

# Watershed level Risk Analysis with HEC-WAT

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Economist**

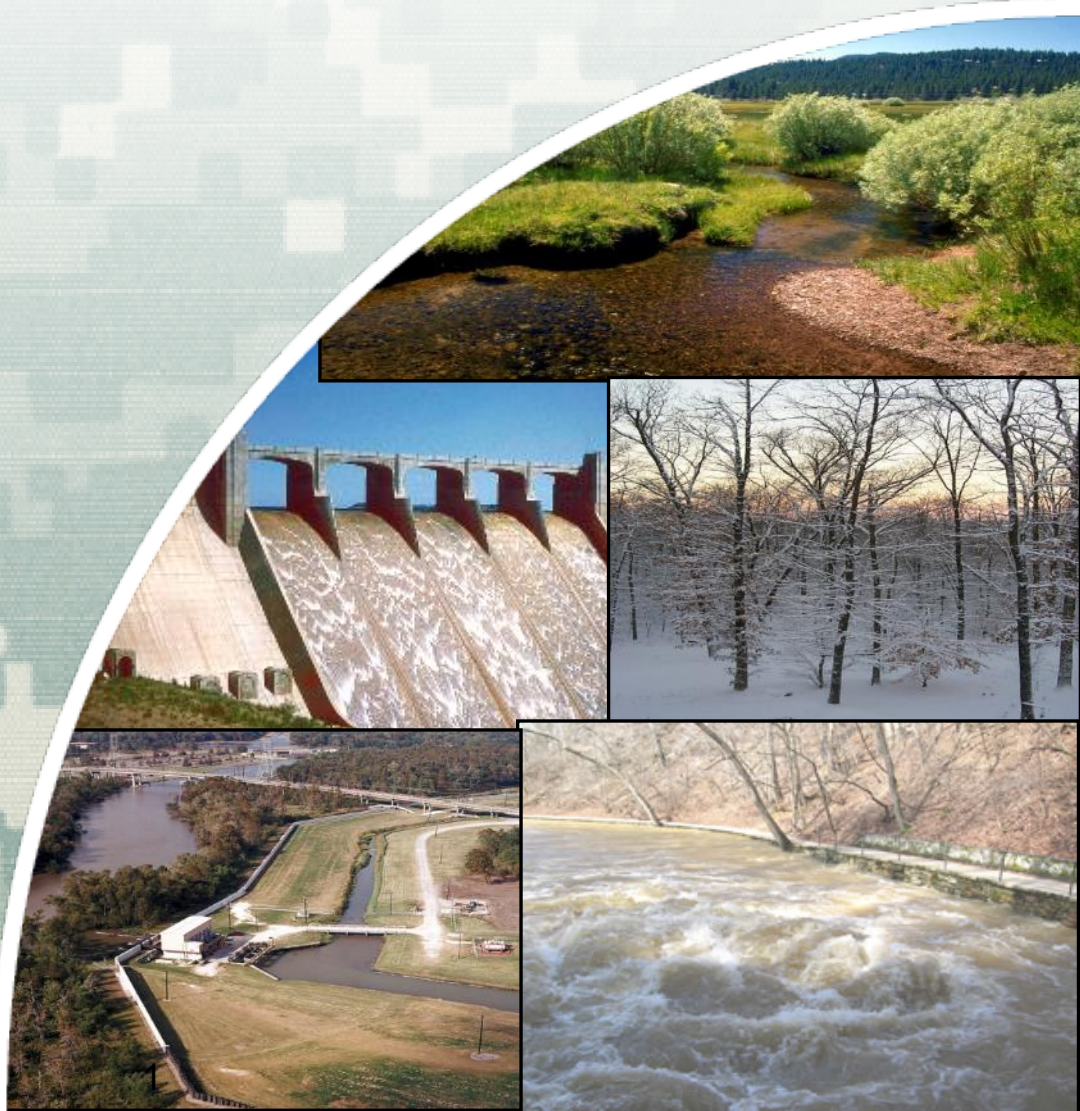
Hydrologic Engineering Center  
Institute for Water Resources

01 May 2019  
Rockville, MD



US Army Corps of Engineers  
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[www.hec.usace.army.mil](http://www.hec.usace.army.mil)



# What is HEC-WAT?

- Provides a plug-in architecture to allow other computational models to be computed in the program sequence
- Integrates HEC-HMS, HEC-ResSim, HEC-RAS and HEC-FIA models, eliminating manual data exchange.
- Supports systems and watershed-based studies.
- Supports risk and uncertainty evaluations.



