

# RIVERINE PALEOFLOOD ANALYSES IN RISK-INFORMED DECISION MAKING

*IMPROVING HYDROLOGIC LOADING INPUT FOR  
USACE DAM SAFETY EVALUATIONS*



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**US Army Corps  
of Engineers®**

# “SO YOU MEAN A PALEOFLOOD STUDY IS JUST...

finding a site...



digging a hole...

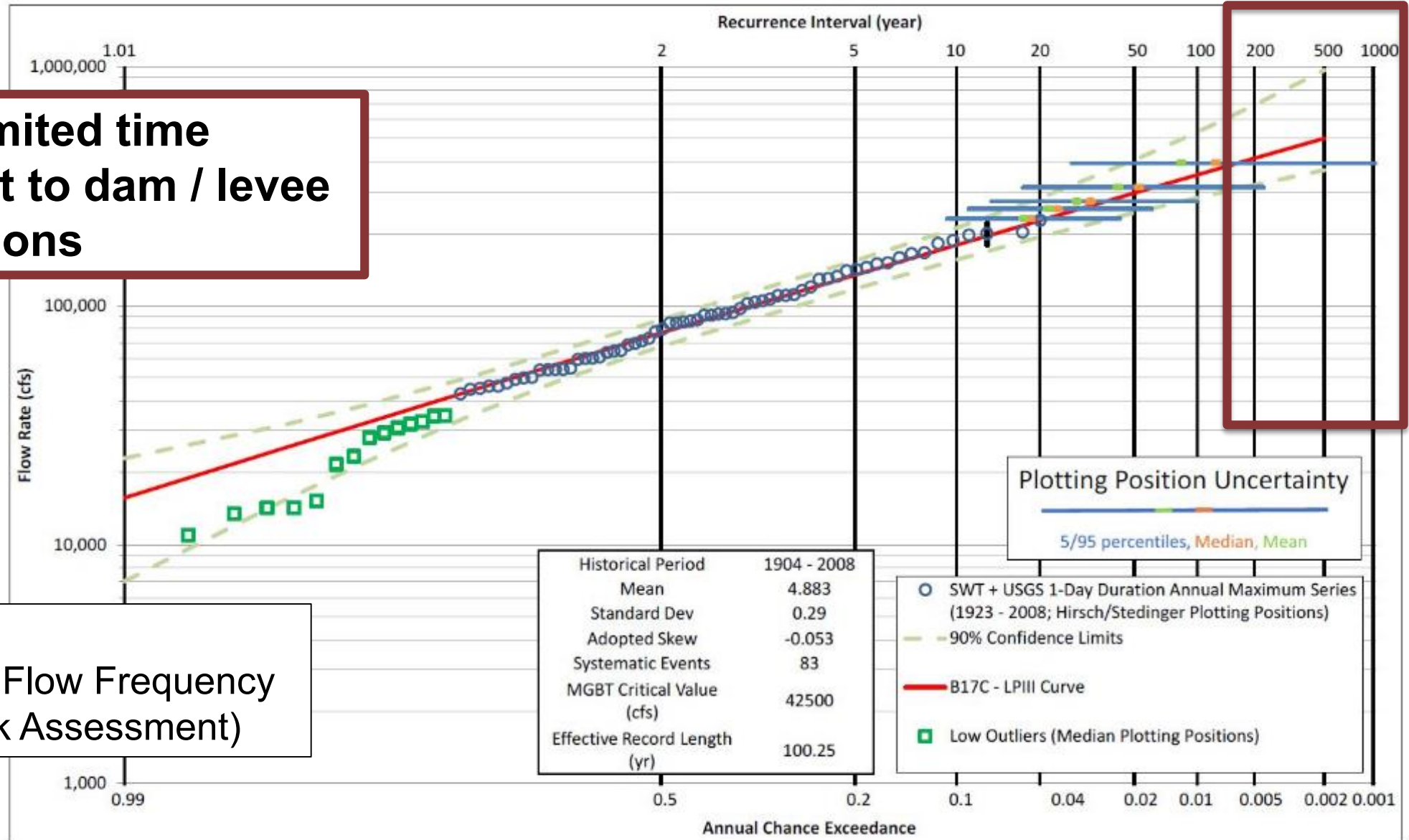


and pulling a log out?”

**Yep, about right.**

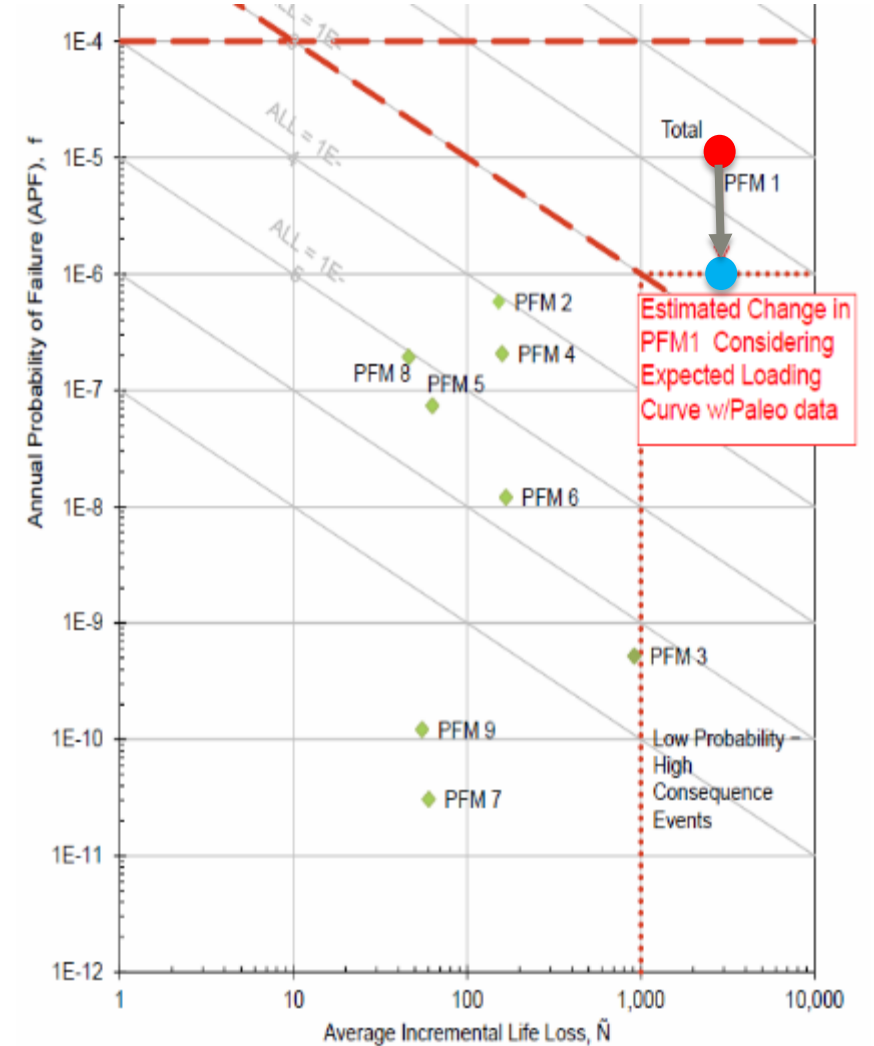
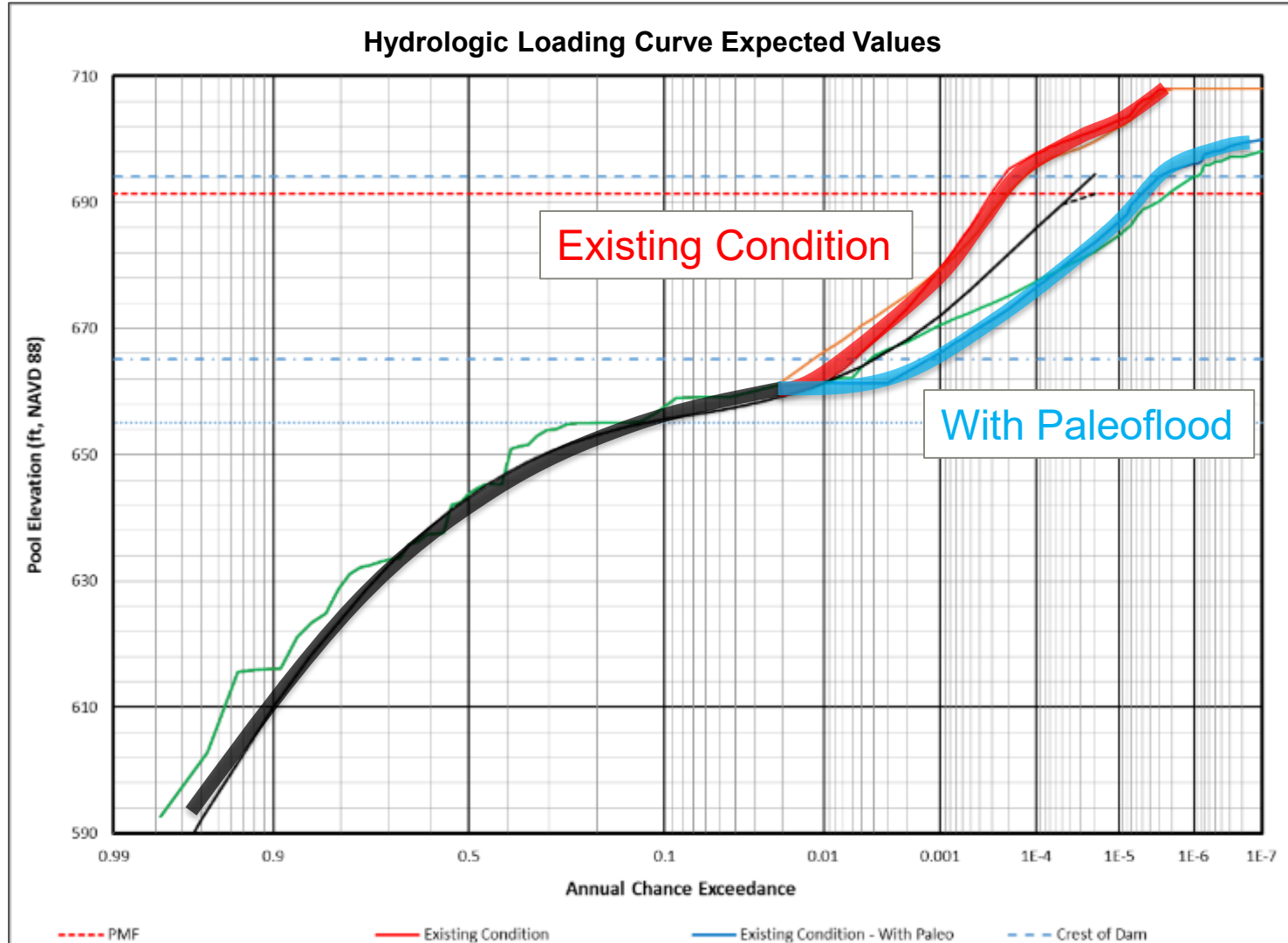
# PALEOFLOOD ANALYSES FOR DAM / LEVEE EVALUATIONS

Focused on limited time scales relevant to dam / levee safety evaluations



Arkansas River  
Unregulated Peak Flow Frequency  
(USACE 2017 Risk Assessment)

