AR REGULATOR COMMISSION TO THE PARTY OF THE

## Duplicate copy. for microfiche NUCLEAR REGULATORY COMMISSION WASHINGTON, D. C. 20555

July 16, 1980

Dr. Thomas Cochran Natural Resources Defense Council, Inc. 1725 I Street, N.W. Washington, DC 20006

IN RESPONSE REFER TO FOIA-79-371

Dear Dr. Cochran:

This is in further response to Mr. Roisman's letter dated September 6, 1979, in which he requested, pursuant to the Freedom of Information Act, all documents prepared or received in connection with the preparation of NUREG-0459 and with the preparation of the upgraded physical protection regulations in 10 CFR Part 73.

The purpose of this letter is to explain the current status of our actions to respond to Mr. Roisman's request, and to clarify other information relative to the issuance of NUREG-0459.

When a Commission decision was made to undertake the generic adversary study, individuals involved in the study gathered information from various source documents concerned with the overall subject. During the course of the study group's work, some documents reviewed were eliminated from consideration for various reasons, and their contents were not footnoted in the written work product of the study group. The decision to include or reject information contained in each source document was left to the discretion of the study group members. Ultimately, the text of the final report included specific references, by footnote, to source documents. The report, titled "GENERIC ADVERSARY CHARACTERISTICS, (U)", is classified SECRET. The report lists 141 documents which were specifically referenced. Of this number, six are NRC documents which are listed in Appendix A, and an additional 73 documents, listed in Appendix B, are open source materials and unclassified Government Agency Reports. These source documents are in addition to those previously identified to you.

This report is currently undergoing a declassification review, and you will be informed by letter of the results of that review.

Following issuance of the above SECRET report, it was decided that an unclassified version of the report would be prepared. This unclassified report was subsequently distributed as NUREG-0459, "GENERIC ADVERSARY CHARACTERISTICS SUMMARY REPORT". The text of NUREG-0459, except for the deletion of classified information, was derived directly from the above classified SECRET report.

In our letter to you dated December 11, 1979, we provided you, as Enclosure 1, a list of 49 NRC documents, and as Enclosure 2, a list of 163 open source documents which we identified as having been used in preparing NUREG-0459. In our letter we also referred to "650 documents". This "650" number in our letter was obtained from the PREFACE section of NUREG-0459 on page ii. The number "650" inserted in the classified report and in NUREG-0459, therefore, was intended to explain the order of magnitude of documents reviewed rather than to define the specific number of documents which were specifically referenced in the study. No listing or grouping of the "650" documents was maintained by the study group, and we are not able to identify those documents which were discarded.

In summary, we have now identified for you 55 NRC documents and 236 open source documents which are the unclassified source documents used in the preparation of the generic adversary study and indirectly in the preparation of NUREG-0459. The remaining numerous classified documents identified in footnotes in the classified SECRET report: "GENERIC ADVERSARY CHARACTERISTICS (U)" are not listed, and a significant effort would be required in any attempt to conduct a declassification review of them.

In order to bring FOIA-79-371 to a satisfactory conclusion, please advise us if there is any specific topic of interest to you in NUREG-0459 in which you require further information.

Sincerely,

J. M. Felton, Director

Division of Rules and Records Office of Administration

## NRC DOCUMENTS

- 1. See prepared testimony of Joseph M. Hendrie, Chairman, U.S. Nuclear Regulatory Commission, Before the Senate Committee on Governmental Affairs on S.2236, a Bill to Effect Certain Reorganization of the Federal Government to Strengthen Federal Programs and Policies for Combatting International and Domestic Terrorism, January 25, 1978.
- NRC Preliminary Notification of Safeguards Event, PNS-77-51, December 30, 1977.
- NRC Preliminary Notification of Safeguards Events, PNS 76-55, September 27, 1976.
- NRC Preliminary Notification of Event or Unusual Occurrence, PNO-76-121, July 16, 1976.
- 5. NRC Preliminary Notification of Safeguards Events, PNS-77-04, March 1, 1977.
- NRC Preliminary Notification of Event or Unusual Occurrence, PNO 76-163, September 23, 1976.

