



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

September 10, 2019

The Honorable Elijah Cummings  
Chairman, Committee on Oversight  
and Reform  
United States House of Representatives  
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the U.S. Nuclear Regulatory Commission (NRC), as directed by the Social Security Number Fraud Prevention Act of 2017 (Public Law 115-59), I am submitting the third report containing the title and identification number of all of the types of documents sent by mail that the NRC used during the past year that include the complete social security number of an individual. I am also providing an update regarding implementation of the plan for how the NRC will comply with the Act.

Please feel free to contact me or have your staff contact Eugene Dacus, Director of the Office of Congressional Affairs, at (301) 415-1776, if you have any questions or need additional information.

Sincerely,

Kristine L. Svinicki

Enclosure:  
As stated

cc: Representative Jim Jordan

**Update on Plan for Compliance with the Social Security Number Fraud Prevention Act of 2017**

The U.S. Nuclear Regulatory Commission (NRC) has initiated a rulemaking to amend its regulations that require the inclusion of social security numbers (SSNs) in written communications sent or received via mail by the NRC. Specifically, the NRC plans to publish a direct final rule in the *Federal Register* that will: (1) instruct that SSNs not be visible on the outside of any package sent by mail; (2) direct that documents sent by mail only include the SSN of an individual when it is determined by the head of the agency that inclusion is necessary; (3) specify the circumstances under which inclusion of a complete SSN in a document sent by mail is necessary; and (4) require that SSNs be partially redacted in documents sent by mail whenever feasible. Consistent with the Act, the rulemaking will conclude in time to have the regulations amended by September 15, 2022.

The following section lists the documents sent by mail that the NRC used during the past year that included an individual’s complete social security number. About 82 percent of the listed documents are governmentwide forms. The NRC will coordinate with other agencies, as appropriate, during the development of the regulations.

**Listing of Documents Sent by Mail**

<b>Document Title</b>	<b>Document Identification Number</b>
Cumulative Occupational Dose History	NRC Form 4
Occupational Dose Record for a Monitoring Period	NRC Form 5
Travel Voucher	NRC Form 64
Contractor, Other Government Agency Employee, or Foreign Assignee Badge Request	NRC Form 89
Personnel Security Clearance Request and Notification	NRC Form 236
Request for Access Authorization	NRC Form 237
Request for Visit	NRC Form 277
Certification of Medical Examination by Facility Licensee	NRC Form 396
Freedom of Information—Privacy Act Record Request	NRC Form 507
Request for and Authorization of Release of Dosimetry Records	NRC Form 525
Authorization to Receive an NRC Dosimeter	NRC Form 537
NRC Student Loan Repayment Program	NRC Form 691
Government Travel Card Individual Liability	NRC Form 780
Request for NRC Contractor Building Access Authorization	NRC Form 850A
Request for NRC Contractor Information Technology (IT) Access Authorization	NRC Form 850B
Request for NRC Contractor Security Clearance	NRC Form 850C
Request for Employment Information	Centers for Medicare & Medicaid Services L564
Certificate of Release or Discharge from Active Duty	DD-214

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<b>Document Title</b>	<b>Document Identification Number</b>
Federal Employee's Notice of Traumatic Injury and Claim for Continuation of Pay/Compensation	U.S. Department of Labor CA-1
Notice of Occupational Disease and Claim for Compensation	U.S. Department of Labor CA-2
Claim for Compensation	U.S. Department of Labor CA-7
Release—Fair Credit Reporting Act of 1970, as amended	Fair Credit Reporting Act of 1970, as amended
Fingerprint Cards	FD-258 and SF-87
Miscellaneous Income	Internal Revenue Service (IRS)
Automated Separation and Retirement Data Sheet	No identification number
Employee Express Health Benefits History Report	No identification number
Payroll Deductions for Post-1956 Military Deposit Memorandum	No identification number
Post-1956 Military Deposit Payment Election Memorandum	No identification number
Retirement Reserve Order	No identification number
Transmittal to Payroll Operations Division	No identification number
U.S. Department of State Official Passport Application	No identification number
Visitor Certification for Pentagon Access	No identification number
Preliminary Information to Office of Federal Employees' Group Life Insurance Regarding the Death of an Employee	No identification number
Quick-Pay Worksheet for Employee Death in Service	No identification number
Declaration for Federal Employment	OF 306
Military Deposit Worksheet	OPM-1514
Military Service Deposit Election	OPM-1515
Estimated Earnings During Military Service	RI 20-97
Certification of Service Performed as a Law Enforcement Officer, Firefighter, Nuclear Materials Courier, Customs and Border Protection Officer (535 Service), or Air Traffic Controller	RI 20-124
Assignment: Federal Employees' Group Life Insurance (FEGLI) Program	RI 76-10
Notification of Personnel Action	SF 50
Request for Personnel Action	SF 52
Questionnaire for National Security Positions	SF 86
Self-Identification of Disability	SF 256
Classified Information Nondisclosure Agreement	SF 312