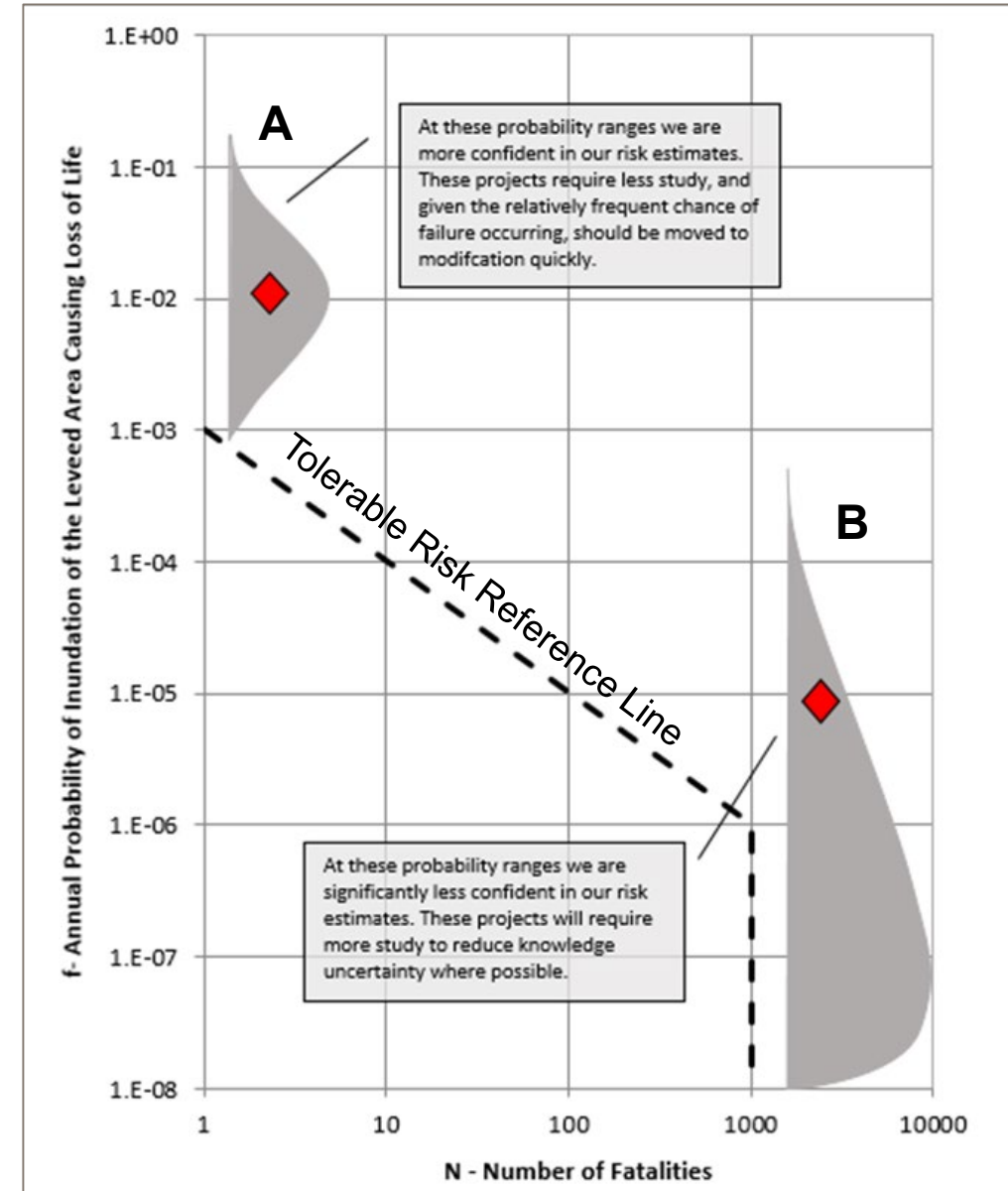
# IMPROVE CONFIDENCE IN HYDROLOGIC LOADING



# ADDRESS UNCERTAINTY IN HYDROLOGIC LOADING

Projects “A” and “B” have similar risk, but different failure probabilities and different consequences

- Project A has lower knowledge uncertainty.
  - More data will likely not change mitigation decision.
  - Should progress from evaluation to preliminary design
- Project B has greater knowledge uncertainty.
  - More data could be beneficial and have an increased chance of changing the decision.
  - Project may progress slowly from evaluation to preliminary design



# PALEOFLOOD ANALYTICAL FRAMEWORK

## Portfolio Screening

- Which sites are viable for yielding paleoflood data?
- For which facilities would paleoflood data be useful?

## Reconnaissance

- Is it possible to obtain paleoflood data?
- Would data result in narrower uncertainty or better confidence?
- Results should not be considered in risk assessments

## Issue Evaluation

- Obtain expected values and estimate reasonable range
- Will additional data narrow level of uncertainty and/or improve confidence?
- If uncertainties are acceptable, may be considered in risk assessments

## Detailed Characterization

- Focus on characterizing uncertainties in hydrologic loading
- Develop understanding sufficient to support modification / design

# PORTFOLIO SCREENING: PALEOFLOOD VIABILITY

## Geologic Criteria:

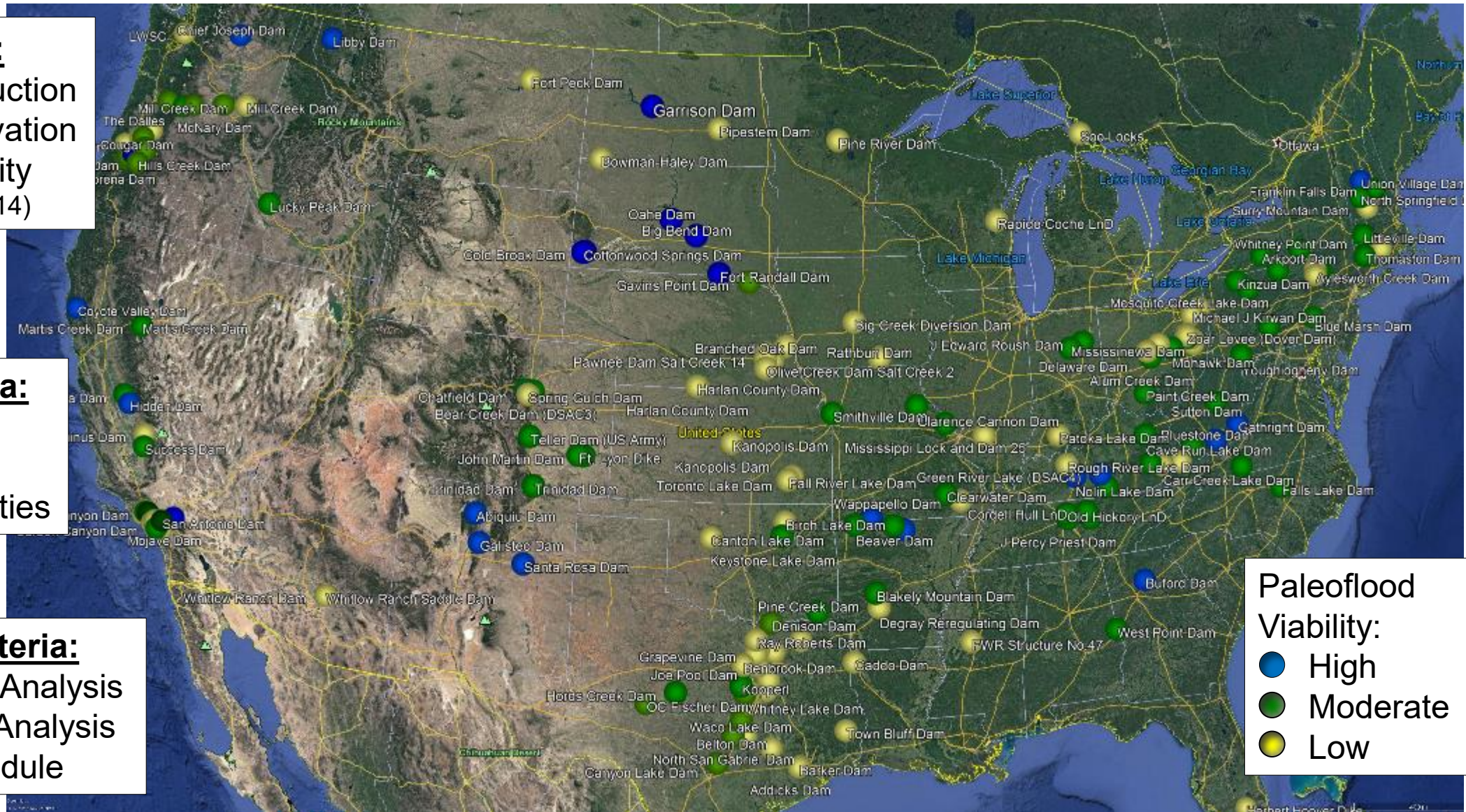
- Sediment Production
- Deposit Preservation
- Valley Stationarity (O'Connor et al., 2014)

## Hydrologic Criteria:

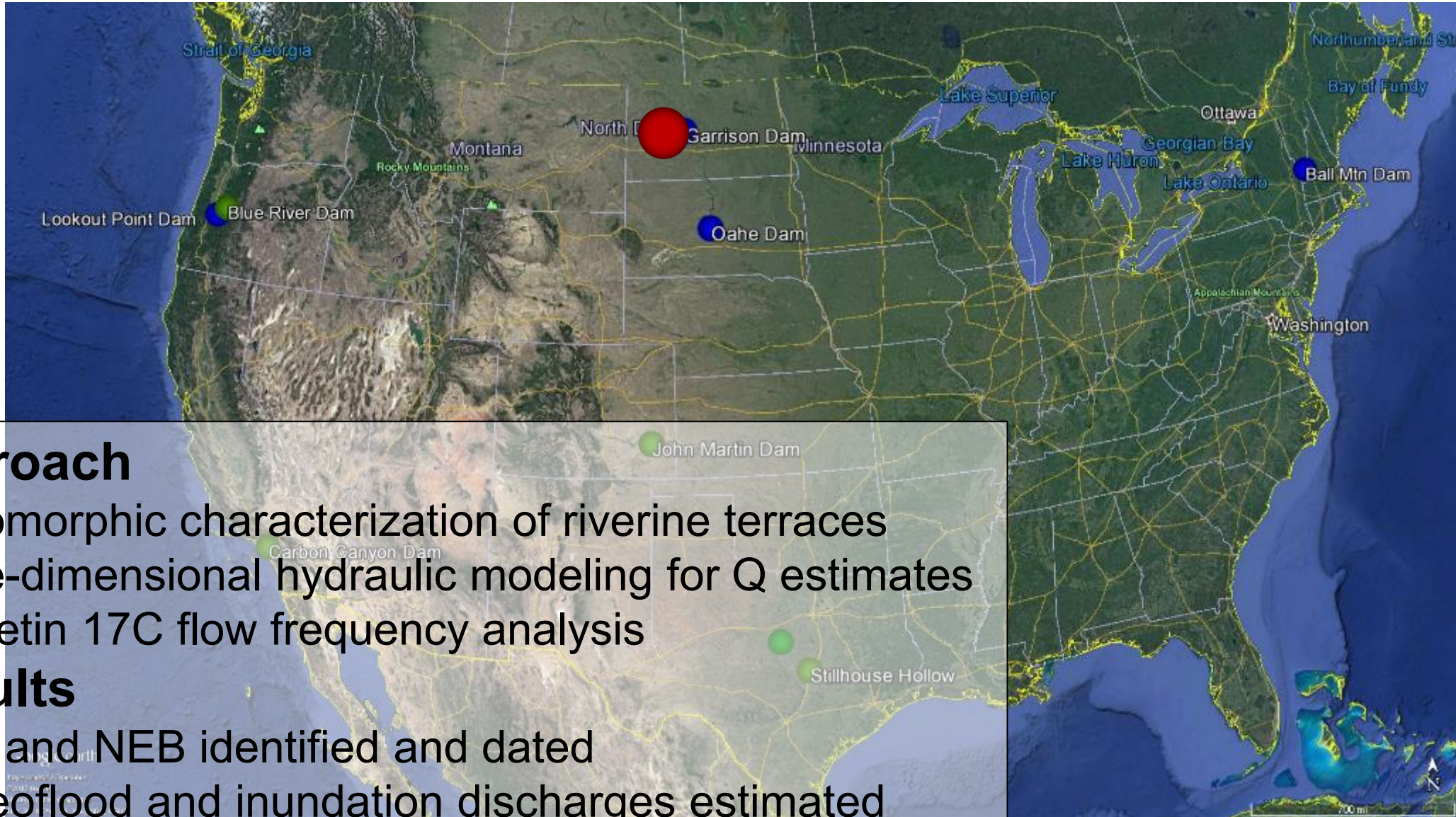
- Credible PFM
- OT Risk Driver
- Large uncertainties

