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**UNITED STATES  
NUCLEAR REGULATORY COMMISSION**

**In the matter of:**

ADVISORY COMMITTEE ON REACTOR SAFEGUARDS,  
SUBCOMMITTEE MEETING ON ANTICIPATED TRANSIENTS  
WITHOUT SCRAM (ATWS)

**Place:** Washington, D. C.

**Date:** March 26, 1980

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UNITED STATES  
NUCLEAR REGULATORY COMMISSION

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1717 H Street, N.W.  
Washington, D. C.

Wednesday, March 26, 1980

The Advisory Committee on Reactor Safe-  
guards, Subcommittee Meeting on Anticipated Transients  
Without Scram (ATWS), met, pursuant to notice, at 8:30 a.m.,  
Mr. Kerr, Chairman of the Subcommittee, presiding.

PRESENT:

- Dr. Mark
- Mr. Ray
- Mr. Ditto
- Mr. Epler
- Dr. Lipinski
- Dr. Saunders
- Mr. Thadani
- Mr. Mattson

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P R O C E E D I N G S

1  
2 CHAIRMAN KERR: The meeting will come to order.  
3 This is a meeting of the Advisory Committee on Reactor  
4 Safeguards, the Subcommittee on Anticipated Transients  
5 Without Scram.

6 My name is William Kerr. Our subcommittee  
7 members present include Mr. Mark and Mr. Ray. Consultants  
8 are Mr. Ditto, Epler, Lipinski, and Saunders.

9 The meeting is a continuing discussion of the  
10 ATWS problem with representatives of the Nuclear Regulatory  
11 Commission Staff and the nuclear industry. The meeting  
12 is being conducted in accordance with provisions of the  
13 Federal Advisory Committee Act and the Government and  
14 the Sunshine Act.

15 Mr. Paul Boehnert is a designated Federal  
16 employee. Rules for participation have been announced  
17 as far as the meeting notice published in the Federal  
18 Register of March 11th, 1980.

19 A transcript of the meeting is being kept and  
20 will be made available and stated in the register notice.  
21 We have received no written comments or requests for trying  
22 to make oral statements from -- mainly to the public.

23 We do have, if you have an agenda, you will note  
24 scheduled time for statements or comments from members  
25

1 or representatives of various components of the industry  
2 as well as the scheduled presentation by the NRC Staff.

3 I don't know how to guess about the schedule.  
4 I will probably have to leave by 5:15. My guess is that  
5 the meeting will last that long, but we will see how  
6 things develop.

7 I will plan to try to break for lunch if it  
8 seems reasonable at some time around 12:30 or so. And,  
9 other than that, I guess we will play things by ear.

10 I am informed that Mr. Hanauer who was scheduled  
11 to make part of the presentation by the NRC Staff is ill  
12 today and other staff members will fill in for him.

13 We will proceed with the meeting and I call  
14 upon Mr. Mattson who I believe is serving as spokesperson  
15 today. Mr. Mattson.

16 MR. MATTSON: Thank you, Professor Kerr. It's  
17 been over a year since I had the pleasure of sitting on  
18 this Subcommittee on this subject. I can see the faces  
19 haven't changed a bit.

20 Considerable progress has been made, I think,  
21 on ATWS deliberations in the last year, not as fast as  
22 we had hoped a year ago. For obvious reasons people were  
23 occupied with some other things the first few months  
24 of the last 12.  
25

1 I've had a couple of opportunities in the last  
2 three or four months to hear of the approaches that were  
3 being suggested to bring this long-standing issue to some  
4 conclusion. And, I know Harold Ditto's committed to  
5 reaching some early decisions on the subject and not  
6 letting it continue to trail along.

7 In order to expedite reaching those decisions,  
8 some modifications of some previously proposed alternate  
9 solutions have been made. Even though you've got a copy  
10 of Volume 4 of NUREG 0460, Shook is here to walk you  
11 through it and talk about it in some detail.

12 We hope there hasn't been a whole lot of con-  
13 fusion of the previous alternative approach by the slight  
14 modification of those approaches. They are the product  
15 of what you'll recall we talked about a year ago, early  
16 verification. That early verification showed us some  
17 new information, some new ways of thinking about some  
18 of these approaches which have required their slight  
19 modification to continue to be able to say that they do  
20 the things we thought they did when they were originally  
21 constructed.

22 I'll try to answer any questions you might  
23 have as we go along in the course of the morning on how  
24 A.T.W.S., the ATWS solution might fit into other things going  
25 on in the Staff or to answer questions on how Mr. Denton

1 or Dr. Hanauer viewed the need for timely decisions on  
2 ATWS.

3 But the rest of the technical presentation, I'm  
4 going to turn over to Mr. Thadani since he and Steve were  
5 the prime architects of Volume 4 of NUREG-0460. We have  
6 a number of other technical staff here to respond to your  
7 technical questions.

8 With that brief introduction, I propose to turn  
9 it over to Mr. Thadani, unless you have questions.

10 CHAIRMAN KERR: Mr. Mark has a question, I believe.

11 DR. MARK: I'm afraid this is a bit vague as  
12 a question.

13 ATWS, viewed as a thing in itself, Roger, pays  
14 most of it's attention to the operation of the Scram  
15 System. If you are prepared to deal with anticipated  
16 transients without Scram, this is another theorem that you  
17 must then surely be able to deal with all transients, that  
18 this would constitute a -- I mean, regulations for ATWS  
19 would thereby put you in a perfectly solid situation so  
20 you'd never have to talk about them again, all possible  
21 transients.

22 I believe there are some items in the action  
23 plan which also discuss transients. And, are these then  
24 supposed to be, if not amalgamated, amalgamo, or in the  
25 course of becoming amalgamated.

1           So, that the analyses required perhaps by some  
2 group or some transient could be skipped because you al-  
3 ready know how to do it even if there's no Scram.

4           MR. MATTSON: I think there is a whole in that  
5 theorem, but there are efficiencies that will accrue  
6 of the sort that you suggest. Let me try to describe  
7 both of those things.

8           First, the whole is, you can melt the core down  
9 when you had Scram when the transient is the initiating  
10 event. And you can melt the core down when you have a  
11 transient and a failure to Scram.

12           So, simply fixing the failure to Scram, doesn't  
13 necessarily fix all core melt sequences.

14           CHAIRMAN KERR: I think the question was that  
15 if you could deal with ATWS which is anticipated transient  
16 and failure to Scram and dealing with the problem, that  
17 is. Am I correct?

18           DR. MARK: I believe, something like that.

19           MR. MATTSON: I think the answer is no.

20           CHAIRMAN KERR: So, there are some transients  
21 that are worse with Scram than if you didn't have Scram?  
22

23           MR. MATTSON: No, there are some transients  
24 that could get worse even though the reactor Scram, than  
25 transients for which the reactor didn't Scram, but there

1 was a backup to Scram.

2 CHAIRMAN KERR: But then you would not have  
3 dealt with that transient --

4 MR. MATTSON: TMI-2 being a classic example.  
5 It scrambled and it had severe core damage. Fixing ATWS  
6 on TMI-2 would not necessarily have prevented the signi-  
7 ficant core damage that resulted from that feed water  
8 transient.

9 DR. MARK: Well, in any event, there will be  
10 some tying together of what we're talking of today and  
11 some of the things which might seem to appear under  
12 separate headings.

13 MR. MATTSON: There are things which you do  
14 for ATWS which improve your capability to handle other  
15 transients. For example, the number of the changes  
16 made in auxilliary feed water systems in the course of  
17 the last year because of Three Mile Island, and AUX feed  
18 water reliability studies done last summer, are the same  
19 kinds of things that would have been done to AUX feed  
20 water systems under the proposed ATWS fixes of the Staff  
21 for the last ten years.

22 CHAIRMAN KERR: Are there other questions to  
23 inquire of the Subcommittee members at this point?

24 DR. LIPINSKI: Well, perhaps I can express  
25



1 -- not so much a question, but perhaps a perplexity with  
2 which I am faced as I try to understand the documentation  
3 associated with this rather complicated problem.

4 I feel naive at this point to say that I am  
5 still not quite certain what the ATWS problem is, but  
6 I must confess to this naivety. And, I express it best  
7 if I say it seems to me that there are atleast two ways  
8 of raising the question of describing the problem and  
9 from the documentation I'm not sure which is the appropriate  
10 way and I would appreciate some assistance as the presenta-  
11 tions develop.

12 It occurs to me that one way of expressing it  
13 is to say that a failure to scram in the case of those  
14 transients in which one needs a Scram system to handle  
15 the problem can occur, is it possible that one does get  
16 a failure to stand, thus invoking Murphy's law or some  
17 other appropriate theorem, one assumes that the failure  
18 will occur and that we therefore have an obligation to  
19 demonstrate that the plant must be capable of dealing  
20 with the situation.

21 That is an impression I get as I read part  
22 of the documentation. It strikes me that another alter-  
23 native is to say that the probability of ATWS can be  
24 demonstrated with existing information to be acceptably  
25

1 low and therefore we have an obligation to take steps  
2 that produce a situation in which it is perceived to  
3 be acceptably low.

4 I'm not sure these are mutually exclusive and  
5 I'm pretty certain they aren't all inclusive. But, -- I  
6 guess the difficulty I have is in shifting from the  
7 probabilistic to the deterministic.

8 It was my impression that the original demonstra-  
9 tion of the problem was one which appealed to the probablis-  
10 tic, rightly so, I think. And I think the probabilistic  
11 approach with the goals that were stated does drive one  
12 or bring one to the conclusion that existing information  
13 can't demonstrate compliance with those goals.

14 But then, as I read what is being proposed, I  
15 find myself back into a deterministic situation in which  
16 I could almost arrive at the conclusion and we have con-  
17 cluded that failure to Scram will occur and that plants,  
18 therefore, must be capable of dealing with it on a deter-  
19 ministic basis almost with a probability of one.

20 I'm perhaps not expressing my perplexity appropri-  
21 ately, but -- And maybe it's because of this perplexity  
22 that I have difficulty in expressing it.

23 I hope you get some flavor of what I'm talking  
24 about.

25 CHAIRMAN KERR: Other questions or comments?

1 Mr. Thadani, I guess the floor is yours.

2 MR. LIPINSKI: I wanted to add one comment to  
3 your statement. The second part, given that the ATWS  
4 will occur, is probability that one, the systems that  
5 function in sequel don't necessarily have to perform to  
6 the same reliability of the ATWS initiative.

7 That's another consideration as to how effective  
8 the backing system is.

9 CHAIRMAN KERR: I don't disagree with your view-  
10 point. I'm saying that I have some difficulty in deter-  
11 mining from the reports I read, what the viewpoint is  
12 of the documentation.

13 MR. LIPINSKI: Right, I agree with you in terms  
14 of the way you stated the problem. But, given the fact  
15 that you end up with this viewpoint that ATWS will inter-  
16 fere with probability one, what then is the probability  
17 that you can handle the sequence? That is another question  
18 that remains to be addressed in terms of the total solution.

19 MR. THADANI: My name is Andrew Thadani. I'm  
20 on the NRC Staff. I'll make an attempt to summarize  
21 the major portion, the contents of NUREG-0460, Volume 4,  
22 hopefully the last one.

23 It might be worthwhile just to spend a couple  
24 of minutes before we get on to Volume 4 to talk about  
25 how we got to Volume 4.

1                   You remember in December of 1978 we issued  
2 NUREG-0460-- Volume 3.

3                   NUREG-0460, Volume 3 proposed or it seemed to  
4 be a fairly                   way involving this highly controversial  
5 issue.

6                   In Volume 3 we proposed three different alterna-  
7 tive plant modifications, three different categories of  
8 plants.

9                   The first category of plant consisted of the  
10 O-11 operating units, whose designs, in our opinion, are  
11 sufficiently different than those which we've looked  
12 at generically. And thus we felt the conclusions that  
13 we had arrived at as a result of our review of the generic  
14 studies might not be completely applicable to those early  
15 11 designs.

16                   Therefore, in Volume 3 we recommended that those  
17 11 plants be modified so as to reduce the likelihood of  
18 an accident and in a few minutes I'll get into some details  
19 of the types of hardware modifications that were considered  
20 then.

21                   And, it was further recommended in Volume 3  
22 that plant unit analysis for these early 11 units be  
23 performed and any additional hardware modification be  
24 considered in the context of overall safety of these units,  
25 as well as volume impact considerations.

1 Another class of plants defined in Volume 3  
2 with those operating units and units which had received  
3 their construction permit license prior to January 1,  
4 1978. When these plants -- It was proposed in NUREG-0460,  
5 Volume 3, that:

6 (A) Modifications be made in Scram Systems  
7 to reduce likelihood of ATWS event.

8 (B) To provide some mitigation capability.

9 The industry was to demonstrate by generic  
10 analyses, the adequacy of the hardware defined in Volume  
11 3 as alternative 3 modifications.

12 Yet, another alternative defined in Volume 4  
13 was so-called alternative 4 for a class of plants which  
14 had received their construction permit on or after January  
15 1, 1978.

16 In that case, the staff had required modifica-  
17 tions in designs to assure confidence that the consequences  
18 of ATWS events would be mitigated.

19 Notably accent from alternative falls in NUREG-  
20 0460, Volume 3, was any requirement to modify Scram System  
21 to reduce the likelihood of an accident.

22 The major emphasis at that time was to assess  
23 the capability of operating plants which were going to  
24 be operational in the near future and to determine if,  
25 indeed, just some electrical modifications in the case

1 PWR's and electrical as well as some hiking modifications  
2 in BWR's would be adequate.

3 We issued a set of questions and guidelines to  
4 the industry to a letter from Roger Mattson on February  
5 15, 1979.

6 The industry was to respond to this set of  
7 questions to demonstrate what they believed at the time  
8 to be indeed the case that plants modified in accordance  
9 with alternative three would be able to withstand the  
10 consequences of these ATWS events.

11 Now, I said, these ATWS events for a very  
12 particular reason, because not only had we talked about  
13 different possible criteria for alternative three as  
14 well as alternative four class by way of acceptance limits,  
15 but we also specified a significant difference in terms  
16 of PWR's that alternative three plants, it's either that  
17 volume of moderated temperature -- which would be, experience  
18 no more than 5 percent of the time, 5 percent of the life  
19 time of the plant.

20 Alternative 3 also did not require any additional  
21 single failure considerations in mitigating systems. It  
22 suggested that all systems be presumed functional unless  
23 the consequences of ATWS events in that given system.  
24

25 With that background of what was in Volume 3

1 and subsequent requests that we set out, I think we can  
2 get into the bases to a certain extent and some discussion  
3 of what's in Volume 4.

4 I would try to give you highlight of what is  
5 in Volume 4 and some of the reasons. We have a number  
6 of Staff members here today.

7 If you have a need or desire to get into any  
8 specific technical area in great detail, I think we would  
9 be able to do that today.

10 As a result of our request for February 15, 1979,  
11 industry submitted a number of reports. At the last  
12 upcoming meeting, I summarized the information that had  
13 been submitted and I provided you with an initial reaction  
14 to the submitted information.

15 These submittals came in groups, if you will,  
16 so the information was provided late last year, whereas  
17 a fair amount was provided early this year.

18 The major considerations that still are open  
19 as a result of our view of these documents are summarized  
20 on this slide. I want to make it pretty clear that these  
21 submittals addressed alternative three as defined in  
22 NUREG-0460, Volume 3.

23 Some of these undisolved considerations would  
24 also be applicable to alternative four type of design  
25 modification.

1           The first one, under PWR is code verification.  
2 Well, it is our belief that the peak pressure calculations  
3 performed by the vendors using what we call systems codes,  
4 area reasonably good.

5           We describe in response to an earlier ACRS  
6 questions, the basis for our judgment of the peak pressures  
7 transmitted by these codes were believed to be reasonably  
8 accurate.

9           When we recognize that there is insufficient  
10 infirmatory experimental verification of these pressure  
11 calculations, and thus over the long term, we would  
12 like to have some experimental verification using some  
13 of the facilities such as locked, semi-skill, separate  
14 effects, other available experimental facilities.

15           The big concern in terms of codes, capability,  
16 is, I believe, and we believe in general, that these codes  
17 are not capable of handling significant void fraction  
18 in the primary system.

19           As you know, an ATWS event is also in the early  
20 portion of an increase of temperature of the reacting  
21 system, followed by pressure purge and opening of the  
22 relieving devices on pressurizing, a significant amount  
23 of coolant is lost in containment, in some cases as much  
24 as one half of the capacity of the coolant in the reactor  
25 room system.



1 This, as the pressure turns around, when power  
2 reduces, voids are formed and you end up with fairly  
3 significant void fraction in the primary system.

4 And this concern is no different than what you  
5 have heard in the last several months, that large voids  
6 in the primary system, some concerns about various velocities  
7 and bubbles, what would be the density in the core which  
8 really is what effects the temperature and reduces the  
9 power.

10 I think that's not of major concern. I think  
11 the major concern is, under those conditions, are how  
12 well can we remove energy from the primary system to  
13 the steam generators.

14 We think that those types of calculations  
15 should be done in acceptable small LOCA codes as is  
16 done over the last summer.

17 DR. MARK: But, you're talking of a time frame  
18 which might begin to start when, like an hour after ten  
19 zero?

20 MR. THADANI: No. I'm talking about roughly  
21 on the order of 10 minutes after time here or even  
22 sooner than that.

23 DR. MARK: The voids might form?

24 MR. THADANI: The voids form roughly, if I  
25 remember correctly, it depends on the design, but on the

1 order of two minutes to 4 or 5 minutes.

2 DR. MARK: Right. Now, the difference between  
3 this and the thing like Three Mile Island where there  
4 were voids formed and so forth, consists of what, that  
5 the power is impossible to get down below 20? Because,  
6 if the power's the same, then it's -- It's what?

7 MR. THADANI: I think you hit it. I think - I  
8 agree with you, that if the power were the same, we would  
9 say whatever conclusions we reached this summer. And,  
10 we said it was okay in terms of small break LOCA's to  
11 go ahead and continue to operate on certain bases, those  
12 bases would be applicable to ATWS. You hit the key point.

13 The difference now is delta in terms of the  
14 power that's being generated. How much of an influence  
15 that has in terms of being able to go through natural  
16 circulation, the reactful will be decapitated fairly  
17 early and depending on what requirements are based on  
18 tripping of reactical pumps, it's conceivable that in  
19 the event of an accident, the reactor coolant pumps  
20 may be tripped early, before even getting to the situation  
21 where they would start to cavitate.

22 CHAIRMAN KERR: This discussion is somewhat  
23 illustrative of my confusion. We're talking about ATWS  
24 now in the way that we talk about small break LOCA's,  
25 which is a design basis accident.

1 Is it implicit in Volume 4 that ATWS is to be a  
2 design basis accident?

3 MR. THADANI: I hope not.

4 CHAIRMAN KERR: -- when we're through with  
5 the implications? You see the -- I mean, we're talking  
6 about it the way one talks about a design basis accident.

7 Confirmation codes which are suitably conserva-  
8 tive and details which are deterministic and I don't know  
9 how to distinguish between this and a design basis accident  
10 anymore.

11 MR. THADANI: If I may --

12 CHAIRMAN KERR: I don't mean that you have to  
13 settle the question here, I'm just trying to point out  
14 the confusion that I feel in trying to distinguish between  
15 this and a design basis accident.

16 MR. THADANI: Yes, I understand the point and  
17 during the course of this morning's discussion, I hope  
18 at least some aspect would become more clear to you, or  
19 they would be less clear to me, one or the other.

20 You said a word that concerns me. You said --  
21 We're talking about doing calculations using suitably  
22 conservative approach. I don't know for sure what suitably  
23 conservative means.

24 Our attempt all along has been to try to  
25 assess the -- cores of the postulated -- event. In that

1 regard we have not added on uncertainties in calculational  
2 tools. As for the small LOCA codes are concerned, they  
3 have intransit conservatisms in them, things like 1.2 times  
4 the ANS in case it occur.

5 We would recommend that for ATWS the calculations  
6 be done realistically, that some verification of the codes  
7 be provided at a later date and should that verification  
8 be insufficient, then we would go back and require some  
9 relative assessment of --

10 The second part of your comment was deterministic  
11 vs. probabilistic and I think you're quite right when  
12 you started, as I'm sure you remember, in April of '78  
13 when we published Volumes 1 and 2 of NUREG-0460, the  
14 proposed numerical safety objective which would reduce  
15 from 10 to minus 7 as specified in March 12th, '70 through  
16 a minus 6 for reactor year in NUREG-0460 Volumes 1 and 2.

17 The rationale, of course, for that changed  
18 from 10 to the minus 7 to 10 to the minus 6, came almost  
19 entirely from the reactor safety study, consequent  
20 discussions of the Commission of the Lewis Report on  
21 Safety study and the uncertainty in the overall -- discus-  
22 sions that ensued indicated to us --

23 And I might add, that we had been told by our  
24 management, even prior to that, that they weren't fully  
25 convinced that the prose that was proposed in Volumes 1

1 and 2 would be easily implemented.

2 We didn't totally throw away the numerical con-  
3 siderations that had gone into some of the requirements,  
4 but rather we went more towards what I would call some  
5 kind of engineering judgment and that was the main reason  
6 for proposing alternative three to see if it could be  
7 verified by analyses.

8 But that's the numerical analyses that had  
9 been performed up to that time, were not thrown away.  
10 They were maintained and they were used as supplementing  
11 piece of information to be used in determining our require-  
12 ments.

13 If you look at Volume 4, there is indeed a  
14 discussion of equipment reliability and there are some  
15 numbers and are hopes of the kinds of overall unreliability  
16 of the total mitigin system that we hope to achieve under  
17 alternative 4-A.

18 While I think you're right that we're not talking  
19 explicitly about the numerical safety endorse, but we're  
20 still talking about giving an ATWS. What are relative  
21 improvements if you go 2-A, 3-A, 4-A, as defined in  
22 Volume 4 and these are slight modifications of alternatives  
23 2, 3, and 4, as they were defined in Volume 3.

24 Going on to the unresolved considerations in  
25 these industry summerals, the peak pressures that were

1 calculated by some -- P. W. R. Landers, are extremely  
2 high. I indicated to you last time that pressures well  
3 in excess of 4,000 pounds were calculated by combustion  
4 engineering and that the actual heat pressure was sub-  
5 stantially above 4,000 pounds and substantially above  
6 5,000 pounds.

7 If credit had not been taken for -- and subsequent  
8 discharge of the primary coolant through the orin seal.

9 We've also, as a result of the information  
10 that we have seen recently, been concerned about the  
11 capability of instruments, especially in the type of  
12 instrument would be extremely useful for the operator  
13 to determine the course of events and to take corrective  
14 actions.

15 B&W analysis, as I indicated to you at the  
16 last meeting, are in our opinion inadequate because of  
17 the assumptions that were made in these analyses. We  
18 have called these functions optimistic, overly optimistic  
19 in our volume 4 report. I just don't have any basis  
20 to agree with B&W on the type of assumptions that they  
21 have made in analyzing ATWS events.

22 They're -- On the basis of what we call optimistic  
23 assumptions, they're calculating peak pressures in the  
24 range of I believe 35 to 38.

25 I would guess that if they were to modify and

1 found calculations consistent with our set of guidelines,  
2 the peak calculated pressures would go up by a few hundred  
3 pounds. I'm not sure exactly how many hundreds of pounds.

4 CHAIRMAN KERR: Well, when you use words like  
5 realistic or optimistic or pessimistic, is this in re-  
6 lationship to what? What would one would expect to find  
7 in a normally operated plant some percent of the time or --

8 MR. THADANI: That is correct. I can give you  
9 some examples. For example, auxilliary feed where  
10 actuation in the B&W plant -- The timing is very signifi-  
11 cant. The tech specs, indicate, I believe, that AUX  
12 feed system be available in 40 seconds or it might vary  
13 for some time maybe longer than 40 seconds in some cases.

14 But the ATWS analyses -- early ATWS analyses  
15 of many years ago assumed AUX feed would be available  
16 at 40 seconds which was consistent with atleast some of  
17 the tech specs.

18 Subsequent analyses assume AUX feed would be  
19 available at 25 seconds. Recent submittals seems that  
20 AUX feed would be available in 15 seconds.

21 No justification is available that we've seen.  
22 From the little experience that we have, we find 15  
23 seconds certainly to be optimistic.

24 The requirements are 40 seconds on some plants  
25 that I said and it is conceivable that on some plants

1 AUX feed may indeed come on as early as 15 seconds but  
2 to imply that that is indeed the case for all plants  
3 seems to us to be unreasonable without some further,  
4 what I would call substantial justification of that  
5 assumption.

6 Other examples, you touched upon fraction of  
7 life time. The value of the moderated temperature co-  
8 efficient that they have used, we're in somewhat disagree  
9 with them on that. If they were to use the value that  
10 we have suggested, that would result in pressure increase  
11 probably, I would guess, about 200 pounds, based on  
12 sensitivity studies that we've seen in the past.

13 And, it's factors like these that need us to  
14 be concerned that we may be at this argumentative stage  
15 for quite some time to come and not arrive at what might  
16 be a reasonable solution to the ATWS problem in the near  
17 future, and that is the major reason that we have embarked  
18 on what Roger described earlier as the two study process.

19 And, I would touch upon later on and indicate  
20 to your hopes and plans, probably would intend to go  
21 by requiring modifications at what stages and in what weeks.

22 I'd like to quickly go through some of the  
23 other items. The next one should not be insulated PORV's,  
24 it should be isolated PORV's.

25 There are a number of operating POVR's, are



1 indeed operating but there are operative release valves --  
2 isolators, but they experience leakage through these  
3 valves.

4 And, they have operated with those valves isolated  
5 for extended periods of time, in some cases months and  
6 in one or two cases in years.

7 Again, as an example in terms of alternative  
8 three, we had indicated to the industry that to assume  
9 our systems were functional which meant that all the  
10 valves would be available to limit the pre-calculated  
11 pressure and this would include the R-operated leak  
12 valves.

13 Now, in a number of Westinghouse designs,  
14 for example, the PORV's represent roughly 25 percent  
15 of the leading capacity which is significant and does  
16 have a significant impact on the calculated peak pressures.

17 We have not received any information as to how  
18 those plants would be addressed in response to the set  
19 of questions that we transmitted to the industry on  
20 February 15, 1979.

Tape 2/1

1 DR. MARK: First you count on them in the calcu-  
2 lation but second in the field. Some of them aren't  
3 operable. And, what affect does it have on a pressure  
4 calculation to assumed an operable PORV with the old set  
5 points and now to have to follow the orders to change the  
6 set points?

7 MR. THADANI: You are addressing the B& W  
8 designs for the PORV set point was changed from 2250 to  
9 2450.

10 DR. MARK: Right.

11 MR. THADANI: And the early high pressure set  
12 point. Essentially there should be no impact. As far as the  
13 calculative speed pressure is concerned, if you open the  
14 relief valve at a set point of 2350 versus 2450, because  
15 the pressure rise in the B&W design plant is so rapid  
16 that you're talking about milliseconds before you changed  
17 the pressure from 2350 to 2450.

18 The major considerations that are discussed in  
19 the report in substantial detail in the Appendics as far as  
20 boiling water reactors are concerned are again summarized  
21 on this slide. The first one -- the new one that I  
22 addressed at the last meeting is the so called oscillations  
23 that the reactor seems to go through 2 or 300 seconds  
24 following the initiation of an actless event. And the  
25 oscillations seem to continue for several hundred seconds.

Tape 2/2

1  
2 I indicated then that the altitude of these  
3 oscillations were substantial on the order of at least by  
4 key calculations 110 to 120 per cent increase in flux with  
5 period of 4 or 8 seconds.

6 The difficulty with these oscillations is two  
7 fold, as I see it. One is that the timing -- when these  
8 oscillations occur there's also about the same time when  
9 the climbing temperatures gone up towards the range of  
10 phase transformation. And the -- of course the fuel  
11 temperature has gone up to a very significant spark that  
12 you get and the increase in neutron flux that you get  
13 from BWR transients.

14 The fuel temperatures they may approach values  
15 which could result in some --. This increase in fuel  
16 temperature --

17 CHAIRMAN KERR: Do you remember off hand, how long  
18 after the initial beginning or after the beginning of  
19 the transient this sort of thing is expected to occur?

20 MR. THADANI: On the bases of these calculations  
21 it happens roughly 3 1/2 minutes following the on set of  
22 the event.

23 CHAIRMAN KERR: This assumes pump trip has  
24 occurred in the interim?

25 MR. THADANI: That's correct. This assumes  
pump trip has occurred in the interim. The the AD6 DPM

Tape 2/3

1 SOCS with 13 per cent sodium penabard solution at roughly  
2 2 1/2 minutes. That is at 2 1/2 minutes you start to get  
3 some boran in the coolant water.

4 DR. MARK: Am I right in calling these oscillations,  
5 up to now at least, have only been observed in GE in  
6 calculations? You often tend to question the results of  
7 GE calculations if they show the temperature drops to an  
8 acceptable level. These oscillations are disturbing. Are  
9 they real?

10 They come in the ready code, I presume which  
11 you also are inclined to regard as unreliable. Do they  
12 also show up in the oden code? Or do we have any pure  
13 physical bases for knowing they would be there?

14 MR. THADANI: Okay. I think -- I think many  
15 questions. Let me give it a try. First, we do have concern  
16 with ready code. We've asked GE repeatedly to perform  
17 these analyses using oden code which we reviewed and  
18 evaluated and find it more satisfactory as a calculational  
19 tool than ready. We have also indicated in our new short  
20 term calculations for our ready are probably good.

21 The oscillations were seen using ready code.  
22 We've asked GE to extend their analysis from first 60  
23 seconds to first several minutes using oden. We have not  
24 been successful so far in getting that kind of analysis.

