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## NUCLEAR REGULATORY COMMISSION

IN THE MATTER OF:

THE DUKE POWER COMPANY

(Oconee/McGuire)

Docket No. 70-2623

Place -Bethesda, Maryland

Date -10 September 1979

Pages 3845 - 4061

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#### UNITED STATES OF AMERICA

#### NUCLEAR REGULATORY COMMISSION

In the matter of:

THE DUKE POWER COMPANY

Docket No. 70-2623

(Oconee/McGuire)

Commission Hearing Room, Fifth Floor, East-West Towers, 4350 East-West Highway, Bethesda, Maryland.

Monday, 10 September 1979

Hearing in the above-entitled matter was resumed, pursuant to notice, at 8:30 a.m.

BEFORE:

MARSHALL E. MILLER, Esq., Chairman, Atomic Safety and Licensing Board.

DR. CADET H. HAND, Member.

EMMETH A. LUEBKE, Member.

#### APPEARANCES:

On behalf of the Applicant:

J. MICHAEL McGARRY, III, Esq.,
Debevoise and Liberman,
806 15th Street, N.W.,
Washington, D.C.

WILLIAM L. PORTER, Esq.,
Legal Department,
Duke Power Company
422 S. Church Street,
Charlotte, North Carolina

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On behalf of Intervenor Natural Resources Defense Council:

ANTHONY Z. ROISMAN, Esq., 917 15th Street, N.W., Washington, D.C.

On behalf of Intervenor Carolina Environmental Study Group:

JESSE RILEY, Charlotte, North Carolina

On behalf of the Regulatory Staff:

EDWARD J. KETCHEN, Esq. and RICHARD K.HOEFLING, Esq., Office of Executive Legal Director, Nuclear Regulatory Commission Washington, D.C., 20555

On behalf of the State of South Carolina:

RICHARD P. WILSON, Esq., Assistant Attorney General, State of South Carolina, Columbia, South Carolina.

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| 5  | James W. Hufham                     | 3953                | 3958   |          |         |       |         |          |
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# PROCEEDINGS

CHAIRMAN MILLER: The resumption of the evidentiary hearing will come to order, please.

May we have identification of counsel and parties for the record.

MR. MC GARRY: Mr. Chairman, my name is Michael McGarry, along with William Porter, we'll be representing Duke Power Company.

CHAIRMAN MILLER: Thank you.

MR. WILSON: Mr. Chairman, I'm Richard P. Wilson, I'm Assistant Attorney General for the State of South Carolina and I represent the state in these proceedings.

CHAIRMAN MILLER: Thank you.

MR. KETCHEN: Mr. Chairman, Ed Ketchen, representing the Nuclear Regulatory Commission Staff, and with me is Mr. Dick Hoefling, representing the Nuclear Regulatory Commission Staff.

MR. MC GARRY: Mr. Chairman, perhaps I could make a comment for the record?

CHAIRMAN MILLER: Very well.

MR. MC GARRY: It will explain, perhaps, the absence of Mr. Roisman.

On Thursday last, the parties placed a conference call for the purposes of schedule, and we arrived at a concensus. And I would just like to inform the Board of the results of

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that conversation.

Mr. Roisman has personal problems that prevent him from getting to this hearing until 9:30 this morning. It was agreed among the parties, subject to the Board's approval, of course, that the State of South Carolina would begin its examination of the Staff's witness this morning, inasmuch as South Carolina has conflicts itself, as we all were familiar with at the last session.

Then this afternoon --

CHAIRMAN MILLER: Pardon me just a moment, I have a telephone call.

(Brief recess.)

CHAIRMAN MILLER: Pardon me, Mr. McGarry, you may proceed.

MR. MC GARRY: Thank you, Mr. Chairman.

Then continuing on Monday afternoon and continuing over until Tuesday morning, the Staff will put on witnesses for Mr. Roisman to cross-examine based upon the Freedom of Information Act material that he received from the Staff.

Then Tuesday afternoon, Mr. Roisman will be unable to attend for personal reasons. We will then address Mr. Riley's cask drop contention, whether or not he even has a contention, we'll get into that matter. Then in addition there are other matters that the Staff possibly will get into and the Applicant will get into, so we can proceed on Tuesday

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afternoon.

Wednesday morning, we would complete the Applicant's case and the Staff's case and the cross-examination of Mr. Riley and Mr. Roisman Wednesday afternoon will sort of be free time, but I'm sure it will extend over that period.

Mr. Bateman then would come Thursday morning.

And then we were all hopeful that we could conclude by

Thursday.

I might say that with respect to the Applicant's prefiled testimony, we had discussed the necessity of the appearance of our witnesses with both Mr. Riley and Mr. Roisman. I did not discuss it with Mr. Ketchen and Mr. Wilson, but I will say with respect to Mr. Riley and Mr. Roisman, they don't have any questions for Dr. Garrick or Dr. Hamilton, and Mr. Roisman has no questions for Mr. Lewis. We are going to discuss the matter with Mr. Riley this morning to see if he does.

With respect to at least the first two gentlemen,

I would request -- since one of the gentlemen lives in

California -- unless the Board has questions for these
gentlemen, that we could -- it's a procedural thing we will

have to work out, but that we could just have their, by

stipulation have their testimony bound into the record as if

read. They will not physically be here to adopt it, if we

need an affidavit we can do that, whatever the Board's pleasure

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is, but it's an attempt to speed this hearing along, Mr. Chairman.

CHAIRMAN MILLER: Which of the two witnesses now did you wish to handle in that fashion?

MR. MC GARRY: That would be Dr. Garrick and Dr. Hamilton.

CHAIRMAN MILLER: Could you advise the Board a little later whether or not this is a subject of stipulation by all counsel and parties?

MR. MC GARRY: I will, Mr. Chairman.

CHAIRMAN MILLER: Fine, we'll review it then.

Let the record show we have had an Intervenor join us. Would you like to enter your appearance, sir? MR. RILEY: Yes, I'm Jess Riley, I'm appearing

CHAIRMAN MILLER: Thank you, Mr. Riley.

We've just had an indication of Mr. McGarry and some of the subjects that were discussed between parties and counsel as to scheduling and appearance times of the witness, Mr. Riley. We have done nothing substantive, I assure you.

MR. RILEY: Thank you.

for the Carolina Environmental Study Group.

CHAIRMAN MILLER: I think at this time we had better take up the matters of the Appeal Board and the Commission action with reference to the disclosure of

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information regarding the transportation route or routes.

I've been out of town during the last week so I've just learned of these matters this morning. But I had some indication from the NRC telephone operator last night as to this.

I think in order to have our record complete, I think the Staff had better give us a chronology of events, identify the various order and the matter of the Commissions hearing schedule. Will you do that?

MR. KETCHEN: Yes, sir, I'll do that.

As you know, back in the last hearing the Board on the question of 2.790 information with respect to the specific route question, the Board ruled that it would not at that time go into in camera sessions or protect the information under 2.790 but, however, gave the Staff 30 days in which to pursue any remedies it might have.

On September 4, 1979, the Staff filed a motion for directed certification and a request for an interim protective order with the Atomic Safety and Licensing Appeal Board.

On Thursday, September 6, by memorandum and order, the Appeal Board denied that motion.

On September 7, 1979, the Staff petitioned the Commission to review this matter. And then by order of September 7, 1979, the Commission indicated that it would

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review this matter and would have oral argument at 11:30, September 10, 1979, today, at 1717 H Street, Northwest, Washington, D.C.

In that order, my understanding of it is, is that the Commission indicated that they would, or did order the interim protection of the information until they completed their consideration of the matter or gave further directions.

I might fill in some background information which does not appear in the papers.

It's my understanding that after this order was issued on Friday, an attempt was made to contact -- by the General Counsel's Office, to contact all parties that were involved with the order.

I checked again -- I think we reached most parties,

I'm not sure -- my understanding is we didn't reach Mr. Riley,

and I find out this morning that Mr. Roisman was not reached,

but that they were trying to do so this morning, still trying,

and had messages all over town, if you will, for Mr. Roisman.

That about completes the description.

CHAIRMAN MILLER: Very well.

The Board, of course, wishes -- well, not to interfere in any way with whatever the Commissioners or appelate bodies are doing, have done or may do in this regard. And also we wish to give counsel and parties adequate opportunity to participate in the <u>in camera</u> hearing, I believe,

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at any rate, a hearing—or an opportunity to be heard on the Staff's petition for review by the Commissioners which is to be held today, Monday, September 10, 1979, at 11:30 a.m. at 1717 H Street, Northwest, Washington, D.C., the headquarters of the Commissioners of the Nuclear Regulatory Commission.

It appears likely that such hearing will not go

past 12:30 c= 1:00 at the most. The Board, therefore, is

inquiring whether it would be the wishes of counsel and

parties to suspend the hearing at this time to resume it this

afternoon at 2:00 or 2:30 or some such time in order to

accommodate witnesses and parties, some of whom come from both

out of town and some at considerable distance.

MR. MC GARRY: Mr. Chairman, may I comment on that?

I think it would be advisable to reconvene at 2:30. However, would think if we could go at least until -- well, there are two courses of action with respect to this morning. One of the problems I see is the State of South Carolina has this day and this day only to conduct its examination. Perhaps we can start now and see where that takes us.

Speaking for the Applicant, the Applicant will be prepared to go forward this morning, perhaps one of us will go down to the Commission. But we are here. I'm just making that statement known so if the Board's desire is to go forward throughout today, we are here, we're ready to go.

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at Reporters, Inc. CHAIRMAN MILLER: Well the Board has no objection to going forward. We have scheduled it, we are aware that there are witnesses and parties and at least one Board member who has come a considerable distance. We also, however, wish to respect the opportunity of all parties and counsel to appear and to be heard by the Commissioners.

If I understand you correctly, Mr. McGarry, the

Applicant, at least, will be prepared to continue going forward,

even while the hearing is underway, is that your position?

MR. MC GARRY: That's correct, speaking for the

Applicant only, Mr. Chairman.

CHAIRMAN MILLER: I understand.

I guess we had better find out from the Staff because I think they're the primary party in that regard.

MR. KETCHEN: Yes, sir, Mr. Chairman, we're flexible either way also. But I think our preference would be, since one of the counsel here needs to attend that hearing at the Commission and also would be the chief counsel handling the witnesses in the area of transportation, that our preference would be to break at a reasonable time in order that we could attend that and to come back at a reasonable hour, 2:30 is fine.

We would suggest, however, that we begin now with the witness and see what we could do for an hour or so, maybe until 10:00, and we would suggest that -- we were planning

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rai Reporters, Inc. to start with Mr. Hufham, and he is here .--

CHAIRMAN MILLER: Pardon me, does this get into transportation matters, route matters? If we could avoid that subject, we would prefer, we would rather wait until we see what the Commission is going to direct.

Is it possible to proceed with our evidentiary hearing, avoiding wholly the route questions or even any implications involving them?

MR. KETCHEN: I think so.

CHAIRMAN MILLER: Very well. In that event, the Board would be perfectly willing to go ahead with non-route matters, since counsel are acquainted with the underlying matters that protect us all from getting into those things the Board does not wish to get into pending Commission action.

Let's hear, then, from Mr. Wilson and Mr. Riley as to your preferences.

MR. WILSON: Mr. Chairman, as to the Commission's proceedings fowntown this afternoon, the State has not anti-cipated participating in that. We didn' really have an opportunity to prepare for that.

This, today, is what we really came up here for.

As Mr. Ketchen noted to the Board, Mr. Hufham's testimony will not involve the routing matter we are concerned with. There is another -- he is primarily up here for the emergency response teams, to tell us about them.

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We also have two other areas we would like to present before the Board today, one is the cask inspection situation that we did not quite finish up with in Charlotte at the last meeting, and then the last one would involve route matters tangentially, as it is involving the application of the protective regulations to the particular shipments involved.

So that it would seem to be appropriate to hold the last subject until this afternoon, if we could. I believe we can pretty expeditiously move through the first two areas this morning. I'm rather optimistic we can finish them before we break for that meeting.

CHAIRMAN MILLER: Very well.

Mr. Riley?

MR. RILEY: Mr. Chairman, we did not intend to appear before the Commission in this matter, we have not prepared to do so.

Is it the Board's pleasure to adjourn this meeting while transportation is being undertaken for the meeting of the Commission and so forth and so on?

CHAIRMAN MILLER: Well we almost have to, unless counsel can assure us that it will not prejudice them and they're willing to go forward. As I understand the Staff position, they have counsel who will be actually participating actively both in this proceeding and in the argument before the Commissioners, and they would be the ones would would be

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adversely affected by trying to run without recessing during the time of the Commission proceeding.

MR. RILEY: That I realize. I wonder if the presence of two counsel are required at the Commission proceeding. Possibly they could divide up and make two or three more hours of hearing time available.

CHAIRMAN MILLER: Well we will inquire, Mr. Riley, but we would not want to prejudice any party, any attorney, any cluster of attorneys or pair of attorneys because these are matters which involve subtleties, perhaps, and preparation in handling.

So we would wish to have full procedural due process in spirit as well as in letter, for that reason, we will not press any party or counsel when he's involved in what could be a situation where he wishes to attend two hearings at the same time from doing so, so we're going to leave that to the Staff, since they're the ones affected in this case.

Let me be sure that I understood you, Mr. Ketchen, the Staff feels that it might be prejudicial to the Staff's interest if we did not adjourn, at least during the period of the, say, from 11:00 until 12:30 or something of that type?

MR. KETCHEN: No, it won't be prejudicial. I
was just indicating -- I indicated that we were flexible. We
can continue today, I wasn't aware that Mr. Wilson and Mr. Riley

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could continue, thought that -- we can continue, we will not be prejudiced and we could continue with Mr. Hufham and the cask inspection matter as far as we need to go. I can stay here, no problem.

On the third item Mr. Wilson wanted to talk about, security information, that's where we get into problems. And presumably we could finish that up at 2:30 this afternoon, and presumably Staff counsel who needs to be here could be back by that time and maybe the <u>in camera</u> matter will be resolved by that time and we won't have any problems about limiting the inquiry.

So we can proceed. We are ready to go. CHAIRMAN MILLER: Very well.

Let me be sure the record clearly reflects now affirmatively by every party and every counsel that no one is requesting the Board to recess during the period of, or for the purpose of appearing before the Commissioners at their 11:30 hearing and opportunity for oral argument on the transportation route question, and that unanimously it is the wish or at least the consent of all parties and counsel to the Board proceeding with the evidentiary hearing at this time, excluding therefrom, however, any matters which have reference to the subject matter direct or indirect with which the Commission is taking action or hearing argument this morning.

Is this correct? Do we have an affirmative

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statement from each of you?

MR. KETCHEN: Yes, sir.

MR. WILSON: Yes, sir.

MR. RILEY: Yes, sir.

CHAIRMAN MILLER: Mr. McGarry?

MR. MC GARRY: YES, Mr. Chairman.

CHAIRMAN MILLER: In that event, we will proceed, then. And since the State of South Carolina does have only this limited opportunity to appear here today during the current week's hearings, we wish to accord them as full an opportunity as is possible to cover those matters which they are primarily concerned with.

Is this agreeable to all counsel?

MR. MC GARRY: Yes, Mr. Chairman.

MR. KETCHEN: Yes.

CHAIRMAN MILLER: Mr. Wilson, what was the order of, I guess it would be, cross-examination, is that what you were wishing to pursue?

MR. WILSON: Yes, Mr. Chairman. Mr. Hufham would come up here first for the emergency response team, andthen we would move into the cask inspection system this morning.

CHAIRMAN MILLER: I see.

Is this agreeable to the Staff?

MR. HOEFLING: Yes, Mr. Chairman.

CHAIRMAN MILLER: Very well.

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MR. HOEFLING: The Staff would call Mr. Hufham to the Staff.

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Whereupon,

### JAMES W. HUFHAM

was called as a witness on behalf of the NRC Regulatory Staff and, having been first duly sworn, was examined and testified as follows:

#### DIRECT EXAMINATION

BY MR. HOEFLING:

Would you state your full name for the record, please?

James W. Hufham, H-u-f-h-a-m, Chief of the Environmental and Special Projects Section, NRC Region 2, Atlanta.

Could you tell us what your experience and background is in the emergency response area?

As Chief of the Environmental and Special Projects Section I am in charge of assuring that the emergency planning program for both licensees as well as the Region 2 office is implemented.

We also have supporting areas in support of emergency planning, and I'm also in charge of those. They are confirmatory measurements, our fixed laboratory, our mobile laboratory, our aerial survey, our epidemiological studies around nuclear facilities, and I am Chairman of the Federal Regional Advisory Committee for Emergency Planning at fixed nuclear facilities.

Now, Mr. Hufham, are you aware that the Board and

the State of South Carolina have an interest in obtaining some information related to the type of a response that there would be should there be an incident related to spent fuel shipments from Oconee to McGuire?

A I am.

Q Could you provide the Board and the State with a description of the type of a response -- emergency response -- that would ensue should there be such an incident?

A Okay.

I'd like to briefly summarize. With the Energy
Reorganization Act of 1974 the AEC was split at that time, and
all the analytical response capability that existed within
the Atomic Energy Commission was vested with the Department
of Energy, both at Oak Ridge and at the Savannah River offices.

That analytical support --

CHAIRMAN MILLER: Could you go just a little bit slower for the benefit of the Reporter, primarily?

THE WITNESS: All right.

That analytical support is still available, and I will come back and describe that.

But over the past few years, the Nuclear Regulatory Commission has increased its analytical support also, and we'll discuss that in summary. But in my position within the Region 2 office we are totally involved every day with the Department of Energy. And if we're talking about North

Carolina I'll have to be specific to the Savannah River office.

But the Oak Ridge office has assisted in some of the transportation events, or in the transportation event that has
occurred in North Carolina.

They have an office that has a duty complement on 24 hours a day. We have called the office at very late hours, 3:00 a.m. in the morning, to report a transportation event, sometimes very insignificant. This system is set up so that the duty officer can get in touch with a response team. The response team will vary. In some cases it may be just a consulting call to the incident will suffice. In another event it can possibly be a health physicist, and possibly a public affairs man.

The full complement of response includes a management -- a member of management, several health physicists, hydrologists, meteorologists, public affairs, photographer.

They have all the capabilities there that would be needed to fill any type of emplement.

Q Excuse me, Mr. Hufham. You're speaking now about Savannah River?

A That's right.

The same response capability exists at the Oak

Ridge facility. What they have available in the forms of

resources are numerous portable survey -- portable radiation

survey equipment -- numerous radiation survey meters, I should

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Reporters, Inc. say, a communications van, a whole-body counter, mobile manipulators, small and large. They have all the resources of the fixed laboratory at the Savannah River office and at the Oak Ridge office, like samples needing extensive analyses can be transported back to the sites themselves.

In addition to that, they have the aerial survey team that is stationed in Las Vegas for response to calls. They are coordinated with the JANCC organization, Joint Accident Nuclear Coordinating Center, in Albuquerque. And them if that is not enough response equipment they have what they call the "hot" teams out of the Lawrence Livermore laboratory.

CHAIRMAN MILLER: Where is that located?

THE WITNESS: In Livermore, California. I'm not sure of the exact address.

CHAIRMAN MILLER: I just want the record to show that.

THE WITNESS: But the Department of Energy also is responsible for coordinating the Interagency Radiological Assistance teams that I've already described, and what this does is to continue to coordinate all the resources of other agencies.

Signatory to these teams are the Nuclear Regulatory
Commission, the Department of Transportation, the Defense Civil
Preparedness Agency, which has now become the Federal Energy

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Management Administration, NASA, the Department of Agriculture, and also the Environmental Protection Agency. So that in the event of a transportation incident involving spent fuel, DOE is the lead coordinator of all of the federal agencies in their analytical support, as well as the support that I have described earlier.

Now I'd like to speak briefly about what the NRC has developed in support of a DOE request.

In the Atlanta office, which is responsible for regulatory actions in North Carolina, we now have the mobile van with very sensitive analytical equipment. It can be airlifted to any major airport, and can be driven to any remote transportation site. We have a fixed laboratory in our office. We also have a large supply of portable radiation survey meters.

We have twelve men on pagers 24 hours a day.

We have an emergency center that is manned 8 hours a day in Atlanta, and on off hours and on weekends, or at any off hours during the week, as well as weekends, it's managed through this emergency center in this building.

BY MR. HOEFLING:

Q Mr. Hufham, could you specify "this building?" Where are we?

A Oh, I'm sorry. It is manned through the Incident
Response Center in the Office of Inspection and Enforcement in

the East-West Towers Building. The exact address I do not know.

- Q Is that in Bethesda, Maryland?
- A Right, in Bethesda, Maryland.

CHAIRMAN MILLER: Could you provide the address, Counsel, please? Or do you know it?

MR. HOEFLING: I don't know what the address of this building is, Mr. Chairman.

DR. LUEBKE: 4350 East West Highway. It's the building we're in right now.

THE WITNESS: We have had some transportation incidents in the Region before, and we have coordinated well with the Department of Energy.

But at the present this is a summary of the capability that exists today.

BY MR. HOEFLING:

Q Thank you, Mr. Hufham.

Now, I want to take you down to the specifics of an incident, and what I'd like to do is hypothesize in your mind an incident and tell us who out on the road would respond, what the chain of communications would be of that response. And you could postulate various degrees of severity to take us down the chain, to get us to the type of a response that there might be, depending on the severity of the incident. Take us from the road down through the chain of

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communications to the actual response, given several degrees of severity.

Could you do that for us?

A Yes, I can.

The first agency usually to respond to a transportation event is the highway patrol. In both North and South Carolina the highway patrol, through a training program, has been informed to -- I'd have to speak for both States, they may vary in small areas, but this is generally the approach. The highway patrol will get in touch with a 24-hour duty officer number, usually in the Department of Disaster Preparedness.

- Q That would be for the State?
- A That's right, we're describing the State right now.

The highway patrol will get in touch with a State office, usually the Office of Disaster Preparedness, because they do provide a 24-hour notification system.

The Office of Disaster Preparedness will get in touch with the Division of Radiological Health or the Radiological Health Department, who would respond, be the first to respond, for the State Agency.

This agency, in discussion with the Office of Disaster Preparedness, would decide whether or not the Department of Energy was needed.

Q Excuse me, Mr. Hufham. When you said the State, are

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you talking about an actual physical response to the incident?

That is correct. If they feel that they need to A respond, both States have mobile laboratories and teams that would respond to an incident.

Thank you. Go ahead, please.

Perhaps in the beginning stages of the incident, or from their office, or when they have arrived on site, if they feel that the Department of Energy is necessary they provide the notification to that agency requesting assistance.

Depending on the location of the incident -- I could use an example: If it's in the westernmost portion of North Carolina, the call probably -- the call should go to the Savannah River office, and it would go. But to eliminate response time, the team from Oak Ridge would probably respond, because it would be closer to the incident.

CHAIRMAN MILLER: Pardon me just a minute. When you're using the term "respond" -- I'm basing this upon my knowledge of police procedure from television -- does respond mean physically go there as rapidly as possible with whatever personnel and equipment seems to be indicated?

THE WITNESS: Yes, sir. If a response is required. I've already addressed in some cases respond might just be a consulting call. But if a response is requested, where they do need additional metering or surveying equipment, or a lab, response means a physical response with their equipment.

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CHAIRM N MILLER: So our record will be clear, when you use the term "respond" use it in the sense that it's a physical going to, and if it's anything else, indicate appropriately, would you please, sir?

THE WITNESS: All right. Fine.

CHAIRMAN MILLER: Go right ahead.

THE WITNESS: So the event I was describing is where there was a transportation event in the State of North Carolina, and the Department of Energy in Savannah River was notified but the one from Oak Ridge, the response team from Oak Ridge, did respond to the incident and arrived there first, or earlier.

At the same time, we have our own notification sytem, the NRC Region 2 office. We are in constant communication with the States, both the Disaster Preparedness and Division of Radiological Health. In events that have occurred, when the Department of Energy is called, also the Nuclear Regulatory Commission is called. In many cases this is just for information, but we have responded, meaning an actual response.

BY MR. HOEFLING:

Q Okay. Let me just go back again to clarify something in my mind.

The State radiological office would respond to the incident, actually physically arrive at the site. Would they

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s. Inc. 25 be the group that would make the determination to contact the Department of Energy, or is that contact automatic?

A No, they would be the ones that would make that decision.

Q And who would make the determination as to whether a federal response was appropriate in the circumstances?

A Now, do you mean if they have requested response from the Department of Energy? That response is automatic.

Do you mean below the Department of Energy, or to assist the Department of Energy?

Q No. Suppose the question is: Should the Department of Energy respond? The question is some at uncertain as to whether the incident requires a federal response. Who would evaluate and make the determination that there should be a federal response?

- A It is still the State responsibility.
- O Fine.

Let me ask you this: Let us take the situation where we have the State response and a federal response. We have extensive State and federal capabilities at the incident. Who would be in charge of the emergency at that time?

- A The State is in charge of the emergency.
- Q Thank you.

On the point as to whether Oak Ridge or Savannah should respond, you indicated, I believe, that jurisdictionally

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Savannah might be appropriate, but that Oak Ridge might be directed to respond because of the shorter response time.

Who would make that determination?

A That would be made within the Department of Energy.