## OPEN SOURCE MATERIAL AND UNCLASSIFIED GOVERNMENT AGENCY REPORTS

- Brian Jenkins, "International Terrorism: Trends and Potentialities," draft Rand Corporation report (prepared for the Department of State), August 1977.
- 2. "Webster Says Cuba Gives Aid to Terrorists," The Washington Post, July 7, 1978.
- 3. "The Terrorists Are Coming," Politics Today, July/August 1978.
- 4. Notes, "FBI-AFOSI-AFSP Terrorism Seminar," Langley AFB, Virginia, Nov. 8-9,
- Brooks McClure, "The Dynamics of Terrorism," International Association of Chiefs of Police, Technical Research Services Division, Gaithersburg, Maryland, 1976.
- 6. "War Without Boundaries," Time, October 31, 1977, Vol. 110, No. 18
- 7. "Patrons of the Dealers in Death," The Washington Post, July 7, 1978.
- 8. Jack Kinney, "International Terrorism" (Santa Barbara, California: Anacapa Sciences, Inc., January 1977).
- Patricia G. Steinhoff, "Portrait of a Terrorist: An Interview with Kozo Okamoto," <u>Asian Survey</u>, Vol. XVI, #9, University of California Press, September 1976.
- 10. U.S. Senate, "The Erosion of Law Enforcement Intelligence Gathering Capabilities, "Hearings before the Subcommittee to Investigate the Administration of the Internal Security Act and other Internal Security Laws, Committee on the Judiciary, 94th Congress, 2nd Session, September 9, 1976, testimony of Dale H. Speck, Director, Department of Law Enforcement of the California Department of Justice.
- U.S. Department of State, Robert H. Kupperman, "Tomorrow s Terrorist Incident Today," U.S. Arms Control and Disarmament Agency, October 1977.
- 12. Notes, "Organized Crime Analysis of Urban Terrorist Activity," course sponsored by the Organized Crime and Criminal Intelligence Branch of the California Department of Justice, Sacramento, California, September 19-23, 1977.
- 13. Louis Rene Beres, "International Terrorism and World Order: The Nuclear Threat," The Stanford Journal of International Studies, Vol. XII, Spring 1977.
- 14. U.S. Department of Justice, Federal Bureau of Investigation, "Remarks Prepared by William H. Webster, Director, Federal Bureau of Investigation, before the International Symposium on Major Terrorist Incidents," Quantico, Virginia, July 6, 1978.
- 15. Lecture, "The Effect of TV Violence on Criminal Behavior," given by Dr. George Comstock, Scientific Advisor to the President on the Surgeon General's Committee on TV Violence, at Syracuse University, New York, November 14, 1977.

- "Research Gaps in the Crises Management of Terrorist Incidents," (Arlington, Virginia: CACI-Federal, Inc., September 1977).
- 17. "Most Orange Sales Halted in Europe As More Poisoned Fruit Discovered," The Washington Post, February 3, 1978.
- 18. S. D. Vestermark, Jr., "SURGE The Sustained Response Group: Organization and Tactics of a Unit to Respond to Threats and Acts of Terrorism," International Association of Chiefs of Police, Technical Research Services Division, Gaithersburg, Maryland, 1977.
- Arthur B. Fulton, "Countermeasures to Combat Terrorism at Major Events, "Senior Seminar in Foreign Policy, U.S. Department of State, 1976.
- John Dornberg, "What Motivates the Terrorists?" The Washington Star, October 20, 1977.
- U.S. Senate, "The Terrorist and His Victim," Hearings before the Subcommittee on Criminal Laws and Procedures of the Committee on the Judiciary, 95th Congress, 1st Session, July 21, 1977.
- 22. Ted R. Gurr, "Some Characteristics of Contemporary Political Terrorism," paper prepared for the Department of State Conference on International Terrorism, Washington, D.C., March 25, 1976.
- 23. Peter de Leon, et al., "Attributes of Potential Adversaries to U.S. Nuclear Programs" (Santa Monica, California: The Rand Corporation, Draft Report, R-2225-SL), August 1977.
- 24. "The Terrorists Declare War," Time, March 27, 1978.
- 25. "Inside the Red Brigades" An Exclusive Report, " New York, May 19.9
- 26. "Terror Gangs, Is Anyone Safe?" U.S. News and World Report, May 22, 1978.
- 27. "Nerve Gas Sites Public Despite Security Worries," The Washington Star, February 13,
- 28. Margaret Krahenbuhl, "Armed Assault by Terrorists as An Analog Threat to Nuclear Facilities: A Preliminary Assessment," (Santa Monica, California: The Rand Corporation, WN-9746-SL, April 1977).
- 29. Brian M. Jenkins and Janera Johnson, "International Terrorism: A Chronology, 1968-1974" (Santa Monica, California: The Rand Corporation, R-1597-DOS/ARPA, March 1975), p. 53; Brian M. Jenkins and Janera A. Johnson, "International Terrorism: A Chronology (1974 Supplement)" (Santa Monica, California, The Rand Corporation, R-1909-1-ARPA, February 1976).
- "Palestinian Base in Lebanon Is Target of Israeli Attack," The Washington Post, June 11, 1978.