25 Our discretions with GE indicated to us that the

Tape 2/4

1 oscillations are probably real. That there has been some  
2 experience at some plants. If I remember correctly  
3 Dr. Lipinski, I think, indicated the last supplement reading  
4 that he knew of a reactor which had indeed gone through  
5 some substantial oscillations. And I believe, Steve Hanar  
6 has mentioned to me and I just don't have the facts, but  
7 he did indicate to me that he had also seen some oscillations  
8 in some other BWR designs. And this particular plant, I  
9 think he was talking about, is not in this country. I  
10 think it was at Brage. And perhaps Dr. Lipinski can --  
11 can tell us about his experience.

12 DR. LIPINSKI: Well, the borax 1 and 2 experiments  
13 explored the fundamental behavior of boiling water reactors.  
14 And in those particular reactors it was natural circulation  
15 and the power levels were deliberately increased until  
16 the chugging phenomenon did set in.

17 In the case of the experimental boiling water  
18 reactor, that was a conservative design at 20 mega watts  
19 thermal. But based on our oscillation experiments and  
20 stability measurements, we concluded we could run that  
21 facility to 100 megawatts thermal without encountering  
22 instability and we did.

23 But had we proceed beyond 100 megawatts, all  
24 the indications were that the chugging phenomenon would  
25 have set in. Again that was an natural circulation

Tape 2/5

1 reactor. It was not forced circulation. But there is a  
2 limit in terms of the amount of reactivity that can be  
3 inserted into the core of a boiling water reactor before  
4 the chugging phenomenon sets in.

5 And when General Electric first raised that  
6 issue of pump trip, that was my first question. That when  
7 the pumps did coast down, what was the assurance that the  
8 reactor would operate in a stable emulsion.

9 MR. THADANI: We have also as -- as you well  
10 know, over the past 2 or 3 years been trying to get more  
11 information on silitory behavior.

12 The next item is one that we have discussed at  
13 great length with you in the past. Just want to indicate  
14 to you that under a tentative speed of 3A as defined in  
15 the 0460.

16 In some cases the local cool temperatures and  
17 the still exceed what we've talking about in excess of  
18 200 degrees Fahrenheit. But I don't really beleive, at  
19 this stage, that in terms of the temperature, that it  
20 would go much beyond 200 degrees, local temperature.

21 However, the concern is in terms of loads that  
22 are imposed by the actuation of the safety relief valves.  
23 We believe that the loads imposed during an apuse would  
24 be substantially higher than those experiencing during  
25 transcience.

Tape 2/6

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And even though the evaluations are generally performed in a conservative manner, we're not yet convinced that the design bases consideration would indeed incorporate the kinds of conditions we're talking about doing anakalis.

DR. MARK: I was curious about this at that point. These oscillations are mechanics of the suppression pool have received tremendous attention in connection with the double ended pipe break. In fact, they're suppose to sit quietly through that.

Are you saying that anakalis load is going to a larger demand than that?

MR. THADANI: Yeah, maybe I wasn't very clear. What I was testing there was you know if the down comer is submerged, the suppression pool is a column of water and it's a column of air. And as the valves open, you compress air and shoot through the down comers. And you create initially large loads. Shoot the air clearings.

DR. MARK: Well, that's the same as in the large look.

MR. THADANI: Yes, but the difference here is from our understanding of data and the analytical methods that we've looked at, we find that 3 things that seem to have impact on loads.

One is the suppression pool pressure. Another is the pressure pool temperature, and a third one is

Tape 2/7

1 the threstle pressure.

2 During an acquicavy you do have substantially  
3 more severe conditions than you would during a transcient.  
4 You would indeed open up all the valves. Your primary  
5 system pressure is fairly high.

6 When I said primary system I'm talking about  
7 the vessel pressure of BWR is fairly high. And it's just  
8 not obvious to us why that situation is covered by a  
9 transcient situation.

10 We have specified in Volume 4 how we might be  
11 able to get a resolution on this issue. And I might point  
12 out this issue would still be applicable under alternative  
13 4A. It's not to say that if we go to alternative 4A,  
14 this concern would go away. No, I think it's just a matter  
15 of providing enough information to justify that GE  
16 believes that apres loads are covered by the transcient  
impulse loads.

17 And we've listed the kinds of information we  
18 would need to -- before we could agree with General  
19 Electric that indeed the loads are no never mind.

20 The big difference between what I would call  
21 alternative 3A and 4A is the next item. System realiability.  
22 As I indicated earlier under alternative 3 and 3A, I'll  
23 discuss later on, we assume all the systems were viable  
24 regardless of the reliability or unreliability of those  
25



Tape 2/8

1 systems. Whereas under alternative 4A we pay some attention  
2 to what I would call an implicit safety objective.

3 Dr. Kerr's concern, I think, is very real but if you look  
4 very closely and carefully at alternative 4, I think you  
5 tend to get a feeling that there is some numerical safety  
6 objective consideration here.

7 If it hadn't been, the requirements would not  
8 have been written the way they were. For example we say,  
9 given an innocuous event, would like to make sure 1 out  
10 of 100 -- no more than 1 out of 100 innocuous events would  
11 receive certain pre-specified conditions.

12 In order to do that we impose some requirements  
13 on systems which are relied on to litigate consequence of  
14 innocuous events. These requirements are specified both  
15 in Volume 3, as well as in Volume 4. Volume 3 has more  
16 details in the non-reliable area requirements. Whereas  
17 Volume 4 amplifies what we meant by the reliable criteria  
18 that was specified in Volume 3.

19 Our hope is to be able to show, given an  
20 innocuous event, the combined unreliability of the systems  
21 that are relied on is on the order of 10 to the minus 2.

22 And we also recognize the problem of calculations,  
23 assumptions to arrive at an unreliable estimate. And it  
24 was for that reason that we also proposed that another  
25 mechanism will achieve the same objective would be to

Tape 2/9

1 design these systems to met the so called IEEE 279  
2 criteria. From our experience -

3 DR. LIPINSKI: Let me ask a question on that  
4 point. IEEE 279 doesn't led you to any degree of reliabil-  
5 ity other than giving you prescriptions for good practice.  
6 And the way the document is proposed saying that IEEE 279  
7 is acceptable, I don't see where the 279 offers any degree  
8 of assurance to even get to the 10 to minus 2 number that  
9 you just quoted.

10 MR. THADANI: Well, the reason -- rationale there  
11 is based on experience. We've looked at systems which are  
12 so called safety systems or -- and the data that we have  
13 particularly I'm referring to the data in WASH 1400.

14 If you look at that information carefully, you  
15 realize that a system that's designed to these criteria  
16 and standards does seem to have an unreliability in the  
17 range of 10 to the minus 2 to 10 to minus 4.

18 And that was an implicit consideration that  
19 these standard guides move you in a direction of good  
20 practices. And that the data base, which was certainly  
21 reviewed in WASH 1400, seemed to concur with our judgement  
22 that those systems would have a reasonably high reliability.

23 We could get into some specific systems and  
24 numbers at some time but for you information in response  
25 to a question that was raised, I believe by one of th

Tape 2/10

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RRRC members. We addressed just that question in a different way. That if you apply the reliability approach to the systems that are out there today, all kinds of conclusions would you walk away with regarding unreliability of those systems.

And in Volume 3 in one of the appendics, I forget whice appendix, but at least in one of the appendics, we have the responses to questions from NCRS as well as from Blarcy. And we provided some numbers of unreliable systems there. And if our -- it's my judgement that those are pretty consistent with our hopes of achieving 10 to the minus 2.

DR. LIPINSKI: There is one further problem with 279 in that it covers only electrical systems. It makes no stipulation about mechanical components.

In the case of a PORV it would include the celluloid actuator and it stops there. As to what quality of bell exists after celluloid is not covered by IEEE 279.

So even though you may have single failure requirements and multiplicity redundancy, you still have no assurance when it comes to the mechanical components.

The systems we're talking about here, for back-up, are primarily mechnical in terms of valves and pumps. Pipes, tanks, and their not at all covered by that standard.

Tape 2/11

1 MR. THADANI: Yes, you 're right they're not.  
2 Again if you look at the data base you find generally  
3 mechanical failures are less likely than electrical failures.  
4 Quite frankly I think the point -- the PORVs.

5 The PORV reliability was assessed in one of the  
6 vendor publications from many years ago. In which they  
7 concluded that the unreliability of the PORV to often on  
8 demand was somewhat greater than 10 to the minus 2. And  
9 was roughly 10 to the minus 2.

10 That was one of the major reasons why in Volume's  
11 1 and 2 of NUREG 0460 we specified that as a single  
12 failure. Now, on those designs there are 2 or more  
13 relief valves. And we were looking for an overall of  
14 about 10 to the minus 2, as I indicated. And if you look  
15 at sub-systems like PORV's safety valves, oxy systems  
16 and so on.

17 At the time we were looking for a number like  
18 10 to the minus 3. And if you assume that one out of  
19 2 PORV's fails to open on demand, you would get the kind  
20 of unreliability that we're talking about. 9 of 30.

21 As long as the system response was not  
22 influenced by the accident event itself.

23 DR. LIPINSKI: Well, let me conclude by saying  
24 I could design a system that would meet IEEE 279 that may  
25 never function and still provide evidence that I'd met

Tape 2/12

1 279 in all it's respects yet have totally unreliable  
2 mechanical pieces.

3           Implied in what you're saying is some kind of  
4 faith that mechanical components that have been used in  
5 the class will be used in conjunction with 279. But 279  
6 in itself does not get it in there.

7           CHAIRMAN KERR: What about 279 along with a  
8 single failure criteria and for mechanical components?

9           DR. LIPINKSI: Even the single failure doesn't  
10 get you there. We saw a chart --

11           CHAIRMAN KERR: Well, what is the there that you  
12 want to get us to?

13           DR. LIPINKSI: Well, even the 10 to the minus  
14 2 -- we had a chart presented to us on diesel starts and I  
15 forgot what particular plant it was. It was at the top of  
16 that list. And the probability was almost 1 that the  
17 diesels would not start on demand.

18           And the single failure exists in that particular  
19 case cause there at least 2 diesels.

20           But the reliability per diesel is so long --

21           CHAIRMAN KERR: But it seems to me Walt, if  
22 neither diesel starts, that's a double failure so I see  
23 how one could satisfy the single failure criteria and have  
24 neither diesel start.

25           DR. LIPINSKI: But, again his overall reliability --

Tape 2/13

1 The point I'm trying to make is the single failure criteria  
2 does not give you a reliability base. Because I can give  
3 you the probability of failure to start as about 5 9's.

4 MR. THADANI: I think, if I may just make one  
5 comment. On diesels you need a whole abundant of close  
6 appropriate power sources and so on before you can get the  
7 diesels going.

8 There maybe a common faults. For example, common  
9 power faults which if it fails could possibly result in  
10 loss of diesels. Based on some of the reliability studies  
11 that have been made so far, and I believe there is guide  
12 on the kinds of testing that's required of diesels.

13 You would probably not achieve 10 to the minus  
14 3 for diesel. Or 1 out of 2 diesels. At least that's  
15 what my understanding of the data is.

16 On the other hand, your new diesels only for  
17 an event like loss of outside power. Loss of outside  
18 power is an initiating event. It's a low probability  
19 event followed by a very low probability failure per schram.

20 We made numerical assessment as you will --  
21 we found that that number always -- somewhere between  
22 10 to the minus 5 and 10 to the minus 6. That is if you  
23 believe these methods, you would say the likelihood of  
24 the loss of outside power followed by failure to schram,  
25 would have frequency in the range of 10 to minus 5 and 10

Tape 2/14

1 to minus 6. But certainly no more than 10 to the minus 5.  
2 And for that reason and for loss of outside power then, our  
3 requirements on litigating systems are more relaxed.

4 And when I say our requirements, now I'm indicating  
5 to our diesel specifications. And the requirements for  
6 diesel reliability is lower than that for other litigating  
7 systems which are relied on for essentially all events.  
8 But if they challenge people to be -- pretty high in the  
9 relative sense.

10 DR. LIPINSKI: I will still repeat my first  
11 statement. Single failure criteria does not in itself  
12 imply a final reliability.

13 MR. THADANI: It does not necessarily but I  
14 think it's a good indication. I am not challenging your  
15 statement that you can't design a system which would not  
16 work on demand even though it might satisfy Sigmund Fergler.

17 One can and one might end up with mechanical  
18 components of such poor reliability that you maybe right.  
19 But the general industry package and the kinds of  
20 requirements we normally face and my understanding of the  
21 experience has been that continual failure requirements  
22 does give you unreliable relief and ranges from 10 to  
23 minus 2 -- to 10 to minus 4.

24 Now, I'm just going on the bases of the data  
25 that I've seen. Dr. Mattson?

Tape 2/15

1  
2 DR. MATTSON: Maybe we've been fighting with one  
3 another on this issue too much in the last year, last 2  
4 years, 10 years. I think right here Walt saying he'd  
5 like a numerical criteria or some other more exacting  
6 reliability statement for some of these components or  
7 systems.

8 DR. LIPINSKI: Let me add a comment. You have  
9 given the option. You either say 279 or --

10 DR. MATTSON: Yeah. And Chuck is saying that  
11 the or is probably the way people will proceed and he's  
12 trying to defend against your argument that's it's  
13 equivalent. Maybe we ought to think for the future of  
14 not only in Atlas but in some other places about softer  
15 reliability criteria.

16 For example, we think it's implicit in everything  
17 we say that we want reliable equipment. Maybe we ought  
18 to start saying we want reliable equipment. And some of  
19 the things that go into making up reliable equipment are  
20 single failure criteria, other deterministic statements  
21 or one sort or another, and analyses of a system reliability.  
22 To demonstrate some level of reliability but not hold or  
23 hardened fast numerical reliability number like we are  
24 in the either or statement that we've associated with  
25 system reliability for Atlas.

We take some of the terministic things. We



Tape 2/16

1  
2 want a reliable system. We encourage people to do analyses  
3 and to think through what the unreliability might be so  
4 that as designers in designing the system and as licensening  
5 representatives and representing into the regulatory  
6 agency they have some grasp or concept of the numerical  
7 reliability of the system.

8 Must be some middle ground where it isn't either  
9 or, it's both.

10 DR. LIPINSKI: That's my point. To me 279 does  
11 not imply that you achieve your numerical numbers and I  
12 would not say or, I would say and.

13 DR. MATTSON: Well, why don't you help us write  
14 how you would specify to a designer that he do that kind  
15 of thing. How would you go about articulating that?

16 CHAIRMAN KERR: Let's leave that for a conference  
17 or something. I think the points well taken. Proceed  
18 Mr. Thadani.

19 MR. THADANI: Okay. I think I have summarized  
20 for you our reactions to these middles. And as I get into  
21 the rest of the presentation I'll highlight other areas.

22 As I said earlier towards -- later on if you  
23 desire to get into more detail discussion in specific  
24 area, we'll be glad to do that.

25 Based on these documents that we looked at and  
our prior understanding of acquivace of -- by having

Tape 2/17

1  
2 reviewed in this pre-analyses as well as our own independent  
3 hard calculations. I think we have gained reasonable  
4 understanding about the cemex to the point that we think  
5 we can probably specify the types of modifications which  
6 would deal improvements in Atlas protection.

7 Recognizing that there are some voids in our  
8 knowledge. Voids which particularly relate to our  
9 clients specific considerations. The capability of  
10 equipment.

11 I indicate our concern with influence of high  
12 pressure on primary system compliments or Electric Power  
13 Research Institute it's planning to conduct tests of  
14 valves. When I say valves I'm talking safety and relief  
15 valves on pressurizing with some consideration of  
16 that is associated with these valves. The class was  
17 suppose to cover steam to replace water. And there  
18 suppose to -- at least at this stage be able to handle  
19 pressures above 2500 pounds and they maybe able to handle  
20 pressures as high as 29 to 3,000 pounds.

21 It's our attention to take advantage of the  
22 results of those tests. And we're in the process of  
23 discussing with them how much more they can do to satisfy  
24 some of the accuracy considerations.

25 If we do end up with alternative 4A on a large  
number of plants, if not all. And the limit we're

Tape 2/18

1 talking about is 3200 pounds. And I think that the capability  
2 might exist to be able to verify the functionality.

3 So I think there would be some information  
4 available to us over the next year. I think the first  
5 set of tests should be completed by July 1, 1981. Any  
6 additional tests, in terms of Atlas, I have at this stage  
7 no further information as to what it will take to do these  
8 tests and what kind of data we're trying to find.

9 But the point I'm trying to make, is that  
10 although there are some voids in our understanding, we  
11 do have a reasonably good idea of how an active event  
12 might proceed.

13 On the bases of these calculations, we have  
14 identified some design modifications in groups. Different  
15 groups providing different level of testing.

16 The first group I described earlier. 11 plants.  
17 We are still requiring that they modify there pram  
18 system so as to reduce likelihood of an actless event.  
19 The exact reduction of actless events, we do not know how  
20 to count. And I doubt very seriously if we have a good  
21 enough group to be able to do that industry wide.

22 We also required that analyses be provided  
23 with these early operating plants so we could determine  
24 what other modifications, if any, that we acquired off  
25 these old designs.

Tape 2/19

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The second grouping consists of what I would call the rest of the plants. We would require that all these plants implement alternative 3A with a small variation of what was alternative 3 in Volume 3. In the next 1 to 2 years. And I'll describe in a few minutes what we mean by that. What kinds of hardware modifications we're talking about.

We reached a conclusion that more checking, in our opinion would be achieved, if we went for alternative 4A. We'd like for all present to be able to make a showing that they achieved that kind of level of safety. But we recognize some of the limitations that are very real on operating plants and plants that will become operational over the next 1 or 2 years. --Sisemic structures that maybe replaced -- resume capabilities that might be already be overloaded.

Our concerns would be that making drastic changes by erecting structures on -- one may be introducing some problems. And the actual reduction and risk from Atlas would not be as high as we had anticipated.

We would therefore recommend that those plants which have those kinds of limitations pursue other ways to improve the level of safety you have to achieve over and above alternatives BA.

Now, on the example --

Tape 2/20

1  
2 CHAIRMAN KERR: Am I correct in concluding from  
3 these comments that it is your belief that your goal will  
4 be achieved by alternate 4 and alternate 3A does not achieve  
5 but it moves one in a direction toward the achievement?

6 MR. THADANI: That is correct. And early  
7 implementation of Alternative 3A would permit, I believe,  
8 a proper review, consideration by various parties,  
9 consider information of possibly to rule making proceeding  
10 of the information that's available. And if indeed it is  
11 appropriate or necessary to go beyond alternative 3A and  
12 how much further should one go.

13 DR. MATTSON: Can I say that in a little bit  
14 different language? I think what he's saying is 3A's  
15 clearly needed for safety. Depending upon one's judgement  
16 as to what's totally required for safety, that is how  
17 safe is safe enough.

18 4A might be necessary. That's the judgement  
19 that's somewhat subjective. He feels more comfortable  
20 we feel more comfortable saying that the way to make that  
21 decision is through rule making and the subjective process  
22 that that involves and the --

23 CHAIRMAN KERR: And so you not any longer talking  
24 about -- you're not any longer talking about the original  
25 had was goal, you're now simply talking about safety?

DR. MATTSON: Yeah.

Tape 2/21

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CHAIRMAN KERR: Question? Mr. Ray.

MR. RAY: I'm a little confused. I thought that you were legislating both 3A and 4A on the part of all the plants. Do these last remarks mean that having legislated 3A and having implemented 3A, a plant can still then have the opportunity to demonstrate that that's adequate? Without having to go forward and add 4A?

MR. THADANI: Okay. Our recommendation is that the -- if you would people. First one would be early implementation of what we call alternative 3A which would provide improved safety. The degree of improvement would certainly vary from plant design to plant design.

Having read that decision, we would hope that the requirements to put the request to mean such as orders and that the kind of front that is described in Volume 4 so that these modifications could be implemented in a reasonably short time period which I described as 1 to 2 years.

If that is done, I think that permits people more time to deliberate somewhat on the various proposals that have been made. By ourselves, in Volume 4, by the industry in various pieces of paper that they have submitted and in Volume 4 we have also indicated that for some plants there may be a need to just be satisfied with something between alternative 3A and 4 A. And what we call

Tape 2/22

1 alternative 3 1/2. We're running out of numbers.

2 That would be our recommendation to the Commission.  
3 And if indeed the Commission does agree that it's  
4 appropriate to go through rule making on alternative 4A  
5 requirements as well as optimization, then the comment  
6 period, I would hope, would be the time when industry  
7 would submit their view as well as the optimization studies  
8 to point out what they can actually accomplish without  
9 having to go to alternative 4A.

10 MR. RAY: Are you saying then that having  
11 implemented 3A, whether or not a plant must go further  
12 and I'd specifically 4A, will be determined on a plant's  
13 specific bases based on the ability of a plant to demonstrate  
14 the lack of necessity to completely implement 4A?

15 MR. THADANI: No. I think and it may end up  
16 that way. I don't know.

17 MR. RAY: There's a door open for it to end up  
18 that way.

19 MR. THADANI: Yes. Yes.

20 DR. MATTSON: I think there's a 2 step, maybe  
21 even a 3 possible outcome thing here. You go with 3A  
22 now. You say you want to conduct rule making on 4A.  
23 What you must mean there is whether 4A is necessary and  
24 if it is necessary, whether a person must comment exact  
25 conformance with whatever criteria evolved from the rule

Tape 2/23

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making or best effort conformance, with the criteria.

There are several options for beyond 3A.

And the rule making is the vehicle for deciding which of those options are to remain open.

MR. THADANI: That's exactly what I was thinking.

MR. RAY: I'm afraid I don't understand what rule making means. Does this mean you'll have a rule for a specific plant that's different from a equivalent rule for another plant for that stage of the evolution of the fixes?

MR. THADANI: If the rule is hardware oriented, specifies hardware, then I can see the difficulties you are having.

CHAIRMAN KERR: Asgrim, let me try to help. Now, Jerry, the current ECCS requirement resulted from rule making. 50.46 is a set of criteria. Appendix K tells how one may met those criteria. That which is not plants specific is a result of rule making.

One would anticipate, I guess, a similiar set of criteria.

MR. RAY: Well, then do I --

DR. MATTSON: Well, let me try a better example maybe, that's more flexible than 50.46. It might be too rigid for what people might have in mind for Atlas. I'd say 50.44. In so far as it treats recombiners would



Tape 2/24

1 be a more approximate past example of what's in mind here.  
2 Where it came out saying a certain capability for hydrogen  
3 control was required. New designs and another method of  
4 coping with hydrogen was allowable for old designs.

5 50.46 didn't allow that flexibility.

6 CHAIRMAN KERR: I was simply trying to judge  
7 what might happen on the bases of what I see in Volume 4,  
8 on the bases of Volume 4. I would have anticipated that  
9 it might be close to the 50.46 but that's just a matter of  
10 judgement, I guess.

11 MR. RAY: Let me give you now my return inter-  
12 pretation of what I just heard. I doubted that the rule  
13 making will establish criteria rather than hardware or  
14 equipment. And that different plants may met this criteria  
15 by adding different components of equipment or systems  
16 and be acceptable to the Commission and staff.

17 MR. THADANI: I would hope that the rule making  
18 and the proponent rule would be of a nature that would  
19 specify the necessary hardware  
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Tape 3 1

1 to meet safety objectives. It may turn out that in some  
2 cases the plant owners may opt not to implement that  
3 hardware; that they may find an alternative way which  
4 will provide significant improvement because of the  
5 specific plant layout considerations. That kind of  
6 information, we would hope, would be provided during the  
7 comment period to the rule.

8 Having received those comments, I would hope that  
9 the final rule that is issued would still be more or less  
10 essentially hardware oriented. It would not require continued  
11 analyses of ATWS events as is required for local.  
12 It would specify hardware. That is our hope, and that is  
13 our objective.

14 Now, I do see a possible problem, as you I think  
15 correctly point out; that unless the optimization studies  
16 are provided well in advance before the rule becomes effec-  
17 tive, you may end up with a rule which is more criteria  
18 oriented, rather than hardware oriented.

19 Okay. I keep promising I'll talk about some of  
20 the hardware differences.

21 CHAIRMAN KERR: Mr. Thadani, let me, if I may  
22 prevent your talking about it for another couple of  
23 minutes. On page 63 of the blue back report -- it probably is  
24 the same page number on the earlier version -- under  
25 "conclusions" there are some estimates of risk reduction,

1 V and VI. Here are the estimates: that alternative 3A would  
2 decrease average risk by a factor of 20 for BWR's, two or  
3 more for CE, and two or 80 for Westinghouse, depending on  
4 the particular plant; that further, going from 3A to 4A  
5 would decrease ATWS risk of about an additional factor  
6 of 10 for BWR, 25 for B&W, CE, and there would be no  
7 change in risk reduction for Westinghouse plants going from  
8 3A to 4A. Is that still -- the Staff position has not  
9 changed --

10 MR. THADANI: No, that's still our belief, based  
11 on -- as I indicated earlier -- the recognition of  
12 uncertainties, and the best calculation of probability. But  
13 in terms of relative improvements, we still believe that.

14 CHAIRMAN KERR: Okay. In terms, then, of, say,  
15 risk reduction for PWR's, aside from the Westinghouse  
16 plants where the Scram mitigating systems are not now diverse,  
17 risk reduction is expected to be around the factor of 2 or  
18 3, going from now to 3A, I gather.

19 MR. THADANI: That would be -- if you do not  
20 have diverse mitigating systems, the risk reduction may be  
21 only a factor of 2; but if you do have diverse mitigating  
22 systems, then the reduction in risk would be considerable.  
23 And we indicated a factor of 80. Today's plants, where  
24 they do not have diverse accuation of auxilliary feed water,  
25

1 diverse means to trip the turbine.

2 CHAIRMAN KERR: I think I understand that.  
3 I'm saying, ignoring that --

4 MR. THADANI: Okay.

5 CHAIRMAN KERR: -- assuming we're talking only  
6 about plants that have that diverse capability, I seem to  
7 see that risk reduction factor of PWR's is expected to be  
8 about the factor of 2, going from existing to 3A. Is that  
9 a correct interpretation of what --

10 MR. THADANI: That is correct. Yes.

11 CHAIRMAN KERR: Okay. Now, did the Staff make  
12 any estimate of the uncertainty which it would attribute  
13 to factor 2? I raise the question because a factor of  
14 2, when one is talking about low risk, is a pretty small  
15 factor, and I just wondered --

16 MR. THADANI: No. We've had a lot of difficulty,  
17 as you well know, in trying to come up with uncertainties  
18 in these calculations. We recognize -- and I hope we said  
19 two or more -- yes, we did -- we recognize that the unrelia-  
20 bility of Scram system may be reduced by a factor much  
21 greater than 2 by making the kinds of modifications that  
22 we've been talking about. But how much more beyond a factor  
23 of 2 we don't know, and we just at this stage don't --

24 CHAIRMAN KERR: No. But you see, I'm also worried --  
25

1 we're talking about factors -- it doesn't take very much  
2 uncertainty on a factor of 2 to make the improvement less  
3 than 1. And, you know, there is some possibility that if  
4 you make changes in a region in which the improvement is  
5 expected to be 2, it doesn't make a very big uncertainty.  
6 Now, I'm not trying to play games with numbers here. I  
7 really have some concern about making changes. And if I  
8 can assure myself that the factor is likely to be 10 or 100  
9 with an uncertainty of maybe a factor of 2, I will feel  
10 so much uncertainty. But if I'm trying on a factor of 2  
11 and there's an uncertainty that might take me into the .5  
12 or 5, then I begin to have concern.

13 MR. THADANI: Okay. I think I understand the ques-  
14 tion you're asking. The problem is not -- at least, my point  
15 of view is not as much of a concern, simply because the  
16 factor of 2 reduction is based on simple considerations,  
17 if you will. We do believe that making these changes in  
18 the Scram system -- when I say Scram system I am at this  
19 point just talking about the electrical portion -- that the  
20 unreliability of the electrical portion of the Scram system  
21 has been substantially reduced, not by a factor of 2. But  
22 that is just a part of the total system.

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24 CHAIRMAN KERR: Well then, our concern is not with  
25 the risk of Scram electrical systems. It's with the risk of

1 something or other--core melts, I guess -- that, at least,  
2 was the original goal. Now the goal may be just to make --  
3 but I assume this factor of 2 refers to some original goal  
4 of core melts or something. The factor of 2 risk reduction  
5 in a situation in which risk reduction -- the risk is  
6 already comparatively low -- I don't know what comparatively  
7 low means, but the factor of 2 is -- well, maybe significant  
8 or not.

9 MR. THADANI: At least we don't think that a  
10 factor of 2 is significant reduction. Actual reduction  
11 may be somewhat better than factor of 2. We don't know.  
12 And I don't think that uncertainty in that factor of 2  
13 should be a problem, because the reason you end up with a  
14 factor of 2 is not due to the changes in the electrical  
15 system, but it's because of the limitations of the hydraulic  
16 and the mechanical portion of the Scram system.

17 And indeed, you're right when you talk about a  
18 factor of 2, you're talking about a factor of 2 reduction  
19 in what I would call potential for core melt. I'm not  
20 sure it is necessarily a core melt. We are talking about  
21 for these designs very high pressures, and we're getting  
22 areas which I don't believe are completely understood; a  
23 phenomenon that people have indicated to us that raise more  
24 concerns rather than resolving the problems. And I guess I  
25

1 would prefer to characterize it as a factor of 2 or more  
2 reduction and the potential for core melt, rather than --

3 CHAIRMAN KERR: You use the term "risk." Risk,  
4 to me, doesn't mean certainty. And indeed, that's the  
5 language that's used in the report.

6 MR. THADANI: Yes. Well, their risk -- I would  
7 say a risk term is probably not too bad. It's reasonable.  
8 But the key point here that was being addressed was, the  
9 front end portion of the risk component -- that is, the  
10 probability of an accident, and not the consequences. But  
11 I'm saying that's implied.

12 DR. MARK: Is it -- it's my impression that in  
13 those numbers, which are hard to come by, I know, for  
14 the improvements realized by 3A, those are mostly in the  
15 probability term of risk; whereas the 4 includes rather  
16 more in the consequence term.