MR. HOEFLING: Mr. Chairman, I think that we've

completed our questions to Mr. Hufham, and I would offer him

to the State of South Carolina for questions.

CHAIRMAN MILLER: Mr. Wilson?

CROSS-EXAMINATION

BY MR. WILSON:

Q Mr. Hufham, I think that was a very good resume you gave us of the emergency response system. However, I do have a couple of short questions, if I could.

You might clarify just a couple of points for me.

The mobile van you mentioned, where is that

stationed -- the NRC mobile van that you were talking about
earlier? Is that Charlotte or Atlanta?

A It's stationed in Atlanta, 101 Marietta Street, Northwest, Atlanta, Georgia, 30303.

And you mentioned also that in the sequence of response at an emergency scene that the State agency in charge would be the ones to call the DOE, and I presume also NRC, is that correct? There would be two phone calls placed at that time? How does NRC receive its notification, is really what I'm asking, at that point?

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A Officially the Department of Energy calls the Nuclear Regulatory Commission. We are signatory to the Department of Energy Radiological Assistance Team.

But if the transportation event involves a shipment from one of our licensees or is a licensee carrying material —

I'm thinking of shipments other than maybe spent fuel —

since it is involving one of our licensees, the State will call us for information purposes. And at that time, we almost in all cases have dispatched someone, a representative of the Commission.

Q In those instances, then, the Department of Energy might not be notified? Is that what you just said?

A No, the Department of Energy would be notified.

Q In any event?

A In any event. And our own notification system that is established on a day-to-day basis goes into play also where the state calls us for information to let us know of the event, and at that time, even though the Department of Energy might not have called or asked our assistance since it is -- a licensee may be involved in a shipment from our licensee, we feel that it is our responsibility to respond also, even though that official request has not been made of DOE.

Q All right.

In an accident situation, I believe you told us

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already that there is considerable equipment available to the Savannah River Plant's emergency response teams to assist in the handling of material, is that correct?

- A That's correct.
- Q Were that equipment, however, inadequate to rectify the situation, are there other resources that can be tapped with additional equipment?

A Yes. I think what needs to be explained here is the JANCC organization, Joint Accident Nuclear Coordinating Center that I mentioned in Albuquerque. To answer your question exactly, Mr. Wilson, let me go down again.

We have the Department of Energy's equipment that exists, that I've described there. Signatory to the Department of Energy, we have all the equipment, and I'll go down them again: Environmental Protection Agency, Department of Transportation, Defense Civil Preparedness Agency, NASA and the Department of Agriculture.

Now if you're aware, the Environmental Protection Agency has large radiological laboratories throughout this nation. And, in addition to those, we have the response capability equipment of the Nuclear Regulatory Commission. And in the event that is not enough, we have the JANCC organization that has all of the equipment available from the Department of Defense. And then if that is not enough, we have the hot teams from Lawrence Livermore Laboratory.

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Q Could you tell me what the hot teams are?

A That's just a sophisticated group of response teams primarily developed, I think, for weapons response. But we have tied it into our just normal radiation emergency response organization.

Q And I presume by their name that they have special equipment for handling certain situations?

A Yes. I may not have answered that exactly. They have communication equipment, survey equipment, counting equipment, laboratories.

Q Special shielding, that sort of thing?

A I'm not sure of the shielding. It could be procured, I'm sure, immediately from some of these agencies.

CHAIRMAN MILLER: Could you develop that a little further? What kind of shielding equipment is contemplated by either counsel or the witness? What is the purpose, where is it obtainable? Counsel should ask that question, I guess.

BY MR. WILSON:

Q All right. Mr. Hufham, what I'm thinking in terms of is rather severe accidents. If we reach this point, assuming some exposure to high radiation doses that required additional shielding from that which is normally available in the field, how would that be obtained? And what is available, if you know?

A I really cannot answer that exactly, where the

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shielding would come from. There have been events recently in the nuclear industry where large amounts of shielding was required. This was in Pennsylvania. And through the federal agency coordinated effort, all of the shielding that was required -- which would be far in excess of anything required for a transportation incident -- was obtained quickly.

But exactly from where that was obtained, I do not know.

Q Were you involved in the procurement of that shielding in that incident?

A Not directly. I was in Atlanta. But I had representatives in Pennsylvania that were.

Q Can you give us an estimate of approximately how long it took for the shielding to arrive after it was first ordered?

A The shielding arrived -- we're talking about March 26, 27, 28, and 1, 2 and 3 April. The shielding began to arrive somewhere on April 3rd, but again, the quantity was far in excess of any quantity of shielding that would be required for this type of shipment.

The reference there is that if a smaller amount of shielding is required, it can probably get there in a lot quicker time. But this started arriving in approximately a week after the incident, for the hydrogen recombiners.

But we would expect a smaller period for a smaller

amount of shielding, is that right?

A That is reasonable to expect.

Q Do you have any idea -- I'm not sure whether you've answered this before or not -- but do you have any idea where that might be made available from in the southeast region?

A I cannot . . . no, I do not.

Just for clarification on this, though, all of our vans and equipment are planned for airlifting all over the nation, from Atlanta to California. If shielding is available in California, I'm sure we could use these same arrangements to get it into Atlanta or North or South Carolina.

These arrangements are made through the Department of Defense.

MR. WILSON: I believe that's about all we have, Mr. Chairman, unless there's some more questions from the Board. I believe that's all we do have for Mr. Hufham.

CHAIRMAN MILLER: Thank you.

Let me inquire of other counsel. Mr. McGarry, do you wish to examine?

MR. MC GARRY: No questions, Mr. Chairman.

CHAIRMAN MILLER: Mr. Riley?

MR. RILEY: Yes, sir.

CHAIRMAN MILLER: You may proceed.

BY MR. RILEY:

Q The capability, then, of carrying a van would have

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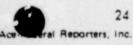
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to do with large transports available through the Department of Defense, is that correct? You indicated earlier that you have metering vans that could be air-transported, I believe?

- That's correct.
- And DOD would provide that transportation?
- Oh, yes, sir. That is correct. The Department of A Defense would airlift the Nuclear Regulatory Commission's van. I'm not sure about the arrangements with the Department of Energy, who would airlift their van if necessary.

Really, any event in North or South Carolina, probably the airlifting involved is not necessary, because you would have vans from both Oak Ridge as well as Savannah ver.

Well, depending upon the location of the site of the accident to an airport, there would be an additional factor in response time, even if the van were airlifted to the nearest airport?

That is correct, Mr. Riley. That's the reason I said airlifting to respond to an event in those states is probably not feasible. Airlifting to us means airlifting from Atlanta to Virginia, or from Atlanta to Miami.

Now, depending upon the time it takes for the metering equipment to get there, there will be a period in which there is no information with respect to the releases?

I'm not sure of the response time of either States

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nor am I of the training of the State highway patrols, the depth of the training that these States have been active in the training of State highway patrolmen who would be first to the event. So we would have to be specific as to which district office of the highway patrol would respond, and what training that office has received.

Q All right.

You indicated that first on the scene would probably be the State highway patrol, is that correct?

A That's correct.

Q And what's the usual complement of a patrol car, how many people?

A I'm not sure. One or two, probably, at first.

And then several, to support it. I could not be exactly sure.

Q Well, let's go with one or two on a hypothetical, then. If there's an accident that involves physical injury and the removal of the injured, and so forth, is it reasonable to expect the metering activities of the first responder, the state patrol, would be light, if at all?

A I think that is true. Certainly, in any transportation event that's true. But then you have to realize the close proximity of the Oconee site and the McGuire site to your response teams -- not only the highway patrols, but your response teams, from both States. That's from Columbia and Raleigh.

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Q Sometime back, if I recall correctly, the Department of Transportation was also involved in response to accidents. I don't recall that you mentioned them today.

Are they no longer a part of the emergency response picture?

A No, sir, Mr. Riley. They do respond. The Department of Energy is the overall federal guiding agency in a transportation event, but it is awfully hard to -- the Department of Transportation is always informed, and they usually respond, similar to the NRC.

Q Do you know whether or not they have a 24-hour, shall we say, communicating ability, to receive messages in regard to emergencies?

A The best I can speak to is our involvement with the Department of Transportation in Atlanta. Every event that we've been involved in has been in off hours, and their man has responded as quickly as the Nuclear Regulatory Commission has.

- Q What is the nature of the DOT response?
- A They deal more or less with the driver. They have remained through cleanup. Overall assistance, if necessary.
- Q But they do not have an active role in measuring radiation or in physically cleaning up?
- A They have not been that involved in the radiation measuring aspect.
  - Q They have a representative. Do they have a

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response team such as you described for the other agencies?

A I'm not sure of that.

Q Could you give us a working definition of what analytical support -- a term you used several times -- means?

A Yes. To us analytical support means anything that you would need to handle an accident. Analytical support means, first of all, a van with the right amount of signs, ropes, placarding, enough to cordon off the affected area.

Second of all, analytical support means operable survey meters, operable and calibrated survey meters. Not survey meters that have been sitting on the shelf for three years.

To support that you need trained individuals, extensively trained individuals.

Supporting that, you mean laboratories that are capable of taking environmental samples and then, thirdly, backup support to that mobile laboratory.

That, to us, means analytical support.

Q In Region 2, to your knowledge, how many events requiring this response occurred in, say, the last year?

A In our Region, no events, no transportation events in Region 2 have required our response of that type. We have provided it, but it was not necessary.

Q How many people are involved, for example, in Region 2 -- how many people are assigned to the duties such as

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ers, Inc.  you have described?

A The first initiating call to Region 2 has 12 men available immediately. There are 12 men on pagers. Not all 12 would respond. These are what we call 12 management positions.

It would be the decision of those managers to send a complement, that has ranged everywhere from one to perhaps four.

Q Would I be correct in inferring from what you've said that the people who will actually deal with the emergency response are not just sitting around 350 working days a year waiting for something to happen, but are regularly assigned other jobs?

A Yes, sir, Mr. Riley. The men that would respond to a transportation event from Oconee to McGuire would, as I see it, and as I would -- the Emergency Office, sir, is under our management. My input would be to send the men who have been doing the cask inspections, and the health physics inspections at that site.

He would also be aided by an emergency officer, an investigator, and maybe other HP's.

Q In other words, there's a certain ad hoc nature to the assembling of an emergency response team?

A Ad hoc, meaning. . . yes, ad hoc, but organized.

We just have a pool to pull from and we would send the most

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responsible individual.

You mentioned that emergencies occur at off hours, like say at 3:00 a.m. Under these circumstances, how many people are actively on standby at that time? When I say actively on standby, I mean part of their working day, part of their shift?

Okay. Mr. Riley, I am one of 12, and I have 12 that work for me. Another gentleman that is lateral to me has approximately the same number. Both of us are on call 24 hours a day.

So just the two of us, we have 24 people that are available, and we know where those men are at all times. They may not even be in Atlanta. They may be at Oconee.

But you do take holidays and vacations and so forth?

That's right, but we still have our emergency organization on any off hour.

Is it part of your planning procedure, then, to assure that someone of the operational management 12 will be available for call at any time?

Oh, yes, sir. Those 12 are made up -- just one minute. Let me clarify this.

The 12 are not the same day in and day out. The 12 are made up of those management positions that are available today in the office. If they are out of town, they

ers, Inc.  are not on that list.

Now, you indicated that the State would be first contacted by the highway patrol, and you indicated that there is an Office of Disaster Response which would get in touch with the Office of Radiation Protection. Is that correct?

A That's correct.

Q Now, in North Carolina, does the Office of
Radiation Protection have on call 24 hours a day an emergency
respondent

A I'm not too sure of his paging system, but the answer to that question is yes. There is a 24 hour Office of Disaster Preparedness. I just cannot remember the exact agency that it's in, but the office that we deal with on a 24 hour basis is the Division of Radiological Health, and we do work with them during off hours, and we have always been able to notify them or discuss issues with them.

- Q Do you know Mr. Dane Brown?
- A That is the office I am talking about.
- Q Yes. Are there some number of people corresponding to the 12 you've just described in your office in the State Department of Radiological Protection, who are assuredly on call at any time? And if so, could you describe it please?

A Yes, Mr. Riley.

I cannot be as assured of the numbers as I am of my own organization, just like I cannot be exactly sure of the

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number with the Department of Energy. But he does have men that he is assigned. I could even call their names. . . no, I'm not sure of the names. He has men who are available.

Now, if I could, you have not asked this question, but I would like to inform you about our State notification system of shipments. I think it would help clarify things for you.

The Office of the Nuclear Regulatory Commission in Atlanta notifies the State through which any shipment is going to move in advance of any movement of that shipment.

This is only spent fuel shipments. Presently it is only spent fuel shipments, or import-export shipments. We are not notifying the State of low-level waste shipments.

So the reason I think it's appropriate is any shipment that moves, spent fuel shipment, through the State of North Carolina, Mr. Brown would be informed approximately 48 hours before that shipment is to leave, and he would be informed of radiation surveys, surface surveys, of that cask, the contents of that cask, the route that's in question at the present time.

But we will provide him with all of the information that we have. This has been going on for approximately -- this notification system began in January of 1978, and we have records to back it up.

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there will be shipments, more or less one a day, for a period of approximately two years. Given that as a factor, how many shipments would you estimate the North Carolina Office of Radiation Protection would receive notification about? Mr. Riley, now I have two men who spend a large

Under the present application by Duke Power Company

portion of their day making state notifications. If there's only one shipment a day there's absolutely no reason that this could not be handled.

That wasn't my question. My question was how many shipments do you estimate would be going through North Carolina which would cause Mr. Brown's office to be notified in the coming year?

A He would be notified of every shipment we have knowledge of going through his state.

- I'm asking for an estimate of the actual number.
- I have no idea. At this time I have no idea.
- If we assume that the Duke shipments would be 150, have you any idea how many shipments were made last year that we might possibly add on to that 150?
  - You're speaking of spent fuel shipments?
- Not spent fuel shipments; shipments that would require notification of Mr. Brown's office. You've already excluded low level waste.
  - I would have to review the records. I have this

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information available, but not with me today. We have made so many notifications, I would hate to just pull the number out.

- Q How many people are in Mr. Brown's organization?
- A I think there are approximately 15.
- Q Is there any work on shifts?
- A No, there are not.
- Q Is there any work on weekends?

A Well, they are structured to respond. And the reason I know that is because they have intercepted shipments that we have notified them on. Their response would -- if they know in advance that they're going to have a shipment coming through, Mr. Brown would organize his section to have response capability, and he has responded already just for surveys of shipments.

Q If there were over a continuing period of time in excess of 24 hour periods such shipments, what would be Mr. Brown's capability of mounting a responsive individual or a group continuously?

- A Mr. Brown would have to answer that.
- Q Would it be your testimony, then, that your Section 2 office has a much -- what shall we say? -- more redundant and larger capability for response than Mr. Brown does?
  - A I think that's true at the present time.
- Q Is it also true that the primary responsibility for dealing with the accident is Mr. Brown's?

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That is correct.

You have discussed a number of procedures in Q emergency response, but you've not mentioned the matter of evacuation of people who are at hazard. Could you give some information on that?

If you are talking about evacuation from a transportation event -- I assume that's what you are referencing here?

That is correct.

The one to answer that would be Mr. Brown. He has developed a transportation plan of which I'm not that familiar with.

Let us open up another hypothesis. The question is that there is a sabotage event. Would the same chain of command respond to a sabotage event?

Yes, it would. And not from transportation, but there have been similar events that you have described, and they have been in place.

Well, a sabotage event could occur during transportation, could it not?

A I think that's possible.

Would you accept 1.5 million curies as a source term for a 270-day spent fuel assembly?

I do not deal in cask design or shipment content that much every day. I would have to review that before I

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answer that, Mr. Riley.

Q Well, would you care to answer this question:

If in a sabotage event the saboteur has succeeded in completely exposing a fuel assembly, how would it be dealt with?

MR. HOEFLING: Mr. Chairman.

CHAIRMAN MILLER: Yes.

MR. HOEFLING: I would object to that question, and the basis again is the hypothetical question for which there is no basis in the record.

I know the Board's ruling on this in the past, but I would like to make that objection again for the Staff based on the Diablo Canyon --

CHAIRMAN MILLER: Well, the objection will be overruled.

However, there is a question in the Board's mind.

Is this within your area of competence and expertise?

THE WITNESS: No, it is not, Mr. Chairman.

CHAIRMAN MILLER: In that event, the objection will be sustained on that ground.

THE WITNESS: We are in charge of making sure the organizations are in place. We're dealing heavily with evacuations from fixed facilities. I'm not involved in evacuation from transportation events.

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BY MR. RILEY:

But you are the witness for transportation events?

I am the witness on the emergency response of the State Department of Energy in NRC.

MR. RILEY: Mr. Chairman, I have a little problem because I thought the witness was called in connection with accidents in transportation.

CHAIRMAN MILLER: Well, I think that your reasonable limitation is that connected with the scope of the witness's direct testimony and peripheral matters. This does appear to be beyond the scope of his direct examination, Mr. Riley. So unless you can establish something reasonably incident thereto, we would have to sustain the objection.

The Staff has proffered whatever area of the witness's competence they've proffered. we deal with each one as they come.

MR. RILEY: Mr. Chairman, one other question to inform my ignorance, and that is:

If there is an area of response to transportation accidents such as we are trying to touch on here, will the record be devoid of any content in respect to that unless the Staff offers another witness?

CHAIRMAN MILLER: Well, I'm afraid that the Board cannot answer questions, hypothetical, direct, or otherwise. You are a very astute gentleman. You are familiar with the

record as it has been developed to date. And you would have a judgment, other counsel would have a judgment; but it would be inappropriate for you to inquire as to the Board's either memory or judgment in that regard.

However, you are familiar with the fact that we have an evidentiary record, that it consists of testimony, of inferences that may be drawn from it, of exhibits received into evidence, or those which may not have been offered but have been an integral part of examination and the like, and that is the evidentiary record. You will draw your own conclusions from what's in it or what is not in it and whatever results may flow therefrom.

MR. RILEY: Right.

I'm simply seeking guidance or instruction, Mr.

Chairman: if the Staff does not proffer a witness which addresses this matter, that's it. I can't do anything about that.

CHAIRMAN MILLER: Well, you could take that matter up with the Staff. You can request additional witnesses.

Since you are not represented at the moment by counsel, you can or could have pursued this matter by virtue of discovery in the sense of interrogatories as to what a as will be covered by what evidence.

We might indulge, certainly, even though we're in the midst of a hearing -- in other words, we're trying and

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cernoleral Reporters, Inc must enforce the rules of evidence, but we temper them reasonably with regard to the fact that this is an evidentiary hearing of the Nuclear Regulatory Commission.

We don't wish to debar you from opportunity, but we can neither guide you nor try your case for you. It wouldn't be fair to any party.

MR. RILEY: Would it be procedurally proper to request a subpoena, then, for the qualified witness in this matter?

address that when the matter comes before us by motion. But we're going to have a recess here in about a half an hour. Why don't you take it up with the Staff, and then if you wish to address the Board in any proper procedural fashion now or later, you can do so. We can't prejudge, and it wouldn't be very proper, Mr. Riley.

MR. RILEY: Thank you, Mr. Chairman.

CHAIRMAN MILLER: You're welcome.

BY Mk. RILEY:

Q Are you familiar with the weight of a transport cask?

A Again, Mr. Riley, I do not deal daily with the cask figures that you are referring to. I have an idea.

- Q Would you accept 50,000 pounds, approximately?
- A I thought it was more than that.

Q For a real cask, it is. A truck cask.

CHAIRMAN MILLER: Pardon me. What is the difference? You made a statement, and I'm not sure how it's going to show up in the record, something about a real cask and a truck cask. And the record is not going to disclose anything.

You're not under oath, nor testifying. And the witness at least hasn't answered. I'm not sure if he has the information, Mr. Riley.

So would you clear that up, please, or else withdraw your statements?

MR. RILEY: Yes.

The distinction I made was between a railroad cask and a truck cask.

CHAIRMAN MILLER: I'm sorry, I misunderstood you.

BY MR. RILEY:

Q If it's required to move a cask some heavy equipment will be required. Is that provided by your agency or any of the related agencies that you described?

A The cask manipulators needed for that are the ones I described from the Oak Ridge Department of Energy office. They have -- I think I've seen slides where these manipulators have actually moved a cask of that size.

Q Are they air-liftable?

A I'm sure they are. My answer is based on the air-lifting capability of our van with the C5A. If it can

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fit into a C5A, which it can, I'm sure it can be air-lifted.

Again, I'm not sure of air-lifting anything into North Carolina.

Q Could you give us a physical description of the cask manipulator that you just referred to?

A Yes, I can, Mr. Riley.

It's very similar to an earth -- tractor -- you know, with the metal tires. I want to say an earth-mover, the metal treads. A lot of people have got them confused with the small mobile manipulator, but this is the large mobile manipulator.

Q Well, could you inform me somewhat more? Is it sort of like a bull-dozer? Does it have hooks on it or a boom, or just how is it constructed? How does it address the cask?

If a cask fell 'r a ravine, what would it do about it?

A I think the cask -- it has the clamping device.

I think it moves by just clamping at each end and gradually moving it back. I'm not exactly sure that equipment is available. I've only seen photographs of it.

Q Can you describe -- in all likelihood, certainly in any serious event, the NRC would be informed, as well as the DOE, of the event, is that correct?

A That is correct, Mr. Riley.

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You indicated that the central office in this building would receive that information, and you have a 24-houra-day person responding here, is that correct?

No, that is not exactly correct. Between the hours of 8 and 5, to be specific with you, the call comes to our office in Atlanta, 221-4503, area code 404. After 5 p.m., and until 8 in the morning, that same number is diverted to the center here in this building.

They receive the call, and then they would refer the call to the -- in other words, they are the answering party for the 12 men that I have already described would be available to assemble a team.

Would it be correct, then, to say nationally this is sort of the nerve center for messages from any part of the country of that nature?

Yes. Any off-hours, this NRC office now is the receiving center.

All right.

What in addition, then, to contacting the 12 management people, say, in Section 2 in a hypothetical event would be undertaken here at NRC headquarters?

Depending upon the event, they have procedures to activate this center. If it's the transportation event that you have described where the cask is actually either sabotaged or fuel assemblies are exposed, or it is certainly

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a -- if the potential is there for a serious event, the center would be manned, the NRC center would be manned in this building, as well as the Region 2 emergency center in Atlanta. And then a response team would go also.

Q All right.

Now if I understand this correctly, a response team would be organized here in Bethesda and sent to the site of the accident

A No, sir. The call would be received here.

Let's talk off-duty hours.

Q Fine.

A If the call is received during an off-duty hour -- or off-duty time, the call is forwarded to our main duty officer, one of the 12 that I had mentioned. That message is evaluated. The response team goes from Atlanta.

But we have centers, the emergency center in the Atlanta office and the center here, that would be manned by management if the potential is there.

Q Well, in regard to the potential being present, what is the decisionmaking process involved indicating that further NRC participation is required?

A The only thing I can answer that is that we have as many men as we felt necessary. We can dispatch three jet loads if we need to.

Q I realize that. The question was what is the

decisionmaking process by which you decide whether or not to dispatch three jet loads?

A Well, I think if the severity is that degree then we would have to go to the Department of Energy which, as I've already stated, is the lead federal coordinator of all agencies.

Q And who would establish that the accident was of that severity?

A In that case the Department of Energy would have to decide on that.

- Q Their people at the site would have to decide that.
- A That's right.

Q And the Department of Energy decision, then, would in effect be binding on the NRC, which would organize these --

- A That is true.
- Q Thank you, Mr. Hufhan.
- A Thank you, Mr. Riley.

CHAIRMAN MILLER: Any further examination?

MR. WILSON: Mr. Chairman, if I might come back

and follow one point there --

CHAIRMAN MILLER: Yes.

MR. WILSON: -- which Mr. Riley raised.

BY MR. WILSON:

Mr. Hufhan, where you have DOE deciding that there is a serious accident, now how does that interface with

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the state authorities at that point?

Energy would respond and more or less take over the incident.

But now with the sophisticated response organizations that
the states have developed, the Department of Energy now
awaits a request from the state and they will respond initially and remain there until they feel that the state has the
resource capabilities to manage the incident. And when that
is confirmed, then they will remove themselves, and the state
-- in other words, what I've described to you, the state is
still in charge.

Q All right, sir. I understand.

So DOE's involvement really would be at the request of the state in the long run?

A At the request of the state through the initial period of the incident, then they would withdraw.

Q All right, sir.

Just one last question:

Do you know whether or not there is a capability to date to recover a fully exposed spent fuel rod assembly that's been exposed?

A Another witness would have to testify to that.

No, I don't know.

O You don't know.

A No.

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MR. WILSON: That's all I have, Mr. Chairman.

CHAIRMAN MILLER: Mr. McGarry, do you have any

interrogation?

MR. MC GARRY: If I may have just one minute, Mr. Chairman.

CHAIRMAN MILLER: Yes.

MR. RILEY: Mr. Chairman, may I proceed while Mr. McGarry is looking? I know that Mr. Wilson --

CHAIRMAN MILLER: You might interfere with his thought processes. We'll give him an opportunity since he hasn't yet examined. Then you may, after Mr. McGarry.

MR. RILEY: Thank you.

