responses to corrective actions can be observed and the need for, and magnitude of, further actions determined. On the other hand, it is also necessary to make sure that when a range is extended, the sensitivity and accuracy of the instrument are within acceptable limits.

Normal power plant instrumentation remaining functional for all accident conditions can provide indication, records, and (with certain types of instruments) time-history responses for many parameters important to following the course of the accident. Therefore, it is prudent to select the required accidentmonitoring instrumentation from the normal power plant instrumentation to enable the operator to use, during accident situations, instruments with which he is most familiar. Since some accidents impose severe operating requirements on instrumentation components, it may be necessary to upgrade those instrumentation components to withstand the more severe operating conditions and to measure greater variations of monitored variables that may be associated with the accident if they are to be used for both accident and normal operation. However, it is essential that instrumentation so upgraded does not compromise the accuracy and sensitivity required for normal operation. In some cases, this will necessitate use of overlapping ranges of instruments to monitor the required range of the parameter to be monitored.

Draft Standard ANS-4.5, Draft 4 dated November 1979, delineates criteria for determining the variables to be monitored by the control room operator, as required for safety, during the course of an accident and during the long-term stable shutdown phase followng an accident. Draft Standard ANS-4.5 was prepared by Working Group 4.5 of subcommittee ANS-4 with two primary objectives: (1) to address that instrumentation that permits the operator to monitor expected parameter changes in an accident period and (2) to address extended range instrumentation deemed appropriate for the possibility of encountering previously unforeseen events.

The standard defines four classifications of variable types for the purpose of aiding the designer in his selection of accident-monitoring instrumentation and applicable criteria. (A fifth type [Type E] has been added by this regulatory guide.) The types are: (1) Type A - those variables that provide information needed for preplanned operator actions, (2) Type B - those variables that provide information to indicate whether plant safety functions are being accomplished, (3) Type C - those variables that provide information to indicate the potential for being breached or the actual breach of the barriers to fission product release, i.e., fuel cladding, primary coolant pressure boundary, and containment. (4) Type D = those variables that provide information to indicate the performance of individual safety systems, and (5) Type E to be monitored as required for use in determining the magnitude of the release of radioactive materials and for continuously assessing such releases, providing defence in depth, and for diagnosis. Type A variables have not been included in the listings of variables to be measured because they are plant specific and will depend on the operations that the designer chooses for preplanned manual action. The five classifications are not mutually exclusive in that a given variable (or instrument) may be included in one or more types, as well as for normal power plant operation or for automatically initiated safety actions. Where such multiple listing or use occurs, it is essential that instrumentation be capable of meeting the most stringent requirements.

The time phases (Phases I, II, and III) delineated in ANS-4.5 are not specified for each variable in this regulatory guide. These considerations are plant specific. It is important that the required instrumentation survive the accident environment and function as long as the information it provides is needed by the plant operator.

C. REGULATORY POSITION

The criteria, requirements, and recommendations (identified as important to safety) contained in Draft Standard ANS-4.5, "Functional Requirements for Accident Monitoring in a Nuclear Power Generating Station," Draft 4 dated November 1979, are considered by the NRC staff to be generally acceptable for providing instrumentation to monitor variables and systems for accident conditions and for monitoring the reactor containment, spaces containing components for recirculation of loss-of-coolant accident fluids, effluent discharge paths, and the plant environs for radioactivity that may be released during and following an accident from a nuclear power plant subject to the following:

1. Section 2.0 of ANS-4.5 defines the scope of the standard as containing criteria for determining the variables to be monitored by the control room operator during and following an accident that will need some operator action. Consideration should be given to the additional requirements (e.g., emergency planning) of variables to be monitored by the plant operator (licensee) during and following an accident. Instrumentation selected for use by the plant operator for monitoring conditions of the plant is useful in an emergency situation and for other purposes and therefore should be factored into the emergency plans aetion level criteria.

