



UNITED STATES
NUCLEAR REGULATORY COMMISSION
REGION I
2100 RENAISSANCE BLVD., SUITE 100
KING OF PRUSSIA, PENNSYLVANIA 19406-2713

July 10, 2019

Mr. Brad Berryman
President and Chief Nuclear Officer
Susquehanna Nuclear, LLC
769 Salem Blvd., NUCSB3
Berwick, PA 18603

SUBJECT: SUSQUEHANNA STEAM ELECTRIC STATION, UNITS 1 AND 2 –
INFORMATION REQUEST FOR TRIENNIAL BASELINE DESIGN BASES
ASSURANCE INSPECTION (TEAM); NOTIFICATION TO PERFORM
INSPECTION 05000387/2019011 AND 05000388/2019011

Dear Mr. Berryman:

The purpose of this letter is to notify you that the U.S. Nuclear Regulatory Commission (NRC) Region I staff will conduct a Design Bases Assurance Inspection (DBAI) at Susquehanna Steam Electric Station, Units 1 and 2. Ms. Elise Burket, a Senior Reactor Inspector from the NRC's Region I office, will lead the inspection team. The inspection will be conducted in accordance with Inspection Procedure 71111.21M, "Design Bases Assurance Inspection (Team)," dated December 8, 2016 (ADAMS Accession No. ML16340B000).

The inspection will evaluate the capability of risk-significant/low-margin components to function as designed to support proper system operation. The inspection will also include a review of selected modifications, operating experience, and as applicable, operator actions.

During a telephone conversation on July 3, 2019, with Ms. Katie Brown, we discussed our plans for an information-gathering site visit and the two-week onsite inspection. The schedule is confirmed as follows:

- Information-gathering visit: July 22–23, 2019
- Onsite weeks: Weeks of September 16, 2019, and September 30, 2019

The purpose of the information-gathering visit is to meet with members of your staff to identify risk-significant components, modifications, operator actions, and operating experience items. Information and documentation needed to support the inspection will also be identified. Mr. Frank Arner, a Region I Senior Risk Analyst, will support Ms. Burket during the information-gathering visit to review probabilistic risk assessment data and identify components to be examined during the inspection.

Experience with previous baseline design/modification inspections of similar depth and length has shown this type of inspection is resource intensive, both for the NRC inspectors and the licensee staff. In order to minimize the inspection impact on the site and to ensure a productive inspection for both parties, we have enclosed a request for information needed for the inspection.

It is important that all of these documents are up-to-date and complete in order to minimize the number of additional documents requested during the preparation and/or the onsite portions of the inspection. Insofar as possible, this information should be provided electronically to the lead inspector. The information request has been divided into two groups:

- The first group lists information necessary for our initial inspection scoping activities. This information should be provided to the lead inspector by July 22, 2019. It is expected that the lead inspector will communicate the initial selected set of components and modifications early- to mid-August.
- The second group of documents requested is those items needed to support our in-office preparation activities. This set of documents, specific to the selected components and modifications, should be provided to the lead inspector at the Regional Office no later than September 9, 2019. During the in-office preparation activities, the team may identify additional information needed to support the inspection, and those items will be communicated directly to Ms. Brown.

If there are any questions about the inspection or the material requested in the enclosure, including a request to prioritize the first group of requests, please contact the lead inspector at 610-337-5266 or via e-mail at Elise.Burket@nrc.gov.

This letter does not contain new or amended information collection requirements subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.). Existing information collection requirements were approved by the Office of Management and Budget, Control Number 3150-0011. The NRC may not conduct or sponsor, and a person is not required to respond to, a request for information or an information collection requirement unless the requesting document displays a currently valid Office of Management and Budget Control Number.

This letter and its enclosure will be made available for public inspection and copying at <http://www.nrc.gov/reading-rm/adams.html> and at the NRC Public Document Room in accordance with Title 10 of the *Code of Federal Regulations*, Part 2.390, "Public Inspections, Exemptions, Requests for Withholding."