17 MR. THADANI: That is correct. And there are some  
18 other factors from consequences, in particular for boiling  
19 water reactor designs, and to a certain extent for  
20 Westinghouse designs also.

21 CHAIRMAN KERR: Mr. Thadani, I gather this is a  
22 transition point. I'm going to declare a ten-minute break.

23 (Whereupon, the meeting was continued at 10:25.)

24 CHAIRMAN KERR: Mr. Thadani, would you please  
25 proceed?

1 MR. THADANI: Dr. Kerr, I thought I would take  
2 a minute or two to hopefully indicate to you why in my  
3 thinking your concern is probably not as serious as it might  
4 appear.

5 (Whereupon, he proceeded to the blackboard.)

6 MR. THADANI: I, during the break, put up a  
7 simplistic approach to what the Scram system might consist  
8 of. There is unreliability of, what I call, a total Scram  
9 system. I'll say it's composed of two parts. If one or  
10 the other fails, I have a failure of the Scram situation.  
11 And I split it up into two parts. One part I call the  
12 active protection system or the electrical portion of  
13 the total Scram system. And the other part is the hydraulic  
14 and the mechanical portion of the Scram system.

15 Here is a big liberty taken. I said, okay,  
16 if I were to split these in two halves, if you will, the  
17 contribution to overall unreliability of Scram system is  
18 distributed equally between these two systems. And all I'm  
19 doing is working on that system, when I talk about modifica-  
20 tions in the Scram system, or the actual protection system,  
21 then I don't think you can see this -- I might write this  
22 up here. Here is my initial value of unreliability, which  
23 is one-half X. Having made this modification, some of  
24 the industry calculations indicate that reduction in  
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unreliability is much -- well, at least a factor of 10.  
And they seem to indicate that that factor is even lower  
than one-tenth.

Thus, this is now the new unreliability of the  
protection system, and that becomes .05 X instead of .5 X,  
if you will; and that is again the conservative views of  
one-tenth improvement. This 10 becomes .05 X. But this  
one-half X remains unchanged. Even if I have some  
uncertainty ban on this number, it does not influence  
the second count. I hope I helped.

CHAIRMAN KERR: Well, I think I understood that,  
but it's a good illustration, Mr. Thadani. I still find  
myself with a risk reduction of only, in effect, I guess --  
I have risk reduction of only a factor of 2 for a risk that  
I think is low. And I also am not certain that I know what  
may have been done to the plant in the process of making  
changes which are different than those changes that one  
makes on a blackboard. They involve going in and  
installing hardware in a plant that is already operating.  
I'm not certain that I know what may have been done to the  
plant in the process of these changes that may increase  
the risk somewhat. So, only a factor reduction of risk by  
a factor of 2 for a risk that is already low, is the  
uncertainty of -- the possibility of increasing risk is  
there. I have to try to balance these, one against the

other.

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MR. MATTSON: Bill, I think I understand your concern, but haven't we addressed that concern? Didn't we have it on pumps on, pumps off? I think we have it on ours.

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CHAIRMAN KERR: Well, I've seen it addressed on risk reduction, but I have not seen anything in ATWS that gives me an estimate of the possible deleterious effects that may occur in the process of attempting to implement off 3A. We have to go in --

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MR. MATTSON: Well, not specific to 3A, but there has been a concern, at least for the last three or four years on ATWS's fixes. And people have addressed potential decrements to safety. We've discussed them with this subcommittee.

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CHAIRMAN KERR: I express my question in terms of the uncertainty and risk reduction, and I don't see it addressed at that point.

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MR. MATTSON: How would we address the point other than --

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CHAIRMAN KERR: I don't know. That's -- I wish I could tell you how to do it, except to say that I think you need to be aware of it. And if the risk reduction is only a factor of 2, and if you aren't too sure about the factor of 2, it becomes more of a concern to me than if I say due to risk reduction with a factor of 100, then the

uncertainty was between 70 and 120.

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MR. MATTSON: You're talking about what maybe our general ethic ought to be for safety improvements. If we can only get a factor of 2 out of some big change, and if the big change disrupts a lot of things that are there for 20 years worth of history, then we ought to be careful doing something that only gets a factor of 2.

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CHAIRMAN KERR: And if the factor of 2 still has some -- if the factor of 2 still has some uncertainty.

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MR. THADANI: Yes. We tried to indicate, and that's why I went back and made the point that we said the factor of 2 or more improvement. And we think if we have erred, we have erred on the side of conservative.

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CHAIRMAN KERR: So you're saying it really ought to be a factor of maybe 10, with an uncertainty band that goes from 2 to something else. 2 is the lower level.

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MR. THADANI: We believe so, because of the general concensus, I think, that the overall unreliability is probably dominated by electrical portion.

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Okay. Now on to the specific alternatives and how they defer from volume III. The alternative 2 in volume III and 2A in volume IV are essentially identical. There are two changes. I would characterize one change as significant. The other one was implicit in volume III, although it was not explicitly called

1 out. In general alternative 2 considers modifying  
2 Scram systems to make improvements in the electrical portion  
3 of the Scram system. And these are called BUSS for B&W,  
4 Back-Up Scram System; SPS, the Supplementary Protection  
5 System for combustion; ARI, Alternate Rod Injection  
6 system for BWR's. AMSAC, as you remember, stands for  
7 ATWS Mitigating System Actuation Circuitry; that is,  
8 things like turbine trip and aux feed actuation.

9 There is, if you will notice, a difference  
10 between 2 and 2A for B&W and CE; that is, it requires  
11 analyses. Now, Volume III required analyses also. It  
12 just did not specify what kinds of analyses and when these  
13 analyses were to be provided. So I don't consider that  
14 as a difference between Volume III and Volume IV. It's  
15 just that we've specified now the time period when the  
16 analyses should be provided.

17 But there is one major difference I do want to  
18 point out, and that is in the case of Westinghouse. We have  
19 gone beyond what we say in Volume III, and we're requiring  
20 what we call modifications in Scram system to improve the  
21 electrical portion of the Scram system. This is a particu-  
22 larly difficult recommendation to make for us. We considered  
23 factors such as Three Mile Island, considered unknowns  
24 that accidents may proceed in a path which may be different  
25 than what we perceive today. We do know there have been

some problems with some of the breaker operations in the Westinghouse design, the protection system. When I say problems, I'm talking about random failures; that there are two breakers in series. Both of them have to fail to result in failure to Scram.

One possibility we were considering was possibility of having two highly reliable breakers, but one manufactured by A, while the second breaker is manufactured by B, to introduce some kind of diversity without losing reliability. That's an example. I'm not suggesting that that's what we're asking them to do; only we would ask them to look at their protection system, just as B&W and CE, as well as GE has done to determine the kinds of changes which would reduce the likelihood of failure of a protection system to function on demand.

There were considerations like Three Mile Island, like the realization that there are some ATWS events which would result in consequences far beyond those considered in these evaluations. That is because we have been looking at ATWS in reasonably realistic manner. Most of the parameters are to take nominal values with the exception of the moderator temperature coefficient. The codes are supposed to be realistic.

So, there is certainly a potential that the actual consequences for certain periods of times, if an

ATWS were to occur in a Westinghouse designed plant, maybe more severe than those considered --

CHAIRMAN KERR: Mr. Thadani, again, I don't want to make a big part, and I certainly don't disagree with you, but on page 13 of the report there is a list of five items which are said by the report to be exceptions to nominal values of system parameters. I assume, therefore, these are meant to be conservatisms.

MR. THADANI: These are meant to be conservatisms. Certainly for -- I would call alternate four plants. The reason I say alternate four plants is because there are words like assumption of failures in mitigating systems, which we're not addressing under alternate 2.

CHAIRMAN KERR: Okay. So -- okay. We're not just talking about alternate 2, not the spectrum of alternates.

MR. THADANI: Not right now, no. No. When we get to alternate 4 your comment would be perfectly applicable.

CHAIRMAN KERR: Okay.

MR. THADANI: But it was a very difficult recommendation, and we would particularly like to have your advice on appropriateness of requiring this modification on Westinghouse designed plants, especially considering that these plants, at least based on our understanding today,

can withstand most of the ATSW events.

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2 I did not go into some of the other factors on  
3 BWR simply because I thought we had covered these in the  
4 past. SD is Scram Discharge volume modifications, and  
5 there the requirement is, there are level sensors which  
6 indicate the amount of water in this volume. If there is  
7 a lot of water there, and you need to Scram the reactor,  
8 you may experience some difficulties. We have suggested  
9 that there be some diverse level sensing devices. And  
10 next item, RPT is of course the recirculation pump trip.  
11 No words are needed for that.

12 LOGIC is the potential changes in set points  
13 such that a large number of transients do not end up being  
14 isolation type transients in BWR's, since isolation type  
15 transients are the most serious transients in BWR's. We're  
16 hoping that these logic changes would reduce the number of  
17 times you isolate the reactor, and hence challenge the safety  
18 relief valves; as well as the change would permit being  
19 able to continue to use the condensor by means of running  
20 back on feed water pumps to reduce sub-cooling, and at the  
21 same time have some high pressure inventory source  
22 available.

23 These were characterized in Volume III as alternate  
24 2, and as I say, the difference really is that now we've  
25 given date to when we would like to get the analyses for

these 11 old plants.

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Going on to alternatives 3 and 3A, you'll notice that they're basically the same as alternative 2. The distinction between alternate 2 and 3 was that analyses were required for PWR's to demonstrate adequacy of these hardware modifications. There are two new requirements that have resulted from the information that we've seen on PWR's. And this may turn out to be not the case for all PWR's. Two new requirements are, containment isolation.

Most of the radiological assessments assume that the containments were isolated. And what we want to make sure is indeed the containment is isolated fairly early during an ATWS event; serves two purposes. One, it cuts down on our arguments on faction of fuel failure, as well as some of the assumptions that go into performing radiological dose calculations.

It also would provide additional benefits in that it may limit consequences to well below part 100. It's helpful from two points of view. Not only would it make it easier for us to agree that we have satisfied our criterion, but in reality it may limit the actual off-site releases to much lower levels than part 100 guideline values. It may be that on the large number of plants this diverse containment isolation already may be in place. It's our understanding that a number of plants have, for example,



1 isolation on high radiation signals, and there may be other  
2 signals which would isolate containment. That's a plant  
3 unique feature, and it may turn out that a large number of  
4 plants already have this feature and do not have to make any  
5 modifications.

6 DR. MARK: Is that not also being addressed in  
7 the action plants?

8 MR. THADANI: It's being addressed in the action  
9 plants. In response to a question from Dr. Kerr, I was  
10 going to try to indicate that there is a real need for us  
11 to take what we think is required for ATWS and go to the  
12 action plant and make sure that we get multiple benefits  
13 from one change. And I think there are areas, and I can  
14 actually give you some examples later on.

15 DR. MARK: I have a question which is called to  
16 mind by something you just said, talking of the radiological  
17 consequences. I guess I don't understand why, in connection  
18 with an ATWS study, it is necessary to take those studies  
19 any further than release from containment; because the rest  
20 of it is now the -- I forget the name of the code that  
21 one uses to carry the radioactivity from here to there.  
22 But it's absolutely --

23 MR. THADANI: Are you talking about plural or  
24 saracode?

25 DR. MARK: Crack.

MR. THADANI: Oh, crack. Okay, I'm sorry.

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DR. MARK: It's absolutely common to all kinds of releases. And why your people bothered to have to say it's only 18.9 rem to the thyroid under conditions that nobody that I can think of could possibly believe the calculation is made. Why not just limit these studies at so many curious which is acceptable, more which is not. If it's plant specific, then that's, of course, marvelous, because I put my plants so far away that I don't need to observe any of these things, and get radiological consequences which are acceptable.

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MR. THADANI: I think that the approach we're proposing would also get us away from a lot of calculations; because with the fairly simple releases, release fractions, the amount of coolant that gets out in the containment, the leakage from primary to secondary in the pressurized water reactor, you have to worry about simply because that -- the steam leaving the steam generators is going to the environment directly, because the safety valves are open. The pressure is up, and the steam generator safety valves blow directly to the environment. So you do have to consider that aspect.

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DR. MARK: I'd be content to leave it, however. It's released to the atmosphere. I'd like to know how many curies. And then I don't believe what you say about it

after that anyway, or what Crack says about it, rather.

1 MR. THADANI: But that's essentially what you're  
2 doing when you're talking about part 100. If you take a  
3 specific high over Q or a plant, that's all you're doing, is  
4 you're saying X number of curies getting out, which would be  
5 consistent with the guideline value for part 100. I think  
6 that's what you're doing. What you're accomplishing, I  
7 believe, is that -- okay, that's X number of curies get out,  
8 but by early containment isolation, in all likelihood what  
9 gets out is going to be much smaller fraction than that  
10 X.

11 DR. MARK: Fine. I'm just referring to the  
12 fact that somewhere in this 460.3 is a list of dose numbers  
13 which somebody evidently had to work out in order to meet  
14 the requirements. And I would have been happier had you  
15 just settled so many curies, and that's all right.

16 MR. THADANI: I really think in the final process  
17 that's what we did. We were just looking at various pathways.  
18 There may be a large amount of activity in the containment,  
19 but our concern was how much of it was getting out. And  
20 so we had to look at the pathway. And I think that's where  
21 the biggest problem comes in in calculations, the kinds of  
22 assumptions you use, and what's leaking through various  
23 seals.  
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25 DR. MARK: No, I follow that, and I concur you

1 have to do that. It was the taking it from here, now, to  
2 the boundary of the plant in some case or other, which is  
3 affected and has to be done before you can talk about  
4 rems to the curies and rems to the bone.

5 MR. THADANI: Yes.

6 DR. MARK: And I'm wondering why that step is  
7 even thought of in connection with an ATWS discussion.

8 MR. THADANI: I guess the only way I can answer  
9 that is to say because of the dispersion factor considerations,  
10 they may be different for different plants. But -- because  
11 part 100, number of curies may vary --

12 DR. MARK: Yes, but I think you're not expecting  
13 to allow the ATWS fixes, whatever they ultimately are,  
14 to be plant specific -- site specific, rather.

15 MR. THADANI: That's right. Again, I think  
16 that what containment isolation does is it says with a  
17 fairly conservative site dispersion factor, I can still have  
18 reasonable confidence that I'm not going to exceed part  
19 100 values. And in reality, you probably are not going to  
20 exceed a small part of part 100 values.

21 Okay, the second change is the capability of the  
22 instrumentation to withstand ATWS conditions. This is, if  
23 you notice it's particularly true on pressurized water  
24 reactors where the calculated pressures are far in excess  
25 of the normal limits that are applied for over pressure

events. Normal limit applied is 110 percent of the design, which works out to about 2750 PSI as the limit that is not to be exceeded for anticipated transients. As you know, for ATWS, we're talking about 3200 and in some cases possibly above 3200 pounds peak pressure. We have some information from combustion engineering, which seems to indicate that we may lose a significant number of instruments because of these high pressures. And the requirement here is to make sure that the instruments that are relied on by the operator to shut the plant down would indeed be functional following an ATWS event. This was implicit in Volume III, where you looked at the requirements for mitigating systems, that the systems be able to withstand ATSW conditions. But because of what we saw in combustion report, we thought it was very important to highlight it, because it could mean some changes or additional hardware modifications. And it was for that reason we specifically identified this item.

In terms of -- that's generally true, if you notice, of all PWR designs. And as far as boiling water reactor design is concerned, we did not bring up the question of instrumentation because the peak pressure that is experienced during an ATWS event is not that much above the pressures that are calculated for anticipated transients.

1 We feel reasonably confident that ATWS does  
2 not impose conditions which are significantly more severe  
3 than the conditions these instruments are expected to see  
4 during transients as they are analyzed in safety analysis  
5 reports with conservative assumptions.

6 But containment isolation, of course, provides  
7 the same benefits that I described for pressurized water  
8 reactors. And for that reason we have included ability  
9 to isolate containment fairly early following the onset of  
10 an ATWS event.

11 CHAIRMAN KERR: In some situations it seems to  
12 me I've seen the statement, early isolation following an  
13 ATWS event; and in another context, early isolation  
14 following fuel damage. Are these used synonymously?

15 MR. THADANI: The concept -- actually a better  
16 way to say it is to say early containment isolation  
17 following fuel failures. If you don't have fuel failures,  
18 it's still probably a good idea to be able to isolate  
19 containment. But the problem becomes serious after you  
20 have fuel failures.

21 CHAIRMAN KERR: Is the implication then that one  
22 will isolate on a signal which measures radiation somewhere?

23 MR. THADANI: That's the thought that we had,  
24 because we thought that that kind of circuitry might  
25 already exist in a number of plants.

1 CHAIRMAN KERR: Now, why is this significantly  
2 different from the isolation requirement that you'd have  
3 for a locus?

4 MR. THADANI: I can't think of a very good reason  
5 why it should be any different? Now, I do know that  
6 sometime back containment isolation was based only on  
7 two types of signals. There may be others, as I said,  
8 high radiation is one -- two types of signals. One was  
9 the safety injection actuation signal. On some plants, that  
10 signal alone would isolate containment, as well as initiating  
11 the high pressure safety injection pumps. And another  
12 signal that was used to isolate containment was high  
13 containment pressure, which takes some time before you get  
14 there in most cases.

15 In the past, I think we always looked at large  
16 locus. I think our attention was focused on large locus  
17 and not small locus. In large locus you got the high  
18 containment pressure very rapidly. And so you got early  
19 containment isolation. Three Mile Island has taught us  
20 that for small locus, you may not get high containment  
21 pressure.

22 CHAIRMAN KERR: Well, perhaps my question then  
23 should have been, given the experience of today, is this  
24 containment isolation requirement different from the one  
25 that is likely to be associated with a loca?

1 MR. THADANI: I would guess -- it would be my  
2 judgment they would be about the same, because the  
3 objective is identical.

4 CHAIRMAN KERR: It would make some sense if this  
5 becomes a requirement to try to integrate it with whatever  
6 requirements may be associated with loca.

7 MR. THADANI: Absolutely correct. And as I  
8 indicated earlier, it's -- we would like to go back and  
9 compare these requirements with whatever is in the action  
10 plants to see what we can do.

Tape 4  
11 Okay, next one I'm going to compare the  
12 alternative 4 and 4A requirements and how they differ.  
13 And the key point here, you'll notice alternative 4 did  
14 not have any requirement for BUSS, or SPS -- that is  
15 modifications in the Scram system -- because alternative 4  
16 said mitigation is the way to go, and highly reliable  
17 systems which mitigate the consequences of ATWS events  
18 were preferable because we did not know how much of an  
19 improvement these modifications and electrical portions  
20 would offer.

21 The specified hardware was based on the  
22 analyses that we had seen. We, of course, recognized then  
23 and now that there may be alternative ways of getting  
24 there without having to necessarily impose hardware  
25 changes as specified here. The advantage of, of course,



1 specifying hardware is that you get away from the kind of  
2 rule that we have today, BCCS rule, analysis after each  
3 reload, constant discussions and sometimes disagreements  
4 over what may turn out to be not very important safety  
5 considerations, but they are legal considerations.

6 Our hope was, and still is, to have a rule which  
7 would be hardware oriented. And in order to do that, you  
8 have to be able to specify the kind of hardware you think  
9 would provide the level of safety that's desired. We  
10 thought the modifications that we identified under  
11 alternate 4 would indeed provide the necessary protection.

12 These differ from alternate 4 to 4A, I would  
13 address in terms of containment isolation, instrumentation.  
14 The other major point, of course, is the requirement that  
15 we should also try to do whatever we can to prevent accidents.  
16 This is the result of, I believe, what we learned from  
17 Three Mile Island. And as I said earlier, this is one area  
18 where we would certainly like to get advice from the  
19 Committee as to its appropriateness, considering that alter-  
20 nate 4 itself was supposed to have provided sufficient  
21 mitigation capabilities.

22 The key point besides containment isolation and  
23 instrumentation is what's OPT, which stands for Optimization.

24 CHAIRMAN KERR: May I comment; that the Three  
25 Mile Island experience is important. I am not sure why one

1 appeals to the Three Mile Island, however, as a motivation  
2 for trying to prevent accidents; because it seems to me  
3 that the NRC staff has for a long time tried to prevent  
4 accidents. Nor do I understand why in a number of places  
5 in this report I find the statement that the experience of  
6 Three Mile Island demonstrates that plants do not always  
7 behave as they're calculated to behave. I had thought  
8 that this was engrained in people who had operating  
9 experience. But things do not always behave as they  
10 were calculated to behave. And if this is a new lesson  
11 based on Three Mile Island, then it's high time, I guess.  
12 But I am surprised that it took Three Mile Island to teach  
13 people that. Or that one draws any new lesson from it.

14 You know, I almost get the impression that one  
15 is saying, since plants don't behave the way they're  
16 calculated to behave, we might as well quit calculating  
17 and build plants so that they'll always behave the way we  
18 expect them to behave. It also turns out that plants  
19 don't behave the way they're built to behave, I think.

20 MR. THADANI: I think you're right, and I guess  
21 there's a difference of perception. If you have an  
22 accident like at ATWS, you recognize the potential  
23 severity of consequences. And you look at Three Mile  
24 Island. It started out with a transient, and ended up with  
25 a small loca. The procedures in terms of throttling HPI,

1 and when he throttled HPI, and his lack of recognition of  
2 the role of pressurizer level --

3 CHAIRMAN KERR: You surely aren't trying to  
4 convince me that there were surprises at Three Mile Island.

5 MR. THADANI: I'm trying to point out --

6 CHAIRMAN KERR: I'm convinced.

7 MR. THADANI: I'm sure you are, as well as I  
8 suspect most people in this room. All I'm trying to point  
9 out is that --

10 CHAIRMAN KERR: What I'm not convinced of is that  
11 this is the first time that one ever encountered a surprise  
12 in terms of the way plants behave.

13 MR. MATTSON: Why don't you stipulate the  
14 answer?

15 MR. THADANI: Yes. Okay. I was going to go  
16 on to optimization. What we mean by that, we would still  
17 like to stay with alternate 4A with as many plants as are  
18 practicable. We would recognize that in some cases it may  
19 not be practical or advisable to make the kinds of modifica-  
20 tions that are described under alternate 4A. We have had  
21 some discussions with the industry, both some PWR industry,  
22 as well as the BWR industry. We have explored thoughts,  
23 say, okay, I can only get 86 GPM of SLCS, but can I change  
24 the poison concentraion, or the boron concentration so  
25 that I'm more effective, and I turn it on earlier? Can I

1 rely on off-site power to pump some of the pumps? Can I  
2 modify my lattice design, or my burnable poison concentra-  
3 tions to end up with more favorable temperature coefficients?  
4 It may be that there are other things that could be done  
5 more easily on plants which are limited by considerations  
6 of layout. Yes, sir?

7 DR. MARK: In the boran used in the -- whatever  
8 that set of initials is -- SLCS -- what's the enrichment  
9 of boran 10 in that?

10 MR. THADANI: It's 13 percent by weight solution.  
11 I used to know PPM. I've forgotten.

12 DR. MARK: The enrichment of the boran 10  
13 isotope in the boran?

14 CHAIRMAN KERR: Is it natural boran, or is it  
15 abridged?

16 MR. THADANI: Oh, I think it's natural boran.

17 DR. MARK: Why on earth, then, does one not raise  
18 the question of going to highly enriched boran 10? 43  
19 gallons would then mean twice as much as now.

20 MR. THADANI: That's exactly what I'm talking about,  
21 highly enriched boran, boran 10. I think you're right, and  
22 to the best of my knowledge GE has been exploring that  
23 possibility with the manufacture of boran 10 in this  
24 country. They may be better able to tell you some of the  
25 difficulties of getting sufficient quantities of boran 10 in

time periods.

1 DR. MARK: Boran 10 is one of the easiest isotopes  
2 to get separated. It was done very quickly, then the  
3 pack was thrown away because it made all the boran 10 anybody  
4 wanted.

5 MR. THADANI: Yes. . think that -- well, GE  
6 may be able to tell you more than I can as to its availability.  
7 But that is one aspect that they are considering, and we  
8 recommended things like, as you said, boran 10, or  
9 gatalanium. This is a kind of concept, optimization; let's  
10 not stick with the sort of thinking we have employed in the  
11 past of just specifying A, B, C, D, E type of hardware,  
12 and saying that, uh-oh, plants X, Y, Z cannot implement  
13 A, B, C, D, E. Therefore, we can't do very much. I think --  
14 and I would hope -- that there are ways that we could  
15 provide protection beyond alternate 3A without resorting  
16 to any serious ripping out of structures, and so on.

18 CHAIRMAN KERR: Well, one could translate  
19 optimization as clever design. Maybe?

20 MR. THADANI: It's clever design, yes, I think,  
21 where you can find minimize impact, economic penalty, and  
22 see how much benefit you can get as far as ATWS is concerned,  
23 without, obviously, affecting other considerations of  
24 safety.

25 DR. MARK: In the report I was fascinated to find

in connection with just this, that Staff proposed to reward the industry. Were you going to give them golden fleece, or what?

DR. THADANI: I'll fess up to it, that that word was not in the initial draft of the report. Might not have been a very good choice of words, I think.

But as long as we get the thought across, that plants that are operating today, maybe there are other things we can do to get protection, not just for ATWS. And maybe these things would help for other events and accidents. Okay.

CHAIRMAN KERR: Was there some reason for choosing 99 percent temperature coefficient? Or is 99 just better than 95?

MR. THADANI: Initially we picked 99 percent temperature coefficient because we realized that the plants were, at the time we thought, overly sensitive to the temperature coefficient initial value. And we also had some judgmental concern that we were using nominal values for all parameters; that there were some probability factors associated which would cause the plant to be in non-nominal condition. And at that time we thought picking 99 percent MTI value was reasonable in that it would probably cover some unknowns in values of some of the nominal -- or nominal -- parameters; as well as there is

1 an uncertainty in these calculations. The uncertainty, I  
2 think, Dr. Richings has indicated to you in the past, is  
3 on the order of 1 to 2 PCM. If you include the  
4 uncertainty you're saying MTC could be on the order of 90  
5 percent or so. And if you tacked on the uncertainty, you  
6 get something like 99 percent. And then subsequently we  
7 perform what we called a simplified statistical study  
8 wherein we decided to pick a small number of parameters.  
9 We picked about seven parameters, and we set up a factorial  
10 experiment. We met with renders and made some judgments  
11 on the distributions associated with these parameters,  
12 and did a monte carlo calculation. And we found that  
13 by having gone to a 95-99 percentile kind of value on  
14 MTC, it turned out that there were sufficient influence  
15 from other parameters such that roughly, if I remember  
16 correctly, 25 to 30 percent of the time the actual pressure  
17 would be higher in this probability density function --  
18 would be higher than that would be calculated with the  
19 prescription that we had provided to the industry.

20 So, that, I think, more or less convinced us  
21 that 99 percent on MTC did not mean the plant would exceed  
22 that calculated pressure only one percent of the time. It  
23 turns out it would exceed that calculated pressure quite a  
24 bit more -- it's quite a bit more likely that it'll exceed  
25 that pressure than .01.

1 It was a change from past practices, wherein the  
2 temperature coefficient that's used in transient analysis  
3 is zero, which is, I believe, the tech specs requirement  
4 before you go up in par, temperature coefficient has to  
5 be zero. And we felt it was unreasonable to use a zero  
6 for ATWS conditions, but the value we picked then was purely  
7 based on judgment and some understanding.

8 CHAIRMAN KERR: I would guess that there would  
9 be a lot more uncertainty in your specifications at 99  
10 percent then there would be in the 90 percent, for  
11 example.

12 MR. THADANI: I would think that would be true.

13 CHAIRMAN KERR: You indicated, for example, it  
14 might well be a factor of 10 uncertainty on the 99 percent.

15 MR. THADANI: I think you're right. I think it  
16 would be more reasonable to have higher uncertainty in a  
17 smaller area.

18 Okay. I have -- actually, the next slide, I'll  
19 put it up, but I think I have already discussed it. It's  
20 a very subjective view of looking at these alternatives and  
21 seeing the kinds of improvements, benefits, if you will,  
22 that these alternatives yield. I have another slide which  
23 I'll put up in a minute, that does get into what you  
24 referenced earlier, Dr. Kerr; relative improvements from  
25 various alternatives and numerical terms.



1 I do have a slide recognizing, of course, that  
2 are qualified that these calculations may have large  
3 uncertainties is always there. Here, alternative 3 1/2 is  
4 really what I've been talking about in terms of optimiza-  
5 tion. It may turn out that we can't get all the way to  
6 4A. We can get substantially beyond 3A, or reasonably beyond  
7 3A. The key words, I think, are maximum practical ATWS  
8 mitigation. And I have repeated myself many times today.  
9 That means, don't rip out structures that are there today.  
10 Think of other ways to provide improvement. If you can't  
11 get there all the way, let's see how far we can get.

12 The rest of the stuff is -- I've addressed in  
13 one form or another. I'd just like to make the point that  
14 alternate 4 does provide high reliability in the sense  
15 that you do consider single failures, and you have more  
16 stringent requirements on the designs of mitigating  
17 systems.

18 We would be concerned about some systems that  
19 we're relying on, and I can give you an example. In BWR  
20 design, under alternate 3A, you have to rely on high pressure  
21 coolant injection system, HPCI. The reliability of HPCI,  
22 based on our experience so far, has been less than  
23 satisfactory. Of course, we recognize this is a low  
24 probability event, but on the other hand, if HPCI is not  
25 available, we're concerned that you're moving into a situation

1 that would most likely result in core melt. And for  
2 consequences like that, we would like to find ways to  
3 improve reliability of those critical systems. And maybe  
4 there are some ways we have not -- we, NRC -- have not  
5 thought of that the industry may know of, or may have some  
6 recommendations on.

7 We can take care of the large boron system  
8 possibly by going to boron 10 and having and maintaining  
9 a smaller system.