(Pause.)

MR. MC GARRY: With the Board's permission.

CHAIRMAN MILLER: You may proceed.

BY MR. MC GARRY:

During the examination a question came up, and I'm just seeking clarification from you, if I may. The question focused on sabotage and transportation.

I believe you indicated that that wasn't your particular area of expertise. Now the questions that you have responded to today, do they contemplate any accident scenario regardless of it may be sabotage, transportation accident, an accident at a fixed site, are you talking generically?

re-Federal Reporters, Inc. A That's correct.

Really what I have described to you is our own organization that would respond to any accident, be it a fixed nuclear facility -- let me clarify -- other than where I ve described the notification system from the Highway Patrol, to that.

The organization that I have described to you is established to respond to any type of incident, not just transportation.

MR. MC GARRY: Thank you. That's the only question I have.

CHAIRMAN MILLER: Mr. Riley.

BY MR. RILEY:

Q Mr. Hufhan, pursuing the question Mr. Wilson asked, the state in a serious situation would ask the DOE to take charge. Now while the state is nominally in charge, would not functionally and realistically the DOE be in charge at that time?

A I have to say, Mr. Riley, that we always -- the state remains in charge. In your question you said DOE would be in charge at this time. The state is always in charge.

The state is assisted by DOE and the other signatory agencies.

Q Well, I realize your language, but what I'm trying to do, Mr. Hufhan, is translate it to how things would
function if the state feels that they're in over their depth,

and they don't have the capabilities and so forth and so on.

If I understood you correctly, the state would go to DOE and say 'Please handle this'. Is that correct?

A I think if the state radiological health director or the response team realized that it was beyond his scope, he would certainly ask the Department of Energy to take over.

Q That's what I mean.

A There's nothing that prohibits that if the state asks that that be done.

Q All right.

At what point, then, does the Department of Energy find itself relieved from taking over -- and let me break this in two parts to facilitate your answer:

One hypothesis is if the state is unhappy with what the DOE is doing, can the state say to the DOE 'All right, we've had enough, we're going to take charge from this point on'.

A The chart of the interagency response team says that the Department of Energy and other agencies will respond to the request of the licensee state contractor and will remain there until the requesting party has control of the incident.

Q And who determines who has control of the incident?

A If the state is in charge, the state would have to

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make that decision.

Q If the DOE is actually running the show who makes the decision?

- A I still have to say the state is in charge.
- Q In quotes.
- A Yes.
- Q Would it be your testimony that you are unable specifically to say who would decide at which point the DOE would relinquish its primary functional responsibility?
  - A I would not be able to say that, that is correct.
  - Q Thank you.

CHAIRMAN MILLER: Does the Staff have any further questions?

MR. KETCHEN: No questions.

CHAIRMAN MILLER: Does anyone desire to ask any further questions?

(No response.)

CHAIRMAN MILLER: Very well.

Thank you, sir. You are excused. We appreciate your testimony.

(The witness excused.)

CHAIRMAN MILLER: We were planning to take a recess shortly. Would this be an appropriate time, or would you rather start with another witness?

MR. WILSON: This would be an appropriate time.

CHAIRMAN MILLER: All right.

Let me ask you also now -- we'll ake about a ten to fifteen minute recess. We would like now for all parties and counsel to consider very carefully whether they believe it necessary to request this evidentiary hearing to be recessed until the Commission hearing that is to commence at 11:30 -- and we realize that you've given this some thought and we would indicate for the record, since we are approaching the time that it would take to get downtown to participate, we would like for you to have one last chance to think it over and then please indicate affirmatively for the record what your decision is in that regard.

We are in recess.

(Recess.)

CHAIRMAN MILLER: Mr. Roisman has joined us.

Let me recapitulate what we have done so far, and then we would like to hear from you, Mr. Roisman.

Various parties and counsel have indicated that they were willing, if not desirous of proceeding as scheduled. They explained Mr. McGarry had discussed with you certain witnesses that you did or did not care about cross-examining and we could proceed.

The Board then raised the question of the stay, in effect. The Commissioners at 11:30 were hearing argument and so forth. The Board offered to recess if the parties felt

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that this was necessary.

After hearing from each of them on the record, they indicated they felt that it was not necessary. In the case of the Staff, I think there were other counsel that were going to cover the argument, as well as Mr. Ketchen proceeding here.

However the Board did ask them at recess to review their position so we could have an affirmative statement for the record from everyone as to whether or not we should recess at this time for the purpose of the Commissioners' argument, which is at 11:30. And that means that an hour would be sufficient for everyone who wished to attend.

Before I hear from counsel on that, let me indicate also that the Board has received, delivered by hand on September 7, 1979, at 4:45 p.m., the Staff's motion to defer consideration of matters requiring specific route identification.

The Board has considered that motion and has decided to grant the motion, and will therefore rule that specific matters requiring identification of the specific routes of the transportation of spent fiel in question be deferred pending Commission consideration.

The Staff's motion in that regard is granted.

Now we haven't heard from you at all, Mr. Roisman.

And the others we've asked to reflect and review. So the

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opportunity is yours.

MR. ROISMAN: May I just ask one preliminary question?

Is there a Commission order out on this?

CHAIRMAN MILLER: Yes. I was handed that this morning.

MR. KETCHEN: I put copies on the tables for everyone.

CHAIRMAN MILLER: It's dated September 7, 1979, and stamped with the same date. The Board received copies this morning.

Mr. Roisman?

MR. ROISMAN: Well, I have nobody to cover that hearing, Mr. Chairman. There are no attorneys in my office who are at all familiar with this. And the reasons I wanted to look at this was to see if it was clearly going off on a legal question and therefore that Dr. Cochran, who is downtown in Washington, couldn't cover it.

I think I need to go.

On the other hand, the parties have already been very lenient to me about scheduling problems I had that weren't even of this magnitude, so I feel a little bit awkward with that. I don't know whether there is work that the other parties are doing that they can continue to do while I run down to the hearing and come back again, which I assume would

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mean missing the hour and a half between now and lunch and being back by the time the lunch break is over.

CHAIRMAN MILLER: Yes. We intend to break for lunch between 12 and 2.

Well, let me inquiry:

Mr. James Hufham has testified this morning. I think you were familiar with the testimony anticipated with reference to the emergency response matters. That cross-examination was had by Mr. Wilson and Mr. Riley.

Let me inquire who next would be the subject of testimony today or this morning?

MR. KETCHEN: The next subject we are going to take up is identified -- is number two in the August 31st, 1979 letter of the State of South Carolina. It involves a panel that would speak to the method of cask inspection systems.

That panel would consist of Mr. Hufham and Mr. Spitalny. That follows on, if you'll recall, the testimony of Mr. McNeil. It's in that area of testimony, what system the Staff has with respect to inspection of casks over their lifetime.

MR. ROISMAN: That is not an area that I would be doing cross-examination in. If it's going to take as long as an hour and a half then it might not be, or shouldn't cause any problem.

CHAIRMAN MILLER: Well, I think we can agree that that probably will take until 12. At any rate, we would recess if it didn't.

MR. KETCHEN: Yes.

And this afternoon I would assume we would go into the third matter, which would be the application of Part 73 regulations in general terms. Mr. Cossen, who would be our witness, is necessary down at the Commission. But I'm assuming -- I may be wrong -- but I'm assuming that the Commission will complete their business in order that he could be back here by 2 or in that range. So that would be our program for today.

There is a problem in the afternoon with respect to your order on the specifics of the routes. But my understanding is the state can go into these matters without doing that.

My understanding is the state wants to know the general applications of regulations which would not involve that. So we could conceivably complete the whole day without going across that line that you've drawn.

CHAIRMAN MILLER: Yes.

Well, the Board does not wish to go into matters which impinge upon the issues which are presently pending before the Commission, whether directly or indirectly. It was our understanding from the descriptions of both Mr. Ketchen,

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Mr. Wilson and Mr. McGarry that essentially today was to be devoted to those witnesses and that testimony which related to the issues and questions that Mr. Wilson on behalf of the State of South Carolina had in mind, for the reason that this was the only day that he could attend these proceedings. And this seemed to be the subject of pretty general agreement.

Is this a correct summary?

MR. KETCHEN: Yes, sir.

CHAIRMAN MILLER: So I guess, Mr. Roisman, that indicates to you the nature of our proceedings today, which will exclude the specific matters in which you are involved. And you may make your decision.

We're not pressuring you in any way. If you wish to ask for a recess --

MR. ROISMAN: Mr. Chairman, no, no, I don't see any reason for that. It's clear that the matters that will be covered between now and two o'clock, including lunch, will provide sufficient time for me to go down to the Commission and come back.

I will say for the record in the interest of conserving energy that I am going to drive, so if anybody is going down there I'll be glad to drive them down.

CHAIRMAN MILLER: Thank you. We appreciate the offer.

May I ask now, is there any objection to this

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procedure on the part of any party or any counsel?

MR. MC GARRY: No objection, Mr. Chairman.

MR. WILSON: No objection, Mr. Chairman.

MR. KETCHEN: No objection.

MR. RILEY: No objection.

CHAIRMAN MILLER: Very well. We'll follow that

procedure.

Mr. Roisman, you may be excused, if you wish.

And we will go into nothing on the matters that were mentioned or discussed prior to, say, two o'clock. And even then, if by phone call anyone indicates we should defer further, we would certainly do so.

MR. ROISMAN: Thank you, Mr. Chairman.

CHAIRMAN MILLER: Thank you.

All right. I suppose, then, Mr. Ketchen -- pardon me, were there some matters remaining?

MR. KETCHEN: I would like to call Mr. Spitalny to take the stand, and I would like to recall Mr. Hufham.

CHAIRMAN MILLER: Excuse me just a moment.

(Pause.)

MR. KETCHEN: Mr. Chairman, both of the witnesses on this panel have been previously sworn.

CHAIRMAN MILLER: Very well. You may proceed. Whereupon,

JAMES W. HUFHAM

and

BRETT SPITALNY

resumed the stand as witnesses on behalf of the Regulatory

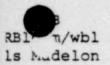
Commission Staff, and, having been previously duly sworn, were

examined and testified further as follows:

MR. KETCHEN: And as I just said, this panel is basically here to respond to any questions the state may have with respect to cask inspection system. And just for the record, that was described in a letter of August 31st, 1979, from the State of South Carolina to myself. And it indicated the scope of the State's inquiry. And I would like to pose some preliminary direct questions before I turn the panel over for cross-examination.

CHAIRMAN MILLER: You may do so.

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## DIRECT EXAMINATION

BY MR. KETCHEN:

Q Mr. Spitalny, first you.

Do you recall the testimony of Mr. William

McNeil on August 9th, 1979, in Charlotte on the question of
cask inspections?

A (Witness Spitalny) Yes, I do.

Q Do you recall generally that Mr. McNeil discussed cask inspections prior to placing the cask into service?

A Yes, I do.

Q And can you tell us what assurances there are that the cask will function properly after it is placed in service?

A Yes. Basically the procedure that is used to insure the quality of the cask is one that is set forth by regulation. It might be easier to walk you through the regulations a little bit.

I am referring to 10 CFR Part 71 which are the transportation requirements.

A license is issued under 71.12 which is a license that authorizes an applicant or a licensee to ship fuel in accordance with certain requirements, or ship not only fuel but radioactive material. Part of that specific section 71.12 states that a license will be issued provided the licensee has a quality assurance program which satisfies the provisions

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of 71.51.

Now if we move through the regulations that go to 71.51, that falls under subpart (d) which is called Operating Procedures. 71.51 is entitled "Establishment and Maintenance of a Quality Assurance Program." What this section requires is that the licensee establish, maintains and executes a quality assurance program satisfying each of the applicable criteria specified in Appendix E.

Appendix E is attached to this section which is entitled "Quality Assurance Criteria for Shipping Packages for Radioactive Material." Appendix E spells out eighteen, I believe it is, steps which must be incorporated into the QA plan, the quality assurance, to insure that the integrity of the cask is maintained.

I can go into further detail on that if you want.

Q Let me just go back.

You indicated Part 71 was the applicable regulation. I would like to refer you to 10 CFR Section-- Well, let me ask you before that:

Is it part of the Staff practice to use the-Let me strike that.

Refer to 10 CFR Part 71.51, or Section 71.51.

Would you indicate your understanding of whether or not that applies to spent fuel casks?

A Yes, it does apply to spent fuel casks.

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Q Okay.

Then would you indicate your understanding of what the purpose of this regulation is, 10 CFR Section 71.51?

A The purpose of this is to set forth the requirement to the licensee for establishing, maintaining and executing a quality assurance program.

DR. LUEBKE: Excuse me. May I interrupt you for clarification?

This licensee is the manufacturer of the cask, not the Duke Power Company?

WITNESS SPITALNY: No, it is -- It actually applies to both. But it is also the licensee which is a qualified user of the cask.

DR. LUEBKE: The user as well as the manufacturer?

WITNESS SPITALNY: A qualified user is termed
the licensee in this case.

DR. LUEBKE: Thank you.

BY MR. KETCHEN:

Q I would like to refer you now to 10 CFR Section 71.54 and ask you, Does Section 71.54 apply to spent fuel casks, in your understanding?

A (Witness Spitalny) Yes, it does. This particular section is still under the operating procedures, and it's entitled "Routine Determinations." It continues and says,

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"Prior to each use of a package for shipment of licensed material, the licensee shall ascertain that the package with its contents satisfies the applicable requirements of subpart (c) of this part."

What subpart (c) is is the package standard. So this spells out a number of steps to insure that the package meets the standards established for the design of that package.

To give an example, some of the steps are that the package has not been significantly damaged, the closure of the package and any sealing gaskets are present and are free from defects, any valve through which primary coolant flows is protected against tampering. -- and it goes on with a number of steps of that order.

MR. KETCHEN: Mr. Chairman, at this time I would like to have a document entitled "U.S. Nuclear Regulatory Commission Certificate of Compliance for Radioactive Materials Packages, Certificate Number 6698, Revision No. 8, USA/6698/B()F," marked for identification as Staff Exhibit No. 29. And I will furnish copies to the Board and the parties.

CHAIRMAN MILLER: Very well, the document thus described may be marked for identification as Staff Exhibit No. 29, and copies will be supplied by Mr. Ketchen as

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indicated.

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was marked for identification as Staff Exhibit No. 29.)

(Whereupon the document referred to

BY MR. KETCHEN.

Mr. Spitalny, do you have a copy of Staff Exhibit No. 29 for identification before you?

(Witness Spitalny) Yes, I do.

I'd like to ask you a couple of questions about the document.

I would like to have you respond whether or not this document has any in-service requirements on use of the cask involved.

You're asking, Does this?

Yes. Does this document place any in-service requirements on the use of the cask?

Yes, it does.

And would you explain what they are?

The Certificate of Compliance spells out the design and the -- it's basically the Commission's authorization of the design of a particular spent fuel cask. It's authorizing that model, that type of cask, to be used.

Throughout the document it basically describes how it's made, what the contents are that it is designed to carry. And on page 5 of the document there are a couple of IRB/wb6

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paragraphs which address some requirements that have to be met.

Paragraph 12 specifically says,

"In addition to the requirements of Subpart D of Part 71" -- again, Subpart D was the operating procedures. -- "each package prior to first use shall meet the acceptance tests and criteria specified on pages A-21 through A034 of the Nuclear Fuel Services application."

The applications spells out some steps to be taken for evaluating or checking the cask, or periodic maintenance to be done on the cask.

Paragraph 13 continues and says,

"At periodic intervals not to exceed three years, the thermal performance of the cask shall be analyzed to verify that the cask operation has not degraded below that which is licensed."

We skip to page 6, paragraph 15. It says, "In lieu of the requirements of 10 CFR 71.54(h)..."

71.54(h) reads,

"The pressure relief valve or valves are operable and set in accordance with written procedures."

What this is saying, in lieu of just determining

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that they're operable, this sets out criteria for testing and determining that they meet that criteria.

The other paragraphs that are noted in the Certificate of Compliance simply spell out what type of pieces of gear are on the cask and what they should be, for anybody who is reviewing a cask and comparing it to the certificate of requirements.

Q In those areas that you have alluded to, what can you tell us about the NRC Staff's inspection activities in those areas?

A The Office of I&E -- Inspection and Enforcement -periodically inspects a licensee to insure that they are
conforming with the appropriate requirements that they have
to conform with.

With regard to the specifics that are spelled out, spent fuel pool activities are done at the facility, are reviewed by I&E. It's done at a frequency which is not dictated by any regulation; all inspections are unannounced, so they may just walk in at any given time and evaluate the spent fuel pool activities.

The transportation program -- and this specifically refers to the QA requirements -- is inspected on an annual basis. When they inspect that they are inspecting to Appendix E which are the criteria set forth for the QA plan.

The initial use of packaging, which is Part 71.53,

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which sets forth a number of items to be checked before a cask is put into use, is done at three-year intervals.

Routine use of packages, which is 71.54, as we discussed, is done on an annual basis. And the receiving and monitoring of packages of licensed materials is also done on an annual basis.

So there is a program that is set forth in the Office of Inspection and Enforcement to evaluate these areas.

Q Mr. Hufham, do you have anything to add to what Mr. Spitalny indicates with respect to the staff's inspection activities in these areas?

A (Witness Hufham) The only thing I have to add is we have a resident inspection program also that is involved with the cask inspection.

I'd like to define "resident" for you. We have routine inspectors and we have resident inspectors. The routine inspectors are the ones who perform the annual reviews for the transportation program and the initial use of packaging inspections. This individual is stationed in Atlanta and makes quarterly inspections of the site.

Our resident inspectors are inspectors whose duty stations are at the sites. We presently have a resident at Oconee, and we will have one at McGuire.

There is a transportation inspection program for him, and he assures that in the event that the routine inspector is not there, the resident inspector will insure that the

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licensee is following procedures and is maintaining records of the -- the required records of the cask loading and placarding.

Additionally, this program -- Mr. Spitalny has described to you the modules we began initiating in January of this year, 1979. And we intend to invite the State to participate -- we intend to invite the State to accompany us on some of these inspection procedures.

That's all I have.

Q Mr. Hufham, when are Duke's spent fuel casks inspected by the Atlanta Region?

A In the past shipments we have dispatched someone to the site before each shipment. If the number of shipments develop as planned, I cannot assure you that we will have someone there every day from the Atlanta office for a period of a year to specifically inspect the cask shipment. But in the event this man is not there from the Atlanta office we will use the resident man, the resident inspector.

Q Okay.

Can you describe, or tell us what the inspection consists of?

A I can. They will be a review of-- I can't be very specific with you, but I can give you an overview like Mr. Spitalny did.

Can you just generally describe it?

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A The transportation program that we have, the annual inspection is just to make sure that management has developed procedures for receiving, packaging, delivering and transporting licensed radioactive materials; that he has transportation procedures that are written and approved for loading and closing casks, and for implementing DOT requirements, and that he has established an audit group, a QA group to audit the transportation program.

The initial use of packaging is that he has established procedures for reviewing the casks for voids, cracks, pinholes, and that the cask has met the certificate of compliance.

The inspection program for routine use of packaging assures us that the licensee has a copy of the certificate of compliance and inspects the cask for routine -- I mean for observable damage; that closures are made, seals are made, primary coolant valves are protected: a quite extensive list of observations.

And this module assure that they evaluate the licensee to make sure you're following procedures.

There's a maintenance program that is inspected.

This is the maintenance of the cask required by the certificate of compliance. And if this maintenance is performed by the vendor, then the inspection is made at the vendor's facility by the Region in which -- by the Region where the vendor is located.

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eral Reporters, Inc. I've also mentioned the resident inspection program.

- Q And these procedures you describe will be the ones that will apply to Duke's spent fuel casks?
  - A That is correct.
  - Q Thank you.

Mr. Spitalny, back to you. This document, Staff Exhibit Number 29, will you just for the record indicate for us in answering the question -- Strike that.

Would you describe how this document comes about is what I'm looking for.

A (Witness Spitalny) Before a cask is authorized for use, an Applicant submits an application to the Staff of a design of a specific cask. That is a Safety Analysis Report which is an involved report, a thorough document that evaluates all aspects of the cask through normal routine and abnormal uses.

The Safety Analysis is used by the Staff in their Safety Evaluation. The Staff then performs a Safety Evaluation on the order of a Safety Evaluation that might be done for any licensing action. They evaluate the material which is presented by the Applicant and determine that the cask is designed the way it is depicted, and that the way it is shown will meet the specific requirements.

Once it is determined that the design of the cask does meet these requirements, we can then be authorized by the

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24 eral Reporters, Inc. Commission for use and this document is this authorization.

Q "This document" being the certificate of compliance?

A Yes.

Q And is there any further identification of which cask this certificate of compliance applies to? In other words, is this the Duke-proposed cask?

A The certificate of compliance addresses a design of a cask. On the first page, under Section Five, I guess, which says "A) Packaging: Model Number NFS-4," this is the design for the NFS-4 cask. Duke has indicated they will use an NFS-4 if they are able to.

Q Thank you.

MR. KETCHEN: Mr. Chairman, at this time I would like to move for admission into the record as Staff Exhibit Number 29 for identification.

CHAIRMAN MILLER: Any objection to the offer of introduction into evidence?

MR. MC GARRY: No objection, Mr. Chairman.

MR. RILEY: No objection.

CHAIRMAN MILLER: Very well. Staff Exhibit
Number 29 will be received into evidence.

(Whereupon, the document previously marked for identification as Staff Exhibit 29 was received in evidence.)

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MR. KETCHEN: That completes my direct examination of this panel, Mr. Chairman.

CHAIRMAN MILLER: Very well.

Cross-examination, I assume, will proceed in the same order. Mr. Wilson?

MR. WILSON: Thank you, Mr. Chairman.

## CROSS-EXAMINATION

BY MR. WILSON:

Q Mr. Hufham, you have mentioned the annual inspections that were conducted by the Staff were actually on-site I take it, is that correct?

- A (Witness Hufham) That's correct.
- O Of the cask in service?
- A Right.
- Q And this actually involves laying eyes on the cask and conducting, I presume, certain checks in the critical cask design, is that correct, too?
  - A That is correct.
  - Q And this is conducted on an annual basis?
- A On an annual basis. The routine use may be more frequently if required.
  - Q All right, sir.

Where there are in-service repairs that have to be effected on a cask which may not necessarily require return to the vendor, under what circumstances does the Staff actually

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go out and verify that the repairs have been made in accordance with the certificate of compliance and other regulations?

A This is taken care of through the maintenance inspection program that I mentioned to you. Repairs that can be done on-site have to be documented, records maintained, if there is any traceability back to foreign parts that have to be -- there must be traceability back to the origin of any parts that are replaced. That's only for cask maintenance on-site, but this is all documented, the routine inspector as well as the resident reviews these records.

Q And the routine inspection, just to make sure I'm clear on this, is an at-large inspector, is that correct, within the region that you're talking about as opposed to a resident, someone who is assigned to actually stay there and oversee operations?

A That's correct. Two visits, approximately once a quarter to the site. If there is a reason, if there's a spent fuel shipment and we feel the need that he must go for these inspections, then he will be dispatched at an increased frequency.

- Q But he is there, the routine inspector is assigned to rotate through once a quarter, is that correct?
  - A His visits are approximately once a quarter.
  - Q If not more frequently?
  - A Right.

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Q But they do, the routine and the resident inspector do share the same responsibility toward these casks, is that correct?

A The routine inspector is more involved in cask inspections than the resident. The resident is a very — the routine inspector is more specialized for transportation inspections than the resident. But in the event that a routine inspector cannot be there, the resident will observe the cask, inspect the cask.

Q What other responsibilities does that routine inspector have when he visits the site?

A He may be on-site for a number of reasons, he may be there for an inspection of the radwaste system or the in-plant health physics system, or he may be purely there just to inspect a shipment. But normally he is there in some other function and he would tie this into this routine inspections.

Q Did I understand you correctly then, in the situation where we have a large number of shipments perhaps on a daily basis as in this particular proceeding, you would not expect a routine inspector but rather the resident inspector to assume the responsibility?

A We would like for the routine inspector to see as many as possible. But in the event there is one every day, he will not be able to, he has other responsibilities at other utilities. In that case, he will have to be replaced, we

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would think abou using a resident.

One point here: the inspectior procedures that have been discussed, the transportation program, initial use, routine use, maintenance, are all fairly new, they were implemented in January of this year. Our program prior to this date has been somewhat limited to contamination levels, the cask meeting the DOT requirements, the right placarding. There inspection procedures, these more in-depth procedures were initiated the first of this year.

Q All right, sir.

But there is, I take it then, an intent to provide some NRC, independent NRC verification of compliance in the shipments we are considering here today, is that correct?

A That is the intent, with our request that the state attend and a company also.

Q In the state and company situation, though, you're speaking more generally though, aren't you, say on a daily basis, is that right?

A Well the frequency has not been determined at this point. You will be asked, and it will depend upon you.

MR. WILSON: I believe that's all I have at this point, Mr. Chairman.

CHAIRMAN MILLER: Thank you.

Mr. McGarry.

MR. MC GARRY: I don't have any questions,

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Mr. Chairman.

CHAIRMAN MILLER: Mr. Riley.

MR. RILEY: Thank you.

