

**Safeguards Information - Modified Handling Categorization, Change for Materials Facilities**  
**10 CFR Parts 30, 37, 73, and 150**  
**(79 FR 58664, Published September 30, 2014: 80 FR 3865, Confirmation of Effective Date Published January 26, 2015)**  
**RATS ID: 2015-2**  
**Effective Date: January 28, 2015**  
**Date Due for State Adoption: January 28, 2018**

| Change to NRC Section | Title  | State Section    | Compatibility Category | Summary of Change to CFR  | Difference Yes/No | Significant Yes/No | If Difference, Why or Why Not Was a Comment Generated |
|-----------------------|--|------------------|------------------------|---|-------------------|--------------------|---|
| § 30.4                | Definitions  | n/a              | NRC                    | <b>In § 30.4, remove the definition for “Quantities of Concern.”</b>  |                   |                    |   |
| § 30.32(k)            | Application for specific licenses  | n/a              | NRC                    | <b>In § 30.32, remove paragraph (k).</b>  |                   |                    |   |
| § 30.34(l)            | Terms and conditions of licenses   | n/a              | NRC                    | <b>In § 30.34, remove paragraph (l).</b>  |                   |                    |   |
| § 37.29(a)(10)        | Relief from fingerprinting, identification, and criminal history records checks and other elements of background investigations for designated categories of individuals permitted | Reference Part S | B                      | <b>In § 37.29, revise paragraph (a)(10) to read as follows:</b><br><br>(a) * * *<br>(10) Commercial vehicle drivers for road shipments of category 1 and category 2 quantities of radioactive material; |                   |                    |   |

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|                       | unescorted access to certain radioactive materials |               |                        |  |                   |                    |   |
| § 37.43(d)(1)         | General security program requirements              | Part S        | C                      | <p><b>In § 37.43, revise paragraph (d)(1) to read as follows:</b></p> <p>(d) Protection of information.<br/> (1) Licensees authorized to possess category 1 or category 2 quantities of radioactive material shall limit access to and unauthorized disclosure of their security plan, implementing procedures, and the list of individuals that have been approved for unescorted access.</p> |                   |                    |   |
| § 37.43(d)(9)         | General security program requirements              | n/a           | NRC                    | <p><b>In § 37.43, remove paragraph (d)(9).</b></p> <p>[(9) Licensees that possess safeguards information or safeguards information-modified handling are subject to the requirements of §73.21 of this chapter, and shall protect any safeguards information or safeguards information-modified handling in accordance with the requirements of that section.]</p>                             |                   |                    |   |

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| § 37.77(f)            | Advance notification of shipment of category 1 quantities of radioactive material | Part S        | C                      | <p><b>In § 37.77, revise paragraph (f) to read as follows:</b></p> <p>(f) Protection of information. State officials, State employees, and other individuals, whether or not licensees of the Commission or an Agreement State, who receive schedule information of the kind specified in § 37.77(b) shall protect that information against unauthorized disclosure as specified in § 37.43(d) of this part.</p> |                   |                    |   |
| § 73.2                | Definitions   | n/a           | NRC                    | <p><b>In § 73.2, remove the definition for “Quantities of Concern.”</b></p>  |                   |                    |   |
| § 73.21               | Protection of safeguards information: performance requirements                    | n/a           | NRC                    | <p><b>In § 73.21, revise paragraph (a)(1)(ii) to read as follows:</b></p> <p>(a) * * *</p> <p>(1) * * *</p> <p>(ii) Establish, implement, and maintain an information protection system that includes the applicable measures for Safeguards Information specified in § 73.23 related to: research and test reactors that possess special nuclear material of moderate strategic significance or</p>             |                   |                    |   |

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|                       |   |               |                        | special nuclear material of low strategic significance.   |                   |                    |   |
| § 73.23               | Protection of safeguards information-modified handling: specific requirements | n/a           | NRC                    | <p><b>In § 73.23, revise the introductory text and paragraph (a)(2) to read as follows:</b></p> <p>This section contains specific requirements for the protection of Safeguards Information in the hands of any person subject to the requirements of § 73.21(a)(1)(ii) and research and test reactors that possess special nuclear material of moderate strategic significance or special nuclear material of low strategic significance. The requirements of this section distinguish Safeguards Information requiring modified handling requirements (SGI-M) from the specific Safeguards Information handling requirements applicable to facilities and materials needing a higher level of protection, as set forth in § 73.22.</p> <p>(a) * * *</p> <p>(2) Physical protection in transit. Information not classified as Restricted Data or National Security Information related to the physical</p> |                   |                    |   |

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|                       |   |               |                        | protection of shipments of special nuclear material in less than a formula quantity (except for those materials covered under § 73.22), including: |                   |                    |   |
| Appendix I, Part 73   | Category 1 and category 2 radioactive materials | n/a           | NRC                    | <b>Remove Appendix I to Part 73, Table of Quantities of Concern Threshold Limits.</b>  |                   |                    |   |
| § 150.15(a)(9)        | Persons not exempt                              | n/a           | NRC                    | <b>In § 150.15, remove paragraph (a)(9).</b>   |                   |                    |   |