10 CHAIRMAN KERR: Mr. Thadani, when you refer to  
11 a situation which there is a consequence of core melt,  
12 I agree that that's a serious consideration. Have you  
13 ever attempted, or do you plan to attempt, to put this  
14 in the context in which one says here are all the things  
15 that we know of that contribute to core melt, and this is  
16 some fraction of that total contribution. It seems to me  
17 that's fairly important in determining where one commits  
18 one's resources, because we have other things that are  
19 unfixed that are much larger contributors; then that maybe  
20 makes us take one attitude toward this. If this turns out  
21 to be a large contributor, this means that it requires  
22 a good bit of priority, and it may not be possible to do  
23 this.

24 MR. THADANI: I think you're absolutely right.  
25 One should do that. Roger wants to address this.

1 MR. MATTSON: We did that in draft -- in Volume I  
2 of this thing, where we said -- we were talking about 10  
3 to the minus 6 goal for ATWS. We had a rationale. I'm  
4 not sure it was in Volume I, or it was in some slides that  
5 we used --

6 MR. THADANI: No, no. That's exactly --

7 MR. MATTSON: We said, assume there were 10  
8 dominant contributors to core melt, and that the  
9 reactor safety study was right with its central value  
10 estimate of 5 times 10 to minus fifth, or 10 to minus fifth.  
11 But the reactor safety study only identified for five  
12 dominant contributors, so that leaves you some room for  
13 uncertainty, the difference between 5 and 10 dominant  
14 contributors. And on that kind of logic then you say you  
15 don't want any single contributor to be greater than 10 to  
16 the minus sixth. And it was in the context of other  
17 contributors for core melt risk that the 10 to the minus  
18 sixth value was arrived at for an ATWS goal, as opposed  
19 to the previous 10 to minus seventh ATWS goal.

20 CHAIRMAN KERR: Using this chain of logic, which  
21 is okay, not to talk about ATWS generally, but to talk  
22 about a specific contributor to ATWS, unreliability of HPCI.  
23 Granted, this is part of ATWS, but I don't know how impor-  
24 tant it looms in the total picture. That's all I'm saying.  
25 And I think, as you try to decide among the things that you

do, if you can readily.

1  
2 MR. MATTSON: You could do it either at the lower  
3 level, or you could mix levels. You could talk about how  
4 improvements to HPCI might cut into other contributors to  
5 core melt probability.

6 MR. THADANI: Yes, I think that's exactly what  
7 I was going to get to. As Roger correctly points out,  
8 at least we did go back to the reactor safety study in  
9 Volumes I and II, proposed rationale for chaining from  
10 10 to the minus 7 safety goal to 10 to the minus 6, based  
11 on reactor safety study as well as our understanding of  
12 the number of plants that we're going to be operating in the  
13 year 2000, as against what WASH 1270 had considered.

14 CHAIRMAN KERR: I think you also manage to  
15 watch the people who had been associated with WASH 1400;  
16 that your evaluation of the contribution of ATWS to core  
17 melt was a valid one in light of the additional information,  
18 didn't you?

19 MR. THADANI: That's correct. That is correct.  
20 We -- I think that the research organization and ourselves  
21 are -- have a joint understanding of ATWS contribution. I  
22 was going to go into -- since you brought up the contri-  
23 bution of HPCI, it may be quite high as far as ATWS risk  
24 is concerned. That's one example. There are other areas  
25 one could talk about. But I think that's very important

1 also in other areas. If you look at WASH 1400, major  
2 contribution to risk in WASH 1400 was from transients and  
3 ATWS. And if you make improvements in HPCI reliability,  
4 RCIC reliability, these are the two high pressure make-up  
5 systems in BWR 4 designs and a number of earlier  
6 designs. I believe that you have not only reduced  
7 ATWS's risk, but you've also made improvements in terms  
8 of risk from transients followed by Scram action. But  
9 something else goes wrong. And I think you have made  
10 improvements in other areas. While I can't think of the  
11 numbers at this time, but it was approximately 50-50  
12 transient contribution and ATWS contribution.

13 Loca were a small factor on boiling water  
14 reactors. I made some points here I thought were useful  
15 points: alternate 4A would mitigate most of the ATWS events;  
16 it relies on highly reliable systems; the reliance on  
17 operator is somewhat reduced because -- an example, peak  
18 pressure under alternate 4A would be lower than that would  
19 have been the case for alternate 3. The conditions of  
20 the equipment would have been less satisfactory under  
21 alternate 3A as compared to 4A. The potential for steam  
22 generated tube damage would have been higher under alternate  
23 3A as against alternate 4A, simply because the delta P is  
24 significantly higher under alternate 3A, delta P meaning  
25 the pressure inside the steam generator tubes, versus

pressure on the shell side of the steam generator.

1  
2 Instrument capability would be expected to be  
3 better at lower peak pressures. And this, in turn, would  
4 give the operator better information. Timing also is, I  
5 believe, less critical under alternate 4A. And this  
6 becomes more important, in my opinion, on boiling water  
7 reactors than on pressurized water reactors. When you  
8 start to cool the containment -- that is, higher type pumps  
9 would be in line in LPCI mode of operation following -- if  
10 an ATWS were to occur. In some plants there are limitations.  
11 They cannot switch LPCI pumps to pool cooling mode without  
12 some time period, or waiting for five minutes or so.

13 There are cases where you can override those  
14 delays by keys and modifying switches. But what this  
15 does, is it permits the operator much longer time period  
16 before he may initiate pool cooling, and the total  
17 containment temperatures that would be reached would be  
18 lower than 200 degrees local temperature that we've been  
19 talking about. And that in turn would make it more  
20 likely for pumps like HPCI, which would take suction from  
21 suppression pool, not to be affected by MPSH considerations.  
22 The question of qualification of HPSI pumps always has  
23 been a concern. In many ways these pumps trip, and would  
24 like to try to get reasonable assurance that the pumps  
25 would be available and pumping the water into the vessel.

1 These are, I think, some factors which I believe  
2 would help the operator to do his job a little bit better,  
3 give him a little more time to see what's happening.  
4 There's another item under boiling water reactors which  
5 says, eliminate oscillations. We sort of talked about  
6 oscillations, our concerns with collable geometry and their  
7 plant response because of these wide variations. What  
8 happens to control systems and how they react, and these  
9 factors, I think, would influence the operator's reaction,  
10 in some cases, probably in a non-satisfactory direction.

11 It may be that these oscillations may be  
12 eliminated even under alternative 3 1/2 or 3A by use of  
13 boron 10 and early actuation of poison; in which case, that  
14 thought would just be taken out of 4A; that is, you have  
15 eliminated that concern for all alternatives under serious  
16 consideration.

17 CHAIRMAN KERR: I gather that in analyses that  
18 you plan to require, that operator action cannot be taken  
19 until the transient is ten minutes into history, or  
20 something of this kind.

21 MR. THADANI: Yes. Our recommendation is  
22 that, while the operator might, when confronted with  
23 failure to Scram, might go and try to manually Scram  
24 the reactor pretty quickly, I would guess he'll probably  
25 try to do that pretty quickly. But that a credit for a

1 correct operator reaction not be taken for ten minute. And  
2 even then, only if he has sufficient information available  
3 to him, which tells him what actions he's to take. There's  
4 no ambiguity of the information displayed to him. If  
5 they are ambiguous displays, then he has to take somewhat  
6 longer time to analyze the event and take corrective action.

7 We have asked the industry to develop ATWS  
8 procedures, and that would be a mechanism to determine  
9 what sort of information does he have available to him, how  
10 does that information differ from other accidents, and are  
11 his actions consistent with what he might be required to  
12 do for ATWS.

13 CHAIRMAN KERR: Do you anticipate then that  
14 after the procedures are developed, you may be willing  
15 to consider what he might do before ten minute? Or --  
16 is that still an open question?

17 MR. THADANI: When one says ten minutes, if it  
18 means nine minutes, and we recognize the limit is, I guess,  
19 as somebody may characterize as not a hard limit. I'll  
20 give you an example. If my containment temperature in the  
21 BWR is 200 degrees fahrenheit, I might think that there  
22 may be some margin there in reality, but I don't have  
23 experimental data to support going beyond 200 degrees.  
24 And then, if it means going to operator action in nine  
25 minutes, for example, it would be very difficult for me, I



1 would think, for anyone to sit back and say, if he waits  
2 till ten minutes, the peak pool temperature is going to be  
3 205 degrees; to go on and require some hardware changes for  
4 that.

5 CHAIRMAN KERR: That's a good point. I had two  
6 other possible considerations in mind. I get the impres-  
7 sion that there is going to be some effort toward better  
8 operator training and removal of ambiguity. The first  
9 question is, is that so that after the ten minutes are  
10 up the operator will know what to do? Or would he be  
11 in principle or practice expected to be doing some things  
12 before the ten minutes are up, but you're just not going to  
13 give credit for them.

14 MR. THADANI: In practice he may well be doing --

15 CHAIRMAN KERR: Will the training perhaps train  
16 on what he's supposed to do after he waits ten minute? Or  
17 will the training say there are some things that you can do  
18 maybe immediately?

19 MR. THADANI: The training would say that there  
20 are things you can do earlier. My personal hope would be  
21 that we institute procedures which are based on realistic  
22 analysis and not conservative analysis, and try to help the  
23 operator, give him some guidance on, here is the kind of  
24 trend the event will take subject to the actions you take  
25 at five minutes, ten minutes, 15 minutes, 20 minutes. Have

1 him understand what's happening and what might happen in the  
2 few minutes, depending on what actions he takes. I would  
3 hope that the procedures would include operator action  
4 earlier than ten minutes. It's -- whether credit is  
5 given for that action, at this stage we've been talking  
6 about ten minutes.

7 CHAIRMAN KERR: Because it seems to me there is  
8 another part of this. If one assumes that the ten minute  
9 rule is an effort to take into account the fact that the  
10 operator either may not know what to do, or may do the  
11 wrong thing, or some combination thereof, there is some  
12 logic in considering prohibiting the operator from doing  
13 anything for the first ten minutes, under the assumption  
14 that there's a high probability that what he does will be  
15 wrong. I haven't looked at this, but it seems to me  
16 the same logic that leads you to the ten minute rule could  
17 also lead you possibly to that.

18 MR. THADANI: Yes, we have considered that  
19 factor. But on the other hand, it would seem to me that  
20 -- and I'll focus my attention on the ATWS -- if he has  
21 an ATWS event, I surely would hope, and I would encourage  
22 him, to go try to manually Scram the reactor as early as  
23 possible. I would hope, and I would encourage the person  
24 to trip the turbine if it didn't trip, in the case of a  
25 Westinghouse designed plant; because my analysis assumes

1 that the turbine would trip because I have introduced the  
2 circuitry and so on, does not mean, it seems to me, that  
3 the operator should be prevented from making sure that  
4 action took place that was supposed to have taken place,  
5 but it didn't.

6 CHAIRMAN KERR: I don't quarrel with your  
7 conclusions. It seems to me this same logic could be  
8 used to arrive at something which says I'm going to give  
9 credit for operator action, because the chances are fairly  
10 good that anything they could do will be an improvement, or  
11 at least there's some probability that this occurs. So, I  
12 really -- I'm not in a position to settle the ten minute  
13 rule. I'm simply saying that it has implications in the  
14 direction which -- I mean, if it's founded on the assumption  
15 that operators make mistakes, it has implications that need  
16 fairly careful exploration in terms of trying to prevent  
17 those mistakes.

18 On the other hand, if a careful look at the  
19 situation convinces you that the chances are that a well-  
20 trained operator will ameliorate the situation, it  
21 seems to me that at least a realistic analysis would take  
22 that into account.

23 MR. THADANI: There would be, I think, as your  
24 point verifies -- it's such a subjective consideration.  
25 Not all operators are alike. Some react much more

1 quickly than others. Some may be able to assimilate  
2 information, digest it, and react to it much faster than  
3 others. The ten minute rule that we've used in ATWS -- and  
4 it's been used in a few other areas in licensing -- is  
5 probably somewhat optimistic as compared to what has been  
6 done in the number of other areas in licensing. There is  
7 a plant that is a ray guide -- I forget, 660, I think --  
8 no, it can't be 660. There's a standard 660, or some  
9 such number -- I forget -- which is supposed to look at  
10 human factors, operator machine interface, information  
11 displayed to him, what is expected of him and when. And  
12 they were supposed to have come up with the kinds of times  
13 one ought to use in relying on operator action.

14 As far as I know, that draft is still a draft.  
15 It's still being looked at. Not much progress has been  
16 made. I hope that, plus the research that -- NRC research  
17 office is doing in terms of human factors, operator reaction,  
18 would be utilized to develop or modify whatever positions  
19 have been taken up till now. I just can't tell you any more.  
20 I don't know.

21 MR. EPLER: Mr. Chairman, there is one point in  
22 this discussion that seems to be lacking. I discussed  
23 this question with an individual who gives examinations to  
24 the operators who are getting licensed, and we discussed  
25 these rare events. He was a little surprised that anybody

1 would train an operator to take action in a low probability  
2 event, like 10 to minus 4 event; that there were so many  
3 events that were highly probable that would keep him  
4 occupied, that if you wanted it that way it would be  
5 occupying our time with these rare events. So this point  
6 seems to be missing in this discussion.

7 CHAIRMAN KERR: I didn't raise the rationale.  
8 My impression is that the report recommends such training.  
9 And I was trying to follow the implications of that.

10 MR. EPLER: Well, I think I'll still ask the  
11 question. Is such training justified?

12 DR. DITTO: I think the history of the ten  
13 minute rule goes back to the NC standard, I believe you  
14 cited, 660. And it related to actions in which the  
15 operator had to make a considered judgment, is where it  
16 first started out. And I don't think it meant this  
17 automatic response to an unambiguous signal, although it  
18 has been carried into the regulations, I think, in this  
19 way. But I don't think that's how it started out.

20 MR. THADANI: Well, the draft that I've seen  
21 talked about 30 minutes and not ten minutes. So I think  
22 that's been going through changes. Go ahead, Roger?

23 MR. MATTSON: I think Ep's got a good point. Let's  
24 say that we take all the operators in the country and we  
25 sensitize them to all the 10 to the minus fifth, and 10 to

1 the minus sixth events we can think of that might melt  
2 the core; and we follow Dr. Kerr's suggestion that we  
3 try to make this realistic dependent support operator  
4 action for these low probability events as we can, which  
5 might provide stimulus to do this first thing that I  
6 suggested. I guess that means that they'll be so busy  
7 giving any offset condition, worrying about the ten to  
8 the minus fifth and ten to the minus sixth event that might  
9 get them; that they're going to fail to recognize the once  
10 per year or ten to the minus one event that's actually  
11 going on to such an extreme extent that they'll turn it  
12 into another ten to the minus sixth event that we hadn't  
13 thought of to train him beforehand like T and like 2. That  
14 seems to be counter to safety to me.

15 CHAIRMAN KERR: On the other hand, they might  
16 turn it into a ten to the minus fifth event that they would  
17 recognize.

18 MR. MATTSON: Yes.

19 (Whereupon, a short recess was taken at 11:40 a.m.)  
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3/2/80  
Tape 5

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(Whereupon, the meeting continued at 11:50 a.m.)

MR. THADANI: So far I've discussed the type of hardware modifications that we foresee under each of the alternatives, and the bases that went into developing those requirements.

I have actually gone through almost all of the considerations that are described here on this slide, to go to this two-step phased implementation approach that's recommended in Volume 4. The improvement in reliability, better capability to withstand ATWS much more easily be able to verify that that mitigation capability exists. We would get away from some of the big problem areas that we have seen from the analyses done under alternative 3 set of guidelines.

And I think the more important factor is that the value-impact consideration, in the sense that the schedule would permit sufficiently long time to minimize and hopefully eliminate any additional down time for making changes to, to satisfy ATWS requirements.

It was this consideration which led us to recommend alternate 3A now, alternate 4A later, because we believe that alternate 3A can be implemented over a period of about two years without impacting delays or downtime in operation and permitting sufficient time so that the Commission, with help from industry and other interested

1 sources can decide if alternate 4A is indeed the right way  
2 to go.

3 I'm not going to repeat myself. I think I've  
4 already addressed most of these items.

5 CHAIRMAN KERR: Mr. Thadani, is the Staff at this  
6 point, or does it expect to be at some later point, prepared  
7 to make a recommendation as to what it would do?

8 I gather that what you are likely to do at this  
9 point is to recommend, or what you are doing is to recommend,  
10 implementation of 3A perhaps by order, but at any rate  
11 fairly soon, and to postpone 4A to effectively a decision-  
12 making process in which the Commission will be involved.

13 Is it the Staff's strong recommendation that 4A  
14 be implemented? Or is that somewhat an open question at  
15 this point? -- as far as the Staff is concerned. Or have  
16 you reached a decision?

17 MR. THADANI: Well, Staff's recommendation cer-  
18 tainly would be that for new plants -- when I said "new  
19 plants," plants which would be operational maybe two, three,  
20 four years from now I might characterize as new plants --  
21 but they all implement alternate 4A modifications and  
22 certainly satisfy the criteria that we use in alternate,  
23 under alternate 4A.

24 But for the rest of the plants which are pretty  
25 far along in construction stage and plants which are



1 operating today, we would recommend that protection be  
2 achieved to a level which is as close to 4A as is practic-  
3 able. And that is the so-called optimization study I was  
4 describing earlier.

5 So our intention would be to recommend to the  
6 Commission a proposal which would say, "Make certain minimum  
7 modifications early," and this could be done through orders.  
8 And additional modifications could be based on two factors:  
9 the plant stage of operation or construction; value impact  
10 would be the other consideration.

11 How much further do we want to go to reduce ATWS  
12 risk? And at what cost?

13 Our recommendation based on our understanding of  
14 value impact would be alternate 4A or essentially all new  
15 plants and optimization study, to make sure that we can get  
16 additional protection without significant downtime, because  
17 downtime is what really adds to large impacts and makes, if  
18 you will, the value impact ratios look unattractive.

19 So that, that seems to me would be a very central  
20 consideration here.

21 CHAIRMAN KERR: Thank you.

22 MR. THADANI: Here's -- I have a slide here on  
23 proposed plants and our hopes of what we wish to accomplish.  
24 As I said earlier, alternate 2A plants are required to make  
25 only electrical changes. We would hope that these changes

1 could be implemented by July of '81. Most electrical  
2 changes by July of '81, piping changes and boiling-water  
3 reactors we hope would be implemented by July of '82. We  
4 would require design information, of which we have essenti-  
5 ally little to be provided to us approximately December of  
6 '80, as well as the optimization study.

7 The reason for December '80 for optimization study  
8 would be so that that information can be taken into considera-  
9 tion before any rule becomes effective. And I, I, I believe  
10 that if we don't get optimization study at that time, it  
11 would be very difficult to end up with a rule which is  
12 explicitly hardware oriented.

13 And as you notice, the rest of the plants where we  
14 have been talking about alternate 4A, we propose that the  
15 information requirements, the degree of information require-  
16 ments, be established on the basis of rule-making. We have  
17 indicated the kind of information that we think would be  
18 necessary for us to completely close off the ATWS issue.

19 But it may be that during the rule-making considera-  
20 tions there may be changes. I don't know in which direction.

21 But our recommendation would be to implement these  
22 changes by January of '84, which we believe would be  
23 sufficient time period for industry to make the changes; and  
24 where there are limitations of plant layout, we would cer-  
25 tainly know, hopefully by December of '80, what kinds of

1 alternates methods one might want to apply.

2 The next is the major reason we are here today.

3 We certainly would like to have your advice on  
4 this two-step approach that I've described and which is  
5 described in Volume 4. We'd like to have your views on  
6 appropriateness of alternative 3A as a short-term improve-  
7 ment and the kind of approach we are planning to take for  
8 going beyond alternative 3A requirements.

9 We are, it's all hoped that we'll get your letter  
10 in April, and that in May we will prepare a Commission paper,  
11 which would include proposed orders, proposed rule, and a  
12 discussion of value impact for various alternatives -- and  
13 hope that our discussions with the Commission would end in  
14 June or July, to the extent that some kinds of orders could  
15 be dispatched to require alternative 3A type of modifications  
16 on a schedule that the Commission would then make a recom-  
17 mendation on.

18 If we follow this projected plan, we would hope to  
19 have an effective ATWS rule, I would say, early '81 opti-  
20 mistically; it may turn out to be some time beyond early  
21 '81, depending on the kinds of comments that are received  
22 and the types of optimization studies that are provided.

23 But I thought it was useful to, to at least give  
24 you general feelings that we have of how to proceed from now  
25 on.

1 I have Paul Boehnert -- called me, I guess it was  
2 two or three days ago and raised some questions, and asked  
3 me if I would address them.

4 I told Paul then, after listening to his questions,  
5 I would certainly address them -- as to the extent, you can  
6 decide for yourself.

7 The first question was -- by the way, I also  
8 recommended to Paul that it might be useful to get industry  
9 reaction on these questions.

10 It, you had asked, What is the probability of  
11 control-wide insertion as a function of time following an  
12 anticipated transient?

13 And I guess I put down the answer: Honestly, I  
14 don't know; I don't think anyone knows. And I don't even  
15 know to go about getting it -- or attempting to get it, that  
16 is.

17 The second part of the same question, at least I'm  
18 hopeful that I can give you a little more information on --  
19 that said, "Okay, what are the consequences if you delay  
20 SCRAM action by some discrete time periods?"

21 I think the numbers I had from Paul were 210 and  
22 20, but I took the liberty of trying to put different time  
23 periods just to show, highlight, certain characteristics.

24 If you'll notice, I, I put what I thought were  
25 important parameters: design; time, and time is in minutes;

1 reactor power, which is after all what we're trying to reduce  
2 by inserting rods; and depending on when that action is taken,  
3 what the concern might be, if any.

4 Now, if the reactor is SCRAMmed at about 2 minutes,  
5 the reactor power is still 50 percent at that time; and in  
6 most PWR designs you have already gone past the major concern  
7 area, which is the peak pressure. Peak pressure ranges from  
8 roughly 40, 45 seconds for some B&W designs, on up to about  
9 90 seconds to a hundred seconds for a Westinghouse and CE  
10 designs.

11 That is, at that time you have gone through this  
12 plateau of high, the highest calculated pressure. But that's  
13 not to say that SCRAMming at that time is not helpful. It's  
14 very helpful, because now you get to a situation where you  
15 can at least proceed, to a certain extent, in a normal shut-  
16 down mode. You have reduced, if you can SCRAM at 2  
17 minutes you would have reduced the amount of coolant dis-  
18 charged to the containment. Consequently, you would have  
19 reduced the amount of voids or the void fraction in the  
20 primary system, which is certainly very helpful for the  
21 behavior of the plant.

22 So I would say that if you can SCRAM the reactor  
23 at 2 minutes or after, that certainly helps you very much in  
24 terms of long-term shutdown. That makes the job of the  
25 operator, I think, a lot easier; but still does not get you

away from these peak calculated pressures, with one exception:

Now, that would be that transients can take place at different conditions, high power levels, and so on; and on situations, I think, that the peak pressure may take place beyond 2, 3, 4 minutes. And for those kinds of events, SCRAMming at 2 to 3 minutes would certainly help.

DR. MARK: Thadani, those power percents column, which is there --

MR. THADANI: Yes.

DR. MARK: Is that the power to which the reactor has dropped by 2 minutes or 3? Or is that the power at which it's running at time zero?

MR. THADANI: No. I'm sorry. That is the power to which the reactor has dropped at that time. It started at 100 percent at time zero.

DR. MARK: In all cases?

MR. THADANI: Yes.

DR. MARK: So you've got it down to 5 percent in 20 minutes in the BWRs, which is just the decay heat --

MR. THADANI: That's correct.

DR. MARK: -- alone. What brought it down to, to that?

MR. THADANI: Okay. Because of the poison in this case -- I might point out that these numbers are best on mitigation systems being functional. That assumes that you

1 have induced recirculation pump trip early, which reduces  
2 the power, as you can see; in a minute you're down to about  
3 25 percent power. That's accomplished by recirc pump trip.

4 Further reduction in power is accomplished by  
5 injection of poison.

6 DR. MARK: Okay. So these times then, for BWRs,  
7 are not the times at which the SLCS comes on.

8 MR. THADANI: No. No.

9 DR. MARK: It's assumed they'll come on at 2½  
10 minutes.

11 MR. THADANI: That is correct.

12 And you'll see at 3 minutes and beyond, the power  
13 is starting to go down, because you're seeing the effect of  
14 the poison on it.

15 In, in general, I think by having a SCRAM action  
16 2, 3, 4 minutes later does an awful lot for you on boiling-  
17 water reactors, in the sense that you can now maintain  
18 inventory with redundant systems. And if one were to fail,  
19 like HIPS I were to fail, you can still maintain a level  
20 using RCIC, which has got lower capacity but if SCRAM action  
21 has taken place, you should be able to maintain vessel  
22 inventory, even at the lower capacity high-pressure system.

23 As you go further and further in time before you  
24 get SCRAM, those benefits start to disappear, because you  
25 can start to uncover or lose a lot of inventory if you go

1 too far before you get SCRAM action.

2 This, this I hope is just a, just to -- gives you  
3 a, a, a feel for the times involved and the kinds of benefits  
4 one can derive from SCRAM action at different times.

5 DR. MARK: Is there a power level below which you  
6 don't need SLCS at all?

7 MR. THADANI: If --

8 DR. MARK: Twenty-five percent? That's the power  
9 at time zero.

10 MR. THADANI: Oh, I'm sorry. You're saying if the  
11 transient starts at 25-percent power?

12 DR. MARK: Right.

13 MR. THADANI: In a BWR it's not going to make a  
14 significant difference. It'll make some difference, but not  
15 significant difference, because if you, if you have, if you're  
16 operating at 25-percent power, and if you have the pumps  
17 running, which I -- depending on what mode of operation you  
18 are at, I would expect you probably don't have the pumps  
19 running. But I've forgotten their natural circulation line  
20 as to exactly when the pumps are not running and so on. But  
21 what happens is, if you have a turbine-trip type of event,  
22 you bottle up your primary system, you again are going to  
23 collapse the voids; your gain pressure is going to go up, as  
24 well as reactivity is going to go up.

25 You have to be able to find a way to reproduce



1 voids; that is, you have to still be able to trip the circu-  
2 lation pump to bring the power back down. But when you do  
3 that, your power will, I believe will not come down much  
4 below 25 percent, so you're back to the same mode, I think.

5 DR. MARK: Okay, so what you're answering for me  
6 is that the same need of autoinjection or autoactivation of  
7 the liquid control exists at power levels all the way down  
8 to --

9 MR. THADANI: It would. The only difference now  
10 would be the timing may -- you may have more time. The,  
11 also the containment, if you have isolation event at 25-  
12 percent power, I'm not sure that you would open up all the  
13 relief valves. You may.

14 So that the -- my understanding that the energy  
15 that is dumped into containment, the integral amount may be  
16 somewhat lower, because you don't have initial period of  
17 higher power operation. That's really the key difference.  
18 After, after pump trip you're basically to the same set of  
19 conditions, so the only doubt that you have is in the first  
20 few seconds.

21 CHAIRMAN KERR: Now what about the oscillatory  
22 behavior of the power on a long-term basis?

23 MR. THADANI: Okay. It --

24 CHAIRMAN KERR: Is it likely to be improved?

25 MR. THADANI: Yes, if you believe the calculations,

1 and I think most of us seem to think those oscillations may  
2 be real -- they start roughly at 3½ minutes or so. So if  
3 you can get SCRAM action in about 3 minutes, I would think  
4 that you would eliminate oscillations, within 3 minutes.

5 MR. EPLER: Mr. Chairman, could we revert back to  
6 the previous discussion of the transient initiated at lower  
7 powers?

8 We didn't take into account the bypass to the  
9 condenser. Wouldn't that be quite a stable operation at 50-  
10 percent power and less?

11 MR. THADANI: No. What, what I, what I was talking  
12 about, Mr. Epler, was oscillation events. Oscillation  
13 events, you don't have bypass.

14 MR. EPLER: Oh, oh, mainstream isolation valve --  
15 yes, of course.

16 MR. THADANI: I have a summary if this slide and --  
17 which answers the questions you asked, you know. It does,  
18 I think it does eliminate oscillations, as an example.

19 I think, I think I've gone through these considera-  
20 tions.

21 I'll go on to the next question that was asked.  
22 And this one, I must admit some of your questions are very  
23 difficult to answer.

24 This one, if I interpret your question correctly,  
25 said, "You've made some recommendations on the type of

1 hardware changes that should be made for ATWS. " Now, what  
2 these modifications in any way have other Class 9 accidents  
3 are -- look differently, I suppose. Are there some features  
4 that are going to be required under Class 9 accidents which  
5 may help ATWS?

6 I believe there are.

7 A number of items -- I can go through a list or  
8 just give you a rough idea. Of course, relief and safety  
9 valve testing I described earlier and discussed it.

10 We have also gone out and talked about, in various  
11 reports, the need to be able to address multiple failures;  
12 and multiple failures could be something like extended loss  
13 of feedwater.

14 CHAIRMAN KERR: Let me explain a little bit.

15 Paul and I discussed this question.

16 What I had in mind might be typified by the  
17 following kind of argument. Let's suppose that one finally  
18 concluded that one needed a core catcher for the design  
19 such that one could be certain that the core catcher would  
20 contain the core and that containment itself would maintain  
21 its integrity with a probability high enough to be acceptable  
22 for whatever purpose.

23 One might at that point say -- I don't advocate  
24 this position, but it's a possible one -- "I don't really  
25 care from a safety point of view whether I get core melt or

1 not, because I can handle it." And if I'm concerned about  
2 core melt now, I'm not concerned because of danger to the  
3 public, but because it's going to cost a lot of money to  
4 clean it up; or it's going to injure the plant; or whatever.

5 And it was in that context that, to some extent, I  
6 was asking; that is, are there other anticipated require-  
7 ments which says that one must be able to handle either  
8 partial or full core melt? Which in some senses might permit  
9 a tradeoff on whatever mitigation one is planning for ATWS.