- 31. Office of the Counsel to the Governor of New York, "Combating Organized Crime: A Report of the Oyster Bay, New York, Conference on Organized Crime," Office of the Governor, Albany, New York, 1965.
- 32. J. Taylor DeWeese, "The Trojan Horse Caper and Assorted Other Computer Crimes," Saturday Review, November 15, 1975.
- 33. U.S. Senate, "Illicit Traffic in Weapons and Drugs Across the U.S.-Mexican Border," Hearing before the Permanent Subcommittee on Investigations of Committee on Government Operations, 95th Congress, 1st Session, January 12, 1977.
- 34. Allan Fine, "Perpetrator Attributes in Threat Analysis." Presented at the First Annual Symposium of The Role of Behavioral Science in Physical Security at the Defense Nuclear Agency, Alexandria, Virginia, April 29-30, 1976. (Printed in NBS Special Publication 480-24, March 1977, p. 53).
- 35. Robert H. Kupperman, "Facing Tomorrow's Terrorist Incident Today," U.S. Department of Justice, Law Enforcement Assistance Administration, Washington, D.C., October 1977.
- 36. U.S. Air Force, Air Force Office of Special Investigations (AFOSI), Case Summaries of Incidents at Warner Robins Air Logistics Center, Robins AFB, Georgia; Supply Squadron, Nakhon Phanom Airport, Thailand; and Naval Supply Depot, Subic Naval Base, Republic of Philippines.
- 37. Moneysworth, November 24, 1975.
- 38. Washington Post, June 16, 1976.
- 39. Dennie Van Tassel, "Computer Crime," Fall Joint Computer Conference, 1970.
- 40. "Cereal Box Helps to Plug a Leak in an FBI Office," The Washington Post, March 26, 1978.
- 41. The Washington Post, December 15, 1977.
- 42. "California Bombings Baffle FBI; Radicals Attack Power Company," Bellevue Journal American, March 19, 1978.
- 43. "Reactor Foes Battle Police," The Washington Post, August 1, 1977.
- 44. "Protesters Rampage at Tokyo Airport," The Washington Post, March 27, 1978.
- 45. "Two Sides Gird for New Battle at Tokyo Airport," The Washington Post, May 16, 1978.
- 46. Brian Jenkins, "International Terrorism" Trends and Potentialities," draft report prepared for the Department of State by the Rand Corporation, Santa Monica, California, August 1977.
- 47. Ted R. Gurr, "Psychological Factors in Civil Violence," World Politics, No. 2, January 1968.