2. In Section 3.0 of ANS-4.5, the definition of "Type C" includes two items, (1) and (2). Item (1) includes those instruments that indicate the extent to which parameters that indicate the potential for a breach in the containment have exceeded the design basis values. In conjunction with the parameters that indicate the potential for a breach in the containment, the parameters that have the potential for causing a breach in the fuel cladding (e.g., core exit temperature) and the reactor coolant pressure boundary (e.g., reactor coolant pressure) should also be included. References to Type C instruments and associated parameters to be measured, in Draft Standard ANS-4.5 (e.g., Sections 4.2, 5.0, 5.1.3, 5.2, 6.1, 6.3) should include this expanded definition

3. Section 3.0 of ANS-4.5 defines design basis accident events. In conjunction with the design basis accident events delineated in the standard, those events that are expected to occur one or more times during the life of a nuclear power unit and include but are not limited to loss of power to all recirculating pumps, tripping of the turbine generator set, isolation of the main condenser, and loss of all offsite power should be included.

4. Section 4.2 of ANS-4.5 discusses the various types of variables. With regard to the discussion of Type D valiables, Type D variables and instruments are within the scope of Accident Mon. Dring Instrumentation although they are not addressed in Braft Standard ANS-4.5. They are, however, along with those of an additional type, Type E, included in this regulatory guide. (See Tables 1, 2, and 3.)

mentation monitoring Types A, B, and C variables In conjunction with Section 6.1

instrumentation monitoring lypes 0 and E variables should also be included. Noted applicable design criteria are identified in Table 1 of this regulatory owide.

6. Section 6.1.2 of ANS-4.5 pertains to the duration that instrumentation is qualified to function. In conjunction with Section 6.1.2, Phase II instrumentation should be qualified to function for not less than 200 days unless a shorter time, based on need or component accessability for replacement or repair, can be justified.

7. Sections 6.2.2, 6.2.3, 6.2.4, 6.2.5, 6.2.6, 6.3.2, 6.3.3, 6.3.4, and 6.3.5 of ANS-4.5 pertain to variables and variable ranges for monitoring. In conjunction with the above sections, Tables 1, 2, and 3 of this regulatory guide (which include those parameters mentioned in the above sections) should be used in developing the minimum set of instruments and their respective ranges for accident-monitoring instrumentation for each nuclear power plant.

8. Section 6.4 of ANS-4.5 pertains to specific design criteria for accidentmonitoring instrumentation. In conjunction with Section 6.4, the provisions as indicated in Table 1 of this regulatory guide should be used.

D. IMPLEMENTATION

This proposed revision has been released to encourage public participation in its development. Except in those cases in which an applicant proposes an acceptable alternative method for complying with specified portions of the Commission's regulations, the method to be described in the active guide reflecting public comments will be used in the evaluation of the following applications that are docketed after the implementation date to be specified in the guide:

- Preliminary Design Approval (PDA) applications and Preliminary Duplicate Design Approval (PDDA) applications.
- Final Design Approval, Type 2 (FDA-2), applications and Final Duplicate Design Approval, Type 2 (FDDA-2), applications.
- Manufacturing License (ML) applications.
- 4. Construction Permit (CP) applications except for those portions of CP applications that reference standard designs (i.e., PDA, FDA-1, FDA-2, PDDA, FDDA-1, FDDA-2, or ML) or that reference qualified base plant designs under the replication option.

In addition, the NRC staff intends to implement part or all of this guide for all operating plants, plants under construction, all PDAs and FDAs, all PDDAs and all FDDAs that may involve additions, elimination, or modification of structures, systems, or components of the facility after the construction permit or design approval has been issued. All backfitting decisions in accordance with the positions stated in this guide will be determined by the staff on a case by-case basis.

The implementation date of this guide will in no case be earlier than April 15, 1980.