## Programmatic Criteria:

- Upcoming Risk Analysis
- Imminent H&H Analysis
- Favorable Schedule



# FIRST: GARRISON DAM (ND)





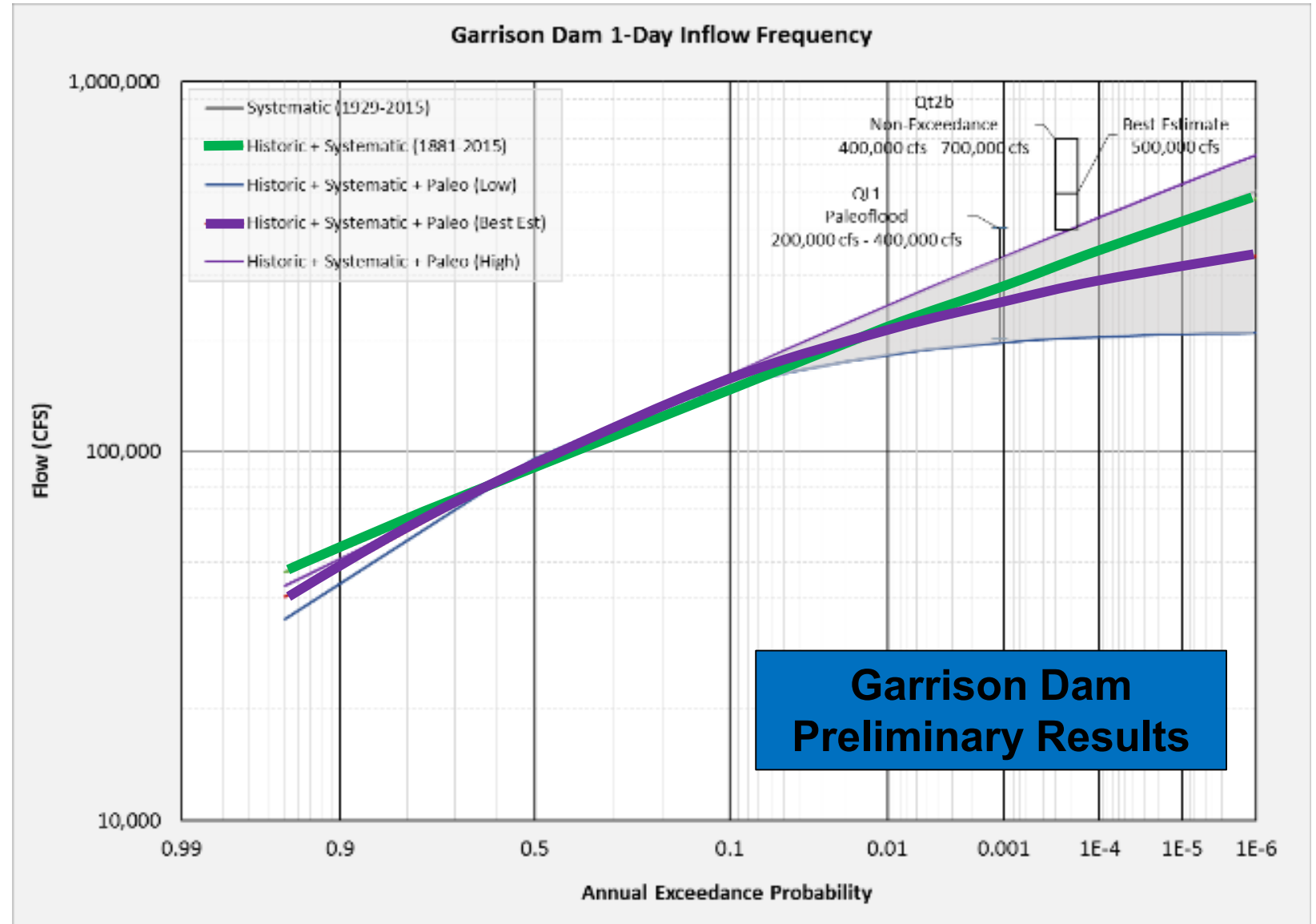
# GARRISON DAM (ND) PALEOFLOOD SUMMARY

## Conclusions

- Paleodischarge estimates are **consistent with** frequencies predicted by systematic + historic data within range of uncertainty
- 1D HEC-RAS model is good approximation of 2D model

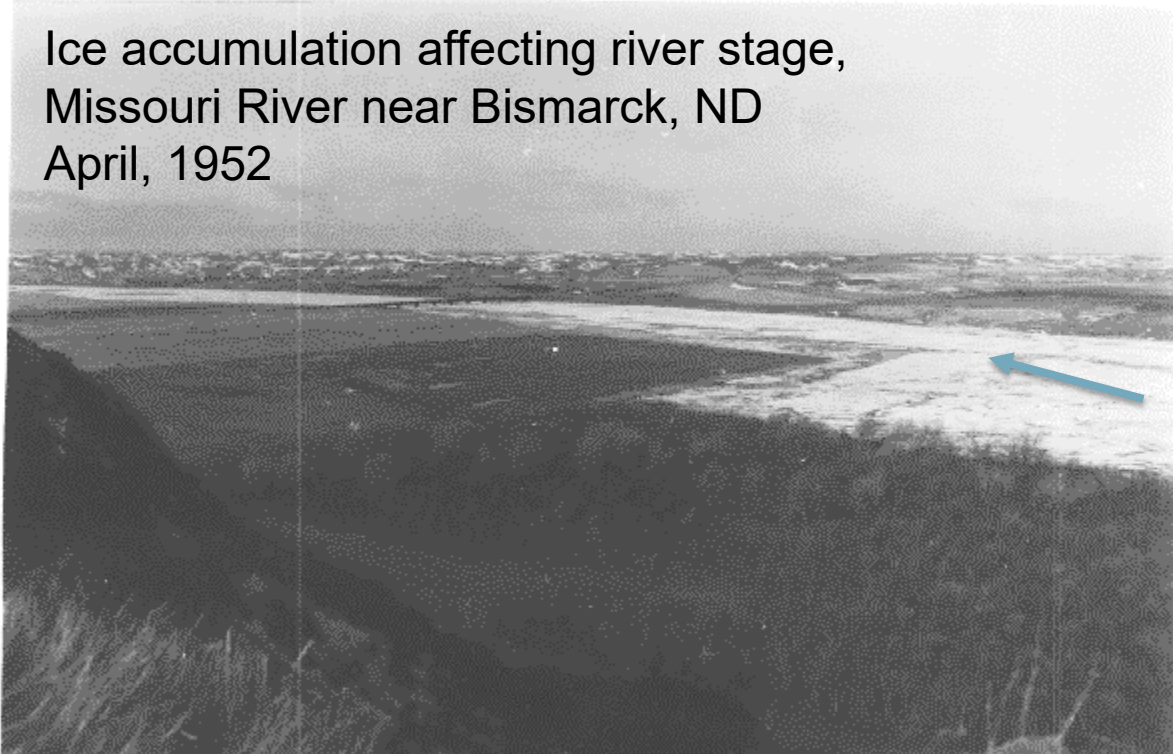
## Lessons Learned

- Pre-field preparation is mandatory
- Coordinate with local dam operations personnel
- Avoid systems affected by ice jams



# COMPLICATIONS: BEWARE OF ICE JAMS

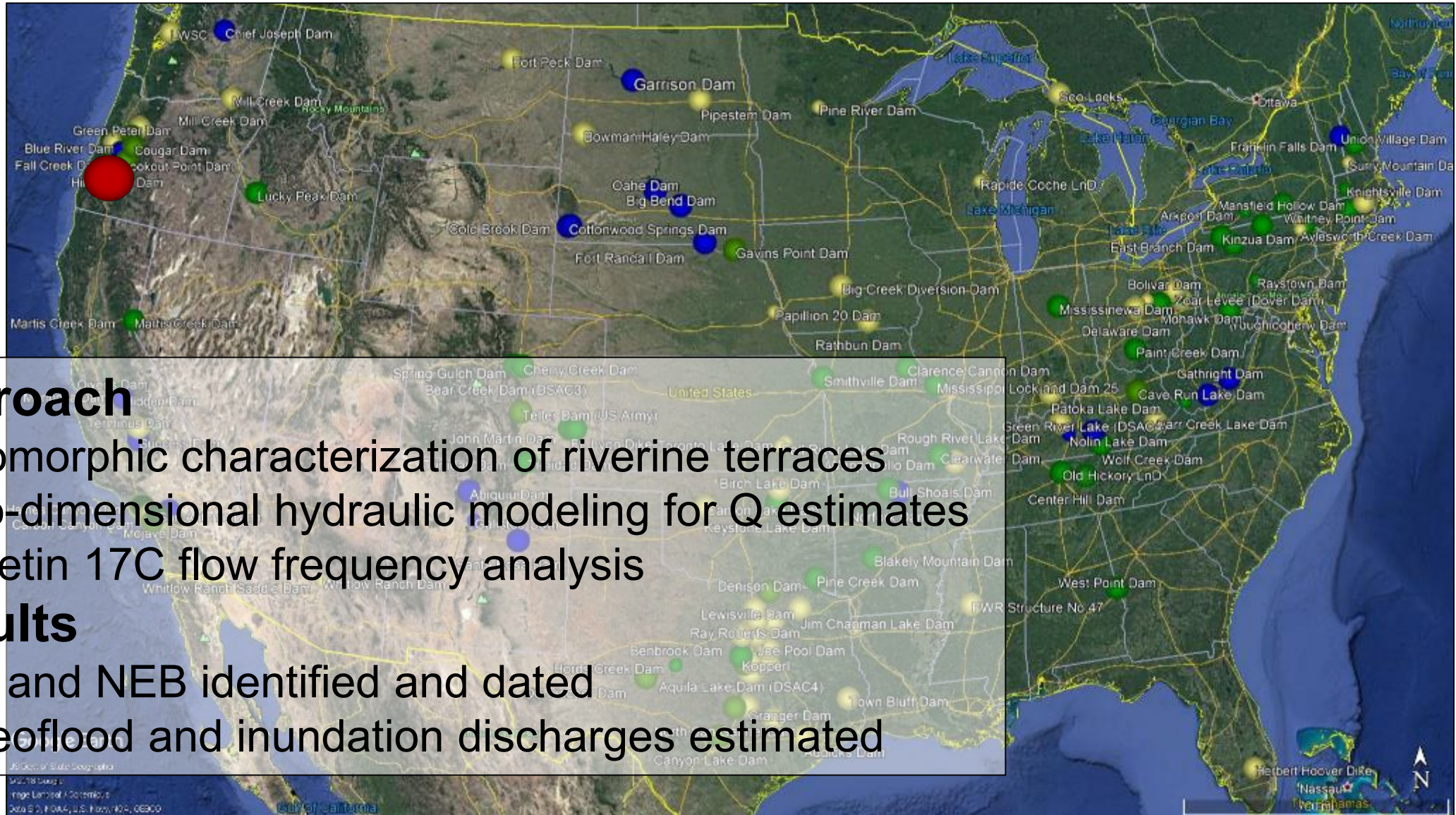
Ice accumulation affecting river stage,  
Missouri River near Bismarck, ND  
April, 1952



## Ice Jams:

- Elevate river stage, invalidate high water marks
- Violate open-channel flow assumption
- Affect stage-discharge curve
- Complicate paleodischarge estimation