- 48. Special Agent Thomas J. Dealsin, "The Legacy of Car'os Marighella," reprinted from the FBI Law Enforcement Bulletin, October 1974.
- Nucleonics Week, September 29, 1977, Vol. 18, No. 39, McGraw-Hill Publications.
- 50. George W. Kisker, The Disorganized Personality (3rd Edition, New York: McGraw-Hill, 1977).
- 51. Robert A. Woodruff, Jr., et al., <u>Psychiatric Diagnosis</u> (New York, Oxford University Press, Inc., 1974).
- 52. James E. Wheeler, "The Psychopath Compared to Selected Offenders," Military Police Law Enforcement Journal, Vol. III, No. 3, Fall 1976.
- 53. American Psychiatric Association, Diagnostic and Statistical Manual of Mental Disorders (2nd Edition, Washington, D.C.: APA 1968).
- 54. Alan Harrington, Psychopaths (New York: Simon and Schuster, 1972).
- 55. Samuel B. Guze, M.D., Criminality and Psychiatric Disorders (New York: Oxford University Press, 1976).
- 56. Paul Bernstein, M.D., "Doctor, Isn't It True That ...?," Human Behavior, October 1977.
- 57. Tim Clark and John Penycate, <u>Psychopath</u> (London: Routledge and Kegan Paul, 1976).
- 58. "Con Man Extraordinaire," The Washington Post, January 23, 1977.
- 59. David N. Daniels, M.D., et al., Violence and the Struggle for Existence (Boston: Little Brown and Company, 1970).
- 60. "Ex-Users of PCP Recount Horrors to Senate Panel," The Washington Post, June 8, 1978.
- 61. E. Wainstein, "Sabotage as an Analog Threat to the Nuclear Industry: A Preliminary Assessment" (Santa Monica, California: The Rand Corporation, WN-9744-1-SL, June 1977).
- 62. "Killer Dolphins Sold to Latins, Trial Told." The Washington Post, December 4, 1977.
- 63. Jervie Fox, "Defense Industrial Facilities ProtectionProgram Industrial Sabotage," Unpublished manuscript.
- 64. P. G. Zimbardo, "Social-Psychological Analysis of Vandalism Making Sense of Senseless Violence," U.S. Department of Navy, Office of Naval Research, Washington, D.C., 1970.
- 65. Dr. Howard Schwartz, "Business Security in a 'Lay Off' Economy," Security World January 1975.

- 66. "U.S. Agencies Work to Stem Rise in Crimes-by-Computer," The Washington Post, December 6, 1976.
- 67. "Talks on Ending Coal Strike Resume as Scattered Violence is Reported," The Washington Post, December 11, 1977.
- 68. Southern Nuclear Engineering, Inc. "An Appraisal of the Potential Hazard of Industrial Sabotage in Nuclear Power Plants," SNE-51, Washington, D.C., July 1968.
- 69. Abraham S. Blumberg, "Crime and the Social Order," Current Perspectives on Criminal Behavior (New York: Alfred A. Knoff, 1977).
- Joan Petersilia, Peter W. Greenwood and Marvin Lavin, "Criminal Careers of Habitual Felons" (Santa Monica, California: The Rand Corporation, R-2144-DOJ, August 1977).
- 71. Ron Shaffer, Kevin Klose and Alfred E. Lewis, <u>Surprise! Surprise!</u> (New York: The Viking Press, 1977).
- 72. The Yochelson and Samenow study is the result of 16 years of work with criminal patients at St. Elizabeth's Hosrital in Washington, D.C. The two re earchers studied the personalities of 240 men, spending hundreds of hours with many of them and as many as 8,000 hours with a few.
- 73. As quoted in Michael S. Serrill, "A Cold New Look at the Criminal Mind," Psychology Today, February 1978.

Natural Resources Defense Council, Inc. 1725 I Street, N.W. WASHINGTON, D.C. 2000☆ 6 202 737-5000 Western Office New York Office September 6, 1979 2345 YALE STREET 122 EAST 42ND STREET PALO ALTO, CALIF. 94306 NEW YORK, N.Y. 10017 415 327-1080 212 949-0049 FREEDOM OF INFORMATION ACT REQUEST J.M. Felton, Director FOIA-79-371 Division of Rules and Records rec 49-10-79 Office of Administration U.S. Nuclear Regulatory Commission Washington, D.C. 20555 IN RESPONSE REFER TO NRDC/AZR/79-3 Dear Mr. Felton, Pursuant to the Freedom of Information Act, we request production of all documents prepared by or received by the Commission or any of its employees or consultants in connection with preparation of NUREG-0459 and in connection with preparation of the upgraded physical protection regulations in 10 CFR Part 73. This request includes, but is not limited to, all comments and recommendations of all NRC employees and consultants on the positions to be taken in the two identified documents and on the facts relevant to preparation of those documents. With respect to any classified document, or any document referred to other agencies that are not made available to me within 10 days, e.g. due to a need for classification review, I request a record identifying the title, author, date and classification of these documents. Anthony 2. Roisman Dupl of 7910090497 100% Recycled Paper