10 Or, or have, have you looked at that possibility?  
11 Is that true?

12 MR. THADANI: No, I, I'm not absolutely clear in  
13 my own mind, based on what we've been doing recently,  
14 whether and if and how far we would be going with this  
15 concept of core catchers, filtered vented containment.

16 I cannot really address that aspect of it. I just  
17 don't know. But I do want to make a comment that there are  
18 other areas where I think there are some benefits from what  
19 is being required in action plants, as well as what we're  
20 talking about in ATWS .

21 The action plan does require changes to PORVs,  
22 does require improvements in the auxiliary feedwater system  
23 reliability, does require automating aux feed system on  
24 plants where it's manual today. All of those factors are  
25 consistent and would, in fact, help ATWS or vice versa.

1           Where they automate aux feed, we would hope that  
2 they would take into account ATWS considerations, avoid  
3 having two sets of actuation circuitries --

4           CHAIRMAN KERR: Who is the "they"? Responsible  
5 for this --

6           MR. THADANI: "They" would be, would be the  
7 industry. It's our hope to point out to the industry that  
8 automating aux feed helps you satisfy our TMI-related  
9 concerns, as well as ATWS concerns. Here are the require-  
10 ments for ATWS. Find a way so you just have to do it once  
11 and not twice.

12           The other one that we have talked about, as you  
13 know, Dr. Kerr, that I did work for Denny Ross last summer;  
14 and we were interested in looking at natural circulation and  
15 feedwater-related transients. One area we were concerned  
16 about was extended loss of feedwater, and we have communi-  
17 cated to a certain extent what might one do if one ends up  
18 with an extended loss of feedwater?

19           On some plants you can still shut down by going  
20 to feed and bleed method. On other plants you are limited  
21 by either the relief valve capacity is not high enough or  
22 the shutoff head on the HIPSI pumps is pretty low, in which  
23 case it would be helpful to have additional relieving  
24 capability, so that you can reduce the pressure sufficiently  
25 to come in with your high-pressure safety-injection pumps to

1 keep the core covered.

2 I think in a way it's factors like that which may  
3 be of help as far as ATWS is concerned. But I looked at a  
4 recent response to a unit of concerned scientists, list of  
5 concerns on Indian Point and Zion. And I picked off these  
6 four that I thought had ATWS relationship. Others may, but  
7 at this time I don't know how far we're going to go.

8 Well, that's all I had planned to say, unless you  
9 have questions.

10 Again, as I said earlier, if you would like to  
11 discuss any specific area that might be helpful to us, if  
12 you would let us know, I know there are two or three people  
13 who would like to head back, especially in the area of  
14 computer models and value impact considerations.

15 CHAIRMAN KERR: Questions.

16 (No audible response.)

17 CHAIRMAN KERR: I have a feeling that people want  
18 to consider possible questions over lunch. And I would  
19 therefore deflect --

20 Yes, sir?

21 SPEAKER FROM AUDIENCE: Are you entertaining  
22 questions from the audience here?

23 CHAIRMAN KERR: No, I'm not. I would assume that  
24 most of the audience is in a position to communicate with  
25 the Staff directly.

1 I would propose, therefore, that we break for  
2 lunch and reconvene at 20 minutes after 1:00, at which  
3 point, if there are questions, we will pursue them; and if  
4 not, we will go on with additional presentations.

5 (Thereupon, at 12:20 p.m., the luncheon recess was  
6 taken.)  
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Tape 6 1  
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AFTERNOON SESSION

(1:22 p.m.)

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3 CHAIRMAN KERR: Let me ask: who among the  
4 consultants and the committee members expect to have to  
5 leave before, say, 4:30.

6 MR. DITTO: 5:15 is my --

7 (Various responses.)

8 CHAIRMAN KERR: If time permits, I would like for  
9 the Committee and the consultants, the Subcommittee and  
10 consultants, a bit of time in discussion. We'll simply have  
11 to see how it develops.

12 After your noontime ruminations, do you have any  
13 questions for Mr. Thadani that -- I don't want him to feel  
14 neglected.

15 (Pause.)

16 I, I hear no questions. Excuse me.

17 DR. MARK: I don't know that Thadani wrote Volume  
18 4 --

19 CHAIRMAN KERR: Is your next statement going to be  
20 a comment, a criticism, or a compliment?

21 DR. MARK: Well, I was wondering from where the  
22 idea came that on the very last page of it the cost in  
23 radiological exposure of some of the revisions, as estimated  
24 by somebody, should come out to be 320,000, which I think  
25 means 329 rem -- this was only exposure that was being



1 figured -- is then also said to be, confirms our idea that  
2 that's negligible.

3 That seems like a most unpolitic kind of approach,  
4 whether it's in man-rem or dollars.

5 CHAIRMAN KERR: That's a statement, not a question.

6 DR. MARK: I guess it's a statement.

7 (Laughter.)

8 CHAIRMAN KERR: Did you understand the statement,  
9 Mr. Thadani?

10 (Pause.)

11 Mr. Ray.

12 MR. RAY: I have a statement that has a question  
13 in it.

14 You've alluded several times, though, to the  
15 concerns that possibly an alleged improvement would result in  
16 an impairment, rather than an improvement. And I'd like to  
17 know, if thinking of this, and being a little bit concerned  
18 with all the changes, particularly in electrical control  
19 systems that are involved with these fixes, like adding an  
20 aery and so on, if there is anyone, either in the agency or  
21 elsewhere, who sits down after this whole aggregation is  
22 conceived and assesses the aggregation of systems as a  
23 whole to make sure that one of the new fixes or one of the  
24 new insertions hasn't set the stage for serious interaction  
25 that would really impair safety system performance in the

1 all -- somewhere down the road:

2 Does the Staff do this? Or will it do it? And if  
3 not, whom do they expect to do it?

4 CHAIRMAN KERR: Do you understand the question, Mr.  
5 Thadani? Do you, do you agree to field the question?

6 MR. THADANI: My response is going to be, I  
7 believe both ends, both sides would have to address it,  
8 consider it -- implications in terms of impact on other  
9 accidents, as well as interactions.

10 We do not have any final designs available to us  
11 for us to be able to comment beyond that.

12 MR. RAY: Well, when designs are submitted,  
13 will the agency, will the Staff undertake such an appraisal?

14 It seems to me some agency should, because they're  
15 not simplifying things by rude additions; we're really  
16 complicating the things.

17 CHAIRMAN KERR: Well, as a, as a legal authority,  
18 I can tell you that it is a responsibility of the licensee  
19 to investigate any changes that he makes in his plan, to be  
20 certain that it does not represent, for example, an  
21 unreviewed safety question or does not make the plant unsafe.

22 Does that make you feel any better?

23 MR. RAY: No.

24 CHAIRMAN KERR: I tried.

25 Other questions -- Mr. Epler.

1 MR. EPLER: One which is -- I'll try to contrive  
2 to make it a question:

3 We have many, many problems and issues raised in --  
4 it's rather complex, detailed. But out of all of this there  
5 is one all-important question that stands head and shoulders  
6 above all the others.

7 This problem is a BWR problem, because the BWR is  
8 seriously surely in trouble without a SCRAM, whereas a PWR  
9 might be in trouble.

10 And the mitigation for BWR has to be, for sure,  
11 reset complicated or the game is up.

12 Now, I ask how are we going to disentangle the  
13 recirc pump trip out of all of this other jungle of --  
14 so we can make sure.

15 What we do to ensure that we don't get spurious  
16 liquid poison injection doesn't also impair the likelihood  
17 of getting recirc pump trip. We have to have -- I don't see  
18 any discussion of that; and I think that that's one issue  
19 that will stand out from all others.

20 The question is, what do you propose to do about  
21 it?

22 CHAIRMAN KERR: Do you understand the question?

23 (Pause.)

24 MR. THADANI: I believe I do. If I may make a  
25 comment on the first part, though, you said this is a BWR

1 problem. I, I guess I believe it's a pretty darn serious  
2 problem for some pressurized water reactor designs also.

3 MR. EPLER: A little less serious.

4 MR. THADANI: I'll be honest: I don't know. On  
5 some PWR designs.

6 CHAIRMAN KERR: Suppose that you accept Mr. Epler's  
7 hypotheses and go on from there.

8 I think his question is, how do you disentangle  
9 the pump trip from the other parts of the logic system that  
10 may be required.

11 Is that --

12 MR. EPLER: Right.

13 MR. THADANI: We have looked at only a small number  
14 of designs, the recirculation pump trip circuitry. We have  
15 set up a number of check points, areas to watch out for,  
16 look for. I believe we sent that information to the ACIS  
17 some time ago. I don't have it with me. But the objective  
18 there was to make very sure that there was separation from  
19 protection system, that the hardware that was used was  
20 different than that used in the protection system, that the  
21 reliability of this hardware was acceptably high.

22 I think I also mentioned considerations of testing  
23 and so on that would be performed at different times than  
24 that for protection system. Environmental qualifications,  
25 as you well know, the actual protection system is qualified

1 rather strict standards, so at least it is our judgment at  
2 this stage that those environmental factors probably will  
3 cause the entire system to fail.

4 As to the possibility of poison actuation circuitry,  
5 resulting in inadvertent actuation of poison and having an  
6 impact on recirculation pump trip, it's not clear to me what  
7 impact that could have on recirculation pump trip. We did  
8 address the potential for inadvertent actuation of poison;  
9 we did discuss with you the circuitry that was to be used in  
10 a rather perceptual sense to actuate the standby liquid  
11 control system, as well as the timer that is supposed to be  
12 associated with that system's actuation.

13 The part that I'm not clear in my mind about is  
14 how, even if there were an inadvertent actuation of poison  
15 system, how that would have a deleterious effect on the  
16 recirculation pump trip.

17 MR. EPLER: Well, that isn't quite my concern. My  
18 concern is that if you put in the same schematic and in the  
19 same system both the recirc trip and the liquid poison, and  
20 if you go to great lengths to ensure that the liquid poison  
21 is sufficiently impeded, that it won't go off --  
22 so you may also inadvertently impede the recirc pump, which  
23 is kind of hard to do.

24 MR. THADANI: No, the logic is quite different  
25 on the two systems. In one you make sure the pumps trip.

1 In the other case you have coincident logic to actuate the  
2 system, which I think has a reliability consideration. But  
3 it seems to me that the two are quite different in the sense  
4 that if they were to have a common design, I would be con-  
5 cerned like you, because I think I agree with you. The  
6 importance of recirculation pump trip just cannot be  
7 minimized.

8 DR. LIPINSKI: I'd like to add to that comment  
9 because on January 25th, Hank Fairfelund (phonetic spelling),  
10 of General Electric Company, had pointed out that the plan  
11 at that time was to use minicomputers to determine what brads  
12 were in motion and whether SCRAM had been accomplished.

13 And based on the number of rods involved, this can  
14 be a fairly complex system. And the reliability of such a  
15 system has to be established.

16 MR. THADANI: I, I hope that was a comment. I  
17 don't have any response to it, other than to wait for GE to  
18 propose the system.

19 DR. LIPINSKI: Right. But in terms of Mr. Epler's  
20 concern, it's my concern as well when we're just given a  
21 basic description of how the actuation of the pump trip is  
22 to be obtained, based on rod position.

23 SPEAKER: May, may I make a clarification to that  
24 point?

25 RPT does not go through that logic. That is only

1 the boron. RPT is initiated with, with each call for SCRAM,  
2 as is ARI. It is only that the boron, then, would, would go  
3 through that logic.

4 CHAIRMAN KERR: Thank you, sir.

5 Mr. Saunders, I don't want you to feel left out.

6 DR. SAUNDERS: I'm not left out, sir. Thank you.

7 CHAIRMAN KERR: There being no further questions  
8 or comments, we go to the next part of our agenda, which has  
9 a presentation by the Atomic Industrial Forum, represented  
10 by Mr. Sorensen, I believe.

11 (Pause.)

12 MR. SORENSEN: Dr. Kerr and members of the Sub-  
13 committee, as was indicated, my name is Gerry Sorensen; and  
14 I'm chairman of the AIF Subcommittee on ATWS.

15 The discussion of anticipated transients without  
16 SCRAM is often quoted as having been, having consumed 11  
17 years of NRC and industry review. This is a somewhat  
18 simplistic characterization of a lengthy series of evalua-  
19 tions, discussions, and analyses of the potential failure to  
20 SCRAM of a power reactor and the design bases for its  
21 evaluation. These have involved the reactor vendors, the  
22 utilities, EPRI, and others in the industry, as well as the  
23 AEC and NRC.

24 By inference, the reference to 11 years creates a  
25 perspective of NRC requests and industry opposition of almost

1 incredible proportions. A careful review of the development  
2 of this topic reveals that the continual evolution of proposed  
3 criteria has also contributed repeatedly to the frustration  
4 of attempts to resolve the issue. Volume 4 of NUREG-0460  
5 continues this history.

6 The slide indicates we have used this previously  
7 in AIF presentations to indicate the progression of criteria  
8 with respect to ATWS and its evolution from its inception.

9 The first slide takes us up through the point of  
10 the status reports in 1975 to '78. And this was then  
11 followed in '78 with the issuance of NUREG-0460, and up  
12 through the issuance of Volume 4 this past month. And as  
13 noted here, there has been a continual changing of the  
14 ground rules that we were investigating.

15 As noted, in the changes between Volume 3 and  
16 Volume 4, we have gone from prevention and/or mitigation  
17 to prevention and mitigation for all plants. A series of  
18 generic and plant-specific analyses that will now be  
19 required; and as you saw on the schedule that was put forth  
20 today, it is desirable that those analyses be completed by  
21 the end of this year.

22 (Pause.)

23 The nuclear industry is interested and anxious to  
24 resolve ATWS. The utilities, through the N-triple-S vendors,  
25 have repeatedly responded to NRC staff requests to evaluate



1 ATWS transients with changing assumptions and criteria. The  
2 utilities have supported and closely followed the vendors'  
3 efforts. Our opposition to the proposed Staff resolutions  
4 has been based upon our disagreement with conclusions drawn  
5 by the Staff, the assumptions and criteria used, the absence  
6 of a technical basis for their positions, and particularly  
7 in the case of Volume 4 the process itself.

8 The NRC Staff stated in NUREG-0460, Volume 3:  
9 quote, "Simply stated, it is our judgment that the individual  
10 and societal risk from ATWS have been, and are today,  
11 acceptably small."

12 And we in the nuclear industry agree with this  
13 judgment, and we have consistently maintained this position.  
14 Even though ATWS is a very low-risk issue, we have agreed to  
15 do more than we felt was necessary in order to close this  
16 issue.

17 The technical bases for consideration of antici-  
18 pated transients without SCRAM have not been considered  
19 within the priority of all other risk contributors. The  
20 various review groups and inquiries since the incident at  
21 Three Mile Island, have concluded, as did the WASH-1400  
22 study, that the low-probability events do not dominate risk  
23 to the public health and safety.

24 Both the President's Commission on TMI and the NRC  
25 recommended the use of risk-assessment techniques for

1 identification and resolution of potential safety issues.

2           However, rather than resolving ATWS on a probabil-  
3 istic risk-assessment basis and by an orderly verification  
4 process, the nuclear industry was suddenly confronted with  
5 NUREG-0460, Volume 4, which intends to implement the  
6 requirements for elaborate plant modifications and extensive  
7 additional ATWS-related analyses without an appropriate  
8 technical justification.

9           Significant design changes are being recommended  
10 for the reactor trip systems and the reactor coolant-pressure  
11 boundary without sufficient consideration of the resultant  
12 effects upon the overall system's safety. Plant modifica-  
13 tions, such as additional safety-valve capacity for PWRs,  
14 should not only consider the reduction in risk for an ATWS  
15 event, but they must also consider the potential increase in  
16 risk from small LOCAs.

17           The NRC Staff has not adequately assessed this  
18 increase in risk. Rather, portions of the Staff have  
19 arbitrarily considered only their higher probabilities for  
20 ATWS events, which tend to mask any effects of the probabili-  
21 ties of small LOCAs.

22           If a more realistic probability for an ATWS event  
23 were assumed or considered, it would demonstrate little or  
24 no net increase in safety and may even show a net decrease  
25 in overall plant safety.

1 Other examples of technical deficiencies we have  
2 found in NUREG-0460, Volume 4, are the recommendation that  
3 Westinghouse-designed plants install a backup SCRAM system  
4 is not justified by the NRC Staff. The rationale that  
5 additional protection is always justified by improvement in  
6 defense-in-depth and that design changes are needed because  
7 the actual incident sequences do not behave as foreseen in  
8 the safety-analysis scenarios is totally inadequate.

9 The NRC staff has attempted to address ATWS as an  
10 isolated issue. However, the industry, and indeed the  
11 licensee, cannot focus only on one issue, but must consider  
12 ATWS in the context of the total integrated plant and other  
13 safety or licensing issues.

14 The industry will support resolution of ATWS, or  
15 any other single issue, when the bounds of the associated  
16 risk and impact are defined on a sound technical basis.  
17 NUREG-0460, Volume 4, recommends more plant modifications  
18 and additional analyses, which again do not define the  
19 limits to resolution of ATWS. The issues are too numerous  
20 and the plants too sophisticated to continue to add "band-  
21 aid fixes" without considering the overall systems effects.

22 This is the same concern that was just expressed  
23 by Mr. Ray. It's the same thing that bothers us in this  
24 context.

25 The value-impact assessment is incorrect and

1 incomplete to the point, in our opinion, of being somewhat  
2 deceptive. We note that the items listed as values in NUREG-  
3 0460, Volume 4, only one of those issues is related to  
4 safety. Further, if the value-impact comparison were  
5 restricted to safety-related values such as man-rem exposure  
6 and increased probabilities of other accidents, it is our  
7 judgment that the impacts would far exceed the values. In  
8 addition to the dollar estimates of costs, which the  
9 industry can neither agree with nor understand their  
10 derivation, the man-rem assessments are based upon estimates  
11 done for the Turkey Point Steam Generator repair efforts  
12 which are not directly applicable or relevant to the  
13 recommended ATWS plant modifications.

14 Also, with respect to impacts, I have provided  
15 the Subcommittee with a letter to the NRC from my utility,  
16 Washington Public Power Supply System, which identifies a  
17 gross misinterpretation of cost information previously  
18 provided to the Staff.

19 New containment isolation requirements are  
20 recommended even though these isolation requirements appear  
21 to be unnecessary or ineffective. The current ATWS event  
22 dose calculations show ATWS doses that are well within the  
23 the 10 CFR 100 limits. For PWRs, for example, the major  
24 portion of that calculated dose comes from the secondary  
25 side of the steam generators which are utilized for post-ATWS

1 core-heat removal.

2 We would be pleased to provide the Subcommittee  
3 with a more complete list of technical deficiencies after we  
4 have had more time to study Volume 4.

5 In our opinion, the NRC Staff did not bring  
6 together the expertise and technical competence necessary to  
7 develop the technical justification for asserting that  
8 implementation of the recommended plant modifications will  
9 be accomplished with a minimum of disruption and downtime.

10 Implementation of Alternative 4A hardware modifi-  
11 cations on the operating plants, particularly the recom-  
12 mended additional safety-valve capacity for operating PWRs,  
13 cannot be accomplished with any extended shutdowns well  
14 beyond normal refueling outages. The potential addition of  
15 nozzles to accommodate more safety valves, addition of more  
16 safety valves, replacement of safety valves discharge piping,  
17 additional safety valve support intallation, installation of  
18 increased capacity quench tank, the installation of larger  
19 associated piping, and the post-installation testing that  
20 would be required are only a few of the items that were not  
21 fully considered by the Staff. And these items cannot be  
22 accomplished during a normal sequence of refueling outages.

23 Additionally, considering that the proposed rule-  
24 making process must first finalize the Alternative 4A  
25 modification requirements, there is not sufficient time to

1 then design, procure, and install the plant modifications  
2 within the schedule proposed by the NRC Staff in Volume 4.

3 As far as the procedural aspects, the industry has  
4 been totally frustrated in the resolution of ATWS by the  
5 various positions that the NRC has assumed and the techniques  
6 employed by the Staff.

7 The most recent example of these techniques has  
8 been the premature rejection of the early verification  
9 program. Many of the ATWS submittals made by the N-triple-S  
10 vendors on behalf of the majority of their owners were less  
11 than one month old before the NRC issued Volume 4 of NUREG-  
12 0460. Indeed, the NRC's rejection of the early verification  
13 program was made even before some vendor submittals were  
14 reviewed.

15 On such a complex issue as ATWS, it appears to the  
16 industry that no substantial review was performed by the  
17 Staff of the early verification program submittals and that  
18 the program was doomed from inception by the Staff's pre-  
19 conceived attitude on ATWS.

20 The NRC has attempted, on the ATWS issue, to take  
21 a design engineer role in the resolution of the problem,  
22 rather than to assume the position of regulator. This  
23 procedural approach has taken the responsibility of imple-  
24 menting safety criteria from the licensees and placed both  
25 the establishment of safety criteria and the resulting

1 system design criteria for ATWS fixes with the Staff. The  
2 basis of the ATWS perspective fix -- prescriptive fixes are  
3 at best obscure and, in our opinion, totally without sub-  
4 stance from a risk-assessment or value-impact point of view.

5 The industry questions the manner in which the  
6 safety evaluations for these modifications will be made  
7 within the legal restraints of existing NRC regulations.  
8 The NRC Staff has inferred that they do not intend to rely  
9 on supporting analyses of the ATWS modifications as a basis  
10 for their installations and, in fact, will insist on  
11 installation of the hardware fixes prior to complete review  
12 of analyses, using the Lessons Learned mode of implementa-  
13 tion.

14 In that instance, the industry recognized the need  
15 for implementation of most of the NUREG-0578 modifications  
16 for either design, operational, or political reasons.

17 However, we don't agree that continued use of this  
18 prescriptive regulatory approach is in the best long-term  
19 interest of nuclear plant safety.

20 The AIF has recommended that the NRC establish an  
21 overall plan to define and prioritize all outstanding safety  
22 issues that are presently before the industry and the NRC.

23 The industry is continually being plagued with an  
24 isolated approach to the resolution of nuclear safety or  
25 licensing issues. And as does the Staff, the industry also

1 has finite manpower resources. If the Staff is committed to  
2 maximizing the usefulness of these resources in resolving  
3 outstanding safety issues, then an integrated approach to  
4 resource management must be utilized.

5 As a case in point, both the Report of the Presi-  
6 dent's Commission on TMI and of the NRC Special Inquiry  
7 Group have been very specific in this area. And integrated  
8 and controlled approach to the resolution of safety issues  
9 is essential. The changing requirements of the ATWS issue,  
10 the current furor over NUREG-0660, and the proliferation of  
11 NRC bulletins exemplify the industry's concerns in this  
12 area.

13 As a precursor to the resolution of the ATWS  
14 issue, the AIF recommends that appropriate and realistic  
15 acceptance criteria for nuclear safety be developed. The  
16 NRC Staff should also ensure that all of the necessary peer  
17 review is accomplished within the Staff for all criteria  
18 specified.

19 Rather than defining prescriptive fixes for the  
20 resolution of ATWS, we feel strongly that the Staff should  
21 establish safety criteria. The industry would then be able  
22 to move forward with these defined safety criteria, using  
23 sound engineering principles to determine the need for  
24 system modifications. The resolution of the ATWS issue  
25 requires a complete understanding of the problem, a



1 definition of all acceptable criteria, and an integrated  
2 approach to the selection of the fixes that will result in a  
3 potential for safety improvement.

4 We share the Staff's concern that the ATWS issue  
5 needs to be brought to a close. As we have indicated, how-  
6 ever, we do not agree that the ATWS issue is well-founded in  
7 risk terms.

8 We recognize that the Staff considers ATWS to be  
9 an unresolved safety issue and that they have made commit-  
10 ments to resolve these issues on established schedules. We  
11 note, however, that these schedules were established inde-  
12 pendent of the Staff's present efforts to prioritize the  
13 lessons learned from Three Mile Island.

14 Effective utilization of resources, both those of  
15 the industry and the NRC Staff, requires that ATWS be placed  
16 in proper perspective, based on the contribution to risk.

17 One of the first lessons learned from Three Mile  
18 Island was that the NRC and the industry had concentrated  
19 too much on low-probability events. We must not forget this  
20 important lesson when considering ATWS.

21 Volume 4 makes reference to the need to achieve  
22 the required level of safety. We need to have the required  
23 level of safety defined.

24 As stated in NUREG-0460, Volume 4, the industry  
25 still believes, one, that the probability of an ATWS event

1 that might jeopardize public safety is so low that no plant  
2 modifications or other protective measures are needed; and  
3 two, if ATWS modifications are to be improved as a pre-  
4 cautionary measure, they need only be preventive measures.

5 We find insufficient technical justification for  
6 the resolution proposed by the Staff in going forth. We are  
7 prepared to work with the Staff to further respond to their  
8 concerns and to address ATWS based on its relative priority.

9 In conclusion, urge this Subcommittee to recommend  
10 that the Staff reevaluate the approach put forth in Volume 4,  
11 in accordance with the technical and procedural concerns  
12 which we have discussed above.

13 (Pause.)

14 Concludes my comments, Dr. Kerr.

15 CHAIRMAN KERR: Thank you, Mr. Sorensen.

16 Are there questions? or comments? on the part of  
17 members of the Subcommittee or consultants.

18 While you are thinking, let me ask a few, Mr.  
19 Sorensen.

20 You refer on page 2 to various groups' concluding  
21 that low-probability events do not dominate risk to the  
22 public health and safety, and specifically you refer to the  
23 WASH-1400 study.

24 One might conclude, on the basis of the study as  
25 originally published that ATWS was not a significant

1 contributor. Now, you have heard, if you were here this  
2 morning or earlier, the Staff's statement that they re-  
3 evaluated the ATWS contribution; and on the basis of the  
4 reevaluation, have concluded that it is a significant con-  
5 tributor and they further advocated that the probabilistic  
6 analysis staff should be fairly familiar with WASH-1400,  
7 agrees with their evaluation.

8 Do you agree with their reevaluation?

9 MR. SORENSEN: I guess I had seen that in Volume 4,  
10 that they indicated a reevaluation and indicated that ATWS  
11 now was of more concern than they had previously thought.

12 I guess I have not seen what that evaluation  
13 consists of, sufficient to be able to make a judgment one  
14 way or the other.

15 CHAIRMAN KERR: Well, I would urge that you try to  
16 have a look at it, because if the industry is placing some  
17 significance on at least the approach taken in WASH-1400 and  
18 if indeed a reevaluation indicates some change in conclusions,  
19 you would, I believe, want to look at this.

20 MR. SORENSEN: It is definitely of interest to us,  
21 that's correct.

22 CHAIRMAN KERR: On page 3 you refer to the possi-  
23 bility that one might assume a more realistic probability  
24 for an event.

25 What in your view is a realistic probability?

1 MR. SORENSEN: I guess to date I have not seen  
2 anything that would alter my opinion from the probability  
3 for an ATWS event as put forth in the EPRI reports a few  
4 years ago. Those reports were based on the best available  
5 information to us at that time. And to my knowledge, there  
6 has been no, no real change to that at this point.

7 I believe the, that the number placed by the EPRI  
8 reports put an ATWS risk down in the, in the realm of  $10^{-6}$   
9 or below.

10 CHAIRMAN KERR: And that's  $10^{-6}$  per year for what?

11 MR. SORENSEN: It's been so long since I looked at  
12 that report, I may have to see if there's somebody here who  
13 has a feeling for that.

14 Hank, have you looked at those data?

15 CHAIRMAN KERR: I'm just trying to get an idea --

16 SPEAKER: It's a probability of an ATWS, per year.  
17 A-T-W-S. The consequences aren't in there.

18 CHAIRMAN KERR: Oh, the probability of, of the  
19 ATWS is  $10^{-6}$  per year, and you consider that realistic?  
20 What sort of uncertainty do you attribute to that number?

21 DR. SAUNDERS: Since your, since your analysis is  
22 based on a Bayesian analysis, it already has all sorts of  
23 uncertainties cranked into it.

24 CHAIRMAN KERR: I'm trying to get an idea of how  
25 the industry thinks. I --

1 DR. SAUNDERS: Oh, excuse me.

2 CHAIRMAN KERR: Because they've, they've given us  
3 very careful consideration, and they're being realistic; and  
4 I'm trying to get some idea of what uncertainties does one  
5 attribute to that  $10^{-6}$ ?

6 MR. SORENSEN: Yes, without going back to look at  
7 the report again, Dr. Kerr; I'm not sure what the uncertainty  
8 was that was attributed to that.

9 CHAIRMAN KERR: Okay, I'd be interested in finding  
10 out.

11 Now, at what point would you become concerned, if  
12 you are concerned with the EPRI number. How big would it  
13 have to be before you would become concerned?

14 MR. SORENSEN: I guess put in the context of other  
15 issues that we are designing for that, that we have concerns  
16 about -- I don't know whether the number might be  $10^{-2}$ ,  $10^{-3}$  --  
17 if we were to place all of those things that we look at as  
18 being realistic events in a priority basis, if ATWS were  
19 right in with the things such as, you know, a loss of off-  
20 site power, certainly we would be concerned.

21 I guess I'm not sure at this point exactly where  
22 one might draw the line.

23 CHAIRMAN KERR: Well, you, you have drawn the line,  
24 apparently on the basis of  $10^{-6}$ ; and I'm trying to get some  
25 idea of how far away concern this is and what it would take

1 to move it into concern if you discovered that your numbers  
2 were perhaps worth revising on the basis of different  
3 information.

4 MR. SORENSEN: I guess we have --

5 CHAIRMAN KERR: And you, you had told me if it  
6 were about the same as the probability of loss of outside  
7 power, which is, what, maybe  $10^{-1}$  per year?