# SECOND: LOOKOUT POINT DAM (OR)



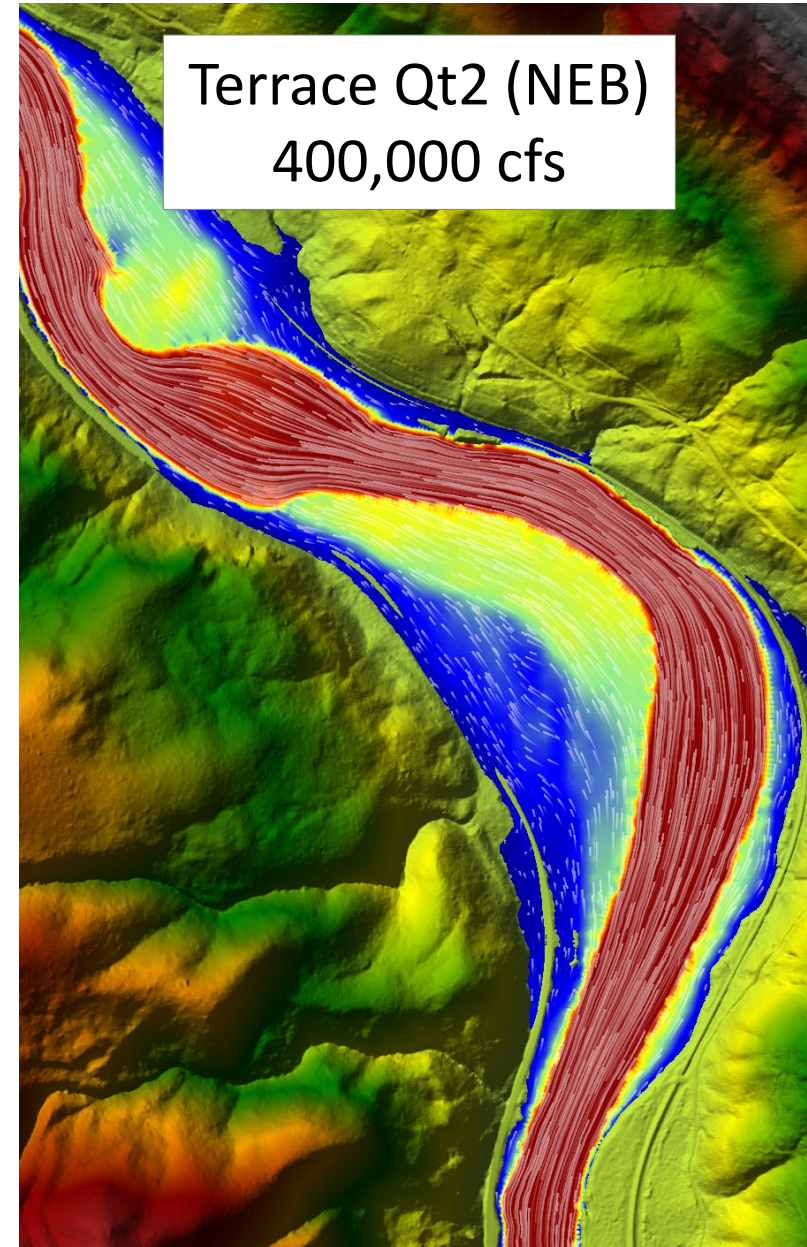
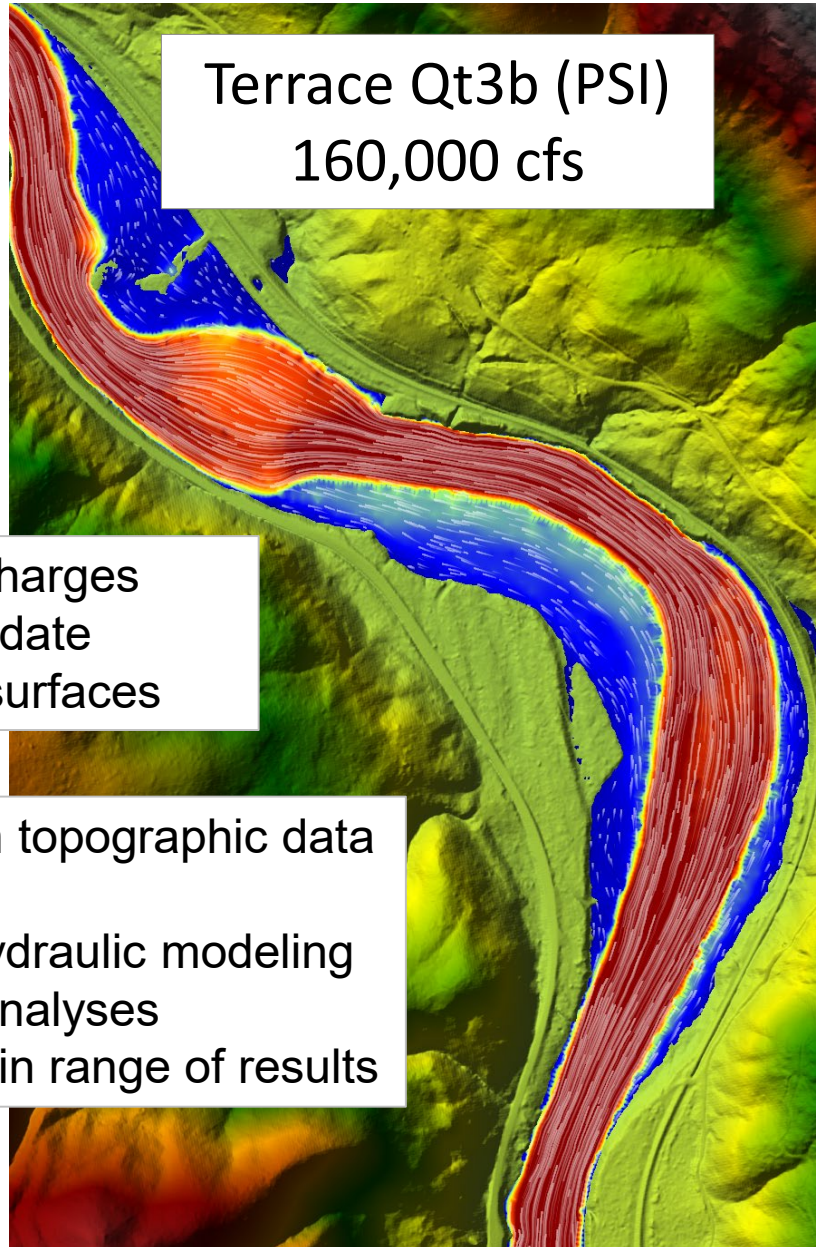
## Approach

- Geomorphic characterization of riverine terraces
- Two-dimensional hydraulic modeling for  $Q$  estimates
- Bulletin 17C flow frequency analysis

## Results

- PSI and NEB identified and dated
- Paleoflood and inundation discharges estimated

# 2D HEC-RAS DISCHARGE ESTIMATION



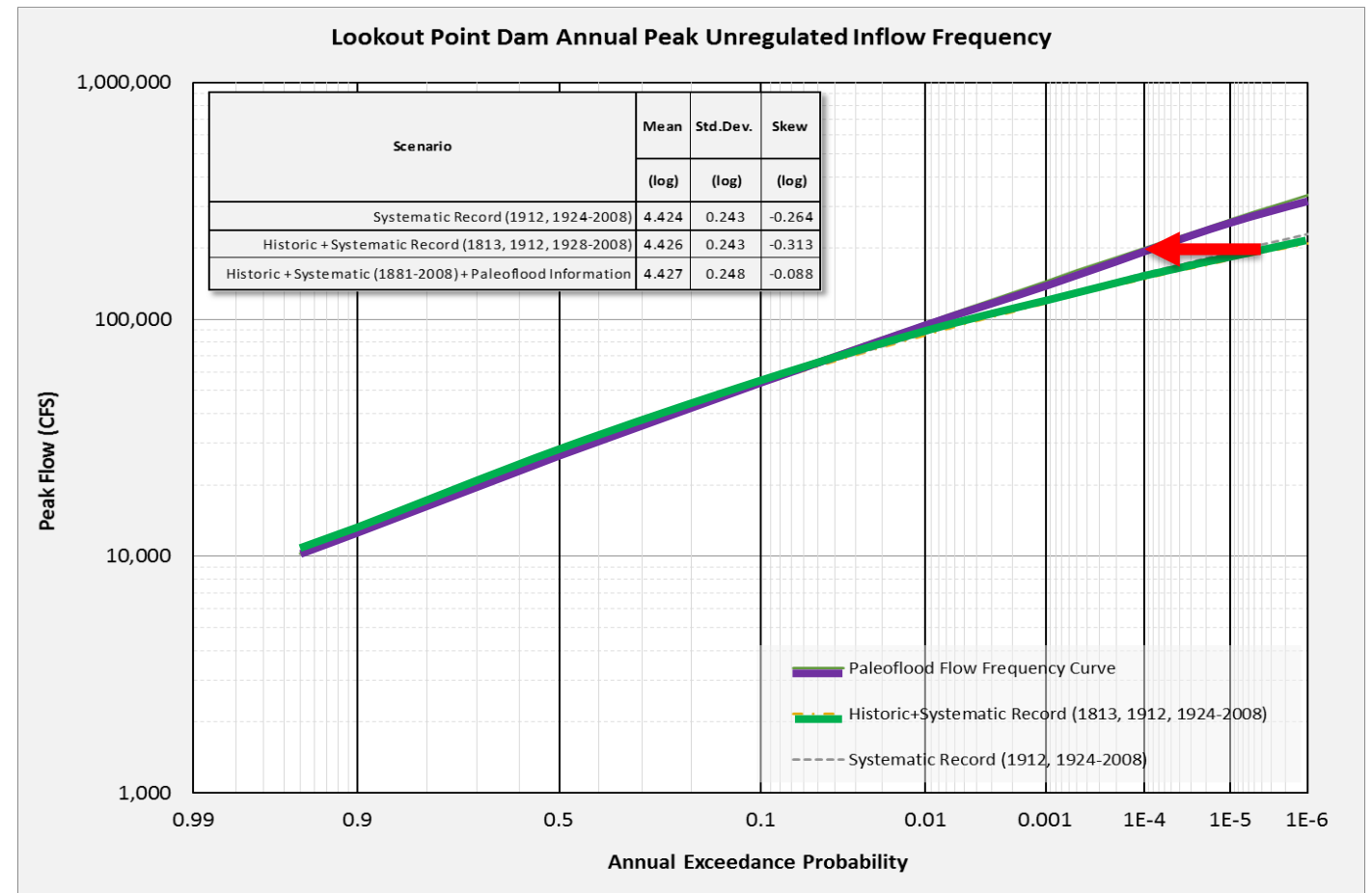
# LOOKOUT POINT DAM (OR) PALEOFLOOD SUMMARY

## Conclusions

- Very high discharges are **more frequent** than predicted by systematic + historic data within range of uncertainty
- Increased equivalent record length

## Lessons Learned

- Pre-field HEC-RAS model helps identify key localities
- Team with local hydrologic experts



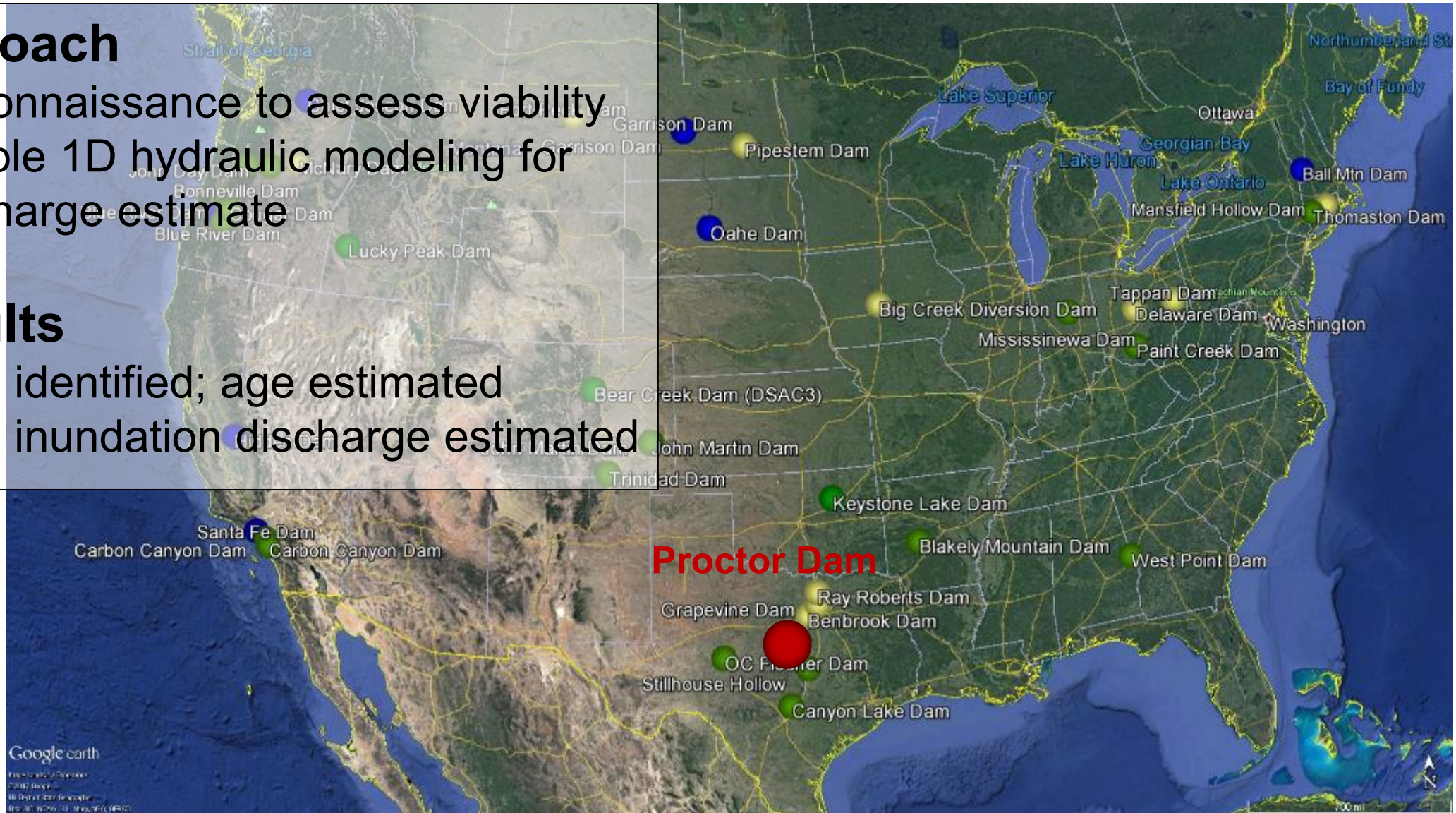
# PALEOFLOOD RECONNAISSANCE: PROCTOR DAM