Sincerely,

/RA Glenn T. Dentel for/

Mel Gray, Chief
Engineering Branch 1
Division of Reactor Safety

Docket Nos. 05000387 and 05000388
License Nos. NPF-14 and NPF-22

Enclosure:
Document Request for Design Bases
Assurance Inspection

cc: Distribution via ListServ

SUBJECT: SUSQUEHANNA STEAM ELECTRIC STATION, UNITS 1 AND 2 –
 INFORMATION REQUEST FOR TRIENNIAL BASELINE DESIGN BASES
 ASSURANCE INSPECTION (TEAM); NOTIFICATION TO PERFORM
 INSPECTION 05000387/2019011 AND 05000388/2019011 DATED JULY 10,
 2019

DISTRIBUTION: (via email)

- DLew, RA (R1ORAMAIL Resource)
- RLorson, DRA (R1ORAMAIL Resource)
- DCollins, DRP (R1DRPMAIL Resource)
- JYerokun, DRS (R1DRSMAIL Resource)
- PKrohn, DRS (R1DRSMAIL Resource)
- JGreives, DRP
- JDeBoer, DRP
- DBeacon, DRP
- LMicewski, DRP, SRI
- MRossi, DRP, RI
- AGould, DRP, AA
- DDodson, RI OEDO
- RidsNrrPMSusquehanna Resource
- RidsNrrDorlLpl1 Resource
- ROPreports Resource

DOCUMENT NAME: \\nrc.gov\nrc\R1\Office\DRS\Engineering Branch 1\-- Pindale\Inspection Plans\SQ 2019 DBAI Request for Information Letter.docx

ADAMS ACCESSION NUMBER: **ML19191A065**

<input checked="" type="checkbox"/> SUNSI Review		<input checked="" type="checkbox"/> Non-Sensitive <input type="checkbox"/> Sensitive		<input checked="" type="checkbox"/> Publicly Available <input type="checkbox"/> Non-Publicly Available	
OFFICE	RI/DRS	RI/DRS	RI/DRS		
NAME	EBurket via email	FArner via email	MGray/GDentel for		
DATE	7/9/19	7/9/19	7/10/19		

OFFICIAL RECORD COPY

DOCUMENT REQUEST FOR DESIGN BASES ASSURANCE INSPECTION

Inspection Report: 05000387/2019011 and 05000388/2019011

Onsite Inspection Dates: September 16–20, 2019; and
September 30, 2019, through October 4, 2019

Inspection Procedure: Inspection Procedure 71111.21M, Design Bases Assurance
Inspection (Teams)

Lead Inspector: Elise Burket, Senior Reactor Inspector
610-337-5266
Elise.Burket@nrc.gov

I. Information Requested for Selection of Components and Modifications

The following information is requested by July 22, 2019, to facilitate inspection preparation. Feel free to contact the lead inspector as soon as possible if you have any questions regarding this information request. Please provide the information electronically in “.pdf” files, Excel, or other searchable formats, preferably on some portable electronic media (e.g., CD-ROM, DVD). The files should contain descriptive names, and be indexed and hyperlinked to facilitate ease of use. Information in “lists” should contain enough information to be easily understood by someone who has knowledge of light water reactor technology.

1. The site probabilistic risk analysis (PRA) “System Notebook” and latest PRA Summary Document.
2. Risk ranking of top 250 basic events sorted by Risk Achievement Worth (≥ 1.3). Include values for Risk Reduction Worth, Birnbaum Importance, and Fussell-Vesely (as applicable). Please provide in an excel spreadsheet or other sortable format, and include an understandable definition of the coded basic events.
3. Risk-ranking of top 100 components from site specific PRA sorted by Large Early Release Frequency. Provide any basic event mapping used to develop the ranking of components.
4. List of the top 200 cut-sets from your PRA. Provide the descriptions of the basic events in the list of cut-sets.
5. List of the top 200 cut-sets for each initiator modeled in the PRA that contributes more than 5 percent to the baseline plant core damage frequency.
6. If you have an External Events or Fire PRA Model, provide the information requested in Items 1 and 2 for external events and fire. Provide narrative description of each coded event, including fire and flood zone description.
7. List of time-critical and/or risk significant operator actions.
8. List of emergency and abnormal operating procedures.