8 MR. SORENSEN: That's roughly about where we'd  
9 put it, yes.

10 CHAIRMAN KERR: Okay. You'd be concerned --

11 MR. SORENSEN: We certainly would be concerned at  
12 that one.

13 CHAIRMAN KERR: Suppose it were  $10^{-2}$ .

14 MR. SORENSEN:  $10^{-2}$ , I think I probably also would  
15 be concerned.

16 CHAIRMAN KERR: What about  $10^{-3}$ ?

17 MR. SORENSEN: I guess that's about the point  
18 where I might start questioning.

19 CHAIRMAN KERR: Well, would you want to give it  
20 some attention if it were  $10^{-3}$  or --

21 MR. SORENSEN: I'm sure we would want to give it  
22 some attention; and again, I think it would depend a lot on,  
23 you know, on how that stacked up against other issues that  
24 we felt were, were of a first-type priority. It was an ATWS,  
25 you know, if it's  $10^{-3}$ , I think that's in the realm where we

1 would begin to be concerned about the number.

2 CHAIRMAN KERR: Now, suppose that you concluded  
3 it was, say, as small as  $10^{-4}$ .

4 Do you think you can demonstrate to the satisfac-  
5 tion of an unbiased audience that existing systems satisfy  
6 that?

7 (Pause.)

8 MR. SORENSEN: I guess --

9 CHAIRMAN KERR: I mean, suppose you decided that  
10 it should be that small --

11 MR. SORENSEN: Yes.

12 (Pause.)

13 Well, to me, that, you know, that is what the EPRI  
14 reports did. And those presented a, you know, a basis for  
15 an argument of, of what the number is.

16 Now, if those reports should come up to, say,  $10^{-4}$ ,  
17 for example, yes, I think it could be demonstrated to an  
18 unbiased audience. I guess I'm not sure in this industry  
19 where one finds an unbiased audience.

20 CHAIRMAN KERR: No, I wasn't proposing that you  
21 do it within this industry. I just assumed a hypothetical  
22 unbiased audience with whom you could deal. You can demon-  
23 strate --

24 Do you think you could demonstrate  $10^{-5}$ ? EPRI  
25 came up with  $10^{-6}$ . Do you -- are you willing to accept the

1 EPRI argument that one could demonstrate nonreliability or  
2 probability of ATWS that low?

3 MR. SORENSEN: Yes, I would be willing to accept  
4 that.

5 CHAIRMAN KERR: Well, that's one way of calibrat-  
6 ing my (laughter) understanding of your understanding of the  
7 EPRI report.

8 Now let's see:

9 Do you think a realistic number for ATWS is about  
10  $10^{-6}$ ? And you're going to get me some indication of what,  
11 what you --

12 MR. SORENSEN: I will try to refine what the, what  
13 the uncertainty was on that number, yes.

14 CHAIRMAN KERR: And you would be concerned about  
15 ATWS if somebody could convince you that it was as small as  
16  $10^{-3}$ .

17 MR. SORENSEN: Believe so, yes.

18 CHAIRMAN KERR: I, I, I'm not holding you to that  
19 number; you might change your mind on the basis of new  
20 information. I'm just trying to get an idea what you're  
21 thinking today.

22 Now, you have said on a number of occasions that  
23 the industry doesn't -- I think you were telling me, the  
24 industry doesn't think anything needs to be done about ATWS,  
25 but you'd be willing to do some things, provided you could



1 be convinced that they didn't contribute to unsafe situations.

2 Does that conclusion apply to BWR pump trip, since  
3 there apparently are BWRs now operating that don't have  
4 pump trip installed?

5 Do you think if you were operating the BWR, I  
6 guess you're not --

7 MR. SORENSEN: But we do have a BWR under construc-  
8 tion.

9 CHAIRMAN KERR: Do you think that the BWRs ought  
10 to have pump trip?

11 MR. SORENSEN: We have committed to put a pump  
12 trip on our BWR. And --

13 CHAIRMAN KERR: That's in answer to a question I  
14 didn't ask.

15 (Laughter.)

16 MR. SORENSEN: Yes, now, I guess I am not in a  
17 position to speak for all the BWR owners, and I might refer  
18 question to Mr. Fefelun; but --

19 CHAIRMAN KERR: Unfortunately or fortunately, you  
20 came here to speak for AIF; so let me ask you not to speak  
21 for the owners, but to speak for AIF.

22 As you speak for AIF, do you think it's worthwhile  
23 to put pump trips on BWR?

24 MR. SORENSEN: My understanding of the pump trip  
25 is that that is worthwhile.

1 CHAIRMAN KERR: Okay.

2 MR. SORENSEN: And as I indicated, we have made  
3 that commitment.

4 CHAIRMAN KERR: So you, you, you are willing to do  
5 a little bit about ATWS.

6 MR. SORENSEN: Yes.

7 (Pause.)

8 CHAIRMAN KERR: Now, let's see: on page --  
9 By the way, I said I was going to stop and give  
10 somebody else a chance.

11 (Laughter.)

12 Ep.

13 MR. EPLER: Well, I wouldn't want you to stop on  
14 my account.

15 (Laughter.)

16 I do have a question.

17 CHAIRMAN KERR: Please.

18 MR. EPLER: I think we have here a fundamental  
19 issue that needs to put on the table.

20 A while ago I expressed some concern that the  
21 liquid poison injection is an abomination  
22 to industry and, therefore, we will have difficulty in  
23 ensuring that it will be actuated as freely as we might  
24 like.

25 Now, we do actuate the SCRAM very freely. The

1 only penalty for a SCRAM actuation is an economic penalty.  
2 Not very serious. We don't worry about it much. But you  
3 raised a new issue:

4 Any sensing device that you're afraid to use is  
5 not a very good safety device.

6 Now, you raised the issue that the safety valves  
7 may be in that category, because you say if we had safety  
8 relief capacity, which I would believe would be the order of  
9 a factor of 2 or less. And this is what exacerbates the  
10 small LOCA situation.

11 Then the small LOCA problem must be a lot worse  
12 than I thought it was .

13 MR. SORENSEN: I guess our concern with the  
14 additional valves is that, you know, the more valves --

15 MR. EPLER: You believe that we were within a  
16 factor of 2 or less of being in serious difficulty with our  
17 safety valves causing small LOCA.

18 If this is true, then I think the safety valves  
19 themselves should be an issue that we should look at.

20 MR. SORENSEN: I guess I'm not sure of where to,  
21 where to place those.

22 MR. EPLER: I hate to believe that the addition of  
23 a few more relief valves would put us in that kind of  
24 trouble. I hate, I would not want to believe that we are  
25 not in shape at this moment.

1 MR. SORENSEN: I think our concern is only one of  
2 the addition of the valves, does provide greater opportunity  
3 for there to be a valve that's going to stick open, that's  
4 going to give the problem --

5 MR. EPLER: Well, after the first approximation, if  
6 you double the number of valves, you double the --

7 I would think you'd have to have maybe a hundred  
8 valves before you'd be in such trouble.

9 MR. SORENSEN: That may be a possibility, and my  
10 comment on that was that that is a, a potential increase in  
11 risk; and it's one that we think needs to be looked at  
12 carefully, before we go out and make that modification.

13 MR. EPLER: Well, I would hope we could look at it  
14 rather quickly and say it's negative.

15 CHAIRMAN KERR: But you see, that wouldn't convince  
16 him because he thinks the ATWS risk is negligible. And  
17 what he's doing is comparing, in his view, two negligible  
18 risks. I'm not trying to --

19 DR. MARK: Some are more negligible than, than  
20 others.

21  
22 End T6  
23 rcp

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CHAIRMAN KERR: I am not trying to disagree with his viewpoints -- it seems to me, given his hypothesis, his concern may be legit. He's saying the average risk is very low. The risk with small LOCA is also very low.

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If I add another valve, I may be doing something to risk a small LOCA which is comparable to, in my view, the negligible risk due to ATWS. That's not your view, because you don't think they have a touristic negligence.

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MR. EPLER: No, I think that you are indeed putting these in perspective. What you just said was that the atmospheric is indeed variable --

12  
13  
CHAIRMAN KERR: No, I am saying that I think that's your view. I don't want that to be attributed to me.

14  
15  
MR. EPLER: No, I'm saying that this is what this statement adds up to.

16  
CHAIRMAN KERR: Gerry?

17  
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MR. RAY: May I get back to a point that -- one of your answers to Professor Kerr?

19  
20  
21  
In your presentation, you indicated that you would prefer that the fixus for ATWS be concentrated on prevention rather than medication?

22  
MR. SORENSEN: Yes, sir.

23  
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MR. RAY: If you were satisfied or it could be demonstrated to you that the probability of an ATWS were as high  $10^{-3}$ , would you still feel the same way?

1 MR. SORENSEN: No, as I indicated to Dr. Kerr, I  
2 believe at that point my thinking changes and I would need  
3 to take a good look at -- maybe we need to mitigate if  
4 the probability is that high.

5 MR. SAUNDERS: But, suppose that you calculate  
6 and show that the probability was  $10^{-4}$ , since this is  
7 based upon finite amounts of data and also constructed by  
8 mathematicians who have not the wisdom of God, and they  
9 may be subject to error, don't you believe that a reason-  
10 able and prudent person, even if it were  $10^{-4}$ , would look  
11 at the problem at that level?

12 MR. SORENSEN: I think you have to look at  
13 the problem, and you would have to do some type of decision  
14 analysis to determine, you know, are the costs and the  
15 benefits --

16 MR. SAUNDERS: You have to look at the problem.

17 MR. SORENSEN: Yes.

18 MR. SAUNDERS: So far, we've neglected the  
19 consequence. The consequence is of such extreme value,  
20 like a core melt, factor that into the risk then maybe  
21 we're down to  $10^{-5}$ , what a prudent person would look at.  
22 Well, that's with the Epsilon where the Staff is. There's  
23 not very much difference between your position and theirs,  
24 really, I would say, when you get right down to the nub.  
25

1 CHAIRMAN KERR: You know, I remember the story  
2 in the Old Testament of the Angel who came to Abraham  
3 or to Lot. He used exactly this same argument in asking  
4 Lot, "How many righteous men you'd have to find in Sodom  
5 and Gomorrah before he destroyed the city by fire," it's  
6 a technique that still works.

7 [Laughter.]

8 MR. SAUNDERS: That's right.

9 MR. STETSON: My name is Fred Stetson, and I  
10 am the Licensing and Safety Projects Manager for AIF.

11 With regard to this risk question, I think there  
12 are two parts that have not been sufficiently distinguished  
13 here. One part is the probability of the ATWS event itself,  
14 and the other is the consequences that are related to it.  
15 My understanding of the Staff's position is that the  
16 Staff has essentially equated that ATWS with core melt,  
17 and I think the way to go at the risk contribution for  
18 core melt is to, first, find out whether or not the  
19 reactor safety study number 5 times  $10^{-5}$  is about right,  
20 and if it is to then look at fractions of that, that  
21 come from the different contributions.  
22

23 CHAIRMAN KERR: The Staff is quite capable of  
24 defending it's own viewpoint, but I don't think you're  
25 interpreting their viewpoint correctly.

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MR. STETSON: I said essentially.

CHAIRMAN KERR: I don't think they are essentially equating the ATWS with core melt. They're saying that in their view, having done a reanalysis using WASH-1400 techniques, ATWS now becomes a significant contributor to core melt. Isn't that --

MR. THADANI: That is correct. We have looked at the contributions in the spec study with our estimates on ATWS and looked at the contributions of ATWS to the overall core melt probability --

CHAIRMAN KERR: I gather that analysis is not in the hands of AIF? It strikes me, on the basis of what you have said, it would be very useful if they had it, or could get access to it. You might convince them that they, indeed, should have another look, because they're still using the WASH-1400 numbers.

MR. THADANI: I might point out that indeed it's available publicly.

CHAIRMAN KERR: You're going to tell me I can find it in the Public Document Room?

MR. THADANI: Ten, I think, in Volume II of NUREG-0460.

CHAIRMAN KERR: Appendix 10?

MR. THADANI: I believe it's appendix 10, yes.



1 CHAIRMAN KERR: I'm not being facetious now, it  
2 is there in a form in which it can be examined and interpreted  
3 not just be answered.

4 MR. THADANI: I believe it can be examined there.  
5 There are a bunch of entries as well. They are provided  
6 in appendices 14, 15, 16, and 17 of Volume II of NUREG-0460.

7 CHAIRMAN KERR: Okay.

8 I would urge that AIF become familiar with this  
9 if you're not already.

10 MR. STETSON: Let me make one point of clarifi-  
11 cation. We also recognize the problems in the WASH-1400  
12 number, and the basis for many of our judgments is rather  
13 than EPRI work on ATWS.

14 CHAIRMAN KERR: I'm simply suggesting that a  
15 later treatment of this exists, and apparently it's one with  
16 which the PAS staff agrees, and if this is relevant to  
17 your discussion, since you are apparently are willing to  
18 give some credence to that approach, I think you ought to  
19 look at it.

20 Other questions or comments? Mr. Saunders?

21 MR. SAUNDERS: I should say that whenever I  
22 become disenchanted with lack of scientific perspective  
23 and objectivity of the Staff, I gain a different perspective  
24 when I hear the presentations by the antagonists.  
25

1 CHAIRMAN KERR: I hope that's sufficiently  
2 ambiguous and it won't be clarified.

3 [Laughter.]

4 CHAIRMAN KERR: Other questions or comments?

5 I have one other-- my first comment, I think the  
6 first paragraph on page two, and I'm being charitable when  
7 I say this is taking a statement of the NRC Staff somewhat  
8 out of context, Mr. Sorensen, and I would refrain from  
9 that sort of thing if I were you.

10 MR. SORENSEN: They go on to discuss the --

11 CHAIRMAN KERR: They go on quite a lot, I would  
12 say.

13 Now, on page eight of your presentation, you  
14 feel strongly that the NRC Staff should establish safety  
15 criteria. I guess I feel strongly that they should, and  
16 even they feel strongly that they should. But given  
17 their safety goal, risk goal, for ATWS -- let's suppose  
18 it is  $10^{-6}$  or  $10^{-5}$  for an ATWS with unacceptable consequences.  
19 Suppose they establish that goal, could you meet it? Would  
20 you be happy with the establishment of that goal?

21 MR. SORENSEN: I guess their goals would be --  
22 yes, that's basically where our reports had put it. I  
23 think if they would establish a goal and we could go on  
24 from there to say, "Okay, we'll resolve ATWS on that basis,"  
25

1 and forget about ATWS from that point, I think we would  
2 be happy.

3 CHAIRMAN KERR: But, if they said that they  
4 would be happy if you demonstrate ATWS contribution to  
5 core melt risk, but not greater than  $10^{-6}$  per year per  
6 reactor, that would be a perfectly, straightforward,  
7 quantitative goal, and I don't believe you could do it.

8 MR. SORENSEN: We may not be able to, that's true.

9 CHAIRMAN KERR: But you see, here's the position  
10 where the Staff finds itself. They consider this -- what-  
11 ever the number is -- I'm not sure I'm quoting the right  
12 one, but it's in that region. They consider this the  
13 appropriate goal, and they have wrestled manfully, and I  
14 hesitate to use the masculine here, but at least they have  
15 wrestled, and they don't know how you could meet that goal.  
16 I don't know how you could meet it either, frankly.

17 MR. SORENSEN: We wrestle with that, too.

18 CHAIRMAN KERR: What are you going to do? You  
19 disagree with the number, but given the Staff has arrived  
20 at that number in all good conscience, what are they  
21 going to do?  
22

23 MR. SORENSEN: I guess the same thing we have  
24 done previously, we'll meet with the Staff about how we  
25 can or cannot meet the goal; why we may think the goal

1 is either good or bad. In the end, they are the regulator.

2 CHAIRMAN KERR: Yes, but you see, on page eight  
3 you say that you feel strongly that they should establish  
4 safety criteria, and the rest of the report the implica-  
5 tion is that they say the criteria ought to be quantitative  
6 to risk goal. Now, I don't whether that's what you mean  
7 or not. I think you're going to have difficulty with a  
8 quantitative risk goal that's in the region of  $10^{-5}$   
9 per reactor year, at least for ATWS. I don't know how  
10 you meet it. I wish I did.

11 Yes, sir.

12 MR. STETSON: I'd like to address that briefly.

13 I think the goal has to be more than a number.  
14 The goal has to be a conceptual framework in a technical  
15 basis for what you're doing, and our problems with the  
16 Volume IV in earlier years has been in the lack of technical  
17 bases for the proposals that are being made, and the goal  
18 has to be more than a number.

19 CHAIRMAN KERR: Well, a goal should be backed,  
20 perhaps, by a technical basis, but I guess I don't know  
21 what you mean by, "One needs a number and a technical  
22 basis." A technical basis could mean the way in which  
23 one achieves the number, and the Staff has sort of done  
24 that. They have said, "If you install this equipment, as  
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far as we're concerned you will have achieved the goal," they don't quite say a number. You may disagree with that approach, but in a way it's a technical basis. So I guess I don't know what you mean by technical basis.

MR. STETSON: I would categorize that as a lack of technical basis.

CHAIRMAN KERR: Okay, give me a "for example" of what you would consider to be a technical basis?

MR. STETSON; I would want to know what the Staff means when they say that the risk has to be made acceptable or acceptably low. I would want to know what the analyses have been performed on the safety valves and the electrical fixus that are being proposed.

CHAIRMAN KERR: Well, let me suggest that in your presentation you use the same language by implication, because you say the probability of an ATWS event that might jeopardize public safety is so low that no modifications are needed. Now, what do you mean by that?

MR. STETSON: What I mean is that our information that has been generated by the industry, EPRI, and the utilities indicates that the ATWS contribution to public risk is a very small fraction of the total risk to the public.

CHAIRMAN KERR: Is that the kind of goal that you'd want the Staff to give you?

1 It's such a small fraction, and it's so low,  
2 those things are not very quantitative as far as I'm con-  
3 cerned.

4 MR. STETSON: There has to be some --

5 CHAIRMAN KERR: And I think that statement is  
6 being nonquantitative. I think at the present the Staff  
7 is being somewhat nonquantitative, but I think as I have  
8 watched the thing develop, they are now being somewhat  
9 nonquantitative. They tried to be quantitative, and they  
10 didn't know how to be.

11 MR. STETSON: That's right.

12 CHAIRMAN KERR: And you see, I find you using  
13 the same sort of language, and it's sort of inevitable  
14 when you get down to risks that are the sorts of risks  
15 that we're setting as goals. It's a dilemma that we all  
16 face, at least I think it is.

17 I believe in, and I strive for heavens, but I  
18 just don't think we're there yet. We've got to do the  
19 best we can. The trouble is, I don't know what that is.

20 MR. STETSON: I agree with that.

21 DR. LIPINSKI: Let me offer a comment in response  
22 to your first question as to how you verify. Well, it's  
23 11 years which we now got 70 reactors. If we went for  
24 another 10 years, we'd have another 700 reactor years, plus  
25

1 the ones that are coming online that would be integrated  
2 over the next 10 years, so, Thadani, how many thousand reactor  
3 years did we require to confirm the  $10^{-6}$ ?

4 MR. THADANI: It was on the order of a million  
5 reactor years.

6 CHAIRMAN KERR: It depends on competence level,  
7 that's about 90 percent --

8 DR. LIPINSKI: I'm being facetious --

9 CHAIRMAN KERR: Anybody -- Mr. Ray?

10 MR. RAY: Am I correct in my understanding that  
11 you feel that by these fixus reaggregating we'll have  
12 reduced the probability of an ATWS by a factor of 100  
13 or thereabouts?

14 MR. THADANI: Not that we would have reduced  
15 the probability of an ATWS by a factor of 100, rather we  
16 would have reduced the potential for severe consequences  
17 by a factor of 100.

18 MR. RAY: Well, in a way that's a goal. And I  
19 wonder what the response of AIF would be to that? You  
20 see --

21 CHAIRMAN KERR: Where are you reading from,  
22 Gerry?

23 MR. RAY: I'm not reading any specific place, but  
24 just a general impression I have as a result of the  
25

1 presentations I've heard.

2 CHAIRMAN KERR: Quite frankly, I'd call it  
3 factor two, but --

4 MR. RAY: I have progressively built the impres-  
5 sion though from presentations --

6 CHAIRMAN KERR: You're talking about --

7 MR. RAY: Well, not just the fixus, the total  
8 package.

9 Let's stay with it for a moment. If this  
10 program would reduce the potential of severe accidents  
11 by a factor of 100, in a way that's an expression of a  
12 goal. Do you collectively feel that you would have  
13 another program that could be as effective as this to do  
14 the same thing?

15 CHAIRMAN KERR: Let me see if I can clarify. What  
16 is being suggested here is that if one is reducing the  
17 contribution of ATWS by a factor of 100, not that one is  
18 reducing the risk of all severe accidents by a factor of  
19 100. They're quite different things.

20 MR. RAY: No, I'm talking in addition to that --

21 CHAIRMAN KERR: All right.

22 MR. RAY: Could you by some other prescription  
23 do this same thing, a prescription you prefer. Have you  
24 thought it through to that extent?  
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1 MR. SORENSEN: I guess the things that the  
2 industry has been willing to support in this area have  
3 related more to the electronics fixus that would prevent  
4 the event in the first place. That would provide some  
5 diversity and redundancy in the electronics.

6 MR. RAY: Okay, but prevention is going to be  
7 just as effective when we see the potential for a serious  
8 accident due to an ATWS.

9 MR. SORENSEN: Yes, that's right.

10 And as to the level of change that may affect,  
11 whether we're talking 100 or not, I think that's -- it's in  
12 those things that one picks up the major part of the  
13 reduction in risk, you know, that Staff talks about.

14 MR. RAY: Well, the point I'm making is regardless  
15 of the debate as to whether a probability is  $10^{-3}$ ,  $10^{-4}$ , or  
16  $10^{-6}$  in an ATWS event, particularly with the present situ-  
17 ation, there's been an expression of a goal of a sort  
18 which the Staff is attempting to accomplish by this pro-  
19 gram. What is your response to the possibilities of another  
20 program that you would prepare, you would endorse, that  
21 would do the same thing? Do you have an alternative?

22 MR. SORENSEN: Right offhand, I do not, but I  
23 think that's something we would be willing to undertake.

24 MR. RAY: Well, it seems to me that if you're  
25

1 going to respond to the Staff effectively, it may very  
2 well be along those lines.

3 MR. SORENSEN: That's a good point.

4 CHAIRMAN KERR: Other questions or comments?

5 Mr. Sorensen, suppose if you were faced with  
6 a situation which, 1) had only to deal with Altern. 3-a,  
7 would you feel any better about the situation, or would  
8 you feel that you were in something more nearly a situation  
9 with which you could -- and I am not using "you," I am  
10 referring to AIF -- with which you could live comfortably?

11 MR. SORENSEN: If Alternative 3-a was the thing  
12 that was proposed as being the resolution of ATWS, is that  
13 what you're saying?

14 CHAIRMAN KERR: Yes, sir.

15 MR. SORENSEN: I believe so, yes.

16 CHAIRMAN KERR: Any more questions or comments?

17 Thank you very much.

18 MR. SORENSEN: Thank you.

19 CHAIRMAN KERR: Mr. Enus representing, speaking  
20 for, the B&W --

21 MR. ENUS: Thank you, Dr. Kerr, members of  
22 the subcommittee.

23 My name again is Ted Enus, and I am Chairman of  
24 the B&W Owners Group Subcommittee for ATWS.  
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During the past couple of weeks, we've devoted a considerable amount of time to reviewing NUREG-0460, Volume IV, looking at its merits and what we consider some of its problems, and we do have some serious reservations with regard to Volume IV. We've been working in the last couple of days with the Atomic Industrial Board, and have addressed to them what we consider were some of our concerns, and would like to briefly make a statement to you on behalf of the Owners' Group as to how we perceive ATWS as a whole, what briefly our concerns are with Volume IV; what we would possibly propose as a resolution; and how we feel with regard to frustration of the issue and how we would like to get rid of it.

The Staff issued NUREG-0460, Volume III, in December of 1978, contained four alternatives for the resolution of ATWS. In February of '79, the NRC Staff requested, in a 58-page letter from Dr. Mattson, the industry provide the NRC staff detailed, quantitative system and stress analysis relating to the ATWS event in order to initiate the verification program.

The B&W Owners ATWS Subcommittee met with the NRC Staff and defined the scope of this effort and schedule consistent with Staff's need for the information. The TMI-2 incident in March of 1979 placed a significant

1 strain on both the industry and the NRC's manpower. By  
2 necessity, much of the effort that we had devoted to ATWS  
3 and to the early verification program had to be diverted  
4 causing delays in the submittals that we had planned for  
5 ATWS. However, we did as best as we possibly could during  
6 that period of time continued efforts on ATWS within the  
7 framework in the environment that existed.

8 In July, and again in August, 1979, we met with  
9 the Staff to discuss the impact of TMI on ATWS, and as  
10 we perceived, agreed upon the scope and the schedule of  
11 appropriate responses to the Staff and with our concern  
12 in regard to TMI. Most of these issues we now have addressed  
13 to Staff in our submittals.

14 We further stated to the Staff in July, our sup-  
15 port of the early verification approach and our position  
16 that we felt it was a reasonable means to achieving a  
17 resolution to ATWS, and again verified to them that we  
18 were interested in resolving ATWS and would support the  
19 early verification program.

20 Subsequent to those meetings in July and August,  
21 our submittal dates again slipped beyond the original  
22 commitment date that we had made to NRC. This, again,  
23 was due to the finite limitations on our manpower because  
24 of the number of higher priority items that were being  
25

1 imposed upon us at that particular time.

2 Again, we did manage to continue work on the  
3 effort, not to the same degree that we had desired and  
4 committed, but we did continue to work, and we did submit  
5 the results of the analysis as we had committed.

6 Subsequent to the issuance of Volume III, the  
7 B&W Owners Group has -- and B&W -- has expended over \$1  
8 million in analyses and manpower to support the early  
9 verification program. We continue to believe the results  
10 of these efforts support our position that the probability  
11 of an ATWS event is acceptably small. Furthermore, we  
12 have demonstrated that if an ATWS event should occur, we  
13 believe the RCS would remain intact and functional such  
14 as it could achieve a site shutdown.

15 It was, with considerable dismay, on our part,  
16 we discussed with the Staff in January the rejection of  
17 the early verification program and the rejection of the  
18 work we had done during the period of 1979 in support of  
19 that program. The Staff indicated to us at that time that  
20 the ATWS issue was no longer a negotiable issue, and that  
21 the verification program was being terminated, and that  
22 the dialogue on the issue was being terminated as well.

23 It was disheartening to us again, at that time,  
24 because the decision that was made was made before the  
25 largest of the B&W submittals on the ATWS analysis had been

1 made to the Staff or had been reviewed, so we felt it some-  
2 what unfair to withdraw from the early verification program,  
3 having not seen those submittals.

4 We read 0460, Volume III, and the early verifica-  
5 tion program was approached to resolving the ATWS issue  
6 to the B&W owners and the Owners' Group could accept  
7 and as a result we initiated the analytical programs requested by  
8 the NRC Staff.

9 Volume IV, in our opinion, is without basis  
10 and is inappropriate to resolve the ATWS issue. The NRC  
11 Staff's vascillation on the ATWS issue over the past 10  
12 years has resulted in the expenditure of over \$5 million  
13 by B&W and the B&W owners in analyses and manpower which  
14 we now perceive will not be applicable to the resolution  
15 of the issue.

16 Finally, we cannot support Volume IV as we  
17 believe it was generated independent of technically  
18 justifiable criteria. We firmly believe that if called  
19 upon, our systems, as they exist today, will successfully  
20 shut down the reactor. However, we do recognize from a  
21 probabilistic approach that a potential reduction in the  
22 probability of an ATWS event can be allayed by the addition  
23 of an electronic redundant diverse electronic trip system.

24 Therefore, to resolve this issue, we would  
25

1 most probably be agreeable to the installation of the  
2 redundant and diverse trip mechanism to resolve the issue  
3 once and for all.

4 We sincerely believe this is an adequate and  
5 appropriate resolution to this issue, firmly supported  
6 by our existing analysis.

7 In a conclusion to this statement, we would  
8 like to state that it is not the purpose of our presenta-  
9 tion to deliberately and purposely attack Staff. We do  
10 not want to do that. We are interested in resolving the  
11 ATWS issue. We believe that there are many other issues  
12 that the industry and the Staff and the ACRS has to work  
13 on that are more important than the ATWS issue. We  
14 believe it extremely important for us to take an integrated  
15 approach to these issues to define all of the different  
16 issues, to look at the relative risk contribution of all  
17 of these issues, and let's work at them from the stand-  
18 point of which provides the highest risk to the public,  
19 and down that line. We do not believe at this time that  
20 ATWS ranks very high on that list as contribution to the  
21 overall risk, however, it does rank very high on the  
22 expenditure of our manpower and our efforts, and we are  
23 most interested in resolving the ATWS issue in a realistic  
24 and justifiable way in order to allow us to devote manpower  
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1 to what we consider to be more appropriate safety issues.

2 Thank you. That concludes my remarks.

3 CHAIRMAN KERR: Thank you, Mr. Enus.

4 Any questions or comments?

5 DR. LIPINSKI: Yes.

6 On your closing remarks, you make reference to  
7 devoting your time to other issues. Could you enumerate  
8 as what you regard as being more important?

9 MR. ENUS: Well, it is very difficult within  
10 the industry right now for us to prioritize what are the  
11 more important issues.

12 Now, I'll give you an example. Recently we  
13 received I-Bulletin 7901-B, "The Environmental Qualifica-  
14 tion Bulletin," which does not make the 0660 Task Action  
15 Plan, and is basically a sleeper within the industry, yet  
16 the schedule that was provided on that bulletin of a  
17 45- and a 90-day response in order to complete the schedule  
18 makes it a high-priority item.

19 Now, the question arises within the Staff, "Do  
20 we devote the 200-some-odd manyears that it is going to  
21 require to respond to this bulletin in the next 90 days,  
22 or do we apply that manpower to something else," and it's  
23 difficult for us to prioritize which is the more important  
24 of the two. What is the overall risk that the Environmental  
25 Qualification Bulletin versus something else? This is the



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thing I'm asking that I think we need to do, that we need to integrate all of these things. Look at them collectively. Work on the most important ones first. It's difficult to take things from a schedule or standpoint and determine which is the more important.