BY MR. RILEY:

Q Mr. Hufham, have you ever had physical access to a cask yourself and minutely examined it?

A (Witness Hufham) No, sir, I haven't.

Q Have you a knowledge of the variety of types of carriers that are used for the Applicant's type of cask, the NFS-1?

A Yes, sir, I deal with the variety.

Q Could you tell us about the reasonably probable variety of trailers on which this cask will be borne?

A No, I cannot describe the trailer. I thought you were specifically mentioning the company. I deal with the company itself, not the trailer.

I would like to go back to my first statement.

I am speaking for the Fuel Facility and Materials Safety Branch of the NRC. There are members of this staff who have had and who will be making inspections who have minutely gone over a cask. I have not.

Q Do you regard, in transportation, the cask plus the trailer as a relevant system or are there no requirements with respect to the trailer?

I am not sure of any requirements for the trailer,

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other than the placarding and the radiation levels from it.

Q What do you know about protective shielding, heat protective shielding on the trailer?

A I am not familiar with it. That would be in the review group.

Q Is it part of the regulations that the driver of a cask also perform inspections during transit or during stops in transit?

A The drivers we have interviewed -- I do not know if it is a requirement, but the drivers that we have interviewed have been aware of what they are carrying and have made periodic stops to review the condition of the trailer as well as the cask.

Q Sometimes it happens that a piece of equipment malfunctions after having checked out properly when it was set up. Let me ask a hypothetical.

Let's say that the driver of the cask makes a stop and he finds that there is a liquid leak at a fairly appreciable rate. What does he do at this point?

A It has been our experience, not specifically with the spent fuel cask, but let's talk -- I can talk from experience even on low-level waste shipments.

The driver, having stopped, observing a leak or not even a leak, a collection of liquid, has notified the appropriate highway patrol, who in turn has followed the

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procedure that we described this morning, and we are made aware through the state.

Now in this hypothetical, who will take the corrective action after the highway patrol has been notified?

Again corrective or response action is just as we talked this morning. The channels were developed -- do you want me to go through that again?

Well what I'm seeking, Mr. Hufham, is presumably the person to respond would be somebody familiar with casks, and presumably there is some specialized equipment relating to casks and correcting the defect if it is a corrigible defect.

What I'm trying to find out is whether a leaking cask would have to be brought back to a fuel pit before it could be operated on, or whether it can be corrected at the point where it is stopped and the hypothetical was that it was releasing a significant amount of coolant. And I would like you to understand that the coolant was somewhat radioactive.

Okay. This is where the Department of Energy training your teams have been involved, Mr. Riley. The drivers have called in before, not specifically in North and South Carolina, and have described what they thought were leaks. Some of these have been through DOE and discussions with DOE, they turned out to be nothing more than rain that had

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collected.

But to answer your question, this would be the decisions of the teams from the Department of Energy. They are experienced in handling cask problems.

Q From whence develops their experience in handling a problem of, say, a specific cask like the NFS-1 --

MR. KETCHEN: Excuse me, Mr. Chairman. I would like to interpose an objection, the objection being I think the line of questioning is somewhat cumulative in that we're getting into matters that we covered with the previous panel, which was Mr. Hufham on what you do when something happens.

The subject matter of this panel's testimony was compliance with -- well, cask inspection system which was described in direct.

We are, I think, outside the scope of the cask inspection system and are now back into what happens to a cask when something -- I'm not saying that it will, but on a hypothetical something happens back into the response of the Department of Energy, which doesn't have much to do in my view with the cask inspection system subject matter of this panel.

CHAIRMAN MILLER: Mr. Riley, what is the connection between the subject of the direct examination and this line of inquiry?

MR. RILEY: Well on the one part, in the life of the

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cask, it's moving with fuel in it, and a good inspection system will be dynamic. It won't just take one moment of the time when the cask is in the pit to look at it, but will be able to evaluate and make a judgment on the cask in its actual function. So the question is, how do we check out a cask in a dynamic situation where it is in the road and subject to failure?

CHAIRMAN MILLER: Well it sounds reasonable.

Let's hear your question rephrased. Rephrase your question,

Mr. Riley.

BY MR. RILEY:

Q What I want to know is in a situation that I just described in the hypothetical, you indicated that DOE not the I&E would respond. And my question is, on what basis can we attribute expertise in the NFS-1 cask to the DOE responder?

CHAIRMAN MILLER: I believe we'll sustain the objection to that, Mr. Riley, that seems to be getting more into responses, techniques and the like rather than to the inspection. We will allow questions, however, along the line you indicated was the scope of your interrogation, but we don't regard that question as being that, so we will sustain the objection on that basis.

MR. RILEY: Very well.

BY MR. RILEY:

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Q In a response in a dynamic situation, access will have to be gained to the cask. Now in inspecting the cask, is there a provision that such access be provided?

To make it a little more specific, let's say there's a problem with one of the ball valves which would be involved in, say, either the venting system or the coolant drain system and a correction has to be made there. Does inspection assure that in a dynamic situation there will be access to that valve for corrective action?

MR. KETCHEN: Same objection, Mr. Chairman.

CHAIRMAN MILLER: Overruled, he may answer.

Do you understand the question?

WITNESS HUFHAM: The only answer I can say is through this procedure 1 view -- the procedures require that this provision be made, or if there is some requirement that this be made available, then the procedures must capture it and then we do review the procedures for implementation.

Other than that, I have no knowledge, Mr. Riley. BY MR. RILEY:

Q The description of the cask indicates there is a device vis-a-vis tampering. Could you provide a description of the device and the inspection that is made of the device, by whom and with what frequency?

- A (Witness Hufham) No, I cannot.
- Q Are you familiar with the incident in which a

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spent fuel assembly was shipped from the Oconee Plant to a Florida plant in which the wipe made on the cask surface was within requirements at the point when the cask left Oconee but individual counts of as high as 130,000 dpm were noted when it was received in Florida? The question is are you familiar with that case?

I'm familiar, Mr. Riley, with the date the cask left Oconee, our notification of the states through which it would move, the State of Georgia's inspection of the cask where the surface contamination had increased, and the arrival of the cask on-site at Crystal River and the reversal of that shipment.

All right.

Are you specifically aware, then, of what the maximum count was at any point on that trip?

I don't remember the figures. There were several shipments, it seems like to me there were six shipments of that type.

- Would you accept a maximum count of 130,000?
- I think you're approximately right.
- Is it true that the regulation calls for a maximum of 20,000?

Yes, and we can provide you the answer today for the reason or the cause of that. I just don't remember it.

Well I would like to ask you what the cause of the

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high dpm is, what corrective action was taken and what verificiation was made through the inspection system.

- A That can be provided.
- Q Is it your suggestion that it be brought in later today?
  - A Yes.
- Q Now when an inspection is made of a cask where there will be a shipment, I gather from what you said that there's an inspection at the initiating end of the trip. Is that correct?
  - A In most cases.
- Q And is there an inspection at the terminal end of the trip?
- A Again there may or may not be. I cannot -- I would get away from saying there's a 100 percent inspection at the beginning and at the termination of the shipment.
- Q But it was your testimony that there's a very high probability that if a routine inspector is not able to be present because of the high frequency of shipments, that the resident inspector would be present?
  - A That's correct.
- Q How long does such in inspection take at the initiating end?
- A Well we have -- some of these inspections have lasted at least a day. A day.

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Q What about at the terminating end?

A Matter of hours. One I can remember is the Oconee, the one you have mentioned. There was an inspection made at the beginning of the Oconee shipment and I would have to check but I'm also sure there was one made at the Crystal River site.

Q To take another hypothetical then, if a resident inspector at Oconee spends about a day inspecting a cask for each shipment, what provision is made for covering his other duties?

A One of his duties as a resident is that he will have time to do all of his modules. These are called inspection modules. We have an inspection modules for his cask involvement or inspection.

Q Would you please explain for the record what an inspection module is?

A These are inspection guidelines that are used by the inspector. The objective of the inspection, the requirement of the inspection and -- there are three sections to it: the objectives, the requirements and the guidance.

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| Q          | All right.    | To use a | fairly famili | ar example,  | there's |
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| a book of  | times for the | various  | operations in | automobile   | repair  |
| standard t | time charges. | Is there | also a stand  | ard time for | r an    |
| inspection | n?            |          |               |              |         |

- A Yes, we have times allotted to the inspection modules.
- Q What is the time allotted for the inspection modules both on initiating a cask -- I mean assembling a shipment and on terminating?
- A I do not know. We have so many modules with different times. I cannot answer that.
  - Q Could you provide that information also?
- A Yes.

Now, these are only estimates. We have estimated times for completing a module.

- Q It will provide some sort of a yardstick, and I can understand that certain circumstances might require increasing the time. But would I assume correctly that it's sort of a minimum time estimate?
- A Yes, that's right. And also, Mr. Riley, while we're on this, there is a proposal before the Commission now -- Oconee is a good example. We have one resident inspector now. We have provisions for what we call a lead resident inspector, in other words additional residents for a site.
  - Q With respect to the current resident inspector, what

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are his normal hours of work?

A Far exceeding 40 hours a week.

Q That's why I said "normal." Would it be reasonable to expect that he would normally be present five days a week?

A He's present five days a week. He will alter his working schedule to observe activities that may occur on back shifts or weekends. He's required to work a 40-hour week, but it is not a Monday-to-Friday schedule.

Q Do you know whether there will be spent fuel shipments made during some periods seven days a week?

A I would expect some to be.

Q Turning to you, Mr. Spitalny, I asked Mr. Hufham if he had physically examined with some degree of intensity say an actual NFS-1 cask, become acquainted with it as a physical entity.

Have you?

A (Witness Spitalny) I think as far as the description you're referring I probably have not. I have seen the NFS-4 cask. I have not done an extremely detailed examination.

Q Thank you for the correction, NFS-4.

What about the trailers? Do you know whether there is only one type of trailer for the NFS-4?

A The trailer... to answer your question, I'm not sure how many numbers of trailers possibly exist in this particular situation. Duke has its own trailers, two of them,

rters, Inc. I believe, that they are using for their casks.

Q Now, in your understanding of cask inspection, do you regard the cask and trailer as a system, or do you feel that inspection is confined only to the cask itself and to cask operation?

A The inspections that cover routine operation call for an evaluation of the procedures which are done by the Applicant. The procedures include preparation and mounting of the cask onto the trailer. That aspect of it would be covered by inspection.

I'm not sure what specifics are highlighted in the procedure to actually make a walk-around on the trailer and look at it.

Q Is the trailer design subject to certification, as is the cask?

- A No, not to my !: nowledge.
- Q Your answer was no?
- A Not to my knowledge.
- Q Earlier testimony indicated that there was a perforated metal heat shield on the cask, on the trailer. Is that correct?

A I'm not quite sure what you're referring to. My description of the boundary between an individual approaching the cask would not be that of a perforated shield. I'm not sure what you're referring to.

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Q Well, to try to clarify this matter, I believe that Applicant and Staff have testified that the exterior surface of the neutron shielded cask have reached temperatures as high as 304 degrees Fahrenheit. Is that approximately right?

A I won't testify to the numbers.

Q All right. Nevertheless, it would be not enough to inflict a burn. And it is certainly information provided by Applicant on discovery. I'm not certain that it's part of the record.

But there is a shield, so that a person would not be able to contact this and be burned in that way. Are you familiar with that?

A Not the way you're talking about it, no. I am not familiar with the cask reaching that temperature. And if there was a shield, as you're talking about, it would be a part of the cask. There is a boundary on the trailer, on the carrier.

Q I think we're talking about the boundary on the carrier, Mr. Spitalny.

A I'm familiar with that.

Q All right. Could you describe the nature of the boundary on the carrier, and what inspection it receives, if any?

A The trailer has a truss-type structure running down the length of the trailer, which cradles the cask. It

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prohibits anybody from actually reaching in and getting to the cask unless they're going to start climbing on it, and if somebody has the intent they might be able to do it.

Additionally, I believe now that Duke has indicated that they are putting on some type of screen along that truss structure which maybe is this perforated shield you're talking about. That would be best discussed by the Applicant.

Q But this whole area that we've discussed now is not subject to Inspection and Enforcement, is that correct?

A The actual procedures that would be used are written by the Applicant, with regard to loading the carrier. I do not know any inspection requirements spelled out by the Staff which says you will inspect certain things on the carrier. It's usually spelled out in the procedure written by the Applicant.

Q Is it also your understanding, Mr. Hufham, that there are no requirements for such an inspection?

A That's right. It's been answered the only way I can. If it is in the procedure, it will be inspected.

Q Right.

Now, could you tell us what the procedures are with respect to examining the tamper seals, Mr. Spitalny?

A (Witness Spitalny) When you refer to tamper seals, there is one shield that I know of on the drain valve which might be referred to as a temper shield, or it's there to

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23 24 protect the valve. There is a requirement that says all tamper shields will be inspected. That requirement is a general requirement, speaking to any type of package. Whether or not the cask has something that is described as a temper shield, I'm not familiar, and I couldn't tell you specific details.

You could not provide specifics for the NFS-4 cask in guestion, then?

- That's correct.
- What about you, Mr. Hufham?
- (Watness Hufham) No, I can't.

MR. RILEY: Thank you, gentlemen.

CHAIRMAN MILLER: Any further examination? Mr.

Wilson?

MR. WILSON: I just have one follow-up question. BY MR. WILSON:

Mr. Spitalny, can you tell us whether or not Duke Power has adequate quality assurance management programs in place to comply with the regulations we've been discussing here on cask inspection?

(Witness Spitalny) Yes, they do.

MR. WILSON: That's all I 'ana. Thank you, Mr. Chairman.

CHAIRMAN MILLER: Does Staff have any further interrogation?

MR. KETCHEN: I have one or two questions. Mr. Chairman.

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BY MR. KETCHEN:

right today in the Commission's inspection and enforcement procedures a requirement that a spent fuel shipment be inspected at its beginning and at its end before the shipment takes place?

A (Withess Hufham) No, it is not.

You mean inspected by an NRC individual?

Q That's correct.

A We have inspection modules that we do follow. There's usually a percentage with them.

I can also check on the percentage that we must see. Export-Import shipments, we must see 25 percent of them.

I'd be glad to check on the percentage that we must see of spent fuel shipments, must inspect.

MR. RILEY: Is it our understanding, Mr.Chairman, that this information will be provided by Mr. Hurham?

CHAIRMAN MILLER: Well, we'll inquire.

WITNESS HUFHAM: Sure, it could be provided.

MR. RILEY: Thank you .

WITNESS HUFHAM: Today.

MR. RILEY: Thank you.

MR. KETCHEN: Mr. Chairman, I have no further questions.

There were two things that Mr. Hufham volunteered for, and that was one of them, I believe.

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Well, there's a third one, another one. There will be three. Another one was the times for completing inspection of a module. I think you are going to provide that.

The other one was some information on a specific inspection that took place during a transshipment between Oconee and Crystal River. And the numbers I recall are 20,000 and 130,000. And Mr. Hufham may recall the questions, those three areas.

What I would suggest is that over the lunch break secure thatinformation, give it to you and Mr. Riley immediately at the resumption of the hearing.

CHAIRMAN MILLER: Very well. If that's convenient it would be helpful.

WITNESS HUFHAM: That's fine.

CHAIRMAN MILLER: Are there any further questions now that anyone has of this panel?

MR. RILEY: I may have one question that I hope will not be objected to. And that is:

## RECROSS EXAMINATION

BY MR. RILEY:

Q Mr. Hufham, can you tell us the status of the two
NFS-1 casks which we learned earlier in the proceeding are
both -- have both, in effect, their certification withheld
until certain examinations, etc., are made?

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In other words, do we know yet when those casks will be available for the road?

A (Witness Hufham) I do not.

Do you know?

- A (Witness Spitalny) I can respond.
- Q Would you, please, Mr. Spitalny?
- A Yes.

We haven't progressed much further from where we were the last time we spoke on it. The present position of the cask is that the QA audit had taken place at the manufacturer of the casks and that there were some discrepancies noted at that time.

Prior to any authorization of the use of the cask, whether it be for the limited use that we had discussed involving 2.5 or full restoration of the casks, these QA discrepancies would have to be resolved. That is being handled through I&E and the manufacturer.

The applicant, being NAC, Nuclear Assurance

Corporation, is continuing their evaluation, which was to

determine another -- well, to do a buckling analysis and a

complete evaluation of the cask the way it exists presently.

So, to answer your question, they are still pursuing to get back on line, but the problems with the QA audit have to be resolved first.

Q All right.

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Could you tell us just a bit what you mean by problems with the QA audit?

Well, there are some verifications that have to be made which apparently have not been shown during the audit. It's up to the manufacturer to verify to I&E's satisfaction a number of particular elements. I am not apprised of all of those different areas.

But would this simply be in the area of perhaps verifying that certain measurements were made and provided for the record?

I would really rather hold off on-- I'm not totally familiar with the QA portion.

Thank you.

CHAIRMAN MILLER: Does that conclude your examination, Mr. Riley?

MR. RILEY: It does, Mr. Chairman.

CHAIRMAN MILLER: Does anyone else have any further questions before the panel is excused?

(No response)

CHAIRMAN MILLER: All right. The panel will be

(Panel excused)

CHAIRMAN MILLER: Does anyone have any short

matters?

excused.

MR. KETCHEN: I have a couple of short matters

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I would like to discuss just briefly on the scheduling. I would like to discuss briefly Dr. Bateman's appearance, Mr. Chairman. We met with Dr. Bateman -- Staff Counsel did, last week, and there's some indication that he will be a witness in this proceeding, and I think he will be. But we met with him to interview him, and I indicated to the DOE attorney representing Dr. Bateman that I would give her a call as scon as I could verify when he would be required.

The parties have stipulated it would be acceptable to them, at least, that Thursday, 13 September, would be set aside for Dr. Bateman, and I would like to just have -- I would just like to return that call, and I will do so if the Board would let me, and indicate to Dr. Bateman that he be here at 8:30 on Thursday morning, ready to proceed.

CHAIRMAN MILLER: Let me ask, first of all, does

Mr. Roisman, who requested the subpoena which the Board issued,
has he stipulated to this time for appearance?

MR. KETCHEN: That's correct, yes.

MR. MC GARRY: Yes, Mr. Chairman.

CHAIRMAN MILLER: Does anyone have any objections, or have you all, in addition to Mr. Roisman, agreed to the appearance for the purpose of giving testimony of Dr. Worthington Bateman on Thursday, September 13?

MR. RILEY: I have agreed.

CHAIRMAN MILLER: I take it everyone has agreed.

?

What time has been set?

MR. KETCHEN: Well, I told Dr. Bateman 8:30. Is that correct? That Mr. Roisman had no objection to that time period?

MR. MC GARRY: I believe the only trouble, the only time restraints Mr. Roisman had, were Monday and Tuesday morning, and Tuesday afternoon. He did not indicate any problem with whatever time we set for Thursday.

MR. KETCHEN: I tentatively told Dr. Bateman that 8:30 would be the starting time.

CHAIRMAN MILLER: Well, why don't we make it 9:00?

It might be a little more convenient. And you can tell us a little later in the week what else is to be taken up on Thursday. But let's schedule -- and you may so inform counsel and Dr. Bateman that we will be pleased to hear from him at 9:00 a.m. on Thursday, September 13.

MR. KETCHEN: I will do so.

MR. MC GARRY: Mr. Chairman, I also have several phone calls to make. At the outset, I indicated we were prepared to call certain witnesses. I had spoken at that time with Mr. Riley and Mr. Roisman, and during the break Mr. Porter spoke with Mr. Ketchen and Mr. Wilson, and I believe none of the parties have any objection to stipulating to the testimony of Dr. Garrick and Dr. Hamilton.

With respect to Mr. Lewis, three of the parties have

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rai Reporters, Inc. no objection to stipulating. Mr. Riley will review the testimony of Mr. Lewis over the luncheon recess, and perhaps we can complete that.

The phone call I have to make is to tell these gentlemen not to come. So the stipulation would be that their testimony would be bound in the record as if read, if that's acceptable to the Board.

CHAIRMAN MILLER: That's agreeable with the Board, that the testimony of the witnesses, whom you will now re-name for the record, may be received in the form of written direct testimony by agreement of the parties -- by agreement of all parties and counsel.

MR. MC GARRY: Mr. Chairman, perhaps I should now mark these documents -- and I have the appropriate number of copies to take care of it.

The testimony of Dr. Leonard Hamilton, the supplemental testimony, consisting of two pages, which was served upon the Board and the parties, I request be marked for identification as Applicant's Exhibit 24.

(The document referred to was marked for identification as Applicant's Exhibit 24.)

MR.MC GARRY: And the supplemental testimony of Dr. B. John Garrick, which consists of two pages, with an attachment of 3 pages, the attachment bearing the numbers

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9-A, 9-C and 9-D at the bottom, with an additional attachment, which bears the caption Attachment A, and a further identification PLG-0102 Addendum, titled "Risk Analysis of Transporting Oconee Spent Nuclear Fuel to the McGuire Nuclear Station."

I would request that that document be marked for identification as Applicant's Exhibit 25, and upon providing the appropriate number of copies to the Reporter, which I will do in one minute, I would request that these exhibits be bound into the record and received as evidence as if read.

CHAIRMAN MILLER: Any objection?

MR. KETCHEN: No objection.

(The document referred to was marked for identification as Applicant's Exhibit 25.)

CHAIRMAN MILLER: By agreement, the testimony of Dr. Hamilton and Dr. Garrick can be received as Applicant's Exhibits 24 and 25, respectively.

(The documents heretofore marked for identification as Applicant's Exhibits 24 and 25 were received in evidence.)

CHAIRMAN MILLER: These locuments will be received, and such direct written testimony will be incorporated and bound into the record.

(The documents follow:)

MR. MC GARRY: Thank you, Mr. Chairman.

CHAIRMAN MILLER: Anything further?

MR. KETCHEN: A couple of things that I wanted to just fill in about the schedule, Mr. Roisman, in going through the sch edule mentioned that at one point I believe this afternoon — I don't know whether we're going to get to it or not, because Mr. Roisman may or may not be here — but that the Staff had a panel on the FOIA, and this would take up Tuesday afternoon, and possibly Tuesday morning, and maybe part of the afternoon on Tuesday.

In addition to what he represented, I just wanted to fill in that Mr. Spitalny would also cover a series of other things, or items, which are sort of open, loose ends, like Mr. Spitalny was asked to report on certain Board questions or other party's questions, so there's a whole host of things that Mr. Spitalny would be filling in that time with.

I just wanted to make that clear.

CHAIRMAN MILLER: When would that be?

MR. KETCHEN: \_ That would be after we've finished with the State's questions on Part 73 regulations, application.

And I'm not sure we'll get through it today, but if we do, we'll go into these other items, such as pin compaction and the reracking doses, and the critical events chart that I believe the Board asked for. And then the Freedom of Information Act cross on materials that Mr. Roisman received under his

rai Reporters, Inc. request, and that sort of thing would either begin sometime today or first thing in the morning, and proceed on.

CHAIRMAN MILLER: All right.

Anything pertaining to Mr. Roisman, of course, we'd either have to have his prior stipulation and consent or else his presence.

MR. KETCHEN: That's correct.

CHAIRMAN MILLER: Mr. Roisman, on behalf of NRDC, has filed as of September 7, 1979 the submission in response to the Board's request pertaining to scheduling and triggering dates, and the like.

Now, I have been out of the office for a week, and perhaps others have filed similar documents, I don't know.

But these were matters where the Board had asked for the filings. Am I correct on the state of the record on that?

MR. KETCHEN: Yes, you're correct. You asked us -at this point in time, the way the Staff understood was that
probably the Applicant would best have access to that information. I think Mr. Roisman alluded to that as well. The
Applicant did come up with a document which we have reviewed,
and we have a document that we are having typed in final, and
we would probably present to the Board as to our review of
the Applicant's document.

I don't know whether the Applicant is going to put that in or not.

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CHAIRMAN MILLER: We suggest that it would be helpful if you could do that, well, say, by tomorrow at the latest so the Board can have a chance to discuss it with counsel if it seems to be indicated.

We have received Mr. Roisman's.

I take it, Mr. McGarry, you have one --

MR. MC GARRY: We have a document. We did not furnish it through the mails. We'll furnish it this week, Mr. Chairman, tomorrow.

CHAIRMAN MILLER: Tomorrow.

Anyone else? Mr. Riley?

MR. RILEY: We did not produce a document.

CHAIRMAN MILLER: Okay.

Mr. Wilson?

MR. WILSON: No, sir, we did not.

CHAIRMAN MILLER: Very well.

Anything further at this time?

(No response.)

CHAIRMAN MILLER: If not, then we'll recess until two o'clock.

(Whereupon, at 11:40 a.m., the hearing in the above-entitled matter was recessed, to reconvene at 2:00 p.m., this same day.)

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## AFTERNOON SESSION

(2:00 p.m.)

CHAIRMAN MILLER: Are we ready to proceed?
Who's the next witness?

MR. KETCHEN: Mr. Chairman, Mr. Hufham is back to answer the questions asked on the cross this morning, I think there were three questions and we could cover those now.

CHAIRMAN MILLER: Yes.

MR. KETCHEN: I'll just prompt Mr. Hufham by giving him the subject areas, and maybe he could report as to the facts that he discovered during the break.