Table 1

DESIGN CRITERIA¹

	CRITERIA	INSTRUMENTATION TYPES ²				
		A	В	С	D	EA
1.	Seismic qualification per Regulatory Guide 1.100	yes	yes	yes	10 .	nog
2.	Single failure criteria per Regulatory Guide 1.53	yes	yes	yes	no	7/
3.	Environmental qualification per Regulatory Guide 1.89	yes	yes	yes ⁴	yes	hos
4.	Power source	Emr ⁶	CB7	CB7	Emr ⁶	Emr ⁶
5.	Out-of-service interval before accident	8	8	8	9	10
6.	Portable	no	no	no ¹¹	no1	1011
7.	Quality assurance level	12	12	12	12	12
8.	Display type ¹³	Con14	Con ¹⁴	Con ¹⁴	00/15	OD
9.	Display method	Rec ¹⁶	Rec ¹⁷	Rec ¹⁷	Ind18	Ind 8,19
10.	Unique identification	yes	yes	yes	ho	no
11.	Periodic testing per Regulatory Guide 1.118	yes	yes	yes	yes	no20

¹Unless different specifications are given in this regulatory guide, the specifications in ANSI N320-1979, "Performance Specifications for Reactor Emergency Radiological Monitoring Instrumentation," apply to the high-range containment area monitors, area e posure rate monitors in other buildings, effluent and environmental monitors, and portable instruments for measuring radiation or radioactivity.

²Type A - Those instruments that provide information required to take preplanned manual actions.

Type B - Those instruments that provide information to monitor the process of accomplishing critical safety functions.

Type C - Those instruments that indicate the potential for breaching or the the actual breach of the barriers to fission product release.

Type D - Those instruments that indicate the performance of individual safety systems.

Type E - Those instruments that provide information for use in determining the magnitude of the release of radioactive materials and for continuously assessing such releases, for defense in dypth, and for diagnosis:

3Radiation monitors should meet the requirements of WSI N320-1979, Section 5.14 and/or Section 9.1.15, as appropriate:

⁴See paragraph 6.3.6 of Draft Standard ANS-4.5.

(Footnotes continued)

Footnotes continued for Table 1

Squalified to the cont	fittons of its operation and, for radiation monitors,
6 Emerceney power source	NON-CLASS IE POWER
7 Gritical Instrument	Class 1E Power.
⁸ Paragraph 4.11, "Exem	nption," of IEEE Standard 279-1971.
⁹ Based on normal Techr	nical Specification requirements on out-of-service for
the safety system it	serves.
¹⁰ Not necessary to incl other requirements.	lude in the Technical Specifications unless specified by
¹¹ Radiation monitoring in Tables 2 and 3.	outside containment may be portable if so designated
12Level of quality assi	urance per Appendix B to 10 CFR Part 50.
¹³ Continuous indication intermittent indicat on-demand indication	n or recording displays a given variable at all times; ion or recording displays a given variable periodically; or recording displays a given variable only when requested.
14Continuous display.	
15 Indication on demand	 A second s
16Where trend or trans	ient information is essential to planned operator actions.
17 Recording,	
Pionial or digital indi	cation.
¹⁹ Effluent release mon monitors, environs e	sposure rate monitors, and meteorology monitors.
²⁰ Radiation monitors s requirements of ANSI	N320-1979.

	Ţ	able 3	
Measured Variable	Bacco	VARIABLES	Burnese
	Kange	iyte	Fulfose
DRE			
5			
wore exite temperature	150 - 10 - 2002-2	0,0	netsurements to identify localized hot areas. (Approximately 50 measurements)
Control Red Pasition	Fall in or not		To crovide position indication that
	-full-in		(Hinimon of 2 hours after accident)
Neutron Flux	l c/s to 1% power (at least one fission counter)	8	ANS-4.5, Section 6.2.2. For indication of approach to criticality.
EACTOR COOLANT SYSTEM			
RCS Pressure	15 psia to 2 000 psig <i>150</i> p	8/	ANS-4.5, Sections 6.2.3, 6.2.4, 6.3.3, and 6.3.5. For indication of an accident and to indicate that actions must be taken to mitigate an event.
Coolant Level in the Reactor	Bottom of core support plate to above top of discharge plenum	в	ANS-4.5, Section 6.2.3. For indication of fuel submergency for a LOCA event.
Main Geomine Slow	0 to 1207 design		To provide an indication of the
	4TON-		integrity of the pressure boundary.
Main Steamline Isola- tion Valves' Leakage Control System Pressure	0 to 15" of water 0 to 5 psid	8	To provide an indication of the pressure boundary and containment
		c	
Primary System Safety Relief Valve Posi- tions, including ADS or Flow Through or Pressure in Valve	Closed-not closed or O to 50 psig	8,0	By these measurements, the operator knows if there is a path open for loss of coolant and if an event may be in progress.