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<b>Document Title</b>	<b>Document Identification Number</b>
Verification of a Military Retiree's Service in Nonwartime Campaigns or Expeditions	SF 813
Record of Leave Data	SF 1150
Designation of Beneficiary: Unpaid Compensation of Deceased Civilian Employee	SF 1152
Claim for Compensation of Deceased Civilian Employee	SF 1153
Application for Death Benefits (Civil Service Retirement System (CSRS))	SF 2800
Documentation and Elections in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death (CSRS)	SF 2800A
Application for Immediate Retirement (CSRS)	SF 2801
Certified Summary of Federal Service (CSRS)	SF 2801-1
Spouse's Consent to Survivor Election	SF 2801-2
Schedules A, B, C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation	SF 2801-ABC
Schedule D: Agency Checklist of Immediate Retirement Procedures	SF 2801-D
Application for Refund of Retirement Deductions (CSRS)	SF 2802
Application to Make Deposit or Redeposit (CSRS)	SF 2803
Application to Make Voluntary Contributions (CSRS)	SF 2804
Designation of Beneficiary (CSRS)	SF 2808
Health Benefits Election Form	SF 2809
Notice of Change in Health Benefits Enrollment	SF 2810
Life Insurance Election (Federal Employees' Group Life Insurance Program (FEGLI)) Program	SF 2817
Continuation of Life Insurance Coverage as an Annuitant or Compensation (FEGLI Program)	SF 2818
Notice of Conversion Privilege (FEGLI Program)	SF 2819
Certification of Insured Employee's Retired Status FEGLI Program	SF 2820
Agency Certification of Insurance Status (FEGLI Program)	SF 2821
Request for Insurance (FEGLI Program)	SF 2822
Designation of Beneficiary (FEGLI Program)	SF 2823
Designation of Beneficiary (Federal Employees Retirement System (FERS))	SF 3102

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<b>Document Title</b>	<b>Document Identification Number</b>
Register of Separations and Transfers (FERS)	SF 3103
Application for Death Benefits (FERS)	SF 3104
Documentation and Elections in Support of Application for Death Benefits When Deceased Was an Employee at the Time of Death	SF 3104B
Application for Refund of Retirement Deductions (FERS)	SF 3106
Application for Immediate Retirement (FERS)	SF 3107
Certified Summary of Federal Service (FERS)	SF 3107-1
Spouse's Consent to Survivor Election	SF 3107-2
Schedules A, B, and C: A—Military Service Information, B—Military Retired Pay, C—Federal Employees Compensation Information	SF 3107-ABC
Schedule D: Agency Checklist of Immediate Retirement Procedures (FERS)	SF 3107-D
Application to Make Service Credit Payment (FERS)	SF 3108
Application to Pay Military Deposit for Military Service	SF 3108A
Election of Coverage (FERS)	SF 3109
Former Spouse's Consent to FERS Election (FERS)	SF 3110
Documentation in Support of Disability Retirement Application	SF 3112
Applicant's Statement of Disability (In Connection with Disability Retirement Under the CSRS or FERS)	SF 3112A
Thrift Savings Plan Election Form	TSP-1
Thrift Savings Plan Catch-Up Contribution Election	TSP-1-C
Employee's Withholding Allowance Certificate	IRS Form W-4
Withholding Certificate for Pension or Annuity Payments	IRS Form W-4P
U.S. Dept. of State Official Passport Applications	DS-11 (Application)
U.S. Dept. of State Official Passport Applications	DS-82 (Renewal Application)

Identical letter sent to:

The Honorable Elijah Cummings  
Chairman, Committee on Oversight  
and Reform  
United States House of Representatives  
Washington, DC 20515  
cc: Representative Jim Jordan

The Honorable John Larson  
Chairman, Subcommittee on Social Security  
House Committee on Ways and Means  
United States House of Representatives  
Washington, DC 20515  
cc: Representative Tom Reed

The Honorable Richard Neal  
Chairman, Committee on Ways and Means  
United States House of Representatives  
Washington, DC 20515  
cc: Representative Kevin Brady

The Honorable Ron Johnson  
Chairman, Committee on Homeland Security  
and Governmental Affairs  
United States Senate  
Washington, DC 20510  
cc: Senator Gary C. Peters.

The Honorable Chuck Grassley  
Chairman, Committee on Finance  
United States Senate  
Washington, DC 20510  
cc: Senator Ron Wyden

The Honorable John A. Barrasso  
Chairman, Committee on Environment  
and Public Works  
United States Senate  
Washington, DC 20510  
cc: Senator Thomas R. Carper

The Honorable Frank Pallone, Jr.  
Chairman, Committee on Energy  
and Commerce  
United States House of Representatives  
Washington, DC 20515  
cc: Representative Greg Walden

The Honorable Gerald Connolly  
Chairman, Subcommittee on  
Government Operations  
House Committee on Oversight and Reform  
United States House of Representatives  
Washington, DC 20515  
cc: Representative Mark Meadows