## Approach

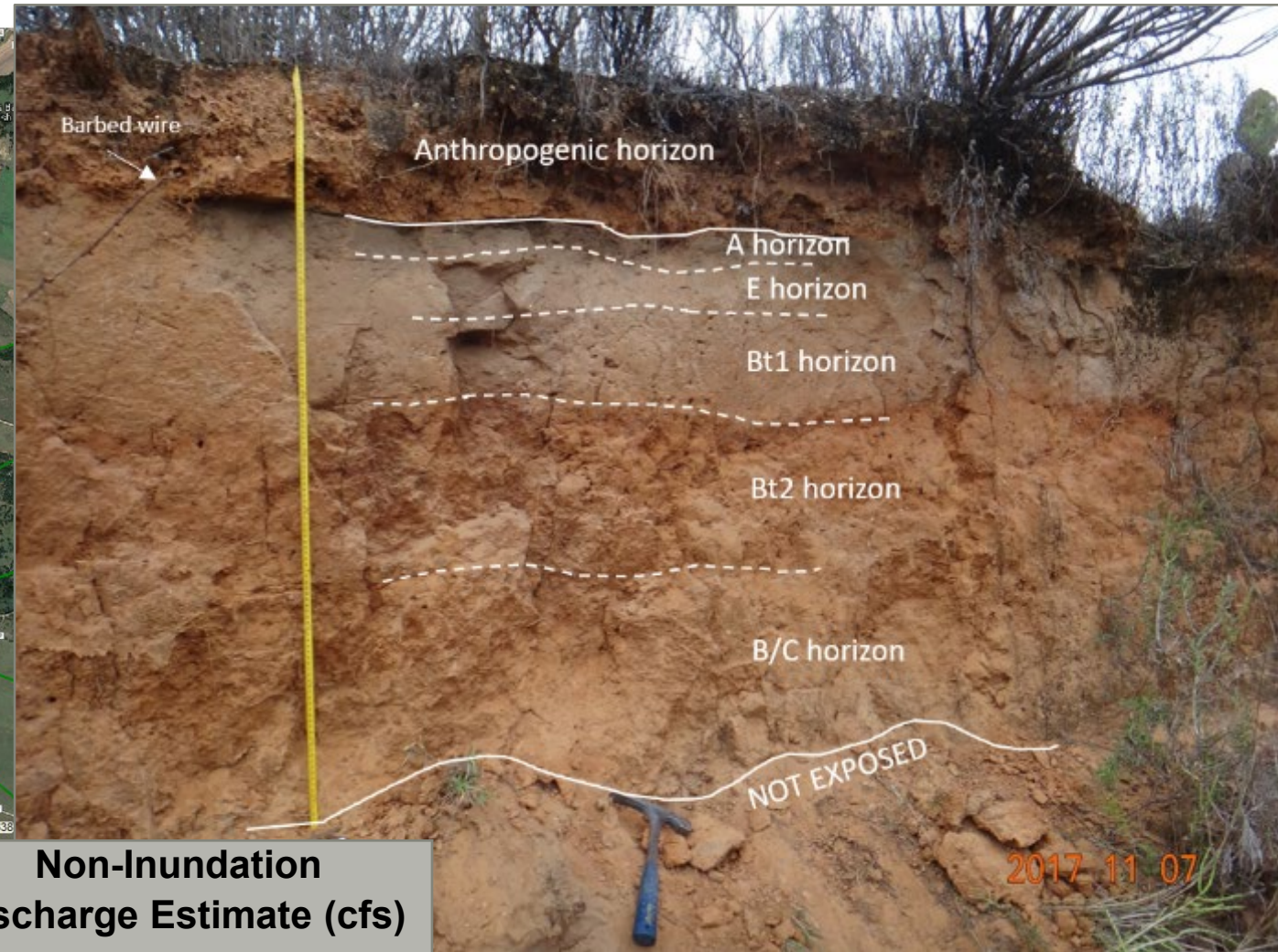
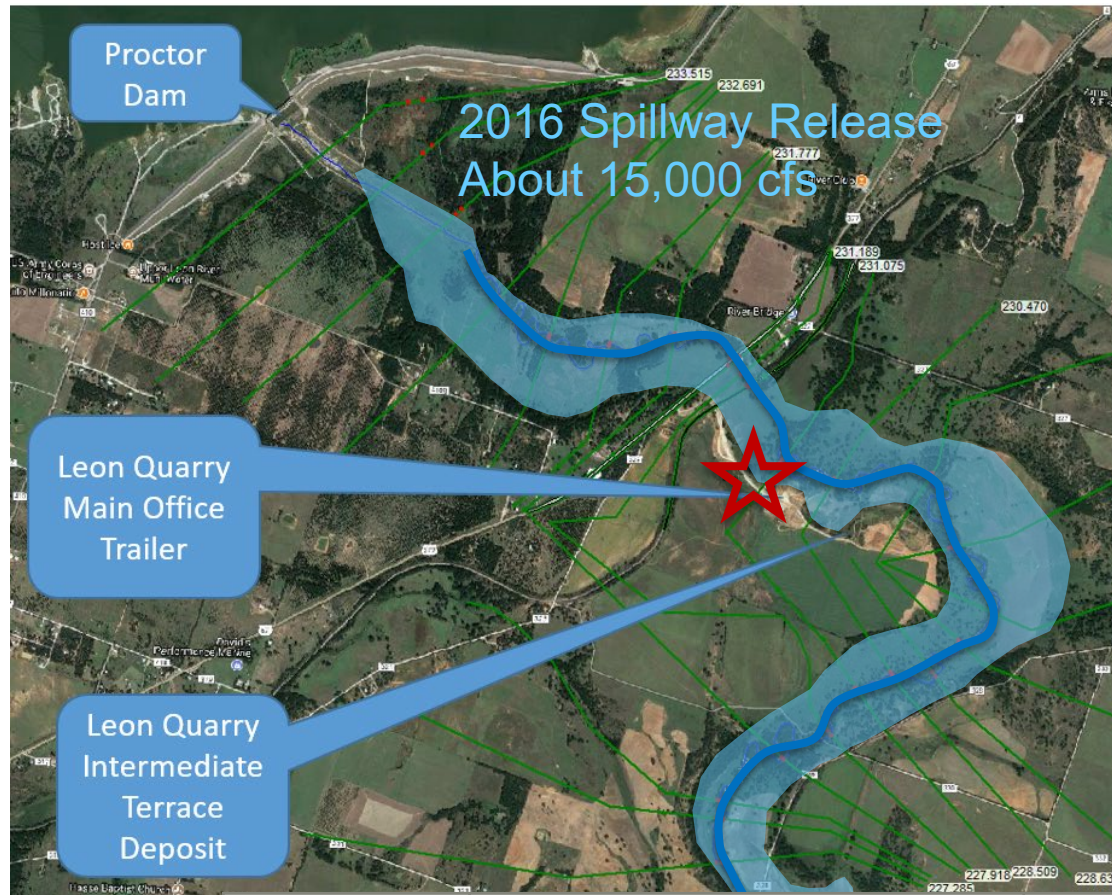
- Reconnaissance to assess viability
- Simple 1D hydraulic modeling for discharge estimate

## Results

- NEB identified; age estimated
- NEB inundation discharge estimated



# PALEOFLOOD RECONNAISSANCE: PROCTOR DAM

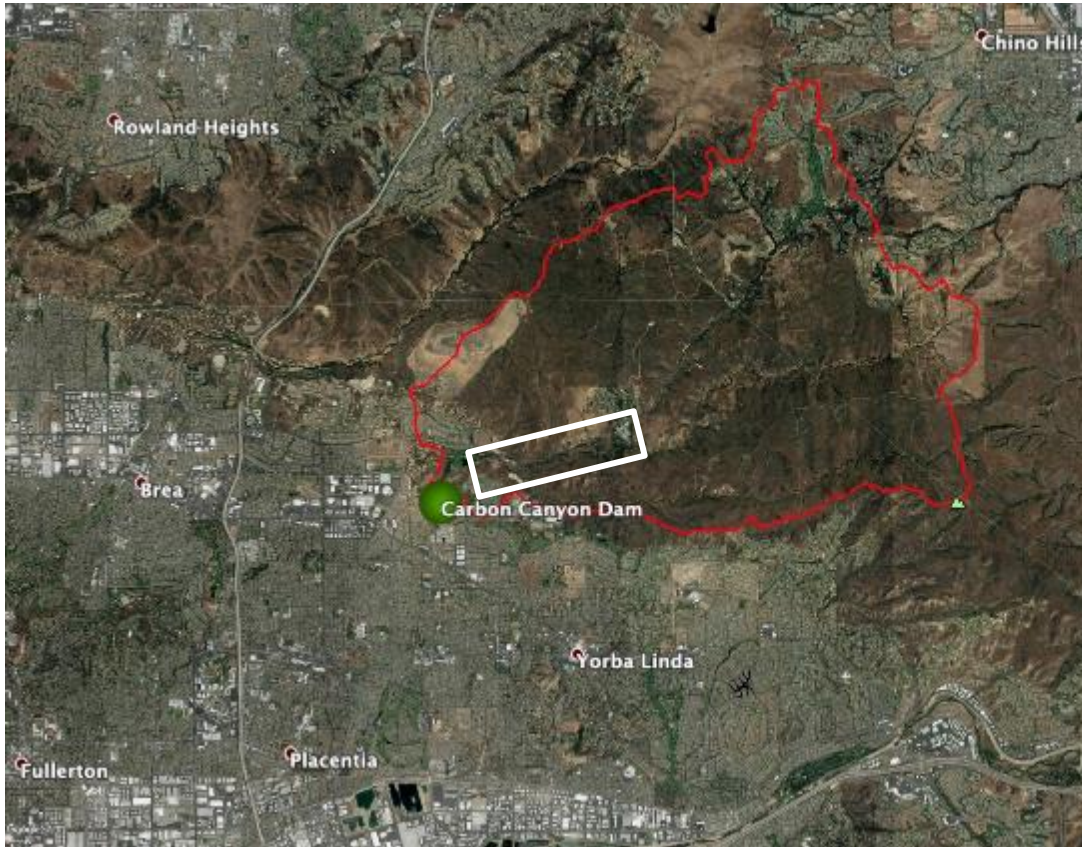


Feature	Age Estimate (yrs ago)			Non-Inundation Discharge Estimate (cfs)		
	Young	Best	Old	Low	Best	High
Eolian deposit, Leon Quarry	2,000	<b>3,500</b>	5,000	90,000	<b>105,000</b>	160,000



