



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

July 26, 2019

MEMORANDUM TO: Dr. Brett M. Baker  
Assistant Inspector General for Audits  
Office of the Inspector General

FROM: Ho K. Nieh, Director */RA Michele G. Evans Acting for/*  
Office of Nuclear Reactor Regulation

SUBJECT: STATUS OF RECOMMENDATIONS: OFFICE OF THE  
INSPECTOR GENERAL'S AUDIT OF THE U.S. NUCLEAR  
REGULATORY COMMISSION'S SIGNIFICANCE  
DETERMINATION PROCESS FOR REACTOR SAFETY  
(OIG-16-A-21)

Enclosed is the U.S. Nuclear Regulatory Commission's (NRC's) status of the resolved recommendation from the Office of the Inspector General's Audit of the NRC's Significance Determination Process for Reactor Safety, dated December 1, 2016.

If you have any questions regarding this document, please contact the individual identified in the enclosure.

Enclosure:  
As stated

CONTACT: Anthony D. Masters, NRR/DIRS  
301-415-0108

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GENERAL'S AUDIT OF THE U.S. NUCLEAR REGULATORY  
COMMISSION'S SIGNIFICANCE DETERMINATION PROCESS FOR  
REACTOR SAFETY (OIG-16-A-21) DATE: JULY 26, 2019

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**ADAMS Accession No.: ML19192A110(memo) ML19058A356 (package)**

OFFICE	NRR/DIRS/IRAB	NRR/DIRS/IRAB	NRR/DIRS	NRR
NAME	LCasey	AMasters	CMiller (GBowman for)	HNieh (MEvans for)
DATE	7/11/2019	7/12/2019	7/19/2019	7/26/2019

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**STATUS OF RECOMMENDATIONS: OFFICE OF THE INSPECTOR GENERAL'S AUDIT OF  
THE U.S. NUCLEAR REGULATORY COMMISSION'S  
SIGNIFICANCE DETERMINATION PROCESS FOR REACTOR SAFETY  
(OIG-16-A-21)**

Recommendation 1: Assess Significance Determination Process (SDP) workflow, and establish, communicate, and document clear and consistent expectations for staff and managers to complete their roles in the SDP.

As noted by the Office of the Inspector General (OIG) in "Status of Recommendations: Audit of NRC's Significance Determination Process for Reactor Safety (OIG-16-A-21)" (Agencywide Documents Access and Management System (ADAMS) Accession No. ML19058A241), Recommendation 1 is closed.

Recommendation 2: Clarify Inspection Manual Chapter (IMC) 0612 Appendix B issue screening questions, so that they are readily understood and easily applied.

Update

The staff has developed a draft revision to IMC 0612 that will address this recommendation. As noted in the staff's previous status update, the staff initially planned to finalize the revision by mid-2019. However, the expected completion date has been delayed due to resources being redirected to support the Reactor Oversight Process enhancement project. The staff now plans to send the draft document out for formal review and comment by August 1, 2019, and finalize the revision by January 1, 2020.

Target date for completion: January 1, 2020

Contact: Anthony D. Masters, NRR/DIRS  
301-415-0108

Recommendation 3: Implement controls to ensure independent audits of greater-than-Green inspection findings are performed.

As noted by the OIG in "Status of Recommendations: Audit of NRC's Significance Determination Process for Reactor Safety (OIG-16-A-21)" (ADAMS Accession No. ML18060A114), Recommendation 3 is closed.

Recommendation 4: Document independent audits of greater-than-Green inspection findings.

As noted by the OIG in "Status of Recommendations: Audit of NRC's Significance Determination Process for Reactor Safety (OIG-16-A-21)" (ADAMS Accession No. ML18288A234), Recommendation 4 is closed.