Design flow - the maximum flow anticipated in normal operation.

Table 3 (Continued)				
Measured Variable	Range	Туре	Purpose	
EACTOR COOLANT SYSTEM Continued)				
Radiation Level in Coolant	GROSS GAMMA 1 0 pet/ce cu 1 9 ot/ce	c	ANS-4.5, Section 6.3.2. For early indication of fuel	
	" THE ALLOWABLE TELATION SPECIFICATION COLAT ACTIVITY TO		cladding failure and estimate of extent of damage.	
ONTAINMENT	100 TIMES THAT ACTIVI	ту.		
Primary Containment Pressure (DRYDELL)	10 psia pressure to 3 times design pressure ² for con- crete; 4 times design pressure for steel	Æ,c	ANS-4.5, Sections 6.2.5, 6.3.3, 6.3.4, and 6.3.5. For indication of the integrity of the primary containment pressure boundary; to indicate the potential for leakage from the containment.	
Containment and Drywell Hydrogen Concentration	0 to 10% (capability of operating from 12 psia to maxi- mum design pressure ²)	c	ANS-4.5, Sections 6.2.5 and 6.3.5. For indication of the need for and a measurement of the performance of the containment hydrogen recom- biner and to verify the operation of the mixing system.	
Containment and Drywell Oxygen Concentration (for those plants with inerted containments)	O to 10% (capability of operating from 12 psia to design pressure ²)	c	For indication of the need for and a measurement of the performance of the containment oxygen elimination system.	
Primary Containment Isolation valve Position	Closed-not closed	محرة	ANS-4.5, Section 6.2.5. To indicate the status of containment isolation and to provide information on the status of valves in process lines that could carry radioactive materals out of containment.	
Suppression Pool	Lowest Eces Surt	مد عد	ANS-4 5 Section 5 1 1	
Water Level	LEVEL TO SFERT ADON			
Suppression And Water Temperature	50°F to 250°F	*6	To ensure proper temperature for NPSH of ECCS. To verify the operation of the makeup system.	
	12 pais to 3 paig	8	AN3 4.5 Section 8.3.3	
and the second	e to 110x design	~	Utagnosis of inpact of environs on	

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"Design pressure - that value corresponding to ASME code values that are obtained at or below code-allowable material design stress values.

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Measured Variable	Range	Туре	Purpose
CONTAINMENT (Continued)			
Drywell Drain Sumps Level (Identified and Unidentified Leakage)	Bottom to top	X .c	ANS-4.5, Section 6.3.3.
High-Range Contain- ment Area Radiation	<pre>1 to 10⁷ R/hr (60 keV to 3 MeV photons with ±20% accuracy for pho- tons of 0.1 to 3 MeV) [10⁷ R/hr for photons is approximately equivalent to 10⁶ rads/hr for betas and photons]</pre>	¥.c	To help identify if an accident has degraded beyond calculated values and to indicate its magnitude in order to determine action to protect the public.
POWER CONVERSION			
Main Feedwater Flow	0 to 110% design flow ¹	£	To indicate an adequate source of water to the reactor.
Condensate Storage Tank Level	Sottom to top	E	To ingitate available water for core cooling.
AUXILIARY SYSTEMS		/	
Containment Spray Flow	to 110% design	0	For indication of system operation.
Steam Flow to RCIC	0 to 110% de ign flowi	E	To verify that adequate steam is available for the system to perform its function.
RCIC Flow	0 to 110% design flow ¹	à	For indication of system operation.
RHR System Flow	0 to 110% design flow ¹	D	For indication of system operation.
RHR Heat Stchanger Outlet emperature	32°F to 350°F	D	For indication of system operation.
Vervice Cooling Water Temperature	32°F to 200°F	D	For indication of system operation.

Table 3 (Continued)





Table 3 (Continued)



1.



Table 3 (Continued)

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