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- Supports systems and watershed-based studies.

- Supports risk and uncertainty analysis
- ## HEC-WAT Facilitates Evaluation

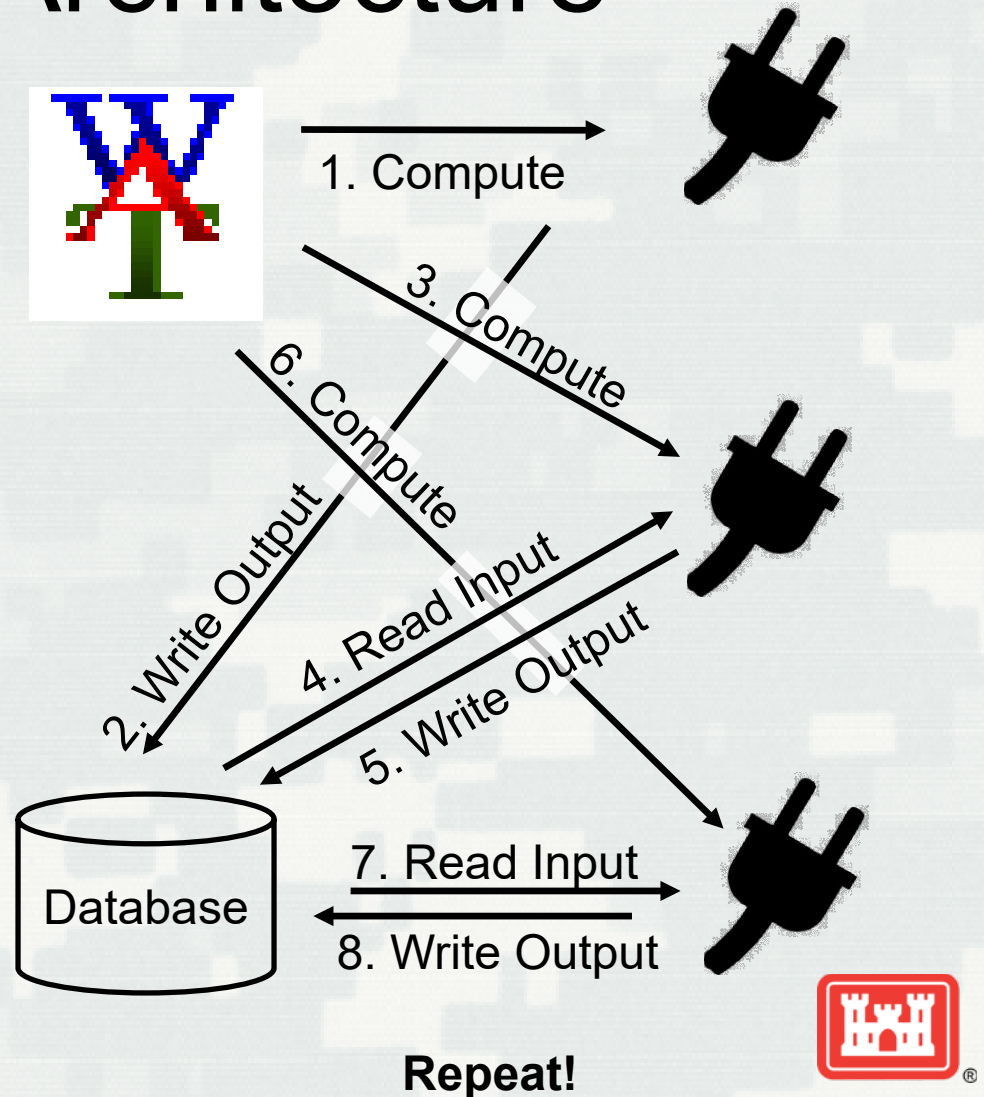


# HEC- WAT INTEGRATES



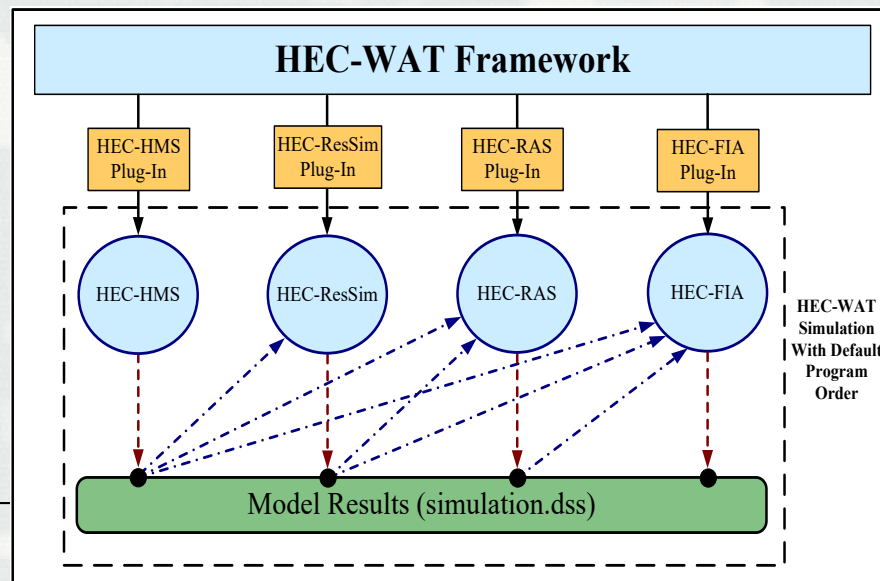
# Plug-in Architecture

- HEC-WAT  
Manages the computes through plug-ins
- Plug-ins interact with each other through a centralized database