CHAIRMAN MILLER: All right.

Whereupon,

## JAMES W. HUFHAM

was recalled as a witness on behalf of the Regulatory Staff, and, having been previously duly sworn, testified further as follows.

## FURTHER DIRECT EXAMINATION

## BY MR. KETCHEN:

Q Mr. Hufham, you were asked qurstions about a particular spent fuel shipment in which the surveys taken by the inspectors revealed certain information, and the numbers I think were 20,000, and also questions about other numbers, 130,000. Do you recall that questioning?

A Yes.

Q And you indicated that you could provide the information but it would take a little research. Have you done that research at this time?

A Yes, I have.

Q Could you repeat your response to those questions on that subject matter, please?

A The shipments in question were the shipments from the Oconee facility to the Crystal River facility early spring of this year. We .. 'a man, an inspector was on-site when the shipments would leave Oconee and we had a resident on-site in Crystal River where the shipments would arrive at the Florida facility.

In review with these men, I reviewed the shipments with them and the information as I have now is what we received several months ago, that our confirmatory measurements made of the Oconee cask before they left as well as that of the licensee were below the DOT requirements. But as the cask would leave and travel to the Crystal River site, the surface contamination did increase.

And in our investigation, or as I was asked to find out what we did, we did meet with the Licensee to try to define the problem. And in talking with the men that were responsible for this, they concluded that as the casks were loaded, as the elements were loaded into the cask and the cask

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was submerged, the cask will be brought up loaded with the fuel element, the cask would be washed, deconned and surveyed. But as the cask would move along the highway, there would be some leaching from the stainless steel, the water, the spent fuel coolant that had been absorbed in the non-coated stainless steel surface of the cask.

As far as corrective action, no real affirmative action has been taken at this point. We recommended from the Region 2 office that the cask be sealed in some type of sealant, and we were informed that that would interfere with the heat transfer system of the cask.

As we have it now, we are generating written communication to the NMSS Division of NRR -- I mean of the NRC.

- Q Does that complete your answer?
- A Yes, it does.

MR. RILEY: May I ask a question, Mr. Chairman?

CHAIRMAN MILLER: Pardon me?

MR. RILEY: May I ask a question?

CHAIRMAN MILLER: Well I don't think we're completed yet. Let him finish his testimony.

MR. RILEY: Oh, okay.

BY MR. KETCHEN:

Q The second area that you were asked to do some gathering of information on, Mr. Hufham, was in the area of

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the times necessary for completing inspection of a module.

Do you recall that question?

A Yes, I do, and I answered one day, and that is still the correct answer, estimated one day.

Q And does that apply to the beginning of the shipment or the end of the shipment or both, could you break it down?

A It's only the beginning of the shipment, it's a procedure review of the cask, a review of the QA audit program.

Q Okay. How about at the end point of the shipment, do you have any time --

A I mentioned several hours. That would be it also, there would be no change in that answer.

Q All right.

I think the third area was a redirect question which I did cover. I believe that responds to Mr. Riley's request for Mr. Hufham to do some research and give some -- those are the open items, I believe.

A Well there was another one on the frequency of inspections, the required percentage.

Q That's right, that's correct, thanks.

Okay. Would you give us what you found out about that?

A Mr. Riley, I would have answered it earlier in

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modules carry a different frequency. And I did verify, the frequency of these modules are annually.

But as I have stated earlier, it is a regional policy -- maybe I have not stated it was a regional policy earlier, I just mentioned that we do make these inspections more frequently than annually. But it is the regional policy that we do have someone attend or to perform an inspection with every spent fuel shipment that occurs.

Now we have had the Oconee to Crystal River shipments, and we have had the Robinson facility to the Brunswick facility shipments, and we've had inspectors at those sites at least at the origin of the shipments.

Now that doesn't mean that we will see every cask and personally inspect every cask that leaves that site. But we will do some cask inspection, procedure review inspection, as well as the recordkeepings of the shipments and the QA program.

I have a further answer.

CHAIRMAN MILLER: You may continue.

THE WITNESS: In the event that we suspect a problem or a problem develops with a series of shipments, we do have the resources to have someone there for every shipment for the duration of the series. But we have other priorities at this time that we feel that we must perform.

CHAIRMAN MILLER: Does that conclude your answer?

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THE WITNESS: Yes, it does.

CHAIRMAN MILLER: Anything further on behalf of the Staff on redirect?

MR. KETCHEN: Nothing further.

CHAIRMAN MILLER: Mr. Riley, do you care to cross-examine?

MR. RILEY: Thank you.

FURTHER CROSS-EXAMINATION

BY MR. RILEY:

Q Mr. Hufham, what is your understanding of the word "leaching?"

A The exact -- well, coming to the surface. It appears again as a surface contamination on the cask.

Q Mr. Hufham, are you familiar with either chemistry or chemical engineering?

A Well I've had chemistry.

Q Well is that your understanding of your definition of the word "leaching" in the context of chemistry?

A Well these are the words that we have been using on the Oconee cask situation.

Q I realize those are the words that have been used. What I'm trying to do is find out their communicative appropriateness.

Now is it not true that in the normal context in leaching a solid material is exposed to a liquid phase and

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material within that solid phase dissolves in the liquid. Is that not the normal definition of leaching?

A I have not checked the definition lately. You may be right.

Q Well if hypothetically we accept what I represent as the normal definition of leaching, then how was the leaching process carried out in regard to this cask? Was the cask at some time in transit submerged or in a heavy rainstorm or something like that?

A It quite possibly could have been. In March is when these shipments occurred and we had very heavy rains. I can't say for sure.

Q Can you say of your personal knowledge and expertise that leaching was, indeed, the cause of the increase in the dpm during transit?

A That is the information that I have been informed of by the men that were evaluating the shipments and the problems with these shipments.

Q Can you tell us specifically the qualification of these men to make a judgment as to whether or not the phenomenon was leaching?

A I cannot tell you the qualifications of all of the men because it was a combination of NRC as well as Duke Power officials or representatives. I can address the qualifications of the NRC personnel.

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Q Do we have in that group qualified chemists or chemical engineers?

A You might from the Duke Power group. You do not from NRC.

Q In other words, you are not able to testify affirmatively to your own knowledge a qualified expert made the judgment that leaching had occurred?

A I cannot testify today.

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Q Is it not possible, then, that in following the regulations of the NRC that in a period of one year in which Duke shipped 150 fuel assemblies, hypothetically, that there might be only one inspection of a cask loading? I said hypothetically possible in terms of the regulations, bearing in mind the policy statement that you'd like to do it more than once a year.

A If you continue in the hypothetical and in accordance with the requirements of the inspection module, that would be correct.

MR. RILEY: Thank you.

CHAIRMAN MILLER: Does that conclude your examination, Mr. Riley?

MR. RILEY: Yes. Thank you.

CHAIRMAN MILLER: Mr. Wilson?

MR. WILSON: Thank you, Mr. Chairman.

BY MR. WILSON:

Q Mr. Hufham, I take it from your earlier testimony regarding the cask and apparent leeching problem with it, that that matter was still under investigation. Is that right?

A It sure is, Mr. Wilson. And I delayed this morning hoping I could have answers to the written -- our way of handling a problem like this is to generate a written action item for someone to look into it, and that action item

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as of now has not been answered.

CHAIRMAN MILLER: Has not been answered?

THE WITNESS: That's correct.

CHAIRMAN MILLER: It has, however, been initiated

in the process?

THE WITNESS: I cannot give you the exact action

item number. We list them by numbers.

CHAIRMAN MILLER: All right. Your understanding

is sufficient.

THE WITNESS: Yes.

MR. WILSON: That's basically all I had there,

Mr. Chairman.

CHAIRMAN MILLER: Mr. McGarry?

MR. MC GARRY: No questions.

CHAIRMAN MILLER: Mr. Roisman?

MR. ROISMAN: No questions.

CHAIRMAN MILLER: Anything further, Staff?

MR. KETCHEN: No further questions, Mr. Chairman.

CHAIRMAN MILLER: Thank you, sir. You may step

down.

(The witness excused.)

CHAIRMAN MILLER: Next?

MR. KETCHEN: Can you give me just a couple of

seconds?

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CHAIRMAN MILLER: Sure.

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(Pause.)

MR. HOEFLING: Mr. Chairman.

CHAIRMAN MILLER: Yes.

MR. HOEFLING: At this point in the normal course of the schedule that the Staff was contemplating, the subject of the Part 73 physical security requirements would come up as requested by the State of South Carolina. That subject area is clouded by the Staff's petition to the Commission on routing.

But let me just talk a little bit here.

The Commission -- I don't know whether Mr. Roisman has made any comments on the subject or not.

CHAIRMAN MILLER: No.

MR. HOEFLING: There was no quorum this morning.

Mr. Hendrie and Mr. Gilinsky were present. They have taken
the matter under advisement. But basically the matter is
before the Commission and we haven't moved beyond the
Commission's formal order which issued last Friday.

The Staff has a witness, Mr. Don Cosson, who reviewed Duke's proposal in the Part 73 area, specifically Part 73.37. Of course, the specifics of that involve, number one, the routing question which is before the Commission, and number two, other security areas that the Staff would maintain should be treated in a confidential fashion.

So we have possibly some problem proceeding at

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this point in meeting the request of the State of South Carolina. It would be possible for Mr. Cosson, when he arrives -- and he's getting a bite to eat. The Commission broke after 1:00, I believe, or between 12:30 and 1:00. Mr. Cosson could take the witness stand and provide some general information in this area, but at some point we would be geting to material which, number one, either -- if we pressed into details, number one, either would get us into routing or, number two, would get us into other areas which again as I mentioned the Staff would urge would be proprietary information.

So that's kind of where we are right now from the Staff's perspective.

CHAIRMAN MILLER: Well, from that description I don't know where we are.

Mr. Roisman?

MR. ROISMAN: Let me explain -- by the way, and this would be for the record so that the Reporter will have it, NRDC's address has changed since the last hearing. Our offices are now located at 1725 I Street, N.W., Sixth Floor. And our telephone number is area code 202-223-8210. That change took place over the Labor Day weekend and has been in the process of taking place since then, I regret to say.

As a result, mail hasn't necessarily caught up with us. If the Staff has prefiled testimony on Part 73, I

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don't believe I've received it yet.

Is there a piece of prefiled testimony?

MR. HOEFLING: The Staff has prefiled its supplemental report, which contains a very abbreviated description of the Staff's Part 73 review, and other items. But the Part 73 review is addressed in that document. That was filed to meet the Board's deadline. That was filed the Friday before Labor Day.

MR. ROISMAN: Well, I got in the mail a copy of -you're not talking about the Glenn-Spitalny --

MR. HOEFLING: No.

MR. ROISMAN: That's the only thing that I've received.

CHAIRMAN MILLER: Is that the Staff testimony of.

R. Daniel Glenn and C. Vernon Hodge?

MR. HOEFLING: No, Mr. Chairman.

CHAIRMAN MILLER: With a cover letter of August 30th?

MR. HOEFLING: Staff Report Related to Spent
Fuel Storage of Oconee Spent Fuel at McGuire Nuclear Station
Unit 1, which was transmitted by letter of August 31, 1979,
to the Board and the parties. And that document has a
section in it which speaks to Part 73 and the Staff's review
of those requirements.

MR. ROISMAN: Mr. Chairman, I'm sure through no

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fault of the Staff, but I have not yet seen that document.

I got in the mail today in my office a letter sent by Duke Power on the 30th of August. I mean, we're just having a huge mail lag.

MR. HOEFLING: Mr. Chairman, I'm going to give Mr. Roisman a copy of that now.

(Handing document to Mr. Roisman.)

DR. LUEBKE: Mr. Hoefling, while he's reading that, I'm looking at the letter by Mr. Wilson, and he suggests in his third subject here that we could proceed generally to discuss the regulations without delving into the specifics of the Applicant's proposed action.

This morning I have the feeling that we could still go ahead with this Part 3 in lieu of the Commission's situation.

MR. HOEFLING: The Staff is suggesting that we have Mr. Cosson here who can speak in generalities, and we could proceed in that fashion.

CHAIRMAN MILLER: But you also suggested that proceeding in generalities, cross-examination would test the basis of any generality, and we're going to get into what you deemed a verboten area. That's why I said I really couldn't understand what the bottom line was, because you spoke of both. And there's no point in going into generalities and pursuing the will-o-the-wisp if the first touch at

cross-examination is going to come up against this same argument.

I'd rather go one way or the other. But I find these hybrid kind of things take a lot of time.

MR. HOEFLING: I understand, Mr. Chairman. I'm just trying to, as best I can, tell you where we are and see if there's anything that could be done to get around this.

But I fully agree that if we're going to test these areas we would have to get into specific 'nformation which the Staff would --

CHAIRMAN MILLER: So the only way that we could proceed would be if the Intervenors or other parties interested in interrogating on this are willing to waive those portions of the underlying bases for conclusion which normally are tested by cross. If we can't, then there's no point. However willing six letters indicate, you're going to be up against the same stone wall.

MR. HOEFLING: If I could just raise one other point, I think we have three areas here. We have number one, CESG Contention number two. And we have testimony from Glenn and Hodge which has been prefiled that discusses that contention, and would be an area where route information would be relevant.

The second area that we have or may have or may not have is NRDC's Contention number six, which relates to

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sabotage. And as the contention is presently framed, I believe the contention reads somewhat to the effect as to whether or not Duke's proposal has met the regulations. And if that contention is going to be pressed, then again we deal with information that would take us into the specifics of security measures.

The third area that we have, possibly, is the interest of the State of South Carolina to have some information related to Part 73, and it's not entirely necessary perhaps that we reach the level of detail to satisfy that demand that we would reach in responding to CESG Contention number two or the NRDC sabotage contention.

CHAIRMAN MILLER: All right.

Let's hear from Intervenors, then. What's your position?

MR. ROISMAN: Well, I don't have any problem if the Board doesn't -- is agreeable with this, with having the State of South Carolina proceed as far as it chooses to proceed. If it doesn't want to touch on the routes or the other in camera stuff, that's all right with me, if they're satisfied with what's here.

For my purposes it would be fruitless to attempt some cross-examination which they think might ultimately touch on routes and then try to keep sculpturing it to keep the routes out. That wouldn't be very fruitful

cross-examination.

But as a preliminary matter, it appears to me according to this document on page 7 that the Staff isn't ready to go to hearing yet on this question.

CHAIRMAN MILLER: Page 7 of what?

MR. ROISMAN: Of the document called Staff Report Relating to Spent Fuel Storage. This is the document transmitted by letter of August 31st.

They list on page 6 ten requirements that need to be met before they would authorize transshipment. In the middle of the page they identify requirements five, seven, and nine as having not yet been demonstrated in their entirety by the Applicant, and propose a procedure which think we discussed earlier in this hearing, which is to take away from the Licensing Board in the hearing the opportunity to review the adequacy of the Applicant's proposal and leave it exclusively to the Staff. And one of the items in there would be one certainly of interest to us, which is item number five, develop procedures for coping with threats and safeguards emergencies.

The proposal is that we have no hearing on that, but that the license be conditioned upon the Staff later saying 'We're satisfied'. That would not be satisfactory to us in the least.

Items seven and nine, I'm not clear what's so

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complicated about the Applicant explaining those, I mean assuring the escorts are trained in accordance with specified requirements.

Nine is equip the transport vehicle with features that permit immobilization of the cab and cargo carrying portion of the vehicle. I might point out that five, seven and nine look like sort of the heart of the Commission's new regulations dealing with safeguards, except for routing itself.

So I don't know that the Staff is ready to go ahead, even if the Commission had decided when we were at the oral argument this morning on the protective order question, because I think those items need to be addressed in the hearing. And they don't look like they're ready to address them this week.

MR. HOEFLING: Mr. Chairman, can I respond to that?

CHAIRMAN MILLER: Yes, you may.

MR. HOEFLING: The language there may not be totally clear. What the Staff is saying is that it has looked at these areas. It has completed its examination of these areas. But that prior to shipment there is going to be a physical review by the Staff to see that everything is in order.

CHAIRMAN MILLER: Well, how do you meet the

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contention raised by Mr. Roisman that five, seven and nine are very substantial aspects of the procedures set forth, that they're not either described or in fact it affirmatively appears from a statement of Staff on page 7 that the Applicant has not yet demonstrated in their entirety compliance with or adequacy of, or even nature and extent of the three subject areas, that therefore the Staff's position is simply to remove from the examination and analysis in this adjudicatory hearing, proceeding, and leave it for future Staff action sans adjudication.

MR. HOEFLING: Let me explain that.

At the time this report was written, these areas were open. The Staff has since then examined these areas in addition to that, and has prepared --

CHAIRMAN MILLER: And then would the statement on page 7 be considered superseded? I won't pursue it further if that's what you tell me is the state of the record.

MR. HOEFLING: Yes. In a sense there has been further Staff review in these areas, and we're prepared to go forward with them. But there was also an intent here to indicate that prior to the shipment the Staff would go out in the field, regardless of what happens in the hearing room, go out in the field to assure that everything was in order before the first shipment was made.

MR. ROISMAN: I'm not quarreling with that aspect,

ers, Inc.  Mr. Chairman, I mean, if what they're saying is we now can tell you exactly what it is the Applicant is going to do.

And we think that's satisfactory, but we're going to double-check them by going out into the field and making sure they do what they said they were going to do.

Obviously we can't review that. And I understand that that's not the question.

But what I read on page 7 was that the requirements five, seven and nine, which were distinguished from the requirements four, six and ten, that the ones on five, seven and nine hadn't yet been demonstrated.

That is, whether we were in an in camera session or open session, if we were to ask the question of the Staff 'What do you consider to be the status of the Applicant's procedures for coping with threats and safeguards', they would say 'We haven't yet approved them'. And that would be where I would find fault.

If what Mr. Hoefling is saying is now they would say 'We've approved them', and then we could argue about whether we're going to discuss them in camera or out of camera, what have you, that's a different matter, and I don't have any guarrel with it.

MR. HOEFLING: The position is that we have approved these areas and we're prepared to go forward now in those. The material in the Staff report on page 7 is

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superseded to that extent, just for clarity. The item areas five, seven and nine have been satisfactorily dealt with in the Staff's mind, and the Staff is prepared to go forward and indicate why.

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al Reporters, Inc. MR. RILEY: Mr. Chairman, that still leaves a question with respect to this report on page 7 where, going a quarter of the way down the page, we have a chicken-and-egg proposition:

"Applicant's ability to comply with the requirements of 4, 6 and 10 cannot be demonstrated in their entirety prior to commencement of shipments."

Well, if we can't approve and they can't demonstrate, it makes an interesting problem.

MR. WILSON: Mr. Chairman, might I chime in here for a minute too?

CHAIRMAN MILLER: Chime away.

MR. WILSON: Our initial position is, as Dr. Luebke noted in the letter, was forced upon us more or less through the time constraints, in the hope that we could proceed in some fashion today. It does appear that we're going to have to come back for a further session at some point down the road. And as a matter of coherence in the record, as well as providing everybody an effective means of cross-examination, it might well be advisable at this point to defer the matter again until that later session.

Given the fact that we're going to have to come back, we would propose that to the Board.

CHAIRMAN MILLER: It appears to the Board that we are going to have to defer it, because of the positions taken

It's obvious that we can only go part way with some ill-defined area beyond which one cannot trespass, and this is a very unsatisfactory way to conduct an evidentiary hearing, and the Board just doesn't want to do it under those terms.

by the various parties, between the Staff and Intervenors.

So we're going to defer it until there's some resolution of the issue of the in-camera proceedings.

MR. MC GARRY: Mr. Chairman, let me be the last one to jump in here. I would just hope that we could complete the hearings this week. Of course, we don't know what the Commission is going to do. But the proposal that we're going to have another session, I would just hope that that seed has fallen on barren soil at this point in time, and see what the Commission does in the next day or two, hopefully.

It very well may be that we can complete this.

CHAIRMAN MILLER: Well, the state of the soil is neutral at the moment. We don't know. We're not going to second-guess what the Commission does or doesn't do. As soon as we know, whether it be sooner or later, we'll all be able to schedule and proceed with that aspect or those aspects. In the meantime, we can only hold them in abeyance.

MR. ROISMAN: I'd like to join Mr. McGarry, not necessarily in the analogy but in the wish. That is, I'm curious, if it came up and we did it on Friday -- let's assume the Commission finished everything, would the State be able

to come back?

MR. WILSON: The matter I'll be with won't be finished until at least lunch time on Friday, so I doubt that I could get here in time to participate.

Now, Saturday is another story.

CHAIRMAN MILLER: Saturday is sure another story.

(Laughter.)

MR. ROISMAN: Not for me it's not.

CHAIRMAN MILLER: You're talking about my time now.

MR. ROISMAN: A tale told by a fool?

CHAIRMAN MILLER: Well, if we can accommodate you this week, which goes up to 5:00 or 6:00 o'clock on Friday, we certainly -- or even later, if we can accomplish the termination of the evidentiary hearing, we would certainly be receptive. But we will simply have to abide with the event of what happens to the Commission without a quorum, I guess.

Is that the status of things? You've talked to two out of five, or --

MR. ROISMAN: It's my understanding that there's a quorum in Washington, but there just wasn't a quorum sitting at the oral argument.

CHAIRMAN MILLER: Do you have any information at all as to what the Commission procedure would be on this matter?

MR. ROISMAN: I asked that of the Chairman. At the

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s, Inc.  risk of paraphrasing the record, it's my understanding that what he responded was that the Commission kept the protective order in effect, because it didn't have a quorum to change it even if it wanted to, and that they would hope in a day or two that they would be able to address the question. But there was no commitment. They were going to need to wait for the transcript to be prepared, so that the other Commissioners could see it. It's not clear to me whether or when the Commissioners who were out of town are due back in town, or whether they would wait for them or not.

That's all he said on the subject.

CHAIRMAN MILLER: Well, we'll see. If it comes to pass by Friday we'll certainly accommodate you. And if not, we'll just do the best we can.

All right. Where do we go now? What is the uncontroverted area, at least insofar as we can proceed with the testimony and evidence?

MR. KETCHEN: Mr. Chairman, we would propose to call -- t. stipulated schedule would call for Mr. Spitalny to come at this time tomorrow in the sequence of things. I also understand that Mr. McGarry has some witness in the other areas that the Board wanted reports on. I'm talking about -- or Mr. Roisman -- the pin compaction problem, the radiation dose estimates survey question. The question -- just to flavor it a little bit -- was where Mr. Spitalny was

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asked if he could re-create the research he did in pulling together information that he had prepared for the last hearing on actual doses at other plants in a spent fuel expansion versus estimates -- I think I've got that backwards -- estimates, and then how did the actuals come out.

The what I call critical events chart, the Board asked -- and we had some discussion of it this morning -- if the particle would either separately or jointly or in some fashion address the matter of what you do at such and such a time with such and such an alternative. Mr. Roisman, I think, filed a paper on September 7 on that subject. We can talk generally about that.

I think on that question we would probably want to follow Mr. Roisman and Mr. McGarry's presentations, because our presentation depends on a review of Mr. McGarry's presentation.

CHAIRMAN MILLER: Dr. Luebke asked me to inquire:

Is there any possibility that Dr. Eateman could accelerate
his Thursday appearance to tomorrow or Wednesday?

MR. KETCHEN: I can check. I'll check with his counsel.

MR. ROISMAN: It's my understanding that the only two days available were Wednesday or Thursday.

DR. LUEBKE: Maybe that was taken light-heartedly. CHAIRMAN MILLER: Well, I don't know. You might

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we also, as one of the other items, have the matter of the -- Mr. Roisman had wanted to talk to Mr. Spitalny about the drafts, several prior draft environmental impact

and the other areas either today or tomorrow.

propose to call Mr. Spitalny, if everyone is amenable, on the pin compaction issue and the re-racking subject matter. And I think Mr. Spitalny also had a correction to the record about some testimony on the DOT regulations. I can't gauge how far

that would go, but I can outline what -- we can fill in the

So, it's a little before 3:00 now. We would

check, since we're revising our schedule. You might check to

understanding they are preparing some written submittals, and

I understand that would be available tomorrow. It may not

be available until Wednesday. I think that would impact his

appraisals. That could follow our report on pin compaction

MR. KETCHEN: Well, that I'll check. It's my

see if he's available and would care to come on Wednesday

CHAIRMAN MILLER: Well, we're not needing to fill in time. We want to get some substantive matters accomplished.

First of all, on this question of the report that we had asked all counsel to give us, in terms of the triggering dates and so forth, NRDC, Mr. Roisman, have filed theirs. It

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was included with the filing they made before the Commissioners.

We had expected to have promptly today or tomorrow the similar documentation from the rest of you. This isn't something you're supposed to be doing your homework at night, and so forth. We asked that a studied effort be made to locate the places in the transcript, to have a studied, carefully thought out scheduling of the impacts of various actions and the triggering dates.

We asked this over a month ago. This was not meant to be something off the top of your head, done at the last minute or in the course of hearing. We had expected that would be turned in today, frankly, in written form.

MR. KETCHEN: Well, that's not my understanding, Mr. Chairman. I understood that we were supposed to do a joint effort, if we could, stipulate to it, and that there was no -- if we could stipulate to some written form, that it wouldn't be required that each party file anything. And we're ready to indicate what our view of Mr. McGarry's analysis is, and we haven't done it at the last minute.