CHAIRMAN KERR: Mr. Mark?

DR. MARK: You referred to a system which was diverse and basically electrical. I forgot the words you used exactly.

MR. ENUS: Redundant and diverse.

DR. MARK: There have been in the 0460 volumes references to a BUSS and then AMSAC. What is the relationship between what you said you regarded as reasonable and possibly acceptable and those two terms?

MR. ENUS: Okay.

Particularly what I discussed was redundant and diverse electronic trip system would be very similar to the BUSS. I choose that terminology in that since the BUSS was developed several years ago, there are now -- also with regard to some of the modifications that have been made from NUREG 0578, there are now ways of which you can incorporate the same thing into the plant without installing a package or a black box called a BUSS, so you could install effectively the same thing without putting this copywrited, labeled thing on it.

1 DR. MARK: You do not include AMSAC, whatever  
2 that is supposed to mean in the list of things which you  
3 consider straightforward to accept.

4 MR. ENUS: To the most part, AMSAC is being  
5 installed as part of the 0578 requirements. The upgrade  
6 in the ACS feedwater system, the anticipatory reactor  
7 trip -- so, to a high degree that system is there.

8 CHAIRMAN KERR: Other questions or comments?

9 Thank you, Mr. Enus.

10 MR. ENUS: Thank you.

11 CHAIRMAN KERR: Mr. Ganglof is going to speak  
12 rather than Mr. --

13 MR. GANGLOF: My name is Will Ganglof. I work  
14 for Westinghouse in Pittsburgh.

15 I'd like to open my remarks with the rather flat  
16 statement that we in the industry are interested and  
17 very concerned about the safety of our plants.

18 That being said, I then would like to go on  
19 with my comments in regard to Volume IV of NUREG-0460.

20 I guess the best expression of our reaction is  
21 that we're disappointed and troubled by what we see  
22 happening in Volume IV of NUREG-0460.

23 We're disappointed with what we consider to  
24 be unnecessary new requirements. We've performed hundreds  
25

1 of calculations. I don't have any millions of dollars  
2 of figures, but it's in the millions.

3 For all varieties for the Staff and for this  
4 Committee showing that ATWS and Westinghouse pressurized  
5 water reactor does not lead to core melt. We've shown  
6 that less than half the rods scrambling is adequate to  
7 eliminate any concern for an ATWS. We've shown that there  
8 is no ATWS problem in Westinghouse PWR if one uses a  
9 reasonable moderator temperature coefficient characteristic  
10 of the bulk of core life.

11 We've also done extensive probabilistic calcula-  
12 tions to demonstrate that the probability of ATWS is  
13 already acceptably small, and we continue to disagree with  
14 contention that there is any significant risk to public  
15 health and safety from ATWS in Westinghouse PWR.

16 We are disappointed with the superficiality of  
17 the technical basis behind the new requirements or at  
18 least the superficiality of the documentation of that  
19 basis, if there is, in fact, more substance to it, we  
20 haven't seen it. We did receive the copy of the draft of  
21 the document that only very recently, and our technical  
22 people haven't had opportunity for more than a cursory  
23 review.

24 Out of the questions or the deficiencies or  
25

1 whatever that are listed in there for Westinghouse sub-  
2 mittals, appear on the surface to be trivial. Yet the  
3 conclusion is reached that generic verification of adequacy  
4 could not be achieved. We don't understand why.

5 We are disappointed with the lack of logic that  
6 connects the various bits and pieces of requirements in  
7 the various alternates. We're disappointed with lack of  
8 dialogue with the Staff in recent months, coming to the  
9 conclusions they came to.

10 There are questions it often helps to ask for  
11 the answers rather than assume there are none or you  
12 wouldn't believe them if you heard them.

13 We're disappointed with the totally inadequate  
14 value impact treatment which assumes the answer and  
15 understates the impact. Moreover, we're troubled by the  
16 process which seems to be at work.

17 What we seem to have here is a situation where  
18 the longer we talk about it the more real and the more  
19 urgent the problem becomes, regardless of anything that  
20 happened, regardless of what the results of analyses are,  
21 we can always do another analysis and find a different  
22 answer. Make a more conservative assumption, find a  
23 worse answer.

24 The longer it goes, the more real it is. We  
25

1 thought that one of the TMI lessons was that we are  
2 focused on the little things that happen every day, or every  
3 year. Rather than expend large amounts of computer on  
4 very interesting but very remote events.

5 Now, orders are convenient for the Regulatory  
6 Staff since they circumvent the need for a lot of inter-  
7 action with other people. And I'll have to admit that it  
8 is embarrassing for a generic issue to be outstanding  
9 and unresolved after all these years.

10 But repetition of a conclusion doesn't create  
11 truth, and we still see no compelling argument that plant  
12 changes for ATWS will significantly reduce the risk to  
13 the public from nuclear power plants.

14 It is embarrassing that the industry still  
15 unanimously disagrees with Staff's prejudgment after 11  
16 years of discussion. But we feel it's inappropriate to  
17 resolve that matter by pasting together all the hardware  
18 changes anyone thought of in 11 years, issuing orders to  
19 implement them.

20 Westinghouse suggests that the Staff be asked  
21 to justify each and every requirement on a technical basis  
22 not merely by repeating the assertion that it is needed  
23 for safety.

24 We further suggest that a rulemaking hearing  
25 is proper means for expanding the design basis criteria

for nuclear power plants in the absence of any demonstrated clear and present hazard.

The hearings should be scheduled prior to implementing Volume IV of the NUREG-0460.

Question?

Thank you.

CHAIRMAN KERR: I call on Mr. Sherwood.

How long do you think your presentation will take?

MR. BUCHHOLZ: My own presentation will take about five or ten minutes. After that, I will introduce Mr. Pfefferlen and Mr. Holland, which would take -- presentation-wise, would take about, I suspect another 20 minutes.

CHAIRMAN KERR: Okay. Please proceed.

MR. BUCHHOLZ: My name is Robert Buchholz. I represent today General Electric Company.

We're speaking here to the subcommittee because of our serious concern regarding the Staff recommendations in Volume IV of NUREG-0460.

Our discussion today is divided into three phases, as shown on this chart.

First, I'd like to express some specific concerns about NUREG-0460, and then Mr. Pfefferlen will review the BWR capability to mitigate ATWS, very briefly,

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still jn

1 and subsequently respond to some of the stated Staff  
2 concerns that serve as their bases for the recommendations  
3 relative to the BWR in Volume IV.

4 Before I begin, though, I'd like to clarify  
5 the is and is nots of our presentation of our posture here  
6 today. We share the frustration of Mr. Thadani regarding  
7 the speed of resolution of the ATWS issue. It's particu-  
8 larly true of the need to resolve it in light of the  
9 additional or competing needs for manpower and application  
10 of resources to respond to the TMI Action Plan.

11 The presentation today is focused on how to  
12 resolve ATWS, and its purpose is not to delay ATWS resolu-  
13 tions. We believe that for the BWR that the Staff proposal  
14 will actually delay the resolution of the ATWS issue.

15 Specifically, we believe that the information  
16 that we've submitted as late as December of 1979 supply  
17 the information or the basis that the Staff needs to  
18 make a judgment regarding verification.

19 I'd like to just briefly review on the next chart  
20 the situation we thought we were in at the time of the  
21 issuance of Volume III.

22 Volume III, to us, recognized the impact of  
23 the mitigation requirement on operating plants and plants  
24 under construction, and it did that by defining alternatives  
25

1 that we're all familiar with and I needn't go into, and  
2 from our viewpoint, we were grateful for the acknowledgement  
3 of the SCRAMS, the credit given the SCRAMS system improve-  
4 ments, namely ARI and the SCRAMS discharge volume.

5 Now, as a result of the Volume III review, we  
6 understood the Staff position to be as shown on the chart,  
7 and this was the position that was discussed in January  
8 1979 ACRS meeting.

9 Subsequently, the RCWBSEQ (?) concurred with  
10 the Volume III approach, and the history shows that the  
11 industry considered even Volume III to be not overly  
12 palatable, let's say.

13 Now, in response to that, GE and BWR owners  
14 performed assessments in accordance with the requirements  
15 of a request of the February 15th letter from Dr. Mattson.  
16 However, now, with the issuance of Volume IV, we find our-  
17 selves in a different position. A position in which we  
18 are once again being asked, "Go forth to alternate IV,"  
19 and on the basis of that, we feel that the requirements  
20 are significantly in excess of what Volume III recommended.

21 I must say that I noted during the day today  
22 that to be a slight difference in the words that were  
23 spoken relatively to implementation for the intent of the  
24 Staff to implement Volume IV and the words that are in the  
25



1 document, and I'm sure the ensuing days will clarify that.

2 My chart reflects, I think, the intent that's  
3 shown in the documents. At least that was my intent to  
4 do in the chart.

5 Now, we note that there's a contrast in the  
6 document itself, in that Volume IV allows for the possi-  
7 bility that the information supplied the Staff is already  
8 sufficient. I think I've quoted fairly here since I  
9 started off with saying, "Concerns notwithstanding."

10 Mr. Pfefferlen will address the concerns, at  
11 least our initial reaction to those concerns, after I talk.

12 CHAIRMAN KERR: At least those that are not  
13 withstanding?

14 MR. BUCHHOLZ: Yes. The current set of concerns,  
15 I would say.

16 Even though there's a significant increase in  
17 the requirement of Volume IV, we note that there's been  
18 no interaction at least with BWR part of industry during  
19 the development of the NRC position. In fact, I think it's  
20 accurate to say that we have not had any working meetings  
21 to submittal of our documents, and we view that with  
22 concern.

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24 General Electric considers that we have pro-  
25 vided already the necessary information to demonstrate

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verification, and we would like to look forward with meeting with the Staff to resolve those concerns.

In terms of observations, just at the time that we felt we were nearing resolution of the ATWS problem, we feel that there are arbitrary requirements being placed on us very suddenly.

CHAIPMAN KERR: Would it have been better if they had been placed gradual?

MR. BUCHHOLZ: One can only speculate, I suppose.

I think they might have been better if they had been placed after exchange of information and exchange of views, at least we would have been sure in our minds what each of us were saying.

We note that these new requirements in Volume IV are not consistent with any previous Staff position, and, frankly, we, at General Electric, feel that we're about to embark on another 11 years of haggle about this issue. We believe that there's a possibility for that because of the openendedness of the Staff proposal where the Staff is requiring a significant amount of more analysis -- we think that's the case anyway.

We see that these analyses are being requested or will lead to responses of a plant unique -- on a plant-unique basis, the optimization studies.

We note -- and I'll just say this because I've

1 covered this point before, that, you know, we don't feel  
2 that the currently submitted documents have been thoroughly  
3 reviewed. I believe that's been indicated in the January  
4 subcommittee meeting and in Volume IV itself.

5 We feel that the TMI lessons have not been learned.  
6 Once again, we find ourselves in a situation where we're  
7 having prescriptive requirements, prescriptive specifica-  
8 tions of hardware, and we find ourselves performing  
9 analyses on what the response is again. We urge that there  
10 be some priority setting done as was asked by an earlier  
11 speaker.

12 The BWR performance capability, we don't believe  
13 is being recognized, in part because of just not having  
14 reviewed the documents. We feel that there must be given  
15 that recognition of what the characteristics of response  
16 of the BWR are.

17 Finally, as you'll see from a chart that Mr.  
18 Pfefferlen has, we believe that the proposal is a costly  
19 one and yields little improvement in safety if you go all  
20 the way to 4-a. That last implement.

21 So, what we're asking the subcommittee to do is  
22 to not endorse the Volume IV as it stands, and we believe  
23 that our current submittal is really sufficient to demon-  
24 strate the mitigation capability of the BWR's.  
25

1 I'm through with my portion. Mr. Pfefferlen  
2 will cover the second two parts of this discussion, unless  
3 there's some questions specific to what I've said.

4 CHAIRMAN KERR: Mr. Mark, do you have a question?

5 DR. MARK: Some point was made of the fact that  
6 the extent of the oscillations that might follow some of  
7 the steps in connection with an ATWS --

8 MR. BUCHHOLZ: Yes.

9 DR. MARK: -- looks as if they might be trouble-  
10 some, and I believe that analysis and inspection of the  
11 looks of those were amongst the things called for in  
12 Volume IV, they had not appeared previously, that I recall.

13 Would you find that an unreasonable point to  
14 raise?

15 MR. BUCHHOLZ: No, I would expect the Staff  
16 to ask these questions about that, and that, frankly, is  
17 what I'm asking for in a sense, to exchange with the  
18 Staff.

19 Mr. Pfefferlen has some discussion of those  
20 oscillations that you'll see in a minute, and we can kind  
21 of get into it there.

22 DR. MARK: So, it's not an unreasonable thing  
23 to have in mind that needs further discussion, but you  
24 would like it done on the basis of discussion?  
25

1 MR. BUCHHOLZ: That's correct.

2 Hank.

3 MR. RAY: One more question?

4 MR. BUCHHOLZ: Sorry.

5 MR. RAY: One of your associates discussed the  
6 aspects of TMI lessons not learned. Did I miss that  
7 point?

8 MR. BUCHHOLZ: No, that was -- I covered that  
9 point by saying, Mr. Ray, that we find ourselves -- the  
10 President's Commission and the Rogovin Report did not  
11 really emphasize, at least in my judgment, the need for  
12 a lot of additional equipment in our plants. It urged us,  
13 I think, to understand the plant behavior better and to  
14 provide the operator more intelligence information regard-  
15 ing the behavior of the plant. What I'm saying is if  
16 that direction is not being followed by continuation of  
17 the ATWS situation in openended manner, because I believe  
18 that we're going to be expended a lot of our analytical  
19 effort and resources both within General Electric and  
20 within the utilities in order to perform these optimization  
21 studies. And I'm just asking that we appropriately  
22 prioritize them. I am hoping to avoid a discussion of  
23 probabilities during my talk here, but all I'm saying is  
24 there needs to be that discussion so that we're working  
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1 within, and we're assured that we're working within, the  
2 right framework.

3 CHAIRMAN KERR: Other questions?

4 Thank you, Mr. Buchholz.

5 MR. PFEFFERLEN: Gentlemen, my name is Hank  
6 Pfefferlen. I am manager of BWR Licensing Programs for  
7 General Electric, and I would like to present to you  
8 some of our thoughts, as Mr. Buchholz has pointed out.

9 On the BWR ability to accommodate ATWS, some  
10 comments on the stated Staff concerns and draw some con-  
11 clusions from these.

12 So, let me begin by putting up this chart, and  
13 let me make a point here that we have been spending  
14 quite a bit of time talking about the boron injection  
15 mitigation capability, and I think perhaps loss in that  
16 is the fact that we believe there's a significant improve-  
17 ment to be derived from the ARI-RTT combination. I think  
18 it's our opinion that the Staff has not given proper  
19 credit for it, and Mr. Thadani spoke to that point earlier  
20 where he pointed out that there is, indeed, mechanical  
21 and electrical components and that they had assessed  
22 each as a equal reliability function. And by putting in  
23 ARI, we eliminate half of it, so we're left with the other  
24 half, and we, therefore, conclude that there's a factor  
25 of two improvement.

1 We, on the other hand, believe that the mechanical  
2 portion of the SCRAMS system is significantly more  
3 reliable than the electrical portion, largely due to the  
4 number of rods, and we made this point in 1978 when we  
5 spoke to this committee a number of times. And we believe  
6 that the insulation of the ARI and RTT leads us to a  
7 factor of like 100 improvement rather than a factor of 2,  
8 and that there's a lot more to be gained from this.

9 So, given this, should an ATWS occur, we believe  
10 very strongly that alternate 3, based on the assessment  
11 that we've provided to the Staff in December, provides a  
12 high degree of confidence that the BWR will mitigate such  
13 an occurrence without exceeding the specified limits.

14 Now, let me just remind you of what we talked  
15 about in January, based on our assessment, we have con-  
16 cluded that the peak vessel pressures for each of the  
17 product lines that we show over here is on the order of  
18 the high 1200 to 1300 psi range. I'd like to point out  
19 that it is well below the emergency limit, as defined in  
20 the SME code, and, in fact, very close to the upset limit,  
21 also as defined in the SME code.

22 Therefore, from the point of view of integrity  
23 and operability, we do not see ATWS as a real challenge  
24 to those complements.  
25

1  
2 The point has been discussed quite a bit with  
3 regards to the BWR, is what happens to the suppression  
4 pool? We isolate the reactor vessel, we put steam into  
5 the suppression pool, and that is why we have to initiate  
6 our boron system, and indeed with alternate 3, we have  
7 concluded that the bulk temperature for each of the  
8 product lines will be as indicated.

9 We feel there have -- test evidence that lead  
10 us to conclude that, indeed, 210 degrees is reasonable  
11 limit, and even there we do not expect any sudden departures  
12 from an acceptable approach. That's where our test data  
13 leads us. So we see no cliffs in this, we just see that the  
14 pool is condensing steam as the temperature goes higher  
15 and higher, this condensation capability is reduced. We  
16 do not see an instability in a condensation sense develop-  
17 ing with the use of pointures, and I have to make that  
18 point. So, we believe that our reports for alternate 3  
19 demonstrates that we can stay within what we believe  
20 to be an acceptable suppression pool condition as indi-  
21 cated in our report.

22 Given these temperatures in the suppression pool,  
23 we have evaluated our containment pressure and find  
24 that those are the values, and again, in each case,  
25 they're well below the design value that exists for the



1 containment.

2           Thereby, we believe that we've met all the  
3 requirements that have been established. We have looked  
4 at the radiological consequences.

5           DR. MARK: Could I ask the suppression pool  
6 temperatures there? They are with quenchers -- that's to  
7 resolve the bumping?

8           MR. PFEFFERLEN: Yes.

9           DR. MARK: Are they then also with 86 gallons  
10 per minute, coming on at 2-1/2 minutes boron injection?

11          MR. PFEFFERLEN: That's correct.

12          DR. MARK: It acquires that in order to meet  
13 those numbers?

14          MR. PFEFFERLEN: That is correct.

15                 We have also done a radiological assessment,  
16 and as I indicated, we have found -- evaluated on the  
17 basis of ATWS that we are really not outside other events  
18 that are currently evaluated in our licensing submittals.

19                 In other words, it's within other design basis  
20 accidents. So, we've concluded from that that there's  
21 really no pressure temperature or radiological limits  
22 exceeded, and, therefore, in our minds serves as a --  
23 you know, here is a solution, here is a solution to our  
24 ATWS problem if we have to go with mitigation. We believe  
25

1 that very strongly. And I guess that's all that needed  
2 to be said. We talked about this quite a bit at the  
3 last meeting, and so I want to remind you of this point.  
4 We believe that we're there.

5 Now, so far -- that is the capability of the  
6 BWR. I'd like to shift now and talk about the Staff's  
7 concern with our assessment, and try to, in the context of  
8 what I've just shown you, try to address each one of these.  
9

10 These are the concerns that were specified in  
11 the Volume IV, and at the head of the list is the limit  
12 cycle. I would like to defer that to my next slide, so  
13 that we can get through this and I believe there's more  
14 discussion on the first one.

15 The second item, equipment qualification, since  
16 there is nothing adequately addressed, we believe, based  
17 on my previous discussion, that the ATWS environment is  
18 not very severe. It certainly is not as severe as a LOCA  
19 environment, and, therefore, equipment within the contain-  
20 ment, the majority of equipment required for ATWS is  
21 outside of the containment, but the majority of equipment  
22 inside will not see any new design requirements, we believe,  
23 based on our assessment, that we do not have a problem  
24 and that this will require some discussion with the Staff  
25 to convince them of that matter.

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CHAIRMAN KERR: Does that include evaluation of the equipment in the reactor vessel, if there is any, that is subjected to the pressure peak?

MR. PFEFFERLEN: Well, I think the answer to that is yes, in that, we design to upset in an emergency limit, that was my point. The initial pressure peak is very close to an upset condition, which is --

CHAIRMAN KERR: But that has to do with the vessel. You would not necessarily design your instrumentation for that. Perhaps you do.

MR. PFEFFERLEN: Instrumentation -- I believe the instrumentation is also well able to handle that situation, yes.

CHAIRMAN KERR: And the Staff is aware of that or you didn't tell them?

MR. PFEFFERLEN: Well, I think that Mr. Thadani indicated that he felt that this was indeed the case. We may not have communicated the specifics of this.

CHAIRMAN KERR: All right.

MR. PFEFFERLEN: Seven indicated also that there was insufficient information on the reactor coolant pressure boundary component integrity and operability, and our response again is that the pressures are low, and that based on our assessment, and again a significant amount of information has been presented to the Staff

1 and again perhaps some more will have to be in order to  
2 resolve the issue, but again we see no problems coming  
3 from the pressures that are part of ATWS.

4 A third concern was ATWS containment loads, not  
5 shown to be bounded by design load. Now, when we are  
6 discharging into suppression pool, there are certain dynamic  
7 loads that are generated, as someone pointed out today,  
8 that occur under any kind of discharge in the suppression  
9 pool, and we have evaluated ATWS conditions relative to  
10 our normal design conditions. And we find that really  
11 there's a very small sensitivity to the changes in suppres-  
12 sion pool temperature associated with ATWS, and also impact  
13 of the slightly higher pressure that we may be discharged at,  
14 so that our conclusion, and this conclusion is based on  
15 test data that we have discussed with the Staff and also  
16 on methodology that has been submitted to the Staff, and  
17 used in approval of the non-ATWS conditions; we believe  
18 that we have demonstrated that ATWS containment loads are  
19 indeed bounded by the design loads, and, therefore,  
20 there's nothing new in the ATWS sense with regard to  
21 dynamic loads and the need for dyanmic analysis.

22 Questions were raised on the radiological evalua-  
23 tion of the containment if not isolated early. Our response  
24 is that our assessment was done based on the sensing  
25

1 radiation in the Mark III case, and in isolating one level  
2 reached the predetermined point, and this is our design,  
3 and we believe that we do have -- do meet this early  
4 isolation requirement.

5 I think Mr. Thadani indicated that, perhaps,  
6 this is the case. We believe it is the case. And again  
7 we do not see a problem in meeting that requirement.

8 The follow point was that there was insufficient  
9 design information provided on ATWS systems. Now, this  
10 case, we have provided conceptual information which we  
11 believe was adequate to make an evaluation of the adequacy  
12 of the approach. We did not, as indicated, provide the  
13 details of the design, because we believe that this is  
14 a very complex problem. I think it's been indicated several  
15 times around this table today that we have to look at  
16 ATWS in the broadest not totally from an ATWS point of  
17 view. We cannot design a system without worrying about  
18 what impact that system may have or a change in that  
19 design may have on all the other goings-on that could  
20 happen in the life of a plant, so we have approach the  
21 design process very carefully, and we did not have the  
22 resources at the time to provide this and then have the  
23 design changed, so we have taken what we believe to be a  
24 logical step in providing a conceptual design and once  
25

1 we get direction that this is indeed the way we go, I  
2 think there has to be a very logical and a very disciplined  
3 engineering approach to designing the total system to meet  
4 the overall ATWS requirements.

5 And I believe that our conceptual design has  
6 indicated there is a path to solution, and that is really  
7 what we've tried to get across.

8 I guess, based on what I've said here, and if  
9 you will bear with me a moment on the oscillations, we  
10 do not believe that these concerns justify going to  
11 Volume IV, namely the alternate 4 type of solution for  
12 all plants.

13 We see nothing in here that says we have not  
14 or cannot demonstrate that alternate 3 is acceptable.

15 I will now turn to discussion of the oscilla-  
16 tions. The first item on our list. I can make the  
17 point that these oscillations are calculated to occur  
18 only in some turbine trip cases, that they are associ-  
19 ated with low-power, low-flow operations, powers are less  
20 than 25 percent of the rated. They were conservatively  
21 evaluated assuming steam blanketing, and this steam  
22 blanketing occurred in roughly 10 percent of the core,  
23 so that the next bullet, the temperature oscillations  
24 are representative of only that 10 percent of the core.  
25

1 And here we see, roughly, 130 degree peak-to-peak oscilla-  
2 tion at a temperature of about 1150 degrees. Based on  
3 that, we further found that these oscillations are  
4 eliminated automatically as the bore-in begins to take  
5 effect, so that they grow and are terminated without the  
6 need for any operator intervention or action.

7 Based on the size of the temperature oscilla-  
8 tions, we have not calculated any fuel failure, and again  
9 this is for the 10 percent of the core we do not believe  
10 there will be a significant amount of fuel failure.  
11 The rest of the core, because of low power, is really not  
12 experiencing very severe oscillations at all, so our  
13 original conclusion was that really in the context of  
14 ATWS, the low probability of ATWS, there is really not a  
15 problem with these oscillations, however, we had dis-  
16 cussed this with the Staff or we have heard that the  
17 Staff is concerned about this, and we have looked at possi-  
18 bilities for elimination of these oscillations. And,  
19 indeed, several come in mind.

20 CHAIRMAN KERR: First, do you think there will --

21 MR. PFEFFERLEN: Beg your pardon.

22 CHAIRMAN KERR: I know you've calculated, are you  
23 convinced that they would occur if one ran a plant this  
24 way?  
25

1 MR. PFEFFERLEN: I am not in a position to  
2 answer that. I can only respond that we have calculated  
3 them to occur, and I believe that our codes are --

4 CHAIRMAN KERR: See, you can eliminate them  
5 if all you're doing is calculating them, but changing the  
6 code --

7 MR. PFEFFERLEN: We're not proposing to change  
8 the code. We're proposing to make changes to the mode  
9 of plant operation.

10 CHAIRMAN KERR: But you see if the code is not  
11 accurate and the oscillations aren't really occurring in a  
12 physical sense, then the changes you make might produce  
13 oscillation, in a case where oscillation isn't really  
14 occurring. It seems to me you need to know something  
15 about your codes.

16 MR. PFEFFERLEN: We believe the code accurately  
17 models the interaction between the control and on the  
18 system in general. We believe that it is telling us  
19 how the plant will respond. Now, in my perception I take  
20 that as the vis-a-vis if it's calculated. I believe that  
21 this is a real --

22 CHAIRMAN KERR: You think if it's ratified this  
23 way it would oscillate?  
24

25 MR. PFEFFERLEN: I believe perhaps we can get



some comment from the people --

1 CHAIRMAN KERR: I just want to know what you  
2 believe. I am not trying to ask you --

3 MR. PFEFFERLEN: I believe that that's probably  
4 what we would see. We would see a sort of a situation.

5 Eliminating the oscillations, one way to eliminate  
6 them is to approach the SMIV's. We have done the SMIV  
7 closure case and did not observe these oscillations, and  
8 the reason for that is that the oscillations are caused  
9 by the pressure regulator from the control under a very  
10 low-flow condition, and so we have gotten ourselves into  
11 a state where the balance of plant that the pressure  
12 controller is causing oscillations. So, one way to  
13 eliminate the problem is to isolate the pressure controller  
14 from the core.  
15

16 DR. LIPINSKI: Let me interrupt you at that  
17 point. We're talking about two different kinds of oscilla-  
18 tion at this point. One is the regulator-induced oscilla-  
19 tion which will expect, going directly into the void.  
20 The other oscillation you can anticipate is when you close  
21 those SMIV's and turn off your research pumps as to whether  
22 you have inherent instability in the core.

23 MR. PFEFFERLEN: I have done that calculation  
24 and the --

25 DR. LIPINSKI: Those oscillations are not present?

1 MR. PFEFFERLEN: No.

2 The oscillations -- I have a chart. The next  
3 one shows it is a turbine trip and it is induced by the  
4 depressure regulator.

5 DR. LIPINSKI: Now, in any of your starter programs  
6 do you start the reactor without the research pumps in  
7 operation, go to some power level --

8 MR. PFEFFERLEN: Yes, we do.

9 DR. LIPINSKI: -- for instability? It comes up  
10 there in terms of oscillation?

11 MR. PFEFFERLEN: We start under those conditions,  
12 but we do not have an instability problem.

13 Now, let me point out that one of the reasons  
14 we see this in an ATWS condition is because we are level  
15 drops lower than where our level would be under these  
16 startup conditions, therefore, our core flow is lower, and  
17 we're in a different reactor state which is more sus-  
18 ceptible to these conditions. That's why we do not see  
19 them during a startup.

20  
21 At any rate, closeup of SMIV is a way to get  
22 out of it. Now, there are programs afoot to minimize  
23 the SMIV closures because that does put a more severe  
24 duty on the pool, and so we would like to retain the  
25 condenser as a heat sink and have feedwater or other sources

1 of water available to cool, of course. And this is not  
2 necessarily -- we have to have a balance now. We have the  
3 oscillations. One way to eliminate them may be not as  
4 desirable as accepting the oscillations.

5 But I throw that as a potential source.

6 CHAIRMAN KERR: But at least you think it's  
7 a soluble problem?

8 MR. PFEFFERLEN: Yes.

9 That's the point I want to make today that we  
10 believe it is a soluble problem.

11 MR. BUCHHOLZ: If I may, Hank.

12 MR. PFEFFERLEN: Certainly .

13 MR. BUCHHOLZ: It's not clear to us that it is  
14 a problem. Mr. Holland will speak in a few minutes to  
15 that point. We don't believe that it is a problem, but  
16 if someone conceives that it is a problem and disagrees  
17 with us, we believe that there is a way to avoid this  
18 perceived problem.

19 CHAIRMAN KERR: I'm not going to try to improve  
20 on that.

21 [Laughter.]