Enclosure

DOCUMENT REQUEST FOR DESIGN BASES ASSURANCE INSPECTION

9. If available, any pre-existing evaluation or list of components and associated calculations with low design margins (e.g., pumps closest to the design limit for flow or pressure, diesel generator close to design required output, heat exchangers close to rated design heat removal).
10. If applicable, copy of any self-assessments and/or Quality Assurance assessments of low margin structures, systems and components (SSC), completed in the last 3 years.
11. List of available design margins in both the open and closed direction for valves in the motor-operated valve and air-operated valve programs. Identify the safety-related direction and the basis for how the margin was determined.
12. The age and capacity of the safety-related DC batteries.
13. The In-Service Testing Program (IST) Basis document identifying the in-scope valves and pumps, and the associated IST Program requirements for each component (e.g., IST valve table identifying category, active/passive function).
14. SSCs in the Maintenance Rule (a)(1) category in the last 3 years.
15. List of Root Cause Evaluations associated with SSCs failures or design issues initiated/completed in the last 4 years.
16. List of formal operability evaluations in the last 4 years.
17. List of current "operator work arounds/burdens."
18. List of "permanent plant modifications" to SSCs that are field work complete in the last 3 years. For the purpose of this inspection, permanent plant modifications include permanent: plant changes, design changes, set point changes, equivalency evaluations, suitability analyses, and commercial grade dedications. The list should contain the number of each document, title (sufficient to understand the purpose of the modification), revision/date, and the affected system.
19. List of calculation changes that have been issued for use in the last 3 years.
20. Corrective Action Program Procedure.
21. Procedures addressing the following: modifications, design changes, set point changes, equivalency evaluations or suitability analyses, commercial grade dedications, and post-modification testing.
22. List of corrective action documents (open and closed) in the last 3 years that address permanent plant modifications issues, concerns, or processes.
23. Any internal/external self-assessments and associated corrective action documents generated in preparation for this inspection.
24. Corrective Action Program documents (e.g., condition reports) associated with inspection findings from the previous NRC Inspection Procedure 71111.21 (or 71111.21 Attachment M inspection).

DOCUMENT REQUEST FOR DESIGN BASES ASSURANCE INSPECTION

25. Updated Final Safety Analysis Report, Technical Specifications, Technical Specifications Bases, and Technical Requirements Manual.
26. Major one line safety-related electrical drawings (AC and DC), and Piping and Instrumentation Drawings of emergency core cooling systems and the ultimate heat sink.

II. Information Requested to Be Available by September 9, 2019

This information should be separated for each selected component and modification, especially if provided electronically (e.g., a folder for each component and modification named after the component or modification that includes the information requested below). Items 1 through 9 are associated with the selected components and Item 10 is for the selected modifications.

1. List of corrective action documents associated with each selected component for the last 4 years.
2. Maintenance history (e.g., corrective, preventive, and elective) associated with each selected component for the last 6 years. Identify frequency of preventive maintenance activities.
3. Aging Management Program documents applicable to each selected component.
4. List of calculations associated with each selected component, excluding data files. Pipe stress calculations are excluded from this request.
5. System Health Report (last completed) and Design Basis Document associated with each selected component.
6. List of open temporary modifications associated with each selected component, if applicable.
7. Trend data/graphs on the selected components' performance for the last 3 years (e.g., pump performance including IST, other vibration monitoring, oil sample results).
8. List of normal operating and alarm response procedures associated with each selected component.
9. Last completed tests and surveillances for each selected component performed during the last 3 years. For those tests and surveillances performed at a periodicity of greater than three years, provide the latest test performed.
10. For each selected modification, copies of associated documents such as modification package, engineering changes, 50.59 screening or evaluation, relevant calculations, post-modification test packages, associated corrective action documents, design drawings, and new/revised preventive maintenance requirements.