CHAIRMAN MILLER: Are you ready, Mr. McGarry?

MR. MC GARRY: Mr. Chairman, as I stated earlier today, I would appreciate having tonight to look it over. We do have a document. It is completed. We do recognize that the Board said the 10th, and if it's the Board's pleasure wo'd go forward. But I would appreciate tonight.

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CHAIRMAN MILLER: All right. Will you be ready to do it, then, tomorrow morning?

MR. MC GARRY: First thing in the morning, Mr. Chairman. Let me just amend that. Not the first thing in the morning, but perhaps 9:30, because --

CHAIRMAN MILLER: All right, in the course of the morning. We have no object on if these matters are stipulated. In other words, Mr. Roisman has brought forth his work product, you have yours, and you're ready to present it, as I understand, sometime in the morning.

Now, to the extent that they overlap and you can agree to the overlap, fine. We're not asking that it be done independently, just to have it done independently.

But on the other hand, it's not something we want to just talk about and kick around like a contention. We regard it as a substantive matter, and we asked that it be keyed to the transcript in the event there was no evidence from which the information could be adduced directly or inferred indirectly, that counsel consider supplying such data for the record.

In other words, this is a substantive matter. Okay. We'll look forward to discussing with counsel sometime during the course of the morning, at the reasonable convenience.

As far as Staff is concerned, do you have any written document now, Mr. Ketchen?

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MR. KETCHEN: We were going to offer our comments on what we understand to be the Applicants review of this matter.

CHAIRMAN MILLER: In other words, the Staff has not independently examined the transcript and prepared a written document with the triggering dates, is that what it amounts to?

MR. KETCHEN: No, that's not true.

CHAIRMAN MILLER: Well then do you have the paper?

MR. KETCHEN: We prepared something. We were going to do it in chart form and it was just almost impossible to do with any degree of ability to communicate the information, it got so complicated it was impossible. So we elected to -- we did meet, under the advisement of the Board, with the Applicant because it's our view that the Applicant has the other information that would be necessary in its planning. We understood Dr. Luebke's request to include not only the transcript thing but other types of events that might come up in the future.

We looked at the Applicant's format which is a textural or a literature type of presentation and we decided that we would give up our attempts to display this in a chart form and to give our review and analysis of what the Applicant did.

So we did look at the transcript and critical events

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in that context in reviewing and trying to review what the Applicant had proposed, and we did meet with the Applicant several times on that.

CHAIRMAN MILLER: If I'm still following you, the Staff has not made an independent study of the transcript and come up with triggering dates or data.

MR. KETCHEN: We've made an independent study of the transcript but we haven't written down in any format that kind of information in a presentation.

CHAIRMAN MILLER: Well do you expect to do so?

MR. KETCHEN: No. Our intent was -- we thought
about that. Our intent was to give our analysis based on that
kind of a review of what the Applicant is going to present.

And if that meets the requirement of stipulating
-- I thought it was to be a joint or separate project to
the best we could, and that's our way of it becoming a joint
project.

CHAIRMAN MILLER: Well that sounds like one horse wandering but I don't see that the Staff has don's very much in analyzing the transcript. You discovered a good deal of testimony, exhibits, many of which were generated by the Staff but at this late date we requested -- we don't have a table, whatever form it is, and I think it was written on brown paper where you give the triggering dates for certain things.

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All right. Give us what you've got in writing tomorrow and then we'll expect to have it say by noon. It doesn't have to be elaborate, but it certainly should be in written form and should certainly address these various alternatives much in the manner, mechanically, as NRDC in their submittal and I think that if you analyze the transcript and exhibits you will be able to get the data and to put your numbers down.

Now as I say, we're not requiring any fancy form or that you have the exhibits and the flip cards and all that, but we do expect to have the data, the triggering dates, the information and transcript references in written form. Now can you do that by noon tomorrow?

MR. KETCHEN: I don't know. I assume we could repeat what the Applicant has done and do that. The question

CHAIRMAN MILLER: If it's just copying the Applicant, I guess to the extent you say me too would be a stipulation compared to a by-product.

MR. MC GARRY: Mr. Chairman, may I just ask a question here? That gets to the nature of this document. Again I represent to the Board that we do have a document preparate. In reviewing the document, I have a question and that is is the Board concerned with seeing a table of the options that are available to the Applicant once the spent fuel pool at Oconee becomes full, keeping in mind a full core

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reserve capability?

CHAIRMAN MILLER: And for that remember we were looking at different options.

MR. MC GARRY: Yes, but I guess my focus is are we directing our attention strictly to Oconee or are we then to assume McGuire, Catawba, Cherokee, Perkins, that was --

CHAIRMAN MILLER: We start with the present existing spent fuel storage facility, the nuclear facility is producing or generating spent fuel and work your way forward in time insofar as those have been back and you have triggering dates.

You start with the most immediate, what is the immediate effect of action A, B, C, D and E. Then as it gets multipled with the other facilities, actual projections you mentioned, I guess you would carry it on down chronologically, but we'd certainly want to know starting at the earliest date what the impacts are in a variety of situations.

MR. MC GARRY: We have no problem with the beginning, the immediate situation at Oconee. But then looking at the Board's request, it said discuss alternatives that are the subject of this proceeding. Of course, we think the subject is narrow but the Board has ruled it is not narrow.

CHAIRMAN MILLER: Consider all possibilities.

MR. MC GARRY: That was the question, how broad

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it would be. So I take it we will first focus on the narrow and then focus on the broad, which we also have.

CHAIRMAN MILLER: Yes, I think that would be it,

yes.

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CHAIRMAN MILLER: Now what evidence do we -Yes, Mr. Roisman?

MR. ROISMAN: Mr. Chairman, I'm troubled by what has happened with regard to the Staff, even somewhat with regard to the Applicant.

Although we were in the midst of moving last week,

I considered that it was necessary for us to have in the Board's

hands this morning as of the time the hearing started what

it was that you asked for at transcript page 3712.

Now I gather the Applicant has it but is not ready to hand it over and Staff doesn't have it at all.

Now I can only surmise what would happen in a hearing if an Intervenor didn't meet one of these filing deadlines. We get contentions stricken and, you know, all these sorts of remedial actions were taken against us.

Now I would like to see something done to the Regulatory Staff. There is no reading of what you said at transcript page 3712 which would accommodate what Mr. Ketchen has described as what the Staff intended to do, that is, to comment on a written document prepared by another party, the comments to be made orally and not prepared in advance of the hearing and distributed on the 10th.

In fact, at one point here, although I didn't find the page, I'd asked you the question whether you wanted it by the 4th or the 10th, whether we should treat it as

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prefile testimony and you said the 10th was all right.

CHAIRMAN MILLER: Yes, I recall that. You indicated it may not be prefiled but we certainly expected it to be filed first day of the hearing.

MR. ROISMAN: Now that's right. I have no idea what the remedial measures are that one can take against a party that doesn't have a contention that you could throw out.

CHAIRMAN MILLER: What did you ask the Commissioners to do?

MR. ROISMAN: Today?

CHAIRMAN MILLER: Yes. I saw some lurking hint of that kind with the position you took in your response before the Commissioners.

MR. ROISMAN: I asked the Commissioners to take the position that the wisdom of the three members of this Board and the wisdom of the Appeal Board be allowed to stand and that the Commission reject the Staff appeal as improperly brought because of the conduct of the Staff without ever reaching the merits.

CHAIRMAN MILLER: Is that your customary position when you win below, isn't it?

MR. ROISMAN: It always is, of course. But here I was even saying I mean you were imminently right on the merits and I urged them only secondarily as an alternative to take the appeal and affirm on the merits. But I thought

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that the Staff had been put on notice that its conduct was such that the Commission wouldn't even review an order when they came up to them in that form, that maybe they'd be less likely to do it.

I confess I have not come up with one comparable here. I mean, a public stoning, for instance, would probably --

CHAIRMAN MILLER: Flogging, perhaps?

MR. ROISMAN: Emotionally I may feel committed that way, but seriously I am troubled by this, I don't know what to do about it. I guess I just bring it to your attention. You do have some authority under 2.713, but it only reaches to counsel and counsel are not always responsible for all of the mistakes that it and its clients makes.

Under 2.718 you have general supervisory authority of the hearings, but I don't know what you could do. Make Mr. Spitalny appear in sackcloth instead of his nicely tailored suits or something.

CHAIRMAN MILLER: He probably has some numbers down, he's done some work.

MR. ROISMAN: Yeah, he may very well, I don't know, we could have an investigation to find out who struck John.

But I do think something should be done or at least; something should be said on the record so that any party is aware that when the Board issues what I consider to be the

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as orders go from Boards orally made, as to wanting something that it means it and that it means something to the parties if they don't comply with that.

I guess I'm particularly disturbed because it took some effort on our part. We had to locate typewriters hidden behind file cabinets and all of that before we could even get the thing typed up last week, to do some ning about the Staff's just ignoring the order.

CHAIRMAN MILLER: Well the Board cannot pursue sanctions to an unreasonable extent or take an unreasonable amount of time.

However, we have indicated and do indicate for the record that we expected to have these matters carefully and thoughtfully prepared in writing and submitted on the first day of the hearing, which is today. We can understand the Applicant has done this, that they have a document which approaches it from two different point that they wish to do some harmonizing, but they have it and will have it in the Board's hands and that of counsel tomorrow, that we can understand.

We do not understand that the Staff has made such a representation or, indeed, that it is able to do so. To the extent that it hasn't, we do reprimend the Staff for not having produced a reasoned analysis that was requested

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more than 30 days ago by the Board to be turned in at this time.

We're not at all happy with it, Mr. Ketchen, we just might as well tell you straight out that we are not and also that this kind of sloppiness is not conducive to the presentation of the Staff's position in terms of public interest and the interest of all of us in the manner it should be.

I hesitate to say this and I hesitate to try

to make it appear you're to blame because I don't know who -
the Staff is an all-encompassing type of term -- but whoever

is responsible, whatever persons are responsible have not

complied with the Board's very direct, very explicit request,

cast not in precatory terms. So we'll let it stand there

and we'll expect to have something in writing by noon tomorrow.

We expect also that we don't have this kind of shilly-shallying about the production of evidence, testimony, documents and the like in a way that we can move forward in a clearcut fashion and that we don't have to engage in housekeeping chores and taking the time of people who have come a long distance at some considerable sacrifice to themselves no doubt personally and professionally. We want to get on with this hearing, we want to complete it this week.

Now what witnesses, what evidence can we proceed with now? Not just fill in kind of things, I mean something

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of substance.

MR. KETCHEN: May I be heard on the point? I have not been heard at all. I've just been sitting here quietly and I think Mr. Roisman's comments are entirely out of order and are incorrect. I think he misreads the transcript and our understanding of what we were told to do.

It was our understanding that counsel would cooperate and that you didn't expect separate documents, or you didn't expect a joint document but you expected something be presented on the Board request.

And my reading of the text of the transcript was it was not that each party would provide a specific document on this subject matter and that they would be compared, and I would like to read from the transcript 3712 which, after making the request, it says, starting at line 20:

We don't mind, it could be done jointly or individually, however it is easiest for counsel. But this is the information that we would like to have in the record at our September hearing."

"We request that this be prepared.

Now we read that to mean that we met with counsel and that counsel for the Applicant in trying to come up with this document. And what we are proposing —— or proposed to present was in essence a stipulation with respect to Mr. McGarry's document with a qualification orally of what

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our stipulation is.

But unfortunately at the last minute -- and our technical people did meet. Unfortunately, at the last minute there was some confusion in Mr. McGarry's own mind about essentially what the substance of what the Board wanted was.

And he has suggested what that was today. And we will give our stipulation and our comments on how that affects our stipulation as well.

But we never understood this comment by the Board that the Staff itself would be required to produce the document. My understanding of what these words meant was that we could join with another party in agreeing that the information provided was the information in response to the Board request.

CHAIRMAN MILLER: Well the material that you just read from the transcript in no way is in conflict with what the Board just stated to be its view, we said whichever is easier for counsel. Counsel is a plural term, and whether they do it jointly or individually meant to the extent that all counsel could get together and stipulate, fine, we don't regard the so-called stipulation between Applicant and Staff as very much of a stipulation. The point is that the parties with conflicting and varying points of view can either agree upon a joint product on the one hand or the parties will individually submit in writing -- we've carefully said

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several times in writing -- and with transcript references on the other, those were the two choices. Not the Staff saying well we had a meeting with Applicant, we've listened to what they said at the hearing and we can agree with them on most things, where we don't we'll tell you orally. That just isn't doing it. That's not an adequate response, Mr. Ketchen.

MR. KETCHEN: Well it may not be, Mr. Chairman, but it's our understanding -- and I may have made a mistake and if I did I apologize for it.

CHAIRMAN MILLER: Apologies aren't necessary, we'll let it go on from where we are. Please let us have it in writing, together with transcript references, by noon tomorrow. I don't think you have time at this point to sit down with Mr. Roisman, Mr. Riley and Mr. McGarry and come up — and Mr. Wilson and come up with a true stipulation. If you can, fine, but I suspect you have many other things to do tonight. But you're better off to set it forth clearly in writing and the transcript references and we'll go from there.

All right. Who has witnesses or evidence or testimony that they can go forward with now at this time?

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MR. KETCHEN: Mr. Chairman, we can put Mr. Spitalny on on the pin compaction matter.

CHAIRMAN MILLER: Mr. Wilson, I think you saidthis was the only day you were going to be able to participate
in this aspect of the hearing. Is this consistent with what
the State of South Carolina wishes to have covered today?

MR. WILSON: Yes, sir; up to the point of the physical protection regulations, of course. The other material we've already covered this morning, and we're very pleased with that. We do appreciate the cooperation of the parties and the Board.

At this point I don't believe we have anything further to contribute.

CHAIRMAN MILLER: Very well.

MR. RILEY: Mr. Chairman, at the luncheon recess we spoke with Mr. Hufham about his understanding of the devices for handling the casks, which he had been pretty indefinite about. I wonder if we could put into the record a description of this.

Mr. Ketchen and I have very briefly chatted about this.

CHAIRMAN MILLER: Is the witness here?

MR. WILSON: I believe he just left for the airport. Mr. Riley and I both discussed this matter with
Mr. Hufham and he indicated he might be able to obtain some

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diagrams and specifications as to the manipulation equipments ability. He says that that material— He informed me just a few minutes before he left that that material was in DOE's possession and that he didn't have it at this point but he was going to mail this to me within the week. And we should have that. I'll be glad to forward that on to Mr. Riley and the other parties if they're interested in it.

CHAIRMAN MILLER: To become part of the record it would have to be in the form of an affidavit or some appropriates method consistent with our rules of practice.

When you get the data and the information you requested, if you wish to do anything more to make it part of the record then you can proceed in the normal fashion.

MR. WILSON: Very good.

MR. RILEY: Does that mean, Mr. Chairman, that the record can be left open for receipt of this material?

CHAIRMAN MILLER: It looks to us like the record is going to be left open whether we want it or not, Mr.Riley. I am finding it increasingly difficult to close it.

However, yes, we can leave it open for this specific purpose.

The next witness, then, I suppose is Mr. Spitalny; is that correct, Mr. Ketchen?

MR. KETCHEN: That's correct.

CHAIRMAN MILLER: Very well. Mr. Spitalny, you have

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been previously sworn and need not be sworn again. In fact you have been sworn several times previously.

Whereupon,

### BRETT SPITALNY

resumed the stand as a witness for and on behalf of the Regulatory Staff and, having been previously duly sworn, was examined and testified further as follows:

### DIRECT EXAMINATION

## BY MR. KETCHEN:

Mr. Spitalny, at one of the last hearings there was some testimony given with respect to the alternative of pin compaction as a technique for, I assume, reducing the storage pit space necessary for spent fuel. Are you familiar with that? Do you recall that testimony?

A Yes, I do.

Q And following those hearings did I ask you to look into the issue of the matter of pin compaction?

A Yes, you did.

Q And did I ask you to find out who knows about the pin compaction matter?

A Yes, you did.

Q And to find out the best you could the status of pin compaction as an engineering technique for accomplishing some space savings in the spent fuel area? Did I ask you to find out the status of pin compaction?

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A Yes.

Q And did you do some investigation into that area?

A Yes, I did.

Q And at this time I would like for you to report to the Board what you did, and the method you went through, and describe what you found out about the status of pin compaction within the NRC staff, what the staff knows. And that would be the (a) part of the question.

The (b) part would be what you found out about others knowing, outside the NRC staff.

At this time I would ask you to answer the (a) part. Tell us what you found out about what the staff knows.

And then later I will ask you to tell us what the others know.

So, if you will, proceed with that description.

A Okay.

What I did was to start off with areas that I knew existed. And that was initially the Maine Yankee application. I tried to determine who on the staff, and what areas of the staff, are familiar with, and what anybody might know about pin compaction.

To start off with, Mr. Chris Nelson, who is a project manager who reviewed the application which was submitted by Maine Yankee, was contacted, and I asked him what the status was on that, what had been done by the staff with regard to that application.

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As we had previously, I believe, discussed in the August hearing, that application was not an application actually to proceed with pin compaction but it was an application that spoke to two aspects. Part (a) of it was, they wanted to rearrange the assembly, one spent fuel assembly, in a means which would be considered pin compaction, to determine the feasibility of this type of storage. And they were asking if they could do it under Part 50.59 which is a request to do it as an experiment which would not require a safety evalua-

The second part of their application was to increase the capacity of the spent fuel pool. That part of it they were asking for a safety evaluation. It would result in a higher density, and, if it were shown that the pin compaction worked out, they would indeed go to that means and probably use a greater density of the fuel rods in that higher pool capacity.

tion or prior approval by the Commission.

The request from Maine Yankee was denied under Part 50.59 as well-as Part B which was requesting a safety evaluation of the increase in capacity.

The staff went back and said that this application could not be considered under two parts. It would require them to re-apply. There would be a safety evaluation that would be required for this rearrangement of the assembly, and that they would address the greater capacity at the same time.

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The people in Nuclear Reactor Regulation who were

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started making some phone calls.

Q Excuse me; let me interrupt.

That response was in June of '79, I believe. And there has not been any further communication from Maine Yankee on that aspect.

in evaluating this looked at it in the various areas of -- that are, I guess, normally undertaken in a normal safety review. They looked at criticality, they looked at thermal aspects, structural, seismic, and so on and so forth. And they did come up with a basis that it looked like it was possibly a valid area of proceeding.

There have been no other applications by any other utility or facility or industry requesting this type of review by the staff.

I also touched base with different areas of the staff to determine if any research projects or studies or anything was going on, either by the staff directly or under contract to the staff. And in evaluating this I talked with the Research Division, I talked with other members in NRR, I talked with Standards, the Office of Standards. I have not been able to find anybody who has worked in this area on the staff or knows of a contract to the staff with regard to pin compaction.

At that point I turned to the industry and

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Does this go into the (b) part, the others?

A I guess actually it goes into the (b) part. The

(a) part is summarized by saying, to my knowledge and anybody

I contacted I could not find anybody on the staff who was

familiar with this or was pursuing this particular alternative

right now.

Q All right. Thank you.

A With respect to Part (b), I wanted to find out what industry was doing about this, just to ask them a few questions and find out what the specifics were, and when we might expect this alternative to be available, is it indeed a viable alternative; questions on that order; what experience did they have, exposure, costs? Did they do studies on criticality, structural, and so on?

I contacted a number of industries, included in which are Combustion Engineering, Babcock and Wilcox, Oak Ridge National Laboratory, TVA, General Electric, DuPont Savannah River, Allied General Nuclear, AGNS, NAC, Nuclear Assurance Corporation, and Westinghouse. I felt that I was getting a pretty wide spectrum as to what the industry is seeing. And with each one of these companies I spoke with somebody who seemed to be knowledgeable on the subject and got their input as to what they're doing.

I have specifics relative to everybody I talked with, and they range-- I guess, to speak about it generally,

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there isn't anybody right now who has the option of pin compaction as an alternative which is acceptable today.

Of the nine or ten industries I talked with the closest one that might be available to do anything with was six months to a year away from possibly pursuing a licensing action. A lot of these are dependent upon whether or not they find contracts with utilities to fund it.

Some of the people I spoke with, specifically AGNS, NAC and Babcock and Wilcox, are under contract to DOE to evaluate pin compaction. AGNS is evaluating it in a hot cell which would require the dry disassembly and storage. It would not involve dry storage of fuel but dry disassembly, putting the rods in a can, sealing the can, and putting it back into the spent fuel pool.

Babcock and Wilcox, as well as NAC, are evaluating the disassembly of the fuel under water, done as a remote operation.

To sum it all up, there are a couple of problems that are still trying to be resolved. The alternative as an acceptable alternative still looks like it's a couple of years away at the earliest.

In general, that's what I did.

Q Okay.

Could you indicate, What do you mean by the term "couple of years away at the earliest?" Can you be more

specific about that?

A In contacting each one of the individuals, there are very few who are doing it exactly the same, or for exactly the same reason. And I have found that it looks most feasible for this type of alternative to be pursued on a commercial basis or a basis — a method which would be employed in an AFR or could possibly be employed by a service vendor, somebody who has a service contract with a utility and would show up on the site with their gear in a trailer and perform an operation for them, and move on to the next utility.

Or another one is-- The costs that were involved in preparing for this type of procedure were extremely high, and it wouldn't actually be seen as a feasible idea unless it was done in a commercial operation or an AFR operation where the costs would be spread out over much greater storage.

I'm trying to get back to your question as to what I mean by "a couple of years.

Looking at the different approaches people are taking, if it would be six months to a year to two years on the average before somebody was willing to come in with a license application, it might then at that point take another year. I won't speculate as to what time it might take for the staff to evaluate the alternatives. But for the time being, if we assume a year for that it could be two years before anybody would be willing to commit themselves to this

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alternative; two years being on the short end of the scale.

Q What do you mean by "short end of the scale?"

A It would stretch from two years to possibly five years in some of the cases before some of the industries would complete their research and be in a position where they are ready to try and either perform it for a utility or sell it to a utility as an option.

"couple of problems" in your response to my question about "couple of years." You mentioned some problems. I would like to ask you what you mean by "couple of problems." And if you would just give us more specifics about that, if you have not done so already.

A I can touch on some of the problems they're running into.

The No. 1 problem it seems most of the industries are finding is that the structural aspect of this type of storage is becoming a limiting factor. Some of the spent fuel pools, if they are not built on top of bedrock are not capable of -- if they have some type of floor loading limit on it, it is becoming difficult for a utility to pursue an extremely dense compaction of spent fuel rods. That structural aspect seems to have popped up with most of the people I've talked with as being the No. 1 limiting factor.

Another limiting factor is the thermal capabilit is

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at an existing operation. If you were to try and backfit this operation into an existing pool you'd have to evaluate what the thermal capabilities of that pool are, if you have to supply greater cooling capacity or exactly how far can you go before you are at the limit of the cooling capacity.

If you are using this alternative which is one it was designed for you can take care of possibly the structural and the cooling problems as you are designing it.

Some of the other problems that they're running into are the types of fuel that are used. Exxon, for example, as well as GE, I believe, have fuel which are easier to work with than does Westinghouse. And in that list Babcock and Wilcox is the hardest fuel to work with.

The reason that this comes into it is the way
the fuel is assembled in an assembly. There is a top end
fitting at the very top of the fuel assembly which has to be
removed and the fuel rods have to be freed so that you can
pull them out of the assembly. It seems that the GE and the
Exxon fuel, I believe, have a means of removing the top end
fitting easier than the other. and it is easy to grasp the
fuel and pull it out.

Babcock and Wilcox I believe has a shroud between the end fitting and where the actual cut is made, and it makes it very difficult to grasp the fuel and separate it.

So these are some of the problems that they are

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dealing with.

Basically, I think those are the problems that they are still looking at. In different areas they are still evaluating the seismic considerations, the criticality considerations. Although presently they don't seem to be a problem, I assume they can be handled.

Q Was there anything else with respect to your investigation of matters and what the status of this technique is in the industry as it exists that you haven't given to us at this point?

In other words, does nat complete your response?

A I believe it does. 've touched on most of the hard spots that they're having.

The only other comment I would have is that what I have found by talking with individuals is much in line with what I have tried to express, I guess, in the past, in that pin compaction, which is -- well, it looks like it is an option which is being worked on in a lot of different areas. It looks like it may come about. Taking everything into consideration, it's difficult to say exactly what it could do for us today. You have to take into consideration what the structural problems are, what kind of capacity can we have, will there be an increase, and when is it to be available? There are still a number of unknowns.

That's all I have.

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That's all I have

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MR. KETCHEN: Mr. Chairman, that completes the report of the staff on its response to the pin compaction question, I believe raised by Mr. Roisman.

CHAIRMAN MILLER: Mr. Roisman, do you care to interrogate?

MR. ROISMAN: Yes.

### CROSS-EXAMINATION

# BY MR. ROISMAN:

You had at one time in the hearing, Mr. Spinalny, indicated when we were looking well out into the future for the storage solutions for Oconee that you considered pin packing as an option that they would probably have available, let's say in the 1990's sometime, as opposed to in 1979.