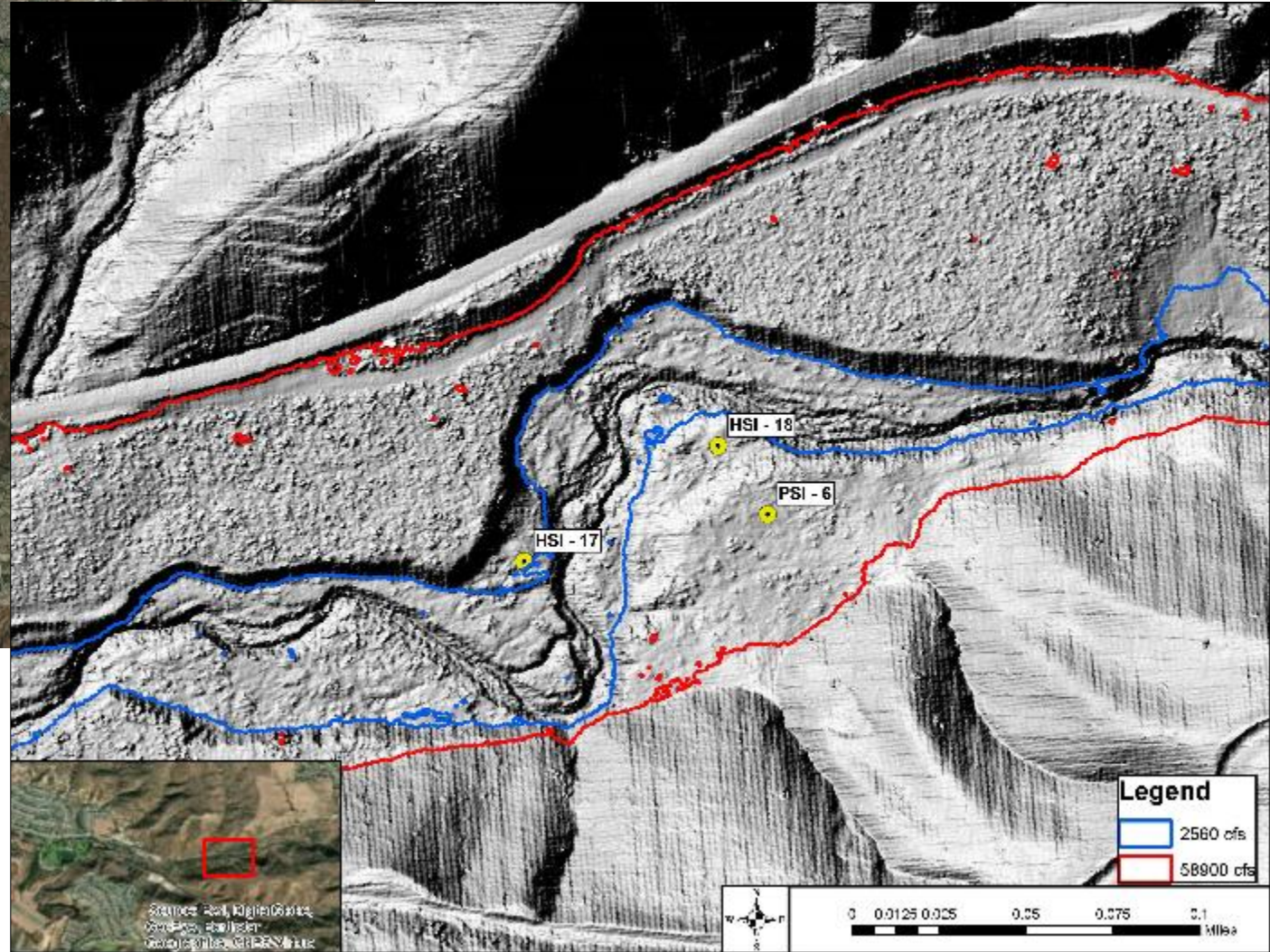


# CARBON CANYON DAM (CA) PF APPROACH

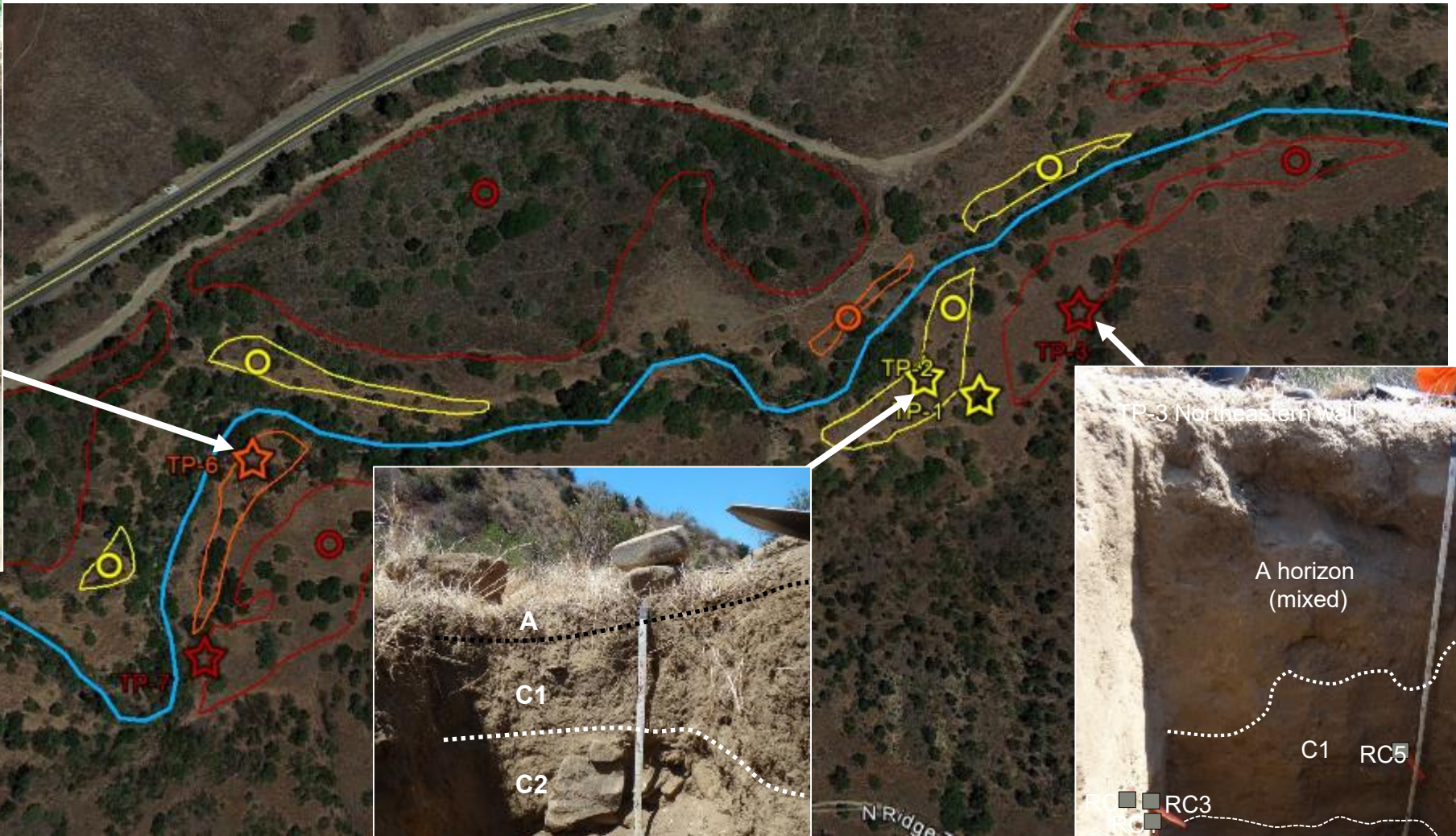
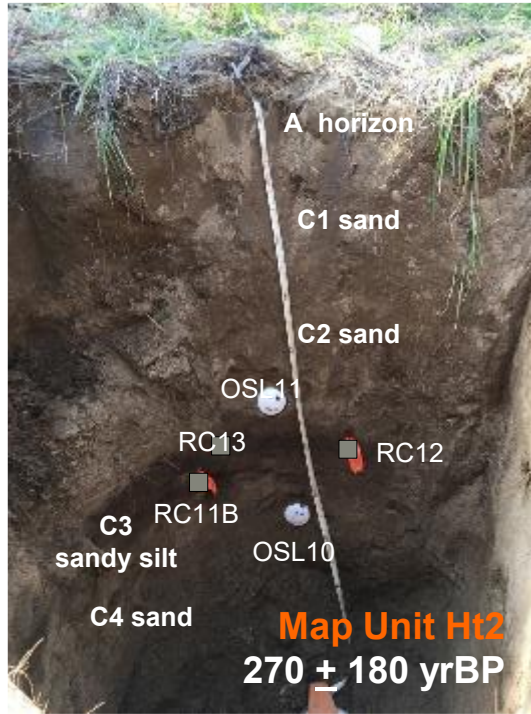


Highly urbanized downstream inundation zone  
Orange County, California

Pre-field HEC-RAS model of FOR and PMF  
using existing LiDAR topography

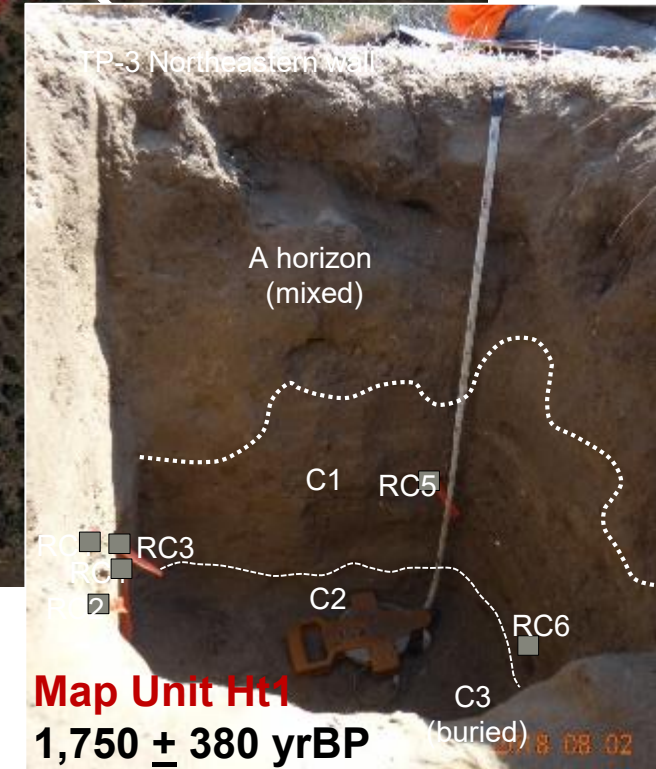
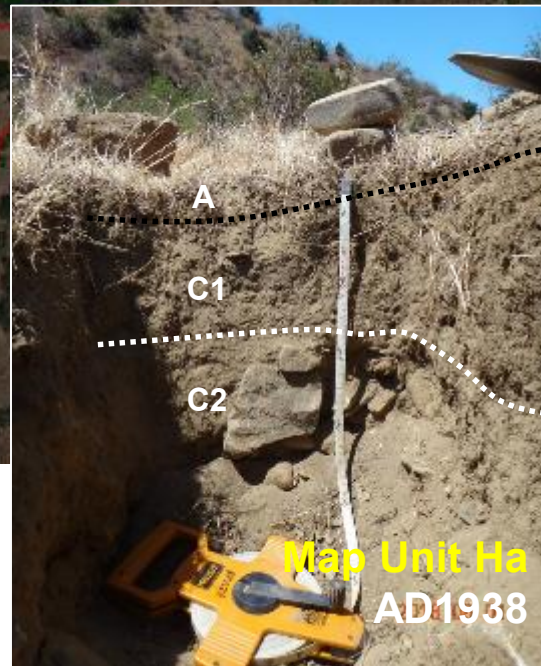