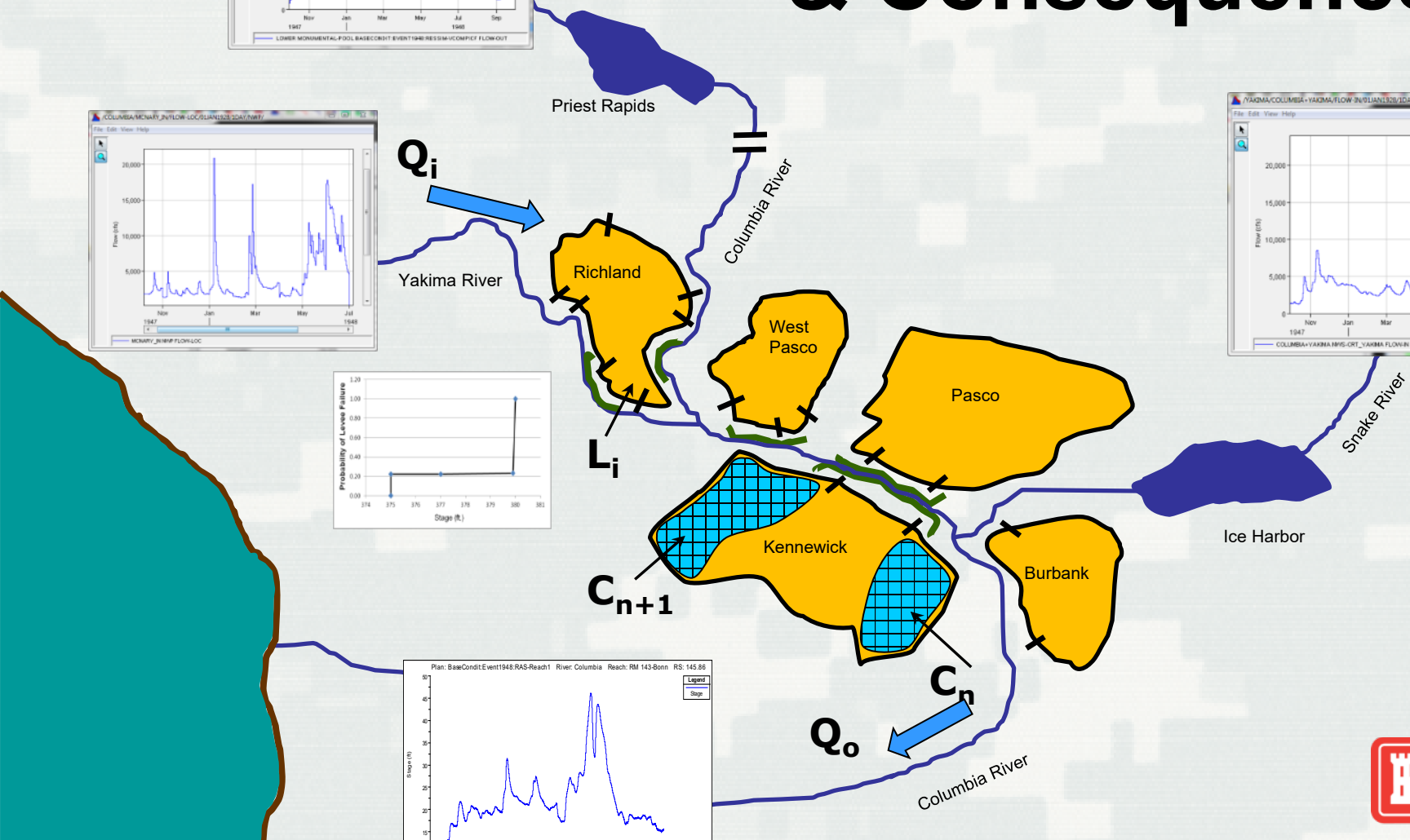
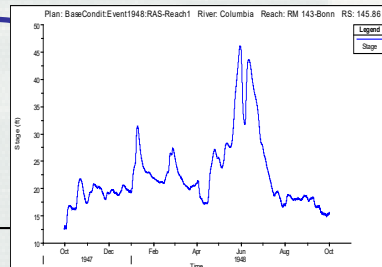
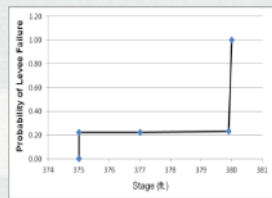
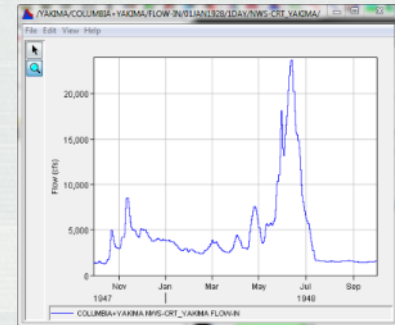
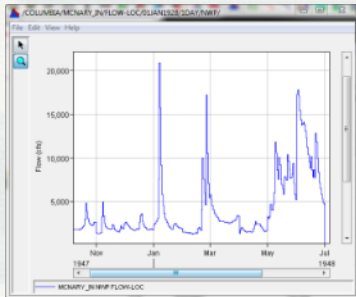
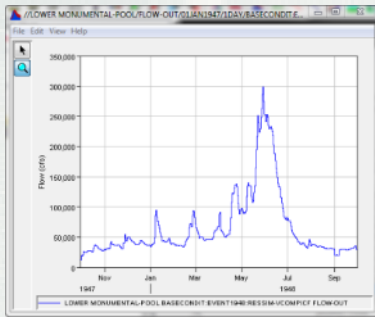


# HEC-WAT Model Integration

- Models and tools that implement the Plugin Interface can contribute to the computational process
  - Hydrology - *HEC-HMS*
  - Reservoirs - *HEC-ResSim*
  - Hydraulics - *HEC-RAS*
  - Economics - *HEC-FIA*
- Communication is defined by the Plugin API and facilitated by HEC-WAT
- Data is transferred through a common DSS file.