After this survey you have done are you still convinced that that's correct, that pin packing will be an option that Duke could reasonably count upon to be available with respect to its spent fuel storage?

Yes, with some things that would probably have to be highlighted; if I may give you an example.

Sure.

The specifics at Oconee would have to be looked at in that the structural integrity tends to take pin compaction on top of poison racks, for example. The cooling characteristics of Occnee would have to be looked at.

There may be some gain that can be received at

Oconee going to poison racks and then pin compaction. But it's difficult at this time to say whether it would be a factor of 1.5 or a factor of 2. I can't put a number on it without doing quite a bit of study on it.

It's my understanding with regard to the Duke situation that the McGuire pools and the Catawba pools are constructed on bedrock which would eliminate -- or, if not eliminate, alleviate, help alleviate part of the structural problems. If the design is such that the cooling can take it, or if they are working on Catawba, if they were to put in an extra heat exchanger, or whatever might be necessary, these considerations could be taken into account.

I believe as you move closer and closer to this alternative coming about in the next few years the questions will be resolved and somebody can sit back and find out exactly what the gain is from this alternative.

The answer to your question is Yes, I still believe it's an alternative. But it does have to be looked at.

Q Well is it your understanding that there may be things that Duke could be doing now that would make the option more available to them in the future, or less available than in the future? You mentioned, for example, an extra heat exchanger at Catawba or McGuire.

A I believe Duke could evaluate exactly what thermal load a pool could take, and then they could make a decision as

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to whether or not they wanted to increase the cooling capabilities.

With restect to developing the alternative, I don't think there is much that Duke can do that isn't being done now by these industries.

I didn't ask you about the development; I meant preparing themselves to use it if it gets developed and they decide they want to use it. That's what I was focussing on.

There are probably things they could do.

And is it true that -- Do I understand correctly that the Babcock and Wilcox fuel which is in use only at Oconee of the Duke units in general, that that may in your judgment present a special pin packing problem that one might not have with other fuels? Do I remember your testimony correctly?

Yes, that's correct. That was as a result of speaking with somebody at Allied General who has been looking at the different fuels. And it's his comment that -- he said Babcock and Wilcox is the most difficult to work with.

So, for instance, having the Babcock and Wilcox spent fuel in the McGuire spent fuel pool might at some subsequent date complicate a pin packing proposal for that whole pool that wouldn't be there if only the McGuire pool -- if only McGuire fuel; excuse me; were going to pin packed at some subsequent da-te?

A Well it doesn't rule out the option for that pool. If they were only able-- Let's just say if they were only able to reconsolidate, or pack Westinghouse fuel, that the storage space that is taken up by the Oconee fuel could limit the amount of assemblies they could use. But it doesn't hinder the option of packing the Westinghouse fuel.

Q I understand. But what I meant was, it limits the potential maximum capacity of the pool utilizing pin packing if it should subsequently turn out that Babcock and Wilcox fuel couldn't be, or it wasn't economical to pin pack it, but Westinghouse fuel it was?

A It could have an impact on the total number.

Assurance Corporation. Do you remember -- and I'm going to show you a copy to try to refresh your memory -- NRDC Exhibit No. 11, which was a letter from Mr. Houston, the Assistant General Manager, Sales and Marketing, at Nuclear Assurance Corporation, to Mr. Sneed, Manager, Nuclear Fuel Services, at Duke, which contained a proposal from Nuclear Assurance Corporation for some 400,000 dollars designed to obtain for Duke all of the necessary engineering and Nuclear Regulatory Commission assurances for a pin packing proposal at its facilities?

I'm going to show it to you now so you can see if you remember it.

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(Handing document to the witness)

This is the cover letter, and that's the document.

Do you have any recollection of this?

I remember the document being introduced, yes. A

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Q When you talked to Nuclear Assurance Corporation just recently, did you discuss with them the status of this proposal, which appears to be a proposal based upon the assumption that pinpacking is possible in general and that this proposal is designed to find out whether it's possible for Duke Power?

A Duke Power came up in the discussion, well, first of all, when I introduced it, I guess, saying what I was doing. But secondly the person I spoke with came back as soon as I mentioned Duke and said oh yes, we've done a study. We didn't get into a discussion of this particular study or this may have been what she was referring to, I guess.

The point that -- she was citing from memory, and the point she came up with was that they believed they had evaluated Oconee and they did come up with some limiting factors based on the structural integrity of the pool, based on the floor loading.

Other than that, she didn't reference this particular report or the findings of this report, this proposal.

- Q You didn't ask her for that report, you don't have a copy of that report?
  - A Of this?
  - Q No, of the report that you think she said that

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NAC had done for Duke with regard to the use of pinpacking at Oconee.

A No, I do not.

Q I'm a little puzzled, maybe you can help explain to me. On the one hand, you have indicated that someone like Nuclear Assurance Corporation has done some feasibility studies on the use of pinpacking for a facility like Oconee, and on the other hand, that it's a technology that is not here.

Are there problems that exist with regard to pinpacking site and reactor specific, or are they generic?

And if they are generic, how do you explain Nuclear Assurance Corporation being able to do a study on the feasibility of pinpacking if there are generic problems outstanding?

A I think they are a combination of site specific and generic problems, site specific relating to the cooling and structural problems I've been mentioning, generic problems would be relative to the fuel that might be involved.

My reason for saying or being able to explain that NAC may have been in a position to provide a proposal is such that the had done a feasibility study for the disassembly and storage of fuel rods. They have determined that it is possible to be done, they have not gone through a wet demonstration, an actual demonstration of the procedure yet.

They may feel that they're in a position that the

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problems that are outstanding today can be resolved over the next year or whatever time that they would be getting ready for a licensing action.

If they were to supply all the necessary information necessary to go to the Staff to start a licensing action for Duke, whatever time frame that would be for them to prepare, they may be in a position to say that they may be able to resolve their problems.

If I could just take a minute and let me see what they said there, I may have something else to add.

Sure.

(Pausa.)

Basically the conclusion that I've drawn from A the discussion with NAC is that it looks feasible, although it is not viable for all utilities, depending on the evaluation of the site specific problems. They are about 50 percent of the way into a three-year contract with DOE, and the end of that contract resulting in a complete hot demonstration at a utility of doing this. So that's another 18 months cr so.

You mentioned something about the question of whether you can do pinpacking in conjunction with poison racks. Do you have any information to indicate that there are going to be poison racks at Oconee?

No.

You don't have any applications being talked about?

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No, I do not. A

-- that you're aware of?

No.

I was just using that in sequence with other alternatives.

Okay, that was a question I wanted to ask you, there some alternatives that are more amenable to a subsequent use of pinpacking than others that could be pursued at the -- looking at what you consider to be the technically-available alternatives to Duke today, are some of them more compatible with pinpacking in the future and others less compatible with pinpacking in the future?

Possibly, I quess. If there was a limit to the A Oconee pool, how much fuel you could put in the pool, whether it be structural or thermal, if you go to poison racks and you approach that limit, that would indeed hinder pin compaction. If you would prefer to go to pin compaction prior to poison racks, you may be able to do pin compaction in its entirely in the spent fuel pool and still not reach that limit. So it becomes a one or the other and not both situation or a one and the other or one and part of the other, you may be able to go poison and fill half the pool with compacted assemblies.

Well let me ask you. As I understand it, and correct me, that if there are more, the sort of mechanical /agb5

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limitations that you're discussing, one is the cooling capacity of the pool, is that right, and another is a structural capacity, can it take the weight.

A lhat's right.

Q And are there any others like that that you're aware of?

A I believe those were the only two that really came up.

In my endeavors, I guess, criticality seemed not to be a problem. Seismic is sometimes hand-in-hand with structural. If it is capable of supporting it, it may well be capable of seismic restraints.

Basically those two factors.

Q Okay, let's take the heat loading for a moment. Is it correct that the heat problem is constant based upon the number of fuel rods that are inthe pool, regardless of whether they are stored in a pinpacking configuration or in a poison rack configuration?

A Not necessarily, depending on the type of storage. If you have an open lattice structure where you have coolant going in throughout the assembly, that works as your cooling medium. And if you compact the fuel or take all the rods out, there are two approaches also in this compaction. One is to rearrange it into a tighter grid, so that you're closing the gaps between the fuel rods. The other

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is to take all the fuel rods out of an assembly and throw them into a can in which they are actively touching each other. In the latter technique there is a longer decay period, which is usually used so that the fuel will be at a much cooler level. But depending on what method you're taking and what method you're starting with in the pool and what you're ending up with, there could be a difference in total value the way it's stored.

In other words, the same number of rods stored in a can, the same number of rods stored in a tighter lattice and the same number of rods stored in the normal lattice but in a poison rack, each of those might have different thermal loadings for the same number of rods, is that what you're saying?

A Yes, that's what I'm saying. But I think the largest difference that really comes into play is the fact that you're adding more assemblies to the pool, and that's really why your thermal value goes up.

Q Okay. But in that sense, then, if the pool has a limit as to how many fuel assemblies it can cool, whether you get that many in there by pinpacking or by poison racks, the limit — if we are going to use cooling as the limit, the limit will be reached with roughly the same number of rods, then, is that right?

A But the cooling factor is not based on the number

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of assemblies, it's based on BTUs.

And if I could just use some examples, if you have 100 assemblies which today reaches your capacity, if you wait a year you could add 50 more assemblies because these have cooled down and so they have decayed longer and the thermal level is lower. So it is not really a matter of how many assemblies you get in there, but it's a matter of what is the heat load that is being produced by the assemblies.

Q So the age of the assemblies would also be a factor?

A Oh, yes.

Q So if you were always transshipping away your oldest fuel rod. you would always be making your pool less amenable to putting more fuel rods in it insofar as the heat load is a limitation, because you'd be keeping your freshest and hottest rods around, is that correct?

A That theory is correct. I don't know if the application of the theory is correct.

CHAIRMAN MILLER: I think if it is convenient, we'll take a recess at this time.

(Recess.)

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CHAIRMAN MILLER: Are we ready to proceed?
You may resume, Mr. Roisman.

MR. ROISMAN: Okay.

BY MR. ROISMAN:

Q Mr. Spitalny, if I remember correctly, we were just discussing this question of the poison racks use in conjunction with pin packing. We talked about the heat loading question.

Now what about the structural weight question?

Is there more weight if the spent fuel is stored in poison racks and then pin packed than if it's pin packed without ever having stored it in poison racks?

A Yes. What you're doing when you go to poison racks, you're increasing the number of assemblies you can get into a pool.

Q Right.

A When you go, then, on top of that and go to pin compaction, you're increasing the number of rods in each one of those assembly locations.

Q Okay.

I guess my question was do the racks themselves add a weight factor, that if you were to go directly to pin packing from just regular stainless steel racks you wouldn't have put as much weight into the pool?

A I don't think that the racks themselves would

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contribute that much to the problem. I think the problem is the number of assemblies.

Q Okay. All right.

In your judgment is there something which Duke could be doing now that would make the pin packing option more viable in the future that to the best of your knowledge they are not yet doing?

A Well, I thought that you had just asked this question. There isn't anything that they can do to make the option more viable. Assuming the option is available, there might be something they can do to determine how it will be used outside.

And the Staff has not done any detailed investigation of precisely what the decision dates might be for when pin packing would have to be decided upon if the Applicant wanted to decide upon it, or when decision dates for other options that might impact on pin packing might come up or not, is that correct?

- A No. The Staff has done an analysis of that.
- Q Is that the thing that we're going to see tomorrow?
- A I'm not quite sure what you're going to see tomorrow.

I have done an analysis of the alternatives --

- Q Okay.
- A -- and dates. The problem that I have is carrying

-- and dates. The problem that I have is carrying

it out as far as I believe the request would like it to go.

There are so many options and so many paths that somebody can take, and you have to make so many assumptions that it really confuses the issue, and that's where my problem is.

Q Okay.

I think my question was:

Given that there are some things that Duke might do or might avoid doing in order to make a technologically feasible pin packing option more available or less available for Oconee in the future, have you tried to analyze exactly how that fits into the scheduling of Duke taking various actions to deal with its spent fuel storage problem?

A I believe I have considered it, and I don't believe those problems to be the critical path items. And so I have not -- I did not put dates on when they would have to determine what their cooling capabilities are or when they would have to do all this, because I don't believe that that's the critical path.

I have looked at what would be the date in order for Oconee to go to pin packing following this action that they've just taken. I have looked at that part of it.

Q You mean the action going to denser stainless steel racks.

A Yes, I'm sorry.

Q Okay.

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Is the problem of heat loading a problem that can be solved by simply adding additional cooling capacity to the pool, or does it involve something as fundamental as underpinning the pool to make it stronger?

A You asked about cooling, and then you started --

Q I was trying to use the distinction between physically altering the structure of the whole pool if it wasn't strong enough to hold something versus simply adding another cooling leg onto the pool so that it can cool better. I'm trying to say is one really simpler than the other?

A I have not looked at Oconee specifically close enough to determine what would actually be required in the cooling capabilities or structural capabilities. Cooling could require a number of different modifications, one of which could be changing the impeller in a pump, which would be a simpler one, and possibly get greater turn-around, turn-over of the pool water.

If that did not work you may have to add on another pump, maybe add on another heat exchanger. You may also then get into a problem of flow rate through an existing pipe and through an existing -- well, basically through the existing pump and into the wall of the spent fuel pool.

So if, for example, changing the impeller would not change your flow enough because of restrictions of pipe size, you may have to add on additional piping and then break

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into the integrity of the pool wall to add these inlet and outlet pipes.

Again, these are the items that I mean have to be looked at. Exactly what would have to be done at Oconee, I have not done that because there are a number of different methods of working with the problem and it depends how severe the problem is.

Q Okay.

MR. ROISMAN: I have no further questions for Mr. Spitalny on this.

Thank you, Mr. Spitalny.

CHAIRMAN MILLER: Mr. Riley, do you have any cross-examination?

MR. RILEY: Yes, I do.

CHAIRMAN MILLER: You may proceed.

BY MR. RILEY:

Q Mr. Spitalny, in response to one of Mr. Roisman's questions about whether it would make any difference with respect to the heat evolved as to whether a certain group of specific pins were in one of three configurations, ranging from pin packing to high density, you said that the heat evolved of Btu's differ.

Could you give us your basis for that?

A Okay.

I guess I should clarify it then. The thermal

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output of the fuel rods may be putting out one consistent -- well, let me think about it.

(Pause.)

I believe -- and I may have to resort to having somebody who is a heat transfer expert -- but I believe that there is a buildup if the fuel rods are placed adjacent to each other which does work like a pyramiding effect of one heat load acting on the adjacent fuel rod. And then if you have a round assembly of the fuel rods, the inner temperature would be higher than that of outer temperature.

- Q But we weren't talking temperature, were we?
- A Well, I'm just relating the temperature as a result of the heat load.
- Q Yes, temperature is a function of the heat generated and the heat removed. But we were talking about pool cooling requirements, the total amount of heat given off by this specific aggregation of rods. How would it be different as a function of configuration?
- A The heat given off in an assembly which has coolant as a medium between all the fuel rods, there would be a constant Btu thermal value going out into the water as well as that same constant value which, if they were pushed next to each other, go into the adjacent rod.

My distinction, I guess, that I was trying to make was the cooling medium which is between the rods would

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be the heat transfer. It would be greater if the assemblies were separated then if they were put next to each other.

Q Would you accept the statement that delta-H, namely the Btu per unit time, would be the same in any event?

- A Independent of the heat transfer to the cooling--
- Q All right.

Would you agree, then, that depending upon the configuration, rate of circulation of coolant, temperature of coolant and so forth, that in a pin packing configuration the temperature of the individual rod would probably be higher than in a high density packing?

A Yes, it would, sir.

Now we realize, of course, that the objective of pin packing is to increase the potential capacity of a given pool, and I believe you gave a number before, but I'd like to ask you again:

what is the anticitated improvement in storage capacity in going from 15 and 1/2 inch high density centers to a variety of these pin packing arrangements? And you've indicated there is one type where you serve the assembly but maybe space it closer. There is another where you put it in a can and the pins touch one another.

Could you give us those numbers, please?

A Yeah. I believe the increase of capacity factor is independent of center-to-center spacing, center-to-center

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being the spacing of the racks, the assembly.

Q Yes.

A The factors range, depending on the technique, from about a factor of 1.6 to as high as 2.1. The 1.6 on a reconfiguration of the assembly and closer spacings, and 2.1 to putting the fuel rods next to each other.

Q Right.

A Once that is done and you gain that factor, then you are just placing it into the racks, the existing racks, which is an independent function, how close the fuel assemblies are.

Q This is the point I don't believe I was clear on.
You're saying that you use the same physical racks to place
the compacted assembly or pins.

A That's correct. If I can give you an example, if you have an existing pool with some assemblies in it, you pull one assembly out and take it aside and compact it. You can get two assemblies into that same space. You then put this new can, which would be the same dimension as one spent fuel assembly, but holds the equivalent of two assembly rods —

Q Right.

A -- into the same location for one assembly.

Q So that makes it clear there would be no reconfiguring of the pool. If your racks are in place, those same
racks would remain. And the only operation that would be

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A That's correct, unless you're using it in conjunction with reracking.

Q Right.

Now you indicated two of your concerns. One was thermal capacity and the other was structural integrity of the pool, very straightforward. You said you weren't concerned about criticality.

How is the criticality problem dealt with?

A Well, criticality has been evaluated by a lot of people as well as the Staff. The Staff has looked at criticality of the fuel rods being pushed on top of each other, and actually what takes place as the fuel rods become closer and closer together and you're displacing the coolant or the water in this case, the water acts as a moderator which thermalizes your neutrons and as you're displacing the water the K effect or the criticality is actually going down.

Q Okay. That was the point that I was concerned about.

So K effect goes from a maximum, then, as a function of pin-to-pin distance? If it started to go down it has to go through a maximum, doesn't it, because it was going up originally as you got pins closer together?

A That's time. My only hesitency is that I don't know that it's at a maximum when it's stored in an assembly.

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No, that wasn't the question. It has to go through a maximum, I said, in order to be on its way down under the packed condition.

Yes. But I guess to explain my point, it would be that it is starting at its highest point, but maybe not starting at the maximum value of K effective that could be reached.

Right.

K effective is a curve, and we're on a downward slope. We're starting at that point and we're just going farther down. We're not hitting that peak.

Right.

And we simply haven't defined or tried to define the center-to-center distance that corresponds to the maximum K effective, right?

Okay. That has been done. I have not alluded to it at all in this.

But it would be somewhere between 15 and 1/2 and the square root approximately of 15 and 1/2.

A No.

Let's say the square root of two multiplied by 15 and 1/2, because you point out that you could get up to about a factor of two pin-to-pin, and to get the diameter of the distance you would use the square root of two times the original dimension, would you not?

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A I don't believe that's the method of determining the maximum K effective.

Q No, I wasn't talking about that.

A Okay. Then maybe I misunderstood you. I thought you were asking me how you would calculate the maximum K effective.

Q All right.

Somewhere between the 15 and 1/2 inch -- well, let's go back a little bit farther.

ne spacing of pin-to-pin depends upon the particular assembly, and there are assemblies which I think are 15 by 15, is that correct, 15 pins by 15 pins?

A Yes.

Q And so the center-to-center spacing involved is approximately 1/2 an inch, because the outside dimension of the assembly is about 8 and 1/2 inches, is that correct?

A Okay.

The place where we're becoming confused is the discussion of center-to-center.

Q Right.

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A When we discuss center-to-center I'm talking the center line of the fuel assembly to the center line of the next adjacent fuel assembly.

Right. All right.

What, then, is the role of the center-to-center

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A I don't know it offhand. It's in the FSAR.

There's a complete diagram of it.

Q Well, the thrust of the question -- I certainly don't want to confuse you -- the thrust of the question is:

Can a single assembly be so compacted that you have a criticality problem with it if water, and just straight water, is the moderator? Now when I say "just straight water"

I'm distinguishing it from borated water.

A The only place water becomes a problem is if you lose it. As you get closer and closer together with the fuel assemblies which are under water, K effective goes down. The only place you would run into a problem is if you lose all your water.

- Q Well, in normal packing, classical, you do not have to borate the pool water, do you?
  - A It does not have to be done.
  - Q It is done?
  - A It's done in many cases, yes.
- Q Is it necessary to borate the water with high density packing?

MR. KETCHEN: Mr. Chairman, may I impose an objection on relevancy grounds, the basis being that Mr. Spitalny was called to respond to a question on the status of pin packing research, and he did indicate, I admit, that

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he didn't think from his survey that criticality would be a problem.

But now I think Mr. Riley and Mr. Spitalny are getting into solving the pin compaction problem. And I think that that's what's going to be done in whatever time limits Mr. Spitalny reported on as part of his testimony. But I think it's not relevant, this line of questioning, in trying to figure out how to solve the problem today. I just don't think Mr. Spitalny is going to be able to do it.

I would probably, if that would pursue that line of questioning, indicate that Mr. Spitalny would not be the witness on that subject. And if there is a witness in existence today—because I think that many of these problems and things that are being raised are things that have yet to be looked at and resolved by physicists and nuclear engineers. So it's a relevancy objection.

MR. RILEY: Mr. Chairman, my question had to do with whether or not there would be introduced a new spectrum of criticality problems if pin packing were resorted to. I would be happy to see pin packing take place if I didn't think we were introducing a new problem, and I'm simply trying to get something for the record which will show which way it goes.

If it seems to be the present drift that we would not be able to see further criticality considerations, well,

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ce-Federal Reporters, Inc. good. On the other hand, if we were, I think we should look into it.

(The Board conferring.)

CHAIRMAN MILLER: The Board does feel it's beyond the scope of this testimony and the relevancy for which it was proffered. The objection will be sustained.

MR. RILEY: All right.

BY MR. RILEY:

Q In contacting these various vendors, dealing with the pin packing question, was the matter of releases of radioactivity during the disassembly, say, of a Westinghouse, Babcock and Wilcox spent fuel assembly given consideration?

In other words, it would seem to pretty much a lay-point of view that if the sheath had been embrittled thereby being a greater likelihood of release of materials inside the sheath by a breaking of the sheath during mechanical handling?

A I did not bring up the topic. It was not brought up voluntarily by anybody I spoke with.

Q Right.

Would you have your own personal engineering judgment on what might happen to sheaths on the process of -- I assume it would be basically crushing the assembly to compact by that route the 1.61 storage improvement ratio?

A It's not crushing the assembly that is taking

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place. The procedure that is used is taking an assembly and cutting loose the top end fitting which is retaining the rods in their position. They fit down into a grid which also holds them separated actually from each other. It's a method of cutting loose the top end fitting and by removing the top end fitting you are making available the top portion of the rods where you can actually mechanically grab the rods and pull them out of an assembly.

The rods are then taken out of an assembly and put on a table, and they roll into a trough. There is a pusher at one end of the trough and it just simply pushes them into a can. And when they get into the can the rods are then basically lying on top of each other.

So it's not a crushing technique.

Q Well, that was one of two technologies. That gives you the 2.1 improvement, correct?

But you mentioned an approach which gave you a 1.6 improvement effect, and my impression was -- and would you correct it -- that the rods remained in their original assembly hardware.

A It's my understanding that they are reassembled in a closer grid configuration. I don't know the specifics of how they actually pull the rod out and put it back into the new grid, but it was my understanding that it's just a restructure of the assembly into a closer grid arrangement.

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Q I see.

It is put into a new grid. It's not a case of modifying the old grid by compaction.

A That's correct.

Q What would be the nature of the preparations that the Applicant would have to make to get pin packing done at, say, the Oconee Plant?

A Well, the areas that they would have to look into are exactly what their floor loading capabilities are, their structural supports, seismic, what their cooling capabilities are, exactly what thermal capacity they could handle.

I don't envision that being the critical path because I think whenever they would see fit to undertake that particular study they are probably capable of doing it. And I think it's a matter of showing that the alternative is here to use, and then you can go back and try to backfit it.

Q It would be a study rather than a physical preparation?

A It would be a study initially that may result in physical preparation if you do have to modify any of the things we've discussed.

Q Is there any aging requirement on the fuel prior to reassembly or putting in a can?

A Yes, there is.

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- Q Could you tell us what it is, please?
- A (Pause.)

MR. KETCHEN: I don't understand the question. May

I have a clarification? What is meant by requirement here?

CHAIRMAN MILLER: Can you rephrase the question?

MR. RILEY: Yes.

BY MR. RILEY:

Q How long just the fuel be left sit after removing from the reactor before the pin packing operation is put into effect?

- A (Pause.)
- Q That was the question, Mr. Spitalny.
- A (Pause.)

MR. KETCHEN: I'm going to object again on the relevance of this. This assumes, it seems to me -- I don't know whether it's a hypothetical or not, but it assumes that that technique is available, and that there are requirements. And I assume requirements in the regulations.

It's Mr. Spitalny's testimony, as I understand it, that that does not exist today, and I think it's beyond the scope of his direct testimony.

CHAIRMAN MILLER: Objection overruled. You may answer.

THE WITNESS: I think the word requirement that we're talking about is not necessarily a regulatory requirement,

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A I don't know that I can really speak to those

but what the industry has found, that they must wait for the fuel to decay before they can actually handle it.

BY MR. RILEY:

That was the sense of the question.