# CARBON CANYON DAM (CA) PF RESULTS

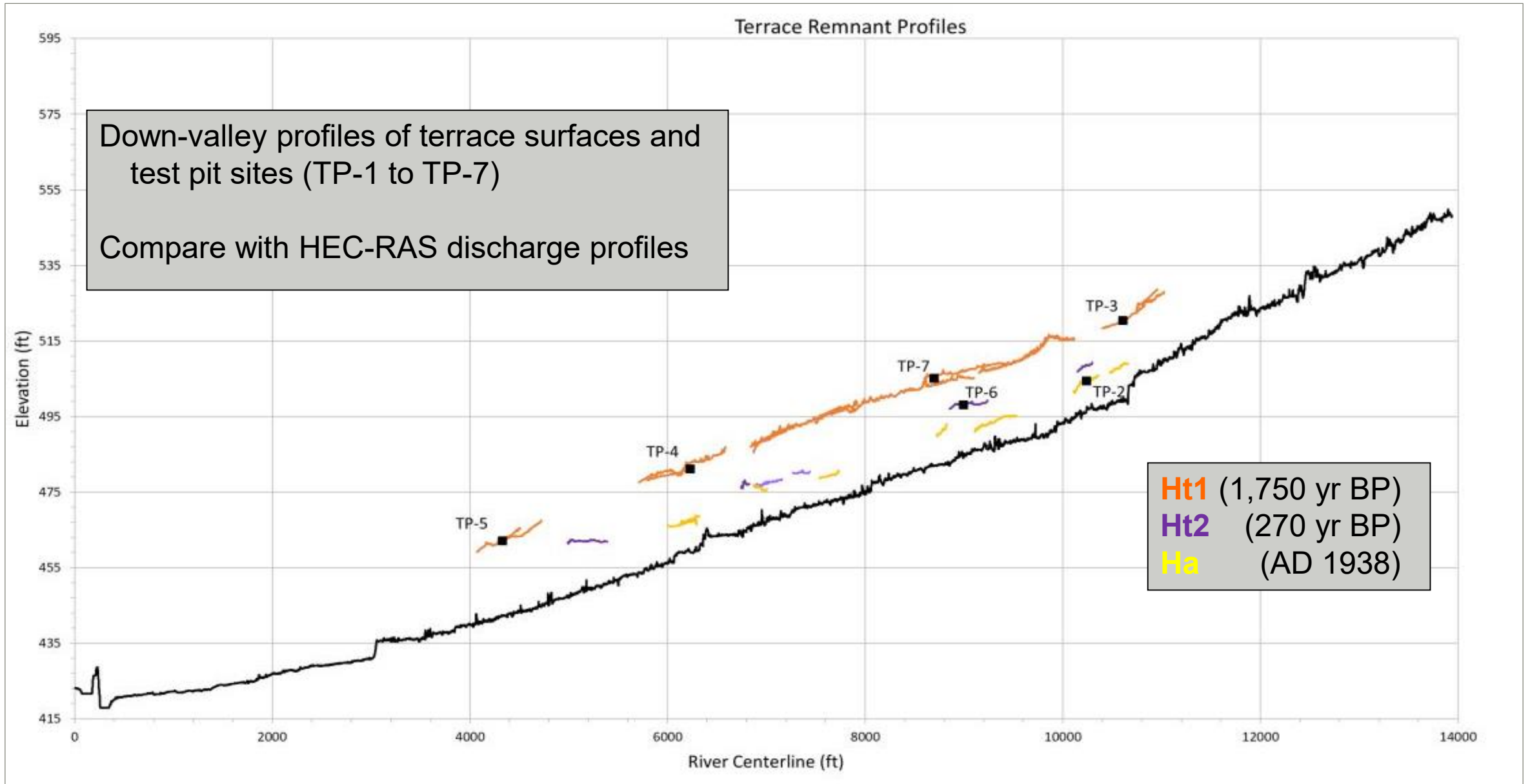


Geomorphic mapping of flood surfaces

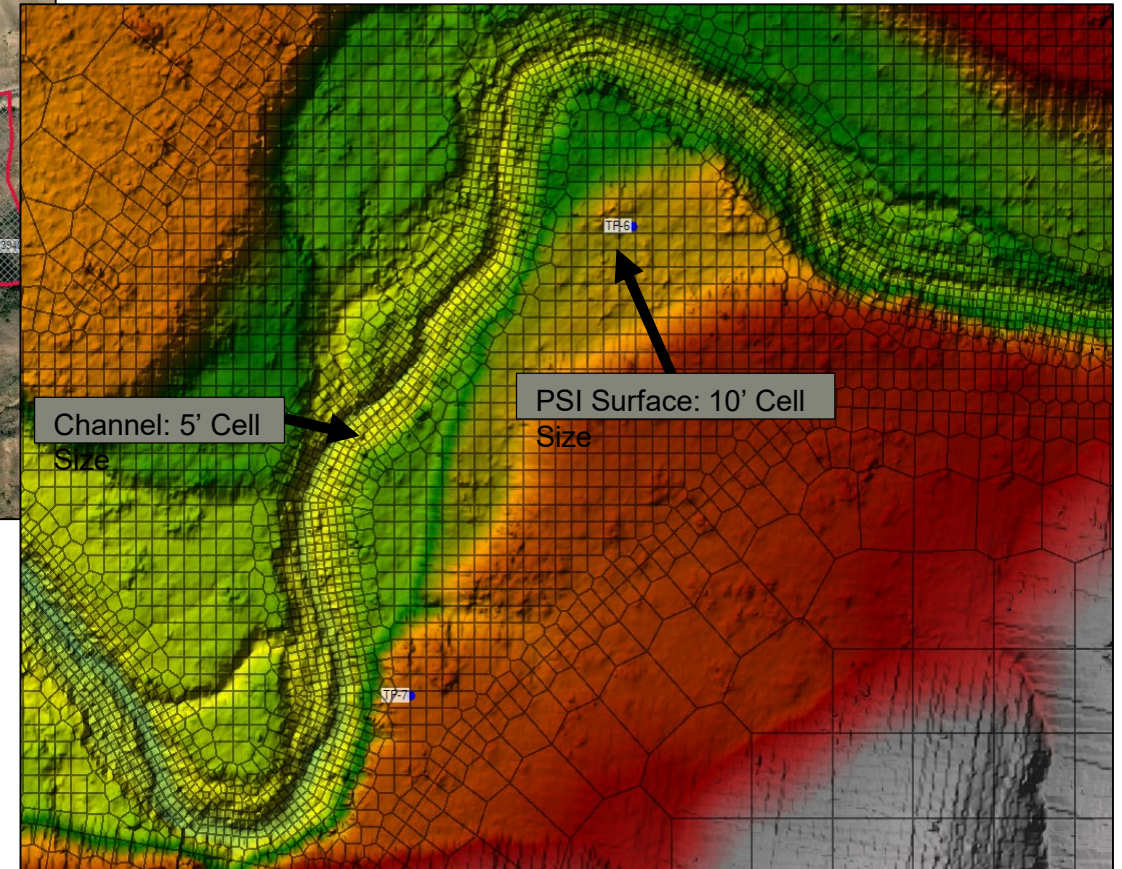
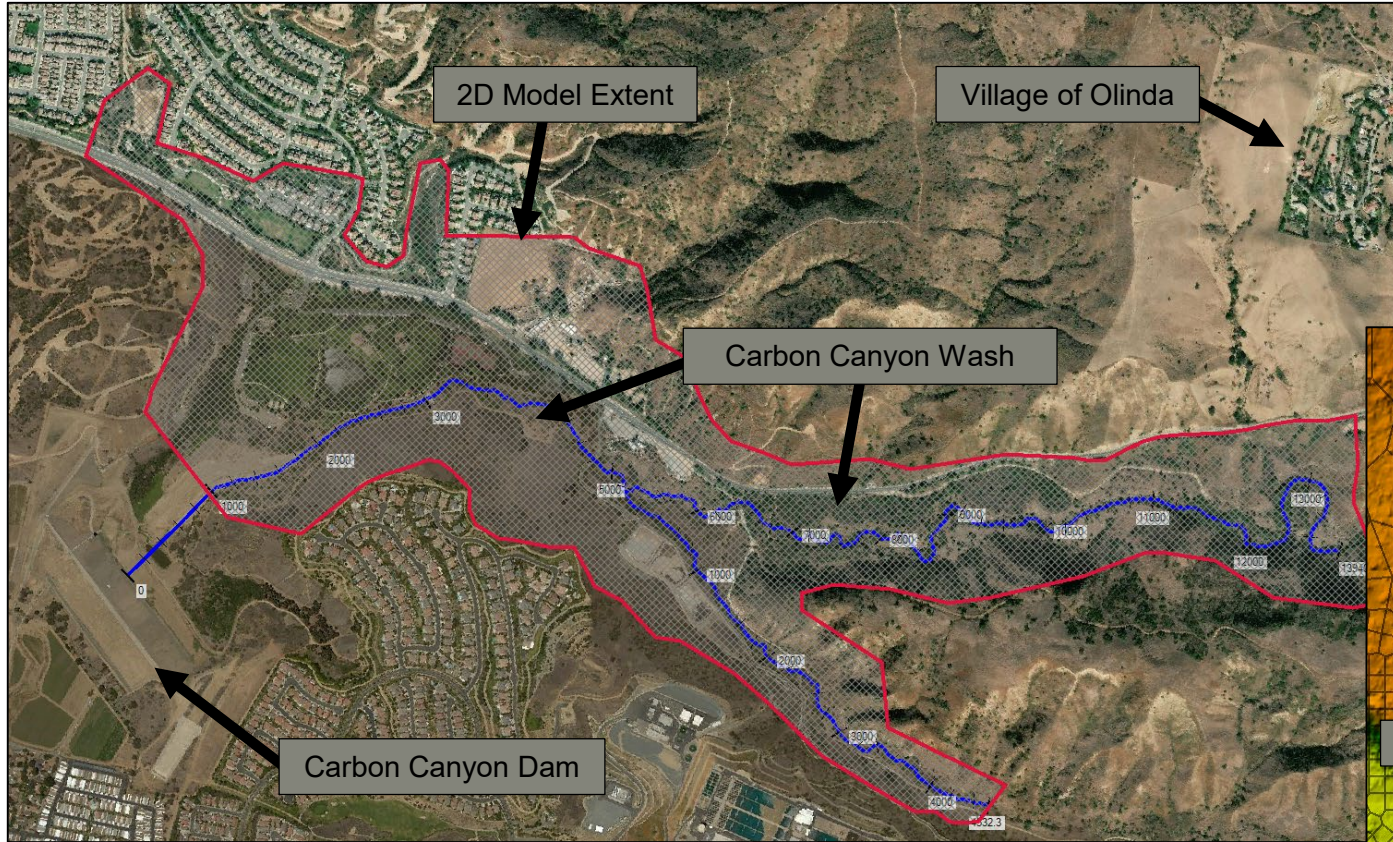
Deposit characterization and age-dating



# CARBON CANYON DAM (CA) PF RESULTS



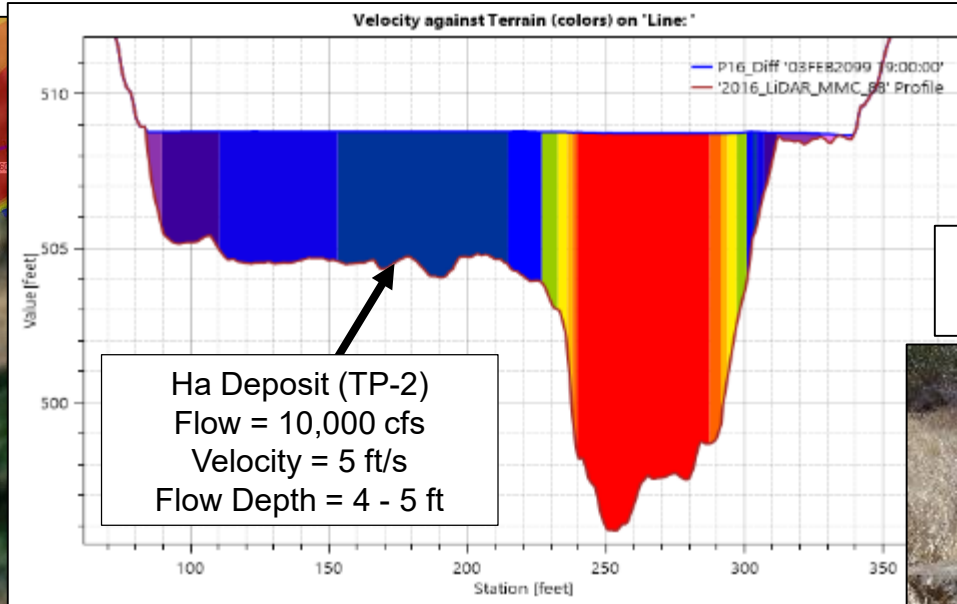
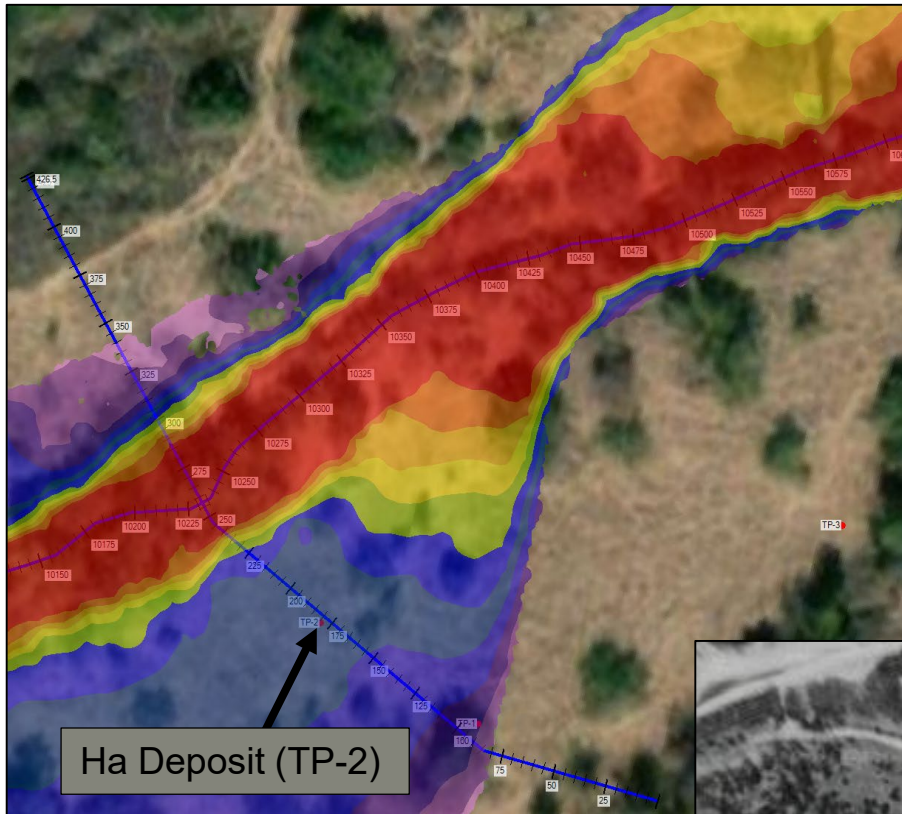
# CARBON CANYON DAM (CA) PF RESULTS



HEC-RAS 2D model extent

HEC-RAS 2D model grid sizing to best represent LiDAR topography

# CARBON CANYON DAM (CA) PF RESULTS



Carbon Canyon Flood Terrace  
1938 flood

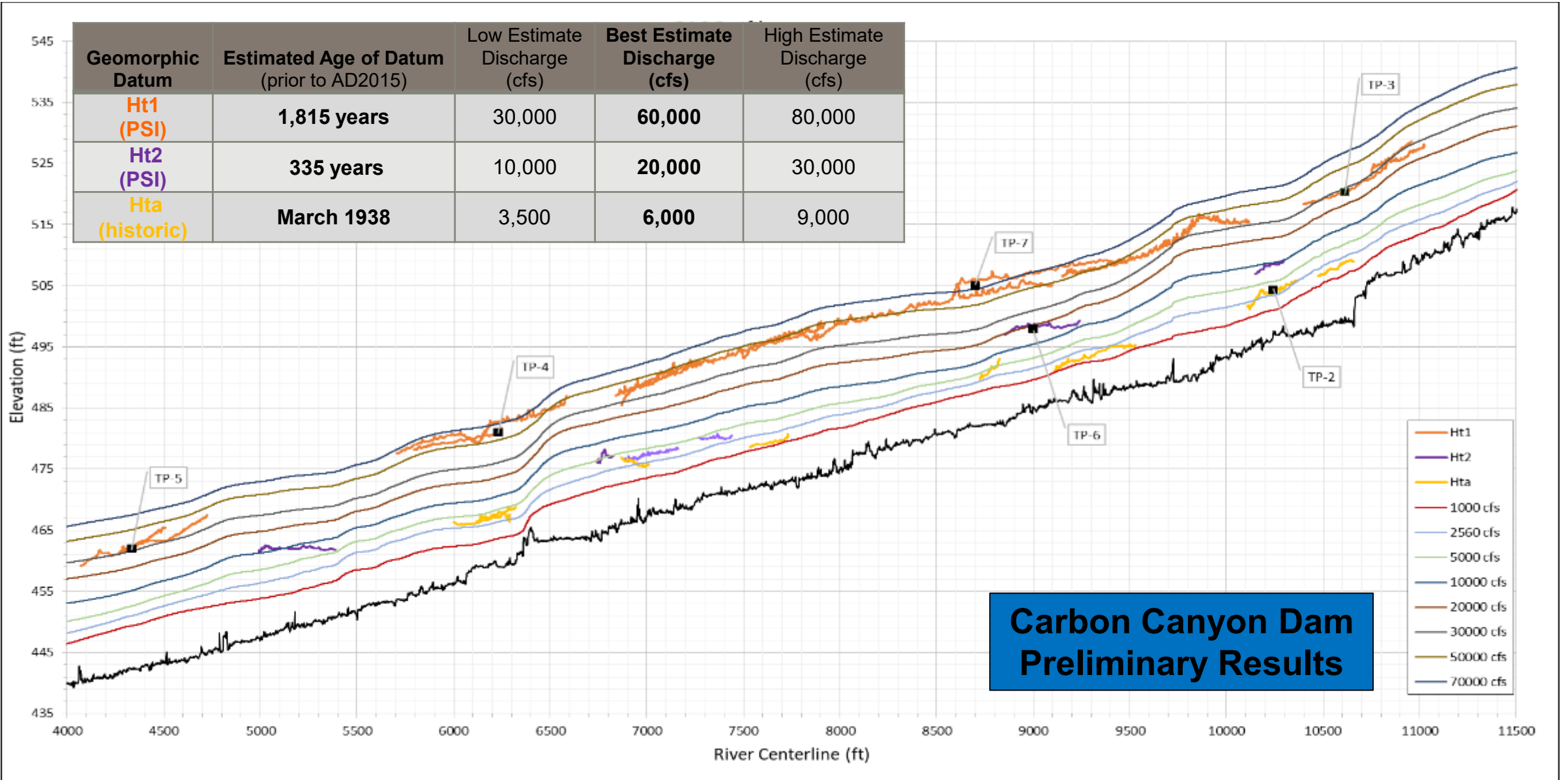
HEC-RAS cross-sections used for estimating flow velocities and bedload transport