# Load Distribution & Consequences



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# HEC- WAT Facilitates Evaluation



# HEC-WAT Workflow

- Import existing models or develop models from within HEC-WAT
- Develop alternatives
- Organize & store data
- Edit models – accessed via plug-ins to view Native model interfaces
- Run modeling software – via plug-ins
- View and compare alternative results



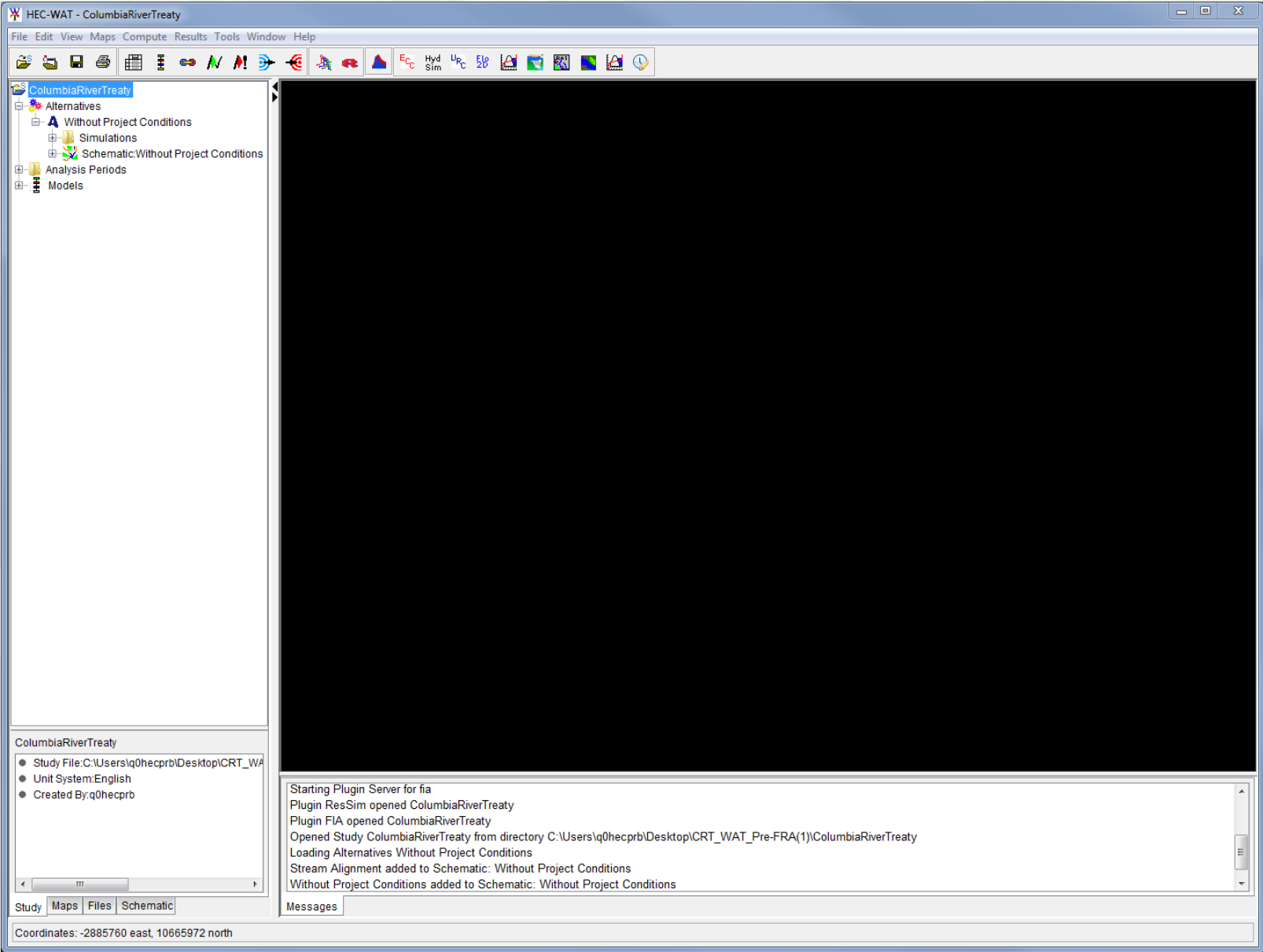
# HEC-WAT Interface

The screenshot displays the HEC-WAT software interface for a project named "ColumbiaRiverTreaty". The main window is titled "Simulation: Base Conditions-Event1948" and features a "Map Window" showing a river network with various reaches and structures. The interface is divided into several panes:

- Study Pane:** Located on the left, it contains a tree view of the project structure, including Alternatives, Base Conditions, Simulations, Analysis Periods, and Models.
- Content Pane:** Located below the Study Pane, it lists the specific reaches included in the simulation, such as Reach9, R09\_PHASE2A, R17, R17\_PHASE2A, R24, R24\_PHASE2A, R28, R28\_PHASE2A, R29, R02\_PHASE2A\_CCP\_DG1, R02\_PHASE2A\_CCP\_DG1, R03\_PHASE2A\_CCP\_DG1, R04\_PHASE2A\_CCP\_DG1, R06\_PHASE2A\_CCP\_DG1, R07\_PHASE2A\_CCP\_DG1, R08\_PHASE2A\_CCP\_DG1, R10\_PHASE2A\_CCP\_DG1, R14\_PHASE2A\_CCP\_DG1, R15\_PHASE2A\_CCP\_DG1, R16\_PHASE2A\_CCP\_DG1, and R18\_PHASE2A\_CCP\_DG1.
- Message Pane:** Located at the bottom, it displays a log of simulation activities, including loading alternatives, adding simulation data, and saving project files.

Additional interface elements include a menu bar (File, Edit, View, Maps, Compute, Results, Tools, Window, Help), a toolbar with various icons, and a status bar at the bottom showing coordinates (-6473590 east, 9742159 north) and a progress indicator (264M of 811M).

# Development of HEC-WAT Model



# Development of HEC-WAT Model

The screenshot displays the HEC-WAT software interface for a project named "ColumbiaRiverTreaty". The main window shows a simulation titled "Simulation: Base Conditions-Event1948". The map area displays a complex river network with various tributaries labeled, including the Columbia River, Snake River, Powder River, Malheur River, Owyhee River, Umatilla River, Clark Fork, Kootenai River, Clark Fork, Umatilla River, Klamath River, and Rogue River. The network is color-coded, with blue for the main river and orange for tributaries. The interface includes a menu bar (File, Edit, View, Maps, Compute, Results, Tools, Window, Help), a toolbar with various icons, and a project tree on the left. The bottom status bar shows coordinates: -2885760 east, 10665972 north.

Starting Plugin Server for fia  
Plugin ResSim opened ColumbiaRiverTreaty  
Plugin FIA opened ColumbiaRiverTreaty  
Opened Study ColumbiaRiverTreaty from directory C:\Users\q0hecprb\Desktop\CRT\_WAT\_Pre-FRA(1)\ColumbiaRiverTreaty  
Loading Alternatives Without Project Conditions  
Stream Alignment added to Schematic: Without Project Conditions  
Without Project Conditions added to Schematic: Without Project Conditions

■ ResSim Models



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# Development of HEC-WAT Model

The screenshot displays the HEC-WAT software interface for a project named "ColumbiaRiverTreaty". The main window shows a simulation titled "Simulation: Base Conditions-Event1948". The map area displays a river network with various tributaries labeled, including the Yakima, Powder, Walla Walla, and Clark Fork rivers. The interface includes a menu bar (File, Edit, View, Maps, Compute, Results, Tools, Window, Help), a toolbar with various icons, and a project tree on the left side. The project tree shows the following structure:

- ColumbiaRiverTreaty
  - Alternatives
    - Without Project Conditions
      - Simulations
        - Schematic: Without Project Conditions
  - Analysis Periods
  - Models

The message log at the bottom of the interface contains the following text