A And that has varied, depending on who I've talked to. But it varies from a low of two years to -- some people have indicated that it must decay not less than two years, to as high as five years. Others have indicated they would not perform that operation with fuel less than five years.

Q And in the context of riggering dates for actions by the Applicant over the whole fuel storage plan, this then would be relevant information? In other words, if the Applicant considered a pin packing option, the period of aging becomes a fact r in the scheduling?

A Yes, I goess, in that you cannot completely compact the entire pool if you have a requirement for five years, yes.

Q All right.

Now, you indicated that in order to increase the thermal capacity of a pool the limiting factor might be the piping into the pool.Do you see any problem with having a pipe enter from the top of the pool, and having a system for priming the pump, after which you will operate without any further problem?

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I have been told that the method would involve a new penetration into the pool. Now, I don't know if there is a problem that does come about for restraining that piping seismically.

To answer your question, I don't know the problem.

Q In your engineering experience, Mr. Spitalny, have you seen the use of steam injectors to create enough of a vacuum to lift the level of the liquid to a point where the pump would be primed?

A I'm not familiar with that operation.

MR. RILEY: Thank you. That will be all.

CHAIRMAN MILLER: Thank you. Mr. McGarry, do you care to interrogate?

MR. MC GARRY: Thank you, Mr. Chairman.

BY MR. MC GARRY:

Mr. Spitalny, you made reference to B&W fuel, and
I believe your reference stemmed from Allied General's comment
that you received. Is that correct?

A That's true.

Q Did any other company make reference to B&W fuel?

By any other company, I mean any other company that you had conversations with in the past month?

A Yes. I believe Westinghouse. There was somebody else. Yes, I believe it was Westinghouse.

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No, they did not. A

MR. MC GARRY: No further questions, Mr. Chairman.

CHAIRMAN MILLER: Any further questions?

Dr. Luebke?

## EXAMINATION BY THE BOARD

## BY DR. LUEBKE:

I may have missed it in all the conversation, but in your inquiry, Mr. Spitalny, did you come across any case where someone had actually disassembled a spent fuel assembly and then physically compacted the pins?

The procedure has been done only with a mockup. In other words --

- It's never been done with radioactive fuel?
- That's correct.
- Now, wouldn't you say that belongs on your list of problems, perhaps?
  - A Yes, perhaps.
  - And maybe rather high on the list?
  - Yes.
- I'm suggesting here that this is a conversation piece we're talking about, rather than a reality.
  - That's true. The operation has been shown to work

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24 ce-Federal Reporters, Inc. 25 in a mockup situation and on paper. It would have to be shown in a hot demonstration to be a feasible operation.

DR. LUEBKE: Thank you.

## BY CHAIRMAN MILLER:

Q Well, what problems exist in that field, so far as you know or have been able to determine, as to whether or not it is feasible to take the next step?

A I have found from almost all the inquiries that pin compaction is a feasible alternative.

Q It's regarded in the trade or in the industry as being a feasible alternative?

A It's a feasible operation to compact the fuel assemblies. The details have to be ironed out to get it to the point where it can be effered to any utility as a product that they can sell.

The industry has not shown to anybody yet that they have actually performed a demonstration, but they cannot find anything that is stopping them from actually saying that it could not be done.

- Q There's nothing to bar it as a reasonable possibility, then, as far as this type of development?
  - A No, not as far as I know.
- Q Is it any different than stating that spent fuel management has not been demonstrated on a substantial scale in perpetuity, and yet the technology is deemed to be present and

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available when needed? Is it in a different category than that in your judgment?

- A Yes, I believe a little bit.
- Q Please explain.

A I don't think I would be willing to say that we can clearly go to any specific alternative that has not been shown to get through the process of, number one, a demonstration and, number two, the licensing aspects.

It is shown to be -- that it looks like it is going to come about and it looks like the state of the art is about there. But I would resort from putting it on my list of things that I could do until it was shown to me that, yes, it could be done.

Q Would that answer apply to spent fuel management, waste management, or did that apply to the pin racking proposal, the things you would look at to see whether or not it's feasible?

A When you make the distinction between spent fuel management and waste management meaning it is this alternativ ?

Q Waste disposal, waste management, the concepts which have not yet been proven, in fact where the technology at least according to some experts seems to be available in their judgment.

Is that state of the art as you understand it?

(Pause.)

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If not, give me your judgment.

A I guess I'm not sure. I guess if you're saying

-- if what you're referring to is the statement that we have

the available technology to store wastes indefinitely and how

does it compare to this, I guess my answer would be yes, the

technology is there, yes.

CHAIRMAN MILLER: Did you learn anything else in your study and analysis besides what you've already told us? I want to assure that we've given you the opportunity to give your full dimensions of your study and analysis.

THE WITNESS: I think the record has been completed.

CHAIRMAN MILLER: All right. Fine.

Any further questions?

MR. RILEY: Yes, Mr. Chairman.

FURTHER CROSS-EXAMINATION

BY MR. RILEY:

Q The Chairman asked you, as I understood, Mr. Spitalny, something that involved the long term storage of fuel. How can we evaluate the technology for long term storage when the technology is going to be required to perform greatly in excess of the human lifetime?

- A Greatly in excess of?
- Q The human lifetime.
- A I hesitate to make a comparison here of this

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particular alternative as being technically available and comparing that to how we consider the waste management program coming about.

I guess where I have a problem is the goal that we're looking for. In pin compaction you're trying to save a little bit of space, and the monetary commitments are not that excessive when you're comparing it to an overall waste management plan, that you can't try something and determine its feasibility and make a judgment based on what you've done.

In contrast to a waste management plan where technology exists to store these things, but the ultimate goal is something which is difficult to assess, and that is will there ever be a release, can we store these things indefinitely for thousands of years... I guess my only thing is I would try to separate these things and not try to talk about them in the same sentence other than saying the technology is here to look at pin compaction.

## Q Right.

And the technology is in the frame of reference, then, of some finite number of years like, oh, less than 100 or less than 50? Is that approximately correct?

- A You're talking to when it will be available or --
- Q No. The context in which you expect pin compaction to function is a limited number of years, perhaps for the duration of the plant's life or something like that. Is

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Ace-Federal Reporters, Inc. that the proper frame of reference?

A That's true. This is still considered an interim measure until there is a final repository or means of coping with the spent fuel.

Q And your technology judgment is in that limited judgment where we live a significant portion of the period involved, where human lifespan is a significant part of the period involved.

A Well, I think the technology for this particular thing has just been done over a small period of time, and it's only looking to be an interim measure to store fuel.

They are, however -- one of the -- I believe it was NAC is evaluating it for use in a final either DOE or AFR repository, they are evaluating techniques of storage.

Q Then when you say the technology has been demonstrated, do you mean that based on limited experience and extrapolating from it we feel it will perform over some finite period like, say, 20 or 30 years?

A Yes, but I don't know that I would limit it to 20, 30 years. I don't know all the research that's gone on in this area. They're saying Yes, it looks like it's available as an interim solution, but not only is available as an interim solution, it may work for a repository. I don't know how far out the actual research has gone.

Q But would you hesitate to say 2- to 3000 years?

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24 pa-Federal Reporters, Inc. A I can't go beyond 20 or 30. I don't know what the research has been in that area.

Q How do you do research to show something will be reliable for 2- or 3000 years?

MR. KETCHEN: Objection, Mr. Chairman. The witness answered that he doesn't know where the research is on this type of --

CHAIRMAN MILLER: That's right. I think he did.
Objection sustained.

MR. RILEY: Well, we might almost say that it was a philosophical question, Mr. Chairman. It can't be empirically answered. And I think that it's a fair question in the context.

CHAIRMAN MILLER: I don't think this is the philosopher who has that answer.

MR. RILEY: We'll have to get a subpoena for him. Thank you, Mr. Spitalny.

CHAIRMAN MILLER: I think that's probably all, then, unless there are any further questions.

We'll adjourn then. You're excused, on this occasion, at least, Mr. Spitalny.

(The witness excused.)

CHAIRMAN MILLER: We'll recess--

MR. ROISMAN: Mr. Chairman, can we just approach

the bench?

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CHAIRMAN MILLER: Yes, or you needn't if you --

MR. ROISMAN: I didn't see any reason to hold the

Reporter for it, but it had to do with scheduling.

CHAIRMAN MILLER: All right.

We'll recess for the day. The Reporter may go home.

(Discussion off the record.)

CHAIRMAN MILLER: All right. We're back on the

record.

What is it you wish to have on the record, Mr.

Ketchen?

MR. KETCHEN: Just what we're going to talk about if we're going to talk about scheduling.

CHAIRMAN MILLER: I don't know what we're going to talk about.

MR. ROISMAN: All I wanted to find out, Mr. Chairman, was who we had that didn't fall under the problem of being a witness who would inevitably run us into difficulties because they would touch on the safeguardings question to find out do we have anything else to do before Worth Bateman gets here.

CHAIRMAN MILLER: All right.

What's the schedule for tomorrow, then, excluding for the moment Mr. Bateman who is Wednesday or Thursday? What's next?

MR. MC GARRY: Why don't I take the lead, then?

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CHAIRMAN MILLER: Go ahead.

MR. MC GARRY: As I see it, we have our derision state document, Mr. Roisman has his, Staff will have their position. Well, let me present those to the Board and that may entail some questioning. I don't think that's going to take a great deal of time would be my guess.

CHAIRMAN MILLER: I would doubt it.

MR. ROISMAN: I think it depends on what it says.

CHAIRMAN MILLER: Or doesn't say.

MR. ROISMAN: I mean if it's literally merely a reference to -- if it's like the earlier form of proposed findings of fact and noncontroversial but just a reporting of the parties that they think this is what the record shows at this point as to this issue, that's fine. If it's a witness who is testifying about their judgment as to when these various things can happen, how we're either getting into the nature of some redirect or some additional testimony, and it seems to be different than what we had before, then that's a different situation.

CHAIRMAN MILLER: That's true. And we haven't been advised if there are any witnesses who were going to testify as to these matters.

MR. ROISMAN: We provided ours over my signature, although Dr. Tamplin did the work. And if somebody wanted to know how did you get the number, I'm more than happy to bring

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Dr. Tamplin up here on some reasonable amount of notice and he'll be glad to explain it.

But we weren't offering it as testimony. We weren't trying to present it as testimony.

CHAIRMAN MILLER: For the most part you have transcript references and you were either going to facts in the record or conclusions that flowed from there or inferences based on the data of record, as I understood your presentation.

I was assuming, I suppose, for the moment that that was likely to be true of the others, but not necessarily so; in the event that there are interstitial areas where the record is not complete and yet there is some triggering times, we would then expect to have it built in some fashion.

MR. MC GARRY: Our "d'ruthers", Mr. Chairman, is to simply go by the record, as NRDC did. It may be that we'll have to present some additional evidence, but certainly we're not trying to get another bite at the apple, but to comport with the Board's request. It may shape down that way. That's the first thing I've seen.

With respect to the Applicant's case, given the present state of affairs, the witnesses that we have remaining, that would be Mr. Lewis -- I failed to report to the Board Mr. Riley has questions for Mr. Tewis, so we will make Mr. Lewis available. But it seems to me that could get into the

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area of these routes.

And then we have --

CHAIRMAN MILLER: Well, what areas are covered by Mr. Lewis?

MR. MC GARRY: Mr. Lewis is our dose man, the doses that are associated with the transportation of the -
CHAIRMAN MILLER: What does the route have to do with the dose?

MR. MC GARRY: Well, as far as our testimony goes, we'd be prepared to put the testimony on because all we're simply doing is saying with respect to the alternate routes Mr. Lewis's testimony doesn't change. However, the premises with respect to the alternate routes, Mr. Lewis's testimony doesn't change.

We don't envision any questions for Mr. Lewis concerning alternate routes, but conceivably it could lead that way.

CHAIRMAN MILLER: Well, in what respect would alternate routes have a material bearing upon his testimony?

MR. MC GARRY: The distance of the maximum individual was an area of interrogation last time.

CHAIRMAN MILLER: You mean the individuals' houses,

buildings?

MR. MC GARRY: Population.

MR. ROISMAN: Other cars.

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CHAIRMAN MILLER: And the testimony prior to the descent of the curtain was going through Charlotte, wasn't it?

MR. MC GARRY: Yes.

CHAIRMAN MILLER: At least that was the revealed testimony before we had the question, isn't that correct, so wouldn't that be maximum?

MR. ROISMAN: No, as I understand it, no, because in an interstate road the space between the edge of the driving surface and the nearest house or gas station, what have you, is relatively far; when you're traveling on small two-lane roads, houses and stuff would be quite close. So you might actually end up -- or you could in theory end up with more exposure because people tend to live along a strip next to the road versus going through the center of a big blob where you've marked off 100 feet before anybody can live at all because it's an interstate road with its restrictions.

So I take it in theory you could end up with different doses depending upon what the nature of the population area that you've going through is.

CHAIRMAN MILLER: Haven't we already had substantial testimony as to the side roads and the routes that somebody took to go fishing and the fact that you had a strip of stores, and didn't we by painful amount, going along the kind of thing regardless of whether it's Highway A or Lane B or whatever?

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eporters, Inc.  MR. ROISMAN: Well, I guess it really does depend upon where you're going. I mean --

CHAIRMAN MILLER: Or where you'd been. We've been through this quite extensively.

What I'm inquiring now: Is it really necessary to do it regardless of the ongoing dispute?

MR. ROISMAN: I think the question is the particular route, group of roads that the alternate is presumably addressing are new roads whereas they haven't been considered before. Until somebody looks at it you don't know whether it might change the situation. There may be a stretch of road that's very highly populated right along the road. That was different than anything that we had seen before, and it wouldn't be a simple matter of simply multiplying 50 more miles of two-lane roads by what we had discovered was --

CHAIRMAN MILLER: What if you took the worst case of what we spent hours going over before? We covered this very extensively.

Supposing you took your worst case, multiplied it by whatever miles there are involved. Are you going to significantly vary the result, whatever the result may be?

MR. ROISMAN: I can't answer that.

ce-Federal Reporters, Inc. MR. RILEY: Mr. Chairman, the Applicant, over Mr. W. O. Parker, Jr.'s signature, has filed a statement with the NRC indicating its preference for the initial route, arguing in part that the chances of a sabotage incident are higher on the alternative routes.

Now, if a sabotage incident would involve the major sort of release I guess that we're all concerned about, I certainly thank that bears on the matter.

CHAIRMAN MILLER: Do you concur with the Applicant's request for administrative change of position, restoring the initial publicized route through Charlotte?

MR. RILEY: That's an excellent question, Mr. Chairman.

CHAIRMAN MIL ER: How about the answer?

MR. RILEY: My answer, which is quite appropriately requested, is if I knew what the routes were, I could say. I have worked out what I thought would be a route with minimum exposures, which would not involve too high an exposure, along secondary, lightly traveled roads, with high population densities, which would avoid Charlotte. In other words, sort of a compromise thing. And I think that it wouldn't be too bad from either standpoint, but I can't say whether or not the Applicant is constrained to use one of those routes.

MR. ROISMAN: Mr. Chairman, I think it is inevitable, the natural tendancy of a party asking to look into a dark box

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and predict what the consequences would be of taking something out of the dark box, is to dream up the worst possible consequences, until somebody turns the light on. And I think that's sort of what we're trying to say.

We're not trying to say we know we're going to have cross-examination for Mr. Lewis, but we really don't know exactly what the route changes are. And today, in front of the Commission, the Staff made the representation that the whole route may, in fact, be different. And the fact that they have disapproved the original route means that there may be three alternates that don't have any substantial, if any, parallel to the original.

I happen to think that's a bunch of bunkum, but I can't prove that at this point, except by this letter that they sent to the Applicant talking about merely disapproving a portion of the route through Charlotte, which makes it sound like that old 10 percent-90 percent thing.

But we're shooting in the dark, and I assume that if we held Mr. Lewis, and we find out where the routes are, and we think there's a lot of cross-examination there, you're still free to tell us that's just a bunch of bunkum, and that we can't have it for any of that cross.

CHAIRMAN MILLER: Well, we're curious about Mr.

McGarry's urging reconsideration of the question. At least
on the document filed with us, and we presume it's a public

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document -- it is a public document, isn't it?

MR. MC GARRY: It certainly is. We filed it with

the parties.

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CHAIRMAN MILLER: It seems to be rather finite with reference to whether it was seeking a change. It didn't seem to be going to any 50-60 and 80 percent, as we read it.

MR. MC GARRY: I would raise one possible alternative here with respect at least to Mr. Lewis, that -- and I tread lightly here -- is there any way that we can take Mr. Lewis under a protective order?

MR. ROISMAN: Not for me.

CHAIRMAN MILLER: I don't know that it makes any difference, but I'll inquire.

Mr. Roisman?

MR. ROISMAN: No.

MR. RILEY: I don't understand, Mr. Chairman.

MR. ROISMAN: They want to take Lewis and let him disclose the routes for purposes of his cross-examination only, but we do it in an in-camera, non-public session.

MR. RILEY: I'm reluctant to participate in an in-camera session.

CHAIRMAN MILLER: Pardon me?

MR. RILEY: I'm reluctant to participate in an in-camera session.

CHAIRMAN MILLER: We got one no and a reluctant

non-position. I think that constitutes a veto.

(Laughter.)

MR. MC GARRY: I won't press it. Anyway, we have Mr. Lewis. And I think you can see the problems that are developing.

CHAIRMAN MILLER: What do we have besides Mr.

Lewis and these verboten areas? Anything else? Or are you telling us we're coming to a screeching halt, and go home?

MR. MC GARRY: I'm just speaking for the Applicant.

We have Mr. Bostian, and perhaps Mr. Elliott. Mr. Elliott

will speak to sabotage, if called. And that well could get

into the routes. And Mr. Bostian's sole purpose is to say

here are the routes.

CHAIRMAN MILLER: Well, then, you don't have any evidence, or any witnesses or any testimony that is not related to the verboten areas, correct?

MR. MC GARRY: I think that's true.

CHAIRMAN MILLER: Anybody else have any testimony which stands apart from or is unrelated to the routes question and the in-camera problem?

MR. RILEY: I'm not sure that the Applicant has testimony here, but the cask drop question is coming up.

Staff certainly has some testimony there, and I thought Applicant might.

MR. MC GARRY: We might. We're going to argue

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24 los-Federal Reporters, Inc. whether or not that's relevant, but that's for another day.

CHAIRMAN MILLER: What about tomorrow? It looks like we're fast running out of productive --

MR. MC GARRY: We had planned to take this up tomorrow afternoon, the cask drop.

May I just say one thing? I just spoke for the Applicant. I think there are some other matters that we can take care of tomorrow.

MR. KETCHEN: I'm just waiting for my turn.

CHAIRMAN MILLER: All right, we'd better hear from Staff. We've heard from everybody else.

Mr. Ketchen? What would you like to do tomorrow, if you had your druthers -- besides that?

(Laughter.)

MR. KETCHEN: A rhetorical question; a rhetorical response.

Mr. Chairman, in all seriousness, we filed a supplemental report in three areas, and one of them was cask drop analysis, and the other one was in the physical security at the McGuire site. And the third item was physical security of spent fuel in transit, and I just won't talk about that one.

In the other two areas, I had asked at the last hearing -- and this was an open item, and we said we would file the supplemental report, completing all our analyses -- I had

ce-Federal Reporters, Inc. asked counsel to contact me if they wanted witnesses available in those two areas. In neither area was I contacted. And I don't mean anything by this. It's just a statement of fact, as I see it.

But with respect to the physical security at the McGuire site, it's my understanding that's just not a question here. So I need guidance, because we don't think there's an issue in being on that, and if nobody wants to talk about it, we don't want to talk about it.

On the other hand, if for some reason -- that's why I asked if somebody wants to talk about that -- we do have the witness that prepared that analysis.

With respect to the cask drop analysis, sort of the same situation arises. In response to the Board's directive we did complete the analysis and filed a supplemental report. -On the other hand, in our view there is no issue or contention in this case involving the cask drop analysis.

Obviously we have the people available, though, that did the analysis, once again.

So we need guidance. If we're going to talk about for example physical security at McGuire, and we don't think we should, but that would be a witness.

And there are a lot of other -- not a lot, but other open items that we sought to address at this hearing that were held over from the last hearing, and it was our

understanding -- originally, in the stipulation we started out with a couple of days, and the way this hearing got set in the beginning was that Mr. Bateman was somebody to report from DOE on what DOE's policy was with respect to AFR's, and that's where it all started. And then other things got held into this hearing week.

So we do have things that have to be done, or at least we were asked to do them, and we would propose to do that. And one of them is, according to an agreement with Mr. Roisman to have available Mr. Spitalny to talk about the drafts of the EIA, the preliminary drafts, that were -- that came before the final EIA. So that's one thing Mr. Spitalny would be back here for.

MR. ROISMAN: Is that Mr. Spitalny and Mr. Glenn?

MR. KETCHEN: And Mr. Glenn, too. I'm sorry. We

were asked to do some research to re-create the reracking

information. Mr. Spitalny has done that. So Mr. Spitalny

would talk about that.

Then there's left Mr. Bateman, and we contacted him around 4:00 o'clock -- or his attorney, Ms. McGovern, down at the Department of Energy. I had reported to him earlier, after this morning, that the agreement was 9:00 o'clock Thursday, and I reported that during the noon break based on our discussion, we called again at about -- or Mr. Hoefling did, and found out that although Mr. Bateman has

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two hours in the morning on Wednesday at 10:00 o'clock, his counsel does not have -- just has an unbreakable, as I understand it, unbreakable commitment in the afternoon of Wednesday.

But besides that, Mr. Bateman had offered to the Board, in response to the motion of Mr. Roisman for the subpoena, to respond in writing to the questions, and Ms. McGovern informed us that she was being very -- wanted to go through the transcript, and that anyway that written material would not be available until Wednesday afternoon, and I don't know when, but . . . so they're shooting for Thursday morning, as we tentatively scheduled.

So I guess, depending on what we want to do, we'd probably fill up tomorrow with the loose-end items by Mr.

Spitalny, and I assume we're going to argue about whether the cask drop analysis is in issue, and then, because of the current situation with the Commission, we were planning to do Hodge and Glenn on Wednesday on their report, and we can't do that. So I guess it's depending on our guidance, it's Mr. Spitalny and Mr. Glenn, and then Mr. Batemen.

One other thing, I guess . . . let's see, we also had at some point in time --we never did do this, and it just keeps getting put aside, but we wanted to go through the SER and the EIA, at least to offer those documents by Mr. Spitalny, and offer Staff Exhibit Number 24 for identification, which

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was the errata sheet to that, and we've never done that. I don't know whether that's going to take a long time or not. It depends on whether anybody has questions.

So I guess the problem in the scheduling comes that there's not a lot left to be done on any major issues. It's a lot of incidental questions that have to be addressed.

That's the best I can report to you.

DR. LUEBKE: How about this motion to strike by NRDC? Does that get into more --

MR. KETCHEN: I don't think so. We answered that. We've done that. Mr. Roisman moved, and we answered it, so I guess that's . . .

MR. ROISMAN: We didn't contemplate any oral argument, unless the Board specifically asked for it.

CHAIRMAN MILLER: Well, we think it's covered by the motion and the response.

DR. LUEBKE: Who is going to tell the Commission we're waiting on them?

(Laughter) .

MR. ROISMAN: I thought I did this morning. It didn't have a lot of impact.

CHAIRMAN MILLER: Did you serve the subpoena, Mr. Roisman, on Mr. Bateman, or was this an agreement?

MR. ROISMAN: No, we had the subpoena to serve, and Dr. Cochran spoke to Mr. Bateman directly while I was out of

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town and worked out an understanding that he would come voluntarily, and we saw no need to formally serve the subpoena. It was authorized to be served, but we did not serve it.

CHAIRMAN MILLER: Well, since the Board issued the supppens duces tecum, we don't like to have these things treated as being just an empty piece of paper. We'd like to have it returned, then, with a notation of counsel that it was not necessary to serve it.

MR. ROISMAN: Okay, I'll be glad to do that.

CHAIRMAN MILLER: All right. I guess you've outlined the matters that we will cover tomorrow, and towards the end of the afternoon if we run out of information or witnesses, I suppose we are postponing to the future.

MR. ROISMAN: Can we start at 9:00 instead of 8:30?

CHAIRMAN MILLER: Yes, we can start at 9:00.

MR. MC GARRY: Would we go on Thursday, to accommodate Mr. Bateman, or not -- Dr. Bateman?

CHAIRMAN MILLER: If we run out of evidence tomorrow?

MR. MC GARRY: Yes.

CHAIRMAN MILLER: Well, I suppose we look to you ladies and gentlemen. We'll be here. So the Board can be available, if you wish.

MR. ROISMAN: I would favor doing that, even if we have a lost day on Wednesday.

CHAIRMAN MILLER: The Board has no objections.

MR. ROISMAN: We can have a picnic on Wednesday, if the weather holds, a near-the-end-of-the-hearing picnic, sponsored by the Staff.

CHAIRMAN MILLER: We might entertain motions for summary disposition, and that kind of thing. That always livens it up.

(Laughter.)

All right, we'll recess.

(Whereupon, at 5:10 p.m., the hearing was adjourned, to reconvene at 9:00 a.m., Tuesday, 11 September 1979.)

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