22 MR. PFEFFERLEN: The other choice we had was  
23 to investigate the use of a greater boron injection  
24 ring, a greater rate of introducing boron-10, whether  
25

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1 that be through enriched boron, increased concentration,  
2 or increased pumping capacity, whatever mechanism to get  
3 added boron into the core early, plus reducing the lay  
4 time that we been talking about. A two-minute delay to  
5 the start-up of the SLC pumps. We investigated the fact  
6 of reducing that delay on the impact of the oscillations.  
7 We find that that eliminates them also, and I have a  
8 chart here that shows that.  
9

10 May I very quickly point out that we did look  
11 at other poisons, the potential for using a lacquer  
12 material. Unfortunately, there we were concerned with an  
13 inadvertent injection and the -- not really knowing what  
14 the effect would be in the long term, so we -- based on  
15 the success of these other -- getting away from the oscilla-  
16 tions by these two mechanisms, we did not pursue that  
17 much further.

18 CHAIRMAN KERR: Are you sure you know what the  
19 long-term effects of boron would be?

20 MR. PFEFFERLEN: We believe we do. We believe  
21 we have a better handle on those than we do on some of  
22 the other potential sources.

23 Let me put this chart up and as Bob indicated,  
24 we will have Kay Holling get up and discuss the  
25

1 oscillations in a little more general term. But I wanted  
2 to get the point across that when we look at the enriched  
3 boron or the increased boron injection rate in the reduced  
4 time, this is the comparison, the before and after. This  
5 is for a turbine trip case. This is what was reported in  
6 our December submittal, and it reduces some very signifi-  
7 cant -- excuse me -- very significant flux oscillations.

8 I think it's important to note that this is  
9 neutron flux and that really buried in here is the heat  
10 flux, so that when we talked about earlier the temperature  
11 oscillation, that is more a function of the heat flux,  
12 and that is much more in line with the average power than  
13 the neutron flux. However, this is normal boron with  
14 the two-minute timer.  
15

16 If we go to twice the boron-10 capacity, and  
17 initiated a 30-second, you can see that the oscillations  
18 are gone.

19 There may be an optimization here initiating  
20 it at 40 seconds or 50 seconds will give us a -- will  
21 remove this effectively and we have not investigated that  
22 that thoroughly.

23 But here is a solution to the problem of the  
24 oscillation. And that is the message that we wanted to  
25 get before you today.

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Within the constraints, within the definition of alternate 3, you do have solutions, I believe, to all of the NRC concerns.

It's a matter now of working these off.

I think that at this point in time, I would like to --

DR. LIPINSKI: Excuse me.

MR. PFEFFERLEN: Yes, sir.

DR. LIPINSKI: The upper one, I assume, has the bell oscillation that you are attributing the power space to?

MR. PFEFFERLEN: Yes.

DR. LIPINSKI: On your other slide, you list them as SMIV closure as a way for fix?

MR. PFEFFERLEN: Yes.

DR. LIPINSKI: You have that analysis showing in what its effect is?

MR. PFEFFERLEN: I do not have it with me, but it is one of the December submittals, and I do not have a chart on that, but it is one of the NR's transients.

CHAIRMAN KERR: Are there other questions?

Mr. Ray?

MR. RAY: Who is going -- or will someone tell us what your present concepts are on the long-term effects of boron?

1 MR. PFEFFERLEN: We had not planned to address  
2 that today.

3 My recollection of discussions that I've had  
4 on the subject is that based -- as far as we can determine,  
5 there will be no long-term effect, deleterious effects,  
6 on materials from an inadvertent injection because we do  
7 clean up.

8 MR. RAY: Do you have any comments to make on  
9 the magnitude of the cleanup operation after injection?

10 MR. PFEFFERLEN: We have gotten that information  
11 from our customers and understand it is significant. I  
12 think it depends largely on the particular plant condition  
13 when it happens, whether or not there is tankage avail-  
14 able to remove or whether we have to go through a fill-  
15 in flush and things of this nature, but there is a very  
16 significant potential. But, again, I would defer to  
17 some of our customers to address that in more detail.

18 MR. RAY: Is there any intent on your part  
19 to control this injection, to minimize in some fashion  
20 the possibilities of inadvertent injections?

21 MR. PFEFFERLEN: Well, that is the purpose of  
22 the two-minute timer to permit --

23 MR. RAY: To permit intervention by the operator,  
24 is this --  
25

1 MR. PFEFFERLEN: To permit the operator to take  
2 action to get the rods to go in, and if the rods inject,  
3 then that would give us the inhibit.

4 MR. RAY: You mean the timer would stop?

5 MR. PFERFERLEN: The timer would stop, yes.

6 MR. RAY: Not inject?

7 MR. PFEFFERLEN: That's correct.

8 Now, I will make the point once again, and this  
9 in no way interferes with the RPT or the ARI function, it's  
10 only the SLC. That would be the only thing we would  
11 inhibit.

12 MR. RAY: Do you have any intent in your control  
13 from the viewpoint of the operator pertaining to inter-  
14 vention to prevent the injection other than inserting the  
15 rods?

16 MR. PFEFFERLEN: Right now -- again, we have not  
17 come up with a detailed design. Right now our intent is  
18 to have the timer check the rods after it has run down  
19 and to make a judgment there.

20 CHAIRMAN KERR: Other questions?

21 DR. LIPINSKI: One more question.

22 Does your December submittal present the steam  
23 flow through the valve as well as the pressure swings  
24 on the vessel that accompany those oscillations?  
25



1 MR. PFEFFERLEN: I believe it does, doesn't it,  
2 Gene?

3 MR. WEISS: Yes.

4 MR. PFEFFERLEN: Yes, it does.

5 MR. RAY: Okay, I want to dig into that, thank  
6 you.

7 CHAIRMAN KERR: Other questions?

8 Mr. Pfefferlen, let me make sure that I under-  
9 stand or that you and I have the same understanding of  
10 a comparison between, say, alternate 4-a and alternate 3.  
11 As I read a chart which appears on page 11 of Volume IV,  
12 3 requires MARI, so does 4-a; 3 requires RPT and so does  
13 4-a; logic is in both. I assume it's the same thing.  
14 One says, "Autos 86 gpm," that's 3; and 4-a says, "Auto  
15 high cap," so that's different. Both say, "SD-11;" both  
16 say, "analysis," although there's some indication that  
17 analysis is different. The difference seems to be then  
18 the auto high cap poison, the optimization, and I don't  
19 know what that means exactly. Perhaps you don't either.

20 MR. PFEFFERLEN: That's part of our problem.

21 CHAIRMAN KERR: And then 4-a says, "containment  
22 isolation," and I take it that that is likely to occur  
23 independently, I mean if it's necessary and apparently  
24 it is, anyway, so your concern, assuming you felt you  
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could live with alternate 3, which I gather was a possibility is over the auto high cap poison, the optimization and the analysis.

MR. PFEFFERLEN: That is primarily the auto high capacity boron, the -- an operating plant has an installed system, and we are talking about --

CHAIRMAN KERR: I understand.

MR. PFEFFERLEN: OKay.

CHAIRMAN KERR: That is the concern? I just wanted to make certain that I understood what your concern is.

Thank you.

MR. PFEFFERLEN: Now, at this point in time, I'd like to ask Kay Holling to come up and to address a little bit more detailed the oscillations. When he is finished, I will come back and conclude our presentation.

I'm not quite finished yet, but I think this may be a good time to turn it over to Kay.

CHAIRMAN KERR: How many minutes of oscillation will we see?

MR. PFEFFERLEN: How many do you want to see?

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MR. HOLLAND. My short discussion should focus primarily on some of the detailed points associated with the oscillation. Let me talk to it in the context of what the volume 4 contention statements reflect and what our response to those might be.

First, there is some discussion of severe power and flow oscillation. I would like to try to put that into perspective as a beginning point. We do see large neutron flux variations as was shown in the previous slide. And the heat flux on the other hand is relatively small. It is of the order of the 20 percent peak to peak of upgraded heat flux. The events occur only for one type of event, the turbine trip type event, and as Dr. Lipinski indicated it is a result of a control interaction and not the inherent instability of the reactor at that point. It is also applicable only to one particular product line. So it is very limited in the potential, or the prediction of its occurrence.

I would like to point out that the flow oscillations really are not severe either. They are less than 10 percent peak to peak upgraded flow, given this oscillatory condition for those few cases where it occurs.

The report also suggests that oscillations have been observed in operating reactors. In the literal sense, that is true. I would like to put it in perspective, however, that we have observed no such oscillations in an operating

1 GE BWR. I have personally participated in some testing in  
2 an overseas plant in which we set up conditions intentionally  
3 trying to establish an oscillatory condition and did some  
4 measurements leading to transfer function determination for  
5 the plant and so on.

6 In that situation where we did have an oscillation  
7 it was a local oscillation within the core around one fuel  
8 bundle which we had removed the inlet orifice and had arranged  
9 the control rods in such a fashion to get a power pattern  
10 that would yield oscillatory conditions locally. And, of  
11 course, that oscillation was also observed in other parts  
12 and portions of the core as a result of the neutronic inter-  
13 tie.

14 Also stated was a concern with our predictive code  
15 capability. And I guess I have lived with these for so many  
16 years that I--and seeing the response of the reactors confirmed  
17 in general by their predictions, that I am a little more  
18 generous than others might be. REDY, for example, has a  
19 very good history of conservative predictions compared to  
20 performance.

21 MR. KERR. What is a conservative prediction?

22 MR. HOLLAND. It over predicts the response, is that  
23 I mean by that. It is designed in terms of the way we input  
24 to the code and--

25 MR. KERR. It always over predicts, no matter what

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the situation is.

MR. HOLLAND. I will not go that far, no. There are certain variables, for example, which depending on the input assumptions, will not over predict.

MR. KERR. I fail to see why people feel good about a conservative prediction, except in the context of licensing.

MR. HOLLAND. Yes.

MR. KERR. --because it is very important. It seems to me you would want an accurate prediction.

MR. HOLLAND. And that is the main reason we have gone to the odyn code which is a best estimate, a more-- I referred to odyn here, in a sense that redy very nearly approximates or is equivalent to the odyn results; which odyn is a  $1_D$ --push this up a little.

MR. KERR. Why do you suppose the staff expresses their concern about predictive capability then when you are so convinced that they do a good job.

MR. HOLLAND. Well, the main reason here is that-- and I think they refer to the Peachbottom Test as being one example. What happens is the initiating event on a pressurizing transient causes a pressure wave to come back into the reactor vessel which causes the collapse of the voids and a neutron flux spike. Now the nature of the model is that the steam line is represented differently in the two models, and the timing of that pressure wave is different.

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The reason the net result is the same in the sense of--or nearly the same in the sense of heat flux and pressure response over the course of the event--is that even though the pressure wave may come in differently, the modeling of the reactor core and the total model of the power flow loop is such that the integrated energy effect is essentially the same. And so we see very comparable net response for the reactor, even though the initial neutron flux--

MR. KERR. I guess I don't know what a net response is. It seems to me if you wanted to predict a pressure and behaviour with time, it would be sort of important that you could be able to do that, independent of what the net response of the reactor is.

MR. HOLLAND. That is true. The pressure response in the reactor is essentially the same with both models. Let me cite some approximate numbers from the report. When we did the analysis both with odyn and redy, in the odyn case the neutron flux showed a peak of the order of over 600 percent; whereas redy predicted-- I guess that is just backwards. Redy predicted 600 percent and odyn predicted 700 percent.

And in that context they have suggested that it is a non-conservative. However, if you look at the corresponding heat flux peak they are within 1 percent, 133 to 134, as I remember the numbers. And similarly that peak reactor

1 pressure which is a few seconds beyond that in time occurs  
2 within a very few psi of each other. The key parameters  
3 which impact the performance of the reactor are very similar  
4 in behaviour for the two codes. I don't know if that is  
5 given the proper perspective there, but I want to make a  
6 point that I don't think in the context of an oscillatory  
7 behaviour that we will see, when we get the ody code working  
8 in that mode, we will see any significant difference in the  
9 results using ody than we do with redy. That is my own  
10 personal opinion.

11 Another point was the impact on control systems.  
12 We have already suggested that the controller on, pressure  
13 controller, is a contributor to the response that we have  
14 observed in the previous case. In actual fact, for the events  
15 we are concerned with, that is the only controller that is  
16 in service. And then only on those events which are not  
17 isolation events. The feedwater controller is out of the  
18 picture. So is the flow controller because we have tripped  
19 the pumps. So the only control interaction would be with  
20 the pressure controller. The only time it interacts is when  
21 the power level is at approximately the capacity of the bypass  
22 system. And that is the reason we saw the oscillatory condition  
23 in that case.

24 I have one more slide here. The concern about fuel  
25 integrity has been raised, during the oscillation. The

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really expected case is that very few rods will enter transition boiling, during this condition. In the initial spike we get into conditions which would be under transition boiling conditions.

MR. KERR. Am I remembering the wrong thing? I thought the staff's concern had to do with pellet clad interreaction and not so much with departure from nuclear boiling.

MR. HOLLAND. I think they have both concerns if I understand. I will address the PCI in a moment.

MR. KERR. Oh, I am sorry.

MR. HOLLAND. That is a separate point.

MR. KERR. From where I sit I am missing that.

MR. HOLLAND. We expect that there will be very few rods will enter into the transition boiling, for this event. And the reason is that after the initial transient where you may go into transition boiling, by the time you get to the point where oscillations were to occur the conditions are most likely to be such that you have no further transition boiling conditions. If they do appear it would be only in a very few of the hot rods, hot bundles cases. And even given the condition of transition boiling we do not equate that to failure. We do not believe that failures in the cladding would occur.

What we presented in our report makes a somewhat more conservative assumption, in which we assume no rewetting



1 after that initial transient and that the average temperature  
2 as previously presented was about 1150 with oscillations  
3 of 130 degrees, about that average. In that situation, approx-  
4 imately 10 percent of the rods might be in transition boiling  
5 and, again, only a very small fraction of those might be  
6 expected to fail. All of our discussion of radiological  
7 consequences have been done on the assumption of 100 percent  
8 failure. We are very far away from that assumption of 100  
9 percent failure.

10 MR. RAY. Are these temperatures calculated by your  
11 code; or did you have in-core thermocouples in that test  
12 reactor delineator on the other side wherein you induced  
13 localized oscillations that might have given you a measure  
14 temperature.

15 MR. HOLLAND. These are calculated temperatures.  
16 These are the clad temperatures as calculated by our codes.

17 MR. RAY. In the case of the plastics, do you make  
18 any measurements of the plastics?

19 MR. HOLLAND. Not of temperature, no. The few fatigue  
20 event failures that might possibly occur, according to our  
21 fuel people, they declare that there would be absolutely  
22 no prospect of that failure being such as to cause any flow  
23 blockage. We believe that that is a realistic criterion  
24 for this application.

25 With regard to safe shutdown equipment, and the impact

1 of the Atlas transient oscillations on that, I think that  
2 has already been addressed. I won't spend too much time  
3 on that, but we are within the service level C or the emer-  
4 gency limits and the equipment is expected to act very close  
5 to service level B. And we expect no consequence to that.

6 The parameters of concern, in fact, there are pressure,  
7 flow and temperature, and they are very well behaved. It  
8 is the neutron flux is the one that is oscillating in through  
9 rather large oscillations.

10 The last point is relative to the pellet clad interaction,  
11 and I think the report suggests something the order of 10  
12 percent potential pellet clad interaction. Again, I am reflect-  
13 ing the rather strong feelings of some of the fuel designers.  
14 The linear heat generation rate which is one of our primary  
15 measures of the pellet clad interaction phenomena--we would  
16 be oscillating with an amplitude of about one kilowatt per  
17 foot, about a four kilowatt per foot average.

18 The criteria for pellet clad interaction concern  
19 is at about the 9 kilowatt per foot level where we would  
20 be concerned with the potential for pellet clad interaction  
21 to be of consequence.

22 Under the oscillatory conditions the fuel designers  
23 say we are far from any potential for pellet clad interaction  
24 consequence for the oscillations that we have represented.  
25 That is a summary of several of the points. I am sure there

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are others, but I kind of wanted to put in perspective what some of the concerns were relative to the oscillatory situation.

MR. RAY. The assumption you made that led to this potential limited fuel failures was no rewet. How extreme a conservatism is that?

MR. HOLLAND. That there is no rewet?

MR. RAY. Yes.

MR. HOLLAND. As I understand it, the rewetting is likely to occur at around 800 degrees condition. We go to temperatures that may be, during the first spike--that may be in the range 14 to 1600 degrees. During the next mini seconds before the oscillation sets in it is our perception that that temperature will drop down to the range and near or below the 800 degree threshold and we believe that in the real world rewetting is most likely to occur, although we haven't claimed it.

MR. KERR. Question?

MR. LIPINSKI. On your linear heat generation, oscillations, is that 1 kilowatt per foot plus or minus? Is that peak to peak?

MR. HOLLAND. That is peak to peak.

MR. KERR. Other questions? Mr. Pfefferlen.

MR. PFEFFERLEN. Thank you. I would like to take just a few more minutes to finish my presentation. I would like to turn to the subject of value impact and perhaps in

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this case start out with value and address--there was a lot of discussion about the probabilities and things of this nature.

I would like to share with you our perception of what we have got, and what I have done is I have listed the NRC values as we see them for the approximate frequency of unacceptable Atlas consequences.

This begins with a  $2^{10}$  to the  $-4$  Atlas probability, an improvement of about a factor of two going to ARI, roughly a factor of 10 additional going to alternate 3 and another factor of 10 in going to alternate 4. That is how the staff arrives at their 10 to the  $-6$ . Now as I have indicated earlier, we believe there is a significantly larger benefit to be derived from ARI. Namely, starting with their value we believe we can get down to very close to the 10 to the  $-6$  just be the scram system improvements and that the next steps will drive us down below that value.

Now in the context of a statement in NUREG 0460 that said that the--based on the staff's assessment--that the current Atlas risk is approximately twice the total risk for non-Atlas events; we believe that reductions of that order of magnitude have, indeed, driven Atlas down to a point where we--relative to the other risks that have not been affected--believe that we have accomplished the goal. So, as I say, these are the extremes over which we have been

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discussin the needs to go beyond alternate 2 or beyond alter-  
nate 3. I am not using this to make any argument, although  
I think--other than to say that we believe that alternate  
2 has done the job and if mitigation is required we question  
going beyond what we have indicated here as alternate 33  
(a) fix.

That is kind of the value of it. I have the same  
basic charts but I have added to that some impact figures.  
And, again, various fixes but, this time, what does it cost  
to go each step of the way?

The alternate 2, the ARI and RPT, we see as being  
in the range of 1 to \$2 million. These are now total costs  
now direct plus indirect. Take the next step we see a 12  
to \$15 million impact. And going to alternate 4 because  
of the larger pumps, because of the safety grade and liability  
requirements that were inferred and I understand Mr. Zane  
has indicated some possibility of derating from that. But  
our concern is that that starts looking like very large dollars  
and in some cases, depending on the plant, there is a potential  
for a very significant impact. So that we see the potential  
impacts going up quite rapidly as we move away or move beyond  
this alternate 3.

And conclude from that that the alternate 4, 4 (a)  
really is not cost effective.

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MR. MARK. Are those costs for presently operating plants, or for plants which have yet to go in operation.

MR. PFEFFERLEN. These are presently operating plants.

MR. MARK. They would be smaller.

MR. PFEFFERLEN. They would be smaller. Yes, certainly these would be--you know the larger end of this one would certainly come down.

MR. MARK. Even alternate 3 (a) would be smaller?

MR. PFEFFERLEN. Yes. Of course, as you get down here you are using more and more existing equipment and, of course, the delta will be smaller. But the familiar impacts are out there with the operating plants.

I would like to now summarize the message we have tried to convey to you today. First of all, as Bob Buckholz presented, we don't believe volume 4, the approach, really provides closure to the Atlas problem. It provides another step and we believe that closure is available with what we have analyzed to day that we do have a mechanism with alternate 3 to satisfy the staff criteria. In that context, satisfying the criteria, we believe that the limit cycles can be eliminated and that the other NRC concerns can be resolved. So we see no basis for going to alternate 4s. We don't believe it can be justified in the case of the BWR. But the solutions that we believe we have presented to the staff and are willing to discuss with them in some more detail.

1           That is our summary, and from that we conclude--  
2           and would like to recommend 0460, volume 4, should not be  
3           endorsed as it now stands but rather that if something needs  
4           to be done in a near term that if something that should be  
5           done there is an RPT and an ARI. That provides, in our mind,  
6           a very significant reduction in the risk associated with  
7           Atlas. I think we can all agree that it is a reasonable  
8           step to take and if the implementation is going to be by  
9           order, that this makes a reasonable near term solution.

10           And if, indeed, going beyond that is necessary, that  
11           we should--the recognized or documented assessment of that  
12           was, for alternate 3, should be recognized in coming up with  
13           the final solution. And that, further, that that solution  
14           should not be implemented until all the concerns across the  
15           industry that we have resolved the total picture of Atlas  
16           to avoid getting into a situation of making a fix now which  
17           will have to be modified some later date and move off in  
18           some other direction. Because of the concerns that I had  
19           indicated on the detail design and the efforts associated  
20           with making changes to existing plants.

21           So we believe this is--if we have to move it towards foreign  
22           mitigation, this is a reasonable approach to that end, and  
23           one that should be taken under consideration.

24           That concludes my presentation. Any questions, I  
25           would be glad to answer it.

tape 10 ;

-IV-92 2

CHAIRMAN KERR: Let me ask in connection with the third bullet, is it documented BWR mitigation capability should be recognized, by whom?

MR. PFEFFERLEN: By the Staff in doing their assessment of what comes next. Our submittal --

CHAIRMAN KERR: When you say document, you mean the Staff should recognize what you have already submitted?

MR. PFEFFERLEN: Our concern being we submitted it and they have responded with Volume 4, which seems to --

CHAIRMAN KERR: You consider Volume 4 non-recognition, and you'd like recognition?

MR. PFEFFERLEN: We believe we've demonstrated -- you know, we've provided the necessary early verification, and with our discussion here the essential resolution of concerns, therefore --

CHAIRMAN KERR: Are there parts of the presentation today that Staff has not seen previously?

MR. PFEFFERLEN: I believe they are aware of the information that we've discussed with you today.

CHAIRMAN KERR: But they don't have it fully documented --

MR. PFEFFERLEN: They do not have it fully documented.

CHAIRMAN KERR: Thank you.



1 MR. RAY: Have you had this dialogue with the  
2 Staff since you submitted with documentation they have  
3 and the issuance of Volume 4?

4 MR. PFEFFERLEN: We have talked to the Staff,  
5 yes.

6 We have not talked -- in my mind, we have not  
7 had an opportunity to discuss with them prior to their  
8 decision on your closing Volume 4, but we have talked to  
9 them on some technical details.

10 MR. RAY: Are you implying that the decision was  
11 made before you talked?

12 MR. PFEFFERLEN: That's my observation, yes.

13 DR. MATTSON: That's not facts of the situa-  
14 tion.

15 CHAIRMAN KERR: I don't think that we need to  
16 establish that. That's irrelevant.

17 DR. MATTSON: I haven't been at many meetings,  
18 but I was at one of them.

19 CHAIRMAN KERR: Mr. Mattson, did you say that  
20 you had a question?

21 DR. MATTSON: Yes, could we go back to -- to  
22 the difference between present, normal and two? It's  
23 either three or four slides, either one. It's on both  
24 slides.  
25

1 How does one get factor of 100 increase between  
2 present and alternate 2?

3 MR. PFEFFERLEN: We believe that the mechanical  
4 system is at least that more reliable than the electrical  
5 system, thereby putting an ARI, in essence, eliminates  
6 electrical system and we start seeing the number closer  
7 to the mechanical.

8 CHAIRMAN KERR: Square with -- technique. The  
9 overboard we talked about a year and a half or two years  
10 ago --

11 MR. PFEFFERLEN: That's obvious. That's in our  
12 submittal.

13 CHAIRMAN KERR: What the Lewis committee said.

14 MR. PFEFFERLEN: We're not talking about the  
15  $10^{-6}$ . We're talking about a factor of 100. I've chosen,  
16 to start with, your numbers at the starting point. We're  
17 just looking at deltas to that.

18 MR. THADANI: You didn't start with our number  
19 on total SCRAM system. You started with that number as  
20 being impicable to electrical portion, not the idolic  
21 mechanical portion. In the idolic mechanical portion  
22 you applied these firm -- techniques to try to show that  
23 the unreliabilities on the order of  $10^{-9}$  in your report  
24 BWR SCRAM system reliability effect and what Roger was  
25

1 saying is that that technique if it were reviewed  
2 extensively by us, reported in NUREG-0460 in II,  
3 and I believe --

4 MR. PFEFFERLEN: That's right, but the point  
5 I am trying to make is that is what we're talking about  
6 here is the absolute numbers may be -- <sup>-9</sup>10 . I'm talk-  
7 ing about relative, one relative to the other, that we  
8 can eliminate part of it if we see the improvement.  
9 The improvement is a little bit different. I am giving  
10 you this as our profession. I believe the factor 2 is  
11 overly conservative, and we believe that there's indeed  
12 a significant improvement to be derived from the ARI.

13 CHAIRMAN KERR: Earlier I heard some criticism  
14 of the Staff for not having a technical basis for  
15 conclusion. Are you saying that you get this character  
16 of 100 just on the basis of a feeling?

17 MR. PFEFFERLEN: No. We submitted a -- sense  
18 of liability assessment --

19 CHAIRMAN KERR: What was it, the square with  
20 bounding technique?

21 MR. PFEFFERLEN: The bottom line numbers were.  
22 But it also evaluated --

23 CHAIRMAN KERR: Now let's not play games. The  
24 bottom line numbers are pretty important here. The  
25

1 numbers are very small.

2 MR. PFEFFERLEN: But they're important if one  
3 is making comparisons between small numbers, in comparison,  
4 say, to rely on the numbers, if the comparisons are to  
5 mean anything.

6 CHAIRMAN KERR: What of the reliability assess-  
7 ment of the failure modes, and the factor assessment which  
8 a lot of us look at. Where are the failure modes in  
9 the electrical system versus the mechanical system?

10 MR. PFEFFERLEN: And as a result of that, we  
11 were able to establish a relative reliability.

12 CHAIRMAN KERR: I think the Staff agrees the  
13 mechanical system is probably more reliable than the  
14 electrical system. Now, where do you go from there?

15 MR. PFEFFERLEN: To get this improvement that  
16 we're asking about.

17 Well, that is why we're not  
18 arguing today that we stop at alternate 2. We have  
19 agreed --

20 CHAIRMAN KERR: You're saying --

21 MR. PFEFFERLEN: I'm saying that we're getting  
22 a lot more than we're getting credit for.

23 CHAIRMAN KERR: Do you or do you not believe  
24 the number that shows there opposite alternate 2?  
25

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2 MR. PFEFFERLEN: I believe, based on our assess-  
3 ment, that is a number that is indicative --

4 CHAIRMAN KERR: Oh, come on now. Do you think  
5 that's a good number or not?

6 MR. PFEFFERLEN: Yes, I think it's a good number.

7 CHAIRMAN KERR: Okay.

8 Do you have some technical basis for it?

9 MR. PFEFFERLEN: I am not a reliability expert.

10 CHAIRMAN KERR: I didn't ask if you were an  
11 expert. You must have some technical training. You may  
12 not have done the calculations yourself -- but do you  
13 believe it's a reasonable calculation, have you seen it,  
14 or are you skeptical of it?

15 MR. PFEFFERLEN: I am not skeptical, no.

16 CHAIRMAN KERR: Okay.

17 MR. RAY: Did you submit support for that figure?

18 MR. PFEFFERLEN: Yes, it has been submitted.

19 CHAIRMAN KERR: And it was the square root value  
20 technique?

21 MR. PFEFFERLEN: I cannot even say that for sure.

22 CHAIRMAN KERR: I guess we haven't answered your  
23 question.

24 MR. THADANI: We know the answer.  
25

1 CHAIRMAN KERR: Okay.

2 Are there other questions?

3 MR. DITTO: I'd like to ask Mr. Pfefferlen what  
4 he thinks a reasonable figure for just putting ARI into  
5 the -- portion. What do you think that would be? If it's  
6 not 100, you say --

7 DR. MATTSON: I've been sitting over here trying  
8 to make a number myself, and I can't come up with a  
9 factor of 100.

10 MR. DITTO: I believe that's true.

11 DR. MATTSON: It might make a very strong  
12 argument for factor of 10, but I would have to make the  
13 same argument. I'm not a reliability expert either. I'll  
14 pass it to mine.

15 MR. SAUNDERS: I don't think this requires a  
16 reliability expert, Mr. Chairman, to determine this. It  
17 depends on what your substance are. You can make factors  
18 of 100 with a pencil, but I don't think you can any other  
19 way.

20  
21 DR. MATTSON: In alternate 3, what we call ARI  
22 what?

23 MR. THADANI: Yes, we did recognize that ARI  
24 did offer an improvement in the reliability of the total  
25 plant system, but if we look at the electrical portion

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we thought that including -- hours significantly reduced what -- electrical portion -- common cause.

On the other hand, as you well know, we've had a number of discussions in the past as to the methodology that one might apply -- mechanical portions.

Industry has -- followed the method that was used in the reactor safety study -- we disagree with that method, and somebody can invite him to -- an alternative method which may not be any better, but it might well be thought -- that's exactly what we're after. But, again, I think from a licensing point of view, the type of model that we use seems to be a more reasonable model applied to a -- like this. We try to look at it -- we will find some failures and some -- we didn't find some places with more than one -- but we identified very -- that we did not have a good enough -- and we still don't. I fully justify whatever -- obviously there is room for disagreement -- it gives you --

DR. MATTSON: That's right.

CHAIRMAN KERR: Any further comments?

MR. BOCHMORT: Let me thank all of today's participants for your participation and your patience.

This is a tough question and I hope we contribute to it. We do plan to consider this question at the

1 meeting in April, and I don't the day on which it is  
2 scheduled.

3 Is April tenth a Thursday. Tentatively  
4 scheduled right now. It starts at 2:30 with a subcommittee  
5 report, and 3:30 to 6:15 for a meeting with the Staff.

6 CHAIRMAN KER: I declare the meeting --  
7 We will proceed to executive session.

8 [Whereupon, at 3:45 o'clock p.m., the committee  
9 continued to executive session.]  
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