



CONVERSATION RECORD

NAME OF PERSON(S)/TITLE CONTACTED OR IN CONTACT WITH YOU Janelle Baier	DATE OF CONTACT 04/15/2019	TYPE OF CONVERSATION <input type="checkbox"/> E-MAIL <input checked="" type="checkbox"/> TELEPHONE <input checked="" type="checkbox"/> INCOMING <input type="checkbox"/> OUTGOING
E-MAIL ADDRESS janelle.baier@lafargeholcim.com	TELEPHONE NUMBER (989) 358-3253	
ORGANIZATION Holcim US Inc.	DOCKET NUMBER(S) 030-30142	
LICENSE NAME AND NUMBER(S) Lafarge Midwest, Inc. 21-25823-01	MAIL CONTROL NUMBER(S) 611642	
SUBJECT Pending NRC License Amendment - Request for Additional Information		
SUMMARY AND ACTION REQUIRED (IF ANY) This record is a summary of the conversation that occurred between Laura Cender and Janelle Baier on April 15, 2019 regarding the license amendment request dated March 11, 2019. Per our discussion today, please provide your signed and dated response to the following items by no later than May 10, 2019. 1. In the letter provided dated March 11, 2019 you indicate that the company name is now Lafarge Midwest, Inc./Holcim US Inc. and also indicate that the company that you are associated with personally is Holcim US Inc. Please clarify if there has been a change of control (i.e. a merger or acquisition) that has resulted in a change in authority over the license. Attached are a copy NUREG 1556 Vol. 15 Rev. 1 which describes and provides guidance over the change of control process as well as a copy of change of control application found in Appendix E of this NUREG. 2. In the letter dated March 11, 2019 you request to name a number of individuals as alternate radiation safety officers (RSO's) on the license. The NRC generally does not name alternate RSO's unless extenuating circumstances apply. You may internally assign radiation safety responsibilities to qualified individuals, but the official radiation safety officer will ultimately maintain responsibility for the license.		
NAME OF PERSON DOCUMENTING CONVERSATION Laura B. Cender		
SIGNATURE <i>Laura B. Cender</i>	DATE OF SIGNATURE 4/15/2019	

CONVERSATION RECORD (continued)

LICENSE NAME AND NUMBER(S)

Lafarge Midwest, Inc.
21-25823-01

MAIL CONTROL NUMBER(S)

611642

SUMMARY AND ACTION REQUIRED (IF ANY) (Continued)

3. The training attendance form for hands on training described in the letter dated March 11, 2019 was not included as an enclosure. Please provide a copy of this form. Please also indicate in your letter or on the form the individual or group who provided this hands-on training training.
4. Please provide a statement confirming that the manufacturer training provided by SABIA, Inc. was conducted at your Alpena, MI facility, and was not an online course.
5. Due to changes to our licensing process Items 6-9 on your license will now appear differently going forward. Specifically, instead of allowing a blanket amount of material to be split between multiple types of devices, each device manufacturer and model will now be listed with their own limit. To facilitate this required updated, please provide the following information:
 - a.) Isotope requested
 - b.) Device manufacturer
 - c.) Device model
 - d.) Number of devices requested
 - e.) Maximum activity for any single device
 - f.) Total activity requested for devices of this manufacturer and model
 - g.) For any if these devices, if a specific sealed source is used please include the manufacturer and model number

APPENDIX E

INFORMATION NEEDED FOR TRANSFER OF CONTROL APPLICATION

Information Needed For Transfer of Control Application

Include a contact name and either U.S. Nuclear Regulatory Commission (NRC) regional office or Headquarters telephone numbers for follow-up information, as required.

NRC Region: _____ **Contact:** _____
Telephone: () - _____ **Fax:** () - _____

Definitions:

Control: Control of a license is in the hands of the person or persons who are empowered to decide when and how that license will be used. That control is to be found in the person or persons who, because of ownership or authority explicitly delegated by the owners, possess the power to determine corporate policy and, thus, the direction of the activities under the license.

Transferee: A transferee is an entity that proposes to purchase or otherwise gain control of an NRC-licensed operation.

Transferor: A transferor is an NRC licensee selling or otherwise giving up control of a licensed operation.

Information Needed for Transfer of Control

Licensees must provide full information and obtain NRC's **prior written consent** before transferring control of the license. Provide the following information concerning changes of control by the applicant (transferor and/or transferee, as appropriate). If any items are not applicable, so state.

1. Describe any planned changes in the organization, including but not limited to, transfer of stocks or assets and mergers, change in members on Board of Directors, etc. Provide the new licensee name, mailing address, and contact information, including phone numbers. Clearly identify when the amendment request is due to a name change only.
2. Describe any changes in personnel or duties that relate to the licensed program. Include training and experience for new personnel and any changes in the training program.
3. Describe any changes in the location, facilities, equipment, radiation safety program, use, possession, waste management, or other procedures that relate to the licensed program.
4. Describe the status of the licensee's facilities, equipment, and radiation safety program, including any known contamination and whether decontamination will occur prior to transfer. Include the status of calibrations, leak tests, area surveys, wipe tests, training, quality control, and related records.
5. If current decommissioning funding plans (DFP) will be changed as a result of the transfer, the revised DFP should be submitted. If other financial assurance documents will be changed as a result of the transfer, confirm that all financial assurance instruments associated with the license will be held in the transferee's name before the

license is transferred, and as required by 10 CFR 30.35(f), the licensee must, within 30 days, submit financial instruments reflecting such changes.

6. Confirm that all records concerning the safe and effective decommissioning of the facility will be transferred to the transferee or to NRC, as appropriate. These records include documentation of surveys of ambient radiation levels and fixed and/or removable contamination, including methods and sensitivity.
7. Confirm that both transferor and transferee agree to transferring control of the licensed material and activity, and the conditions of transfer, and that the transferee has been made aware of any open inspection items and its responsibility for possible resulting enforcement actions.
8. Confirm that the transferee will abide by all constraints, conditions, requirements, representations, and commitments of the transferor or that the transferee will submit a complete description of the proposed licensed program.
9. The transferee, in the case of fuel cycle facilities, shall provide documentation showing that it is financially qualified to conduct normal operations. The information can be in the form of income statements and balance sheet forecasts.

Cender, Laura

From: Cender, Laura
Sent: Monday, April 15, 2019 9:47 AM
To: janelle.baier@lafargeholcim.com
Subject: Pending Request to Amend NRC License No. 21-25823-01 - Additional Information Required
Attachments: Conversation Record to Lafarge Midwest, Inc. 04.15.2019.pdf; NUREG 1556 Vol. 15 Appendix E - Change of Control Application.pdf

Hello Janelle,

Thank you for returning my call this morning regarding your pending license amendment request. As we discussed, a record of our conversation is attached along with a copy of the change of control application we discussed.

Guidance for completing the Change of Control application can be found in NRC guidance document NUREG 1556 Vol. 15 Rev. 1 at the following link: <https://www.nrc.gov/docs/ML1618/ML16181A003.pdf>. Section 5 of this document provides detailed guidance for completing each section of the application.

Please provide your signed and dated response by no later than May 10, 2019. You may submit your response to me directly as an attachment via email, or to our regional office via regular mail or fax to 630-515-1078.

Please feel free to contact me at 630-829-9712 or send me an email if you have any questions.

Thank you,
Laura Cender

Laura Cender
U.S. Nuclear Regulatory Commission
Materials Licensing Branch
E-mail: Laura.Cender@nrc.gov
Phone: (630) 829-9712