Large concrete boulder in TP-2 deposit coincides with 1938 flood extent

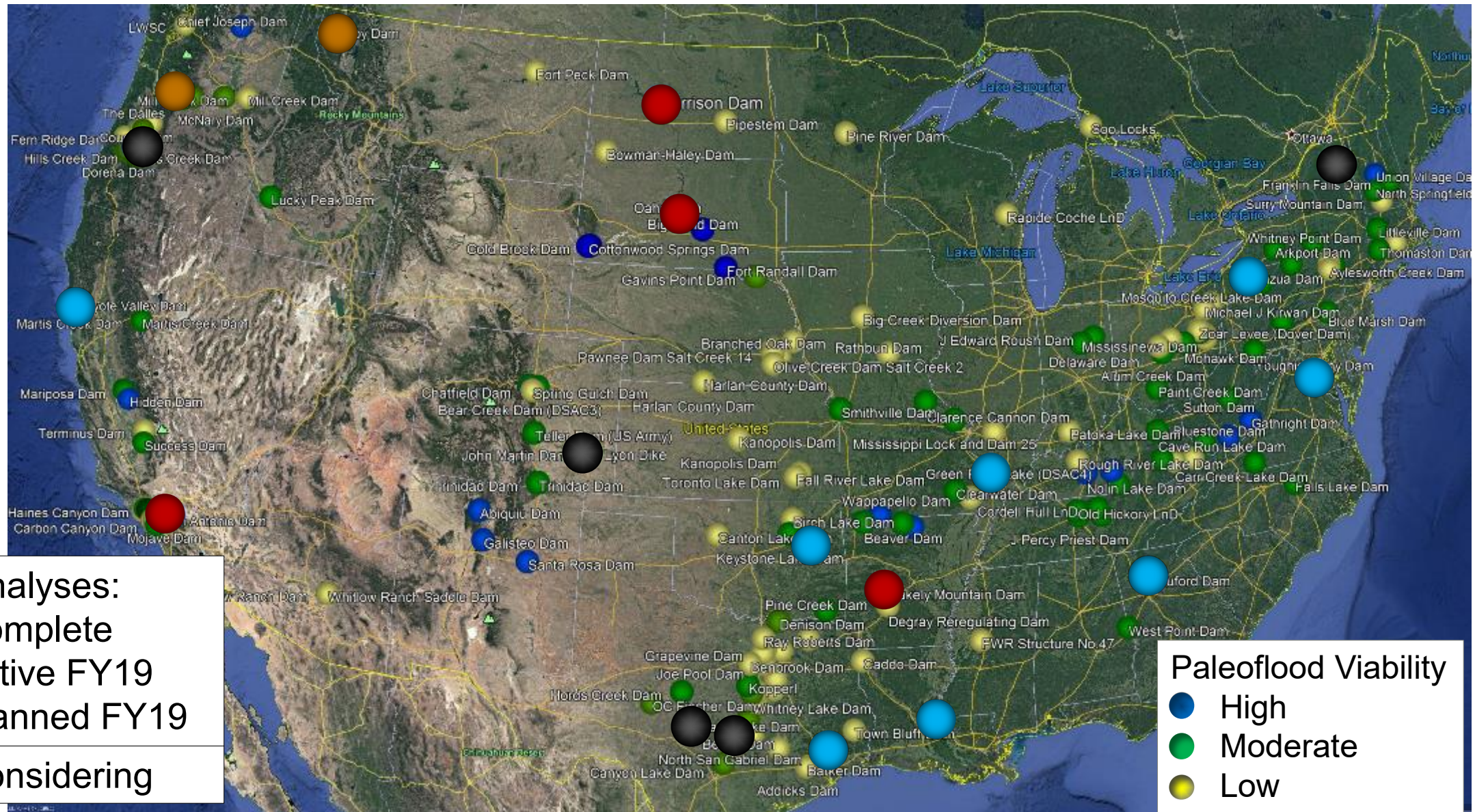


# CARBON CANYON DAM (CA) PF SUMMARY

Geomorphic Datum	Estimated Age of Datum (prior to AD2015)	Low Estimate Discharge (cfs)	Best Estimate Discharge (cfs)	High Estimate Discharge (cfs)
Ht1 (PSI)	1,815 years	30,000	60,000	80,000
Ht2 (PSI)	335 years	10,000	20,000	30,000
Hta (historic)	March 1938	3,500	6,000	9,000

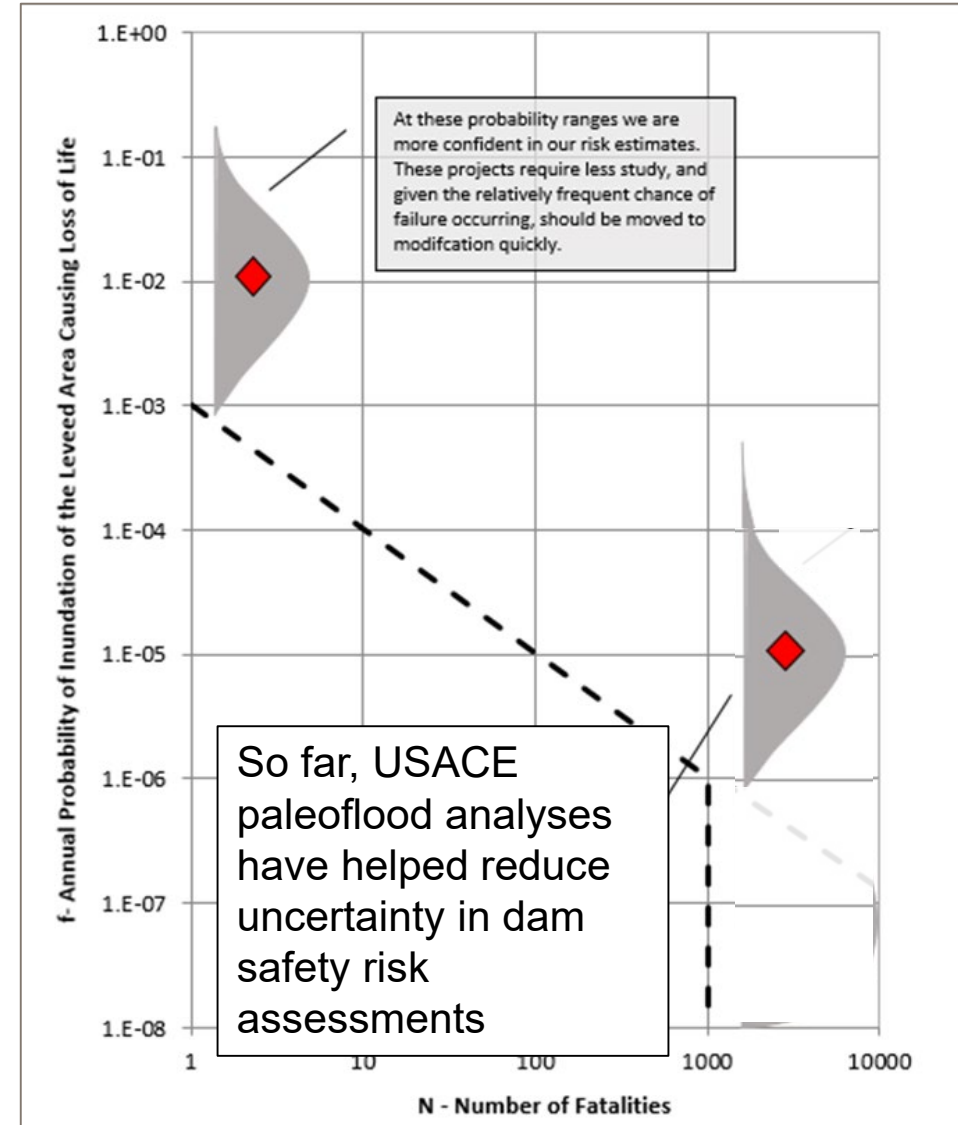


# RECENT, CURRENT, AND POSSIBLE FUTURE ANALYSES



# CONCLUSIONS

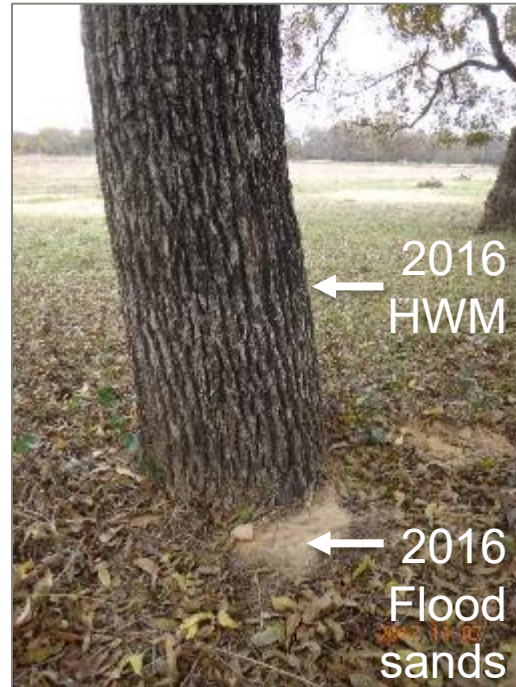
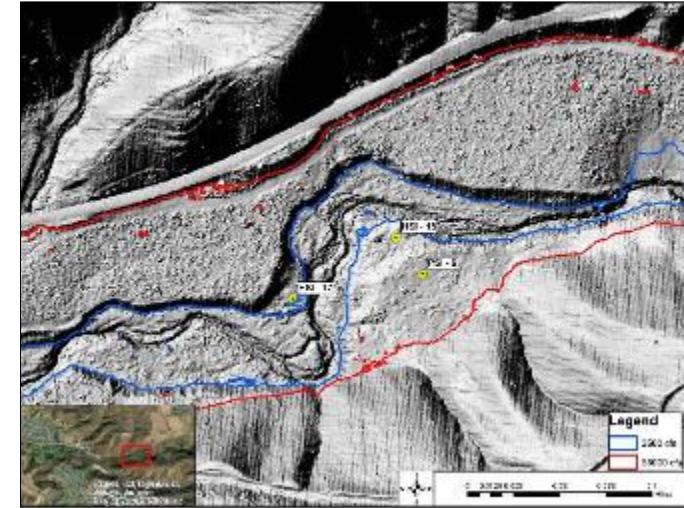
- **Screening criteria** appear effective for USACE dam portfolio
- **Paleoflood analytical techniques** are viable across range of site conditions
- **Riverine terraces** are just one of several viable tools available for paleoflood analyses
- **Uncertainties** in paleodischarge magnitude and timing can be captured and documented
- **Analytical uncertainties** do not invalidate paleoflood analyses





# LESSONS LEARNED

- **Overall approach** has to be flexible and opportunistic
  - should include more than just G&G and H&H (historians, archaeologists, botanists, ...)
- **Reconnaissance** data are just that, not a decision-making tool
- **Pre-field activities** should include many technical components (G&G, H&H, others...)
- **Unique treatment** needed for every reach (e.g., ice jams matter)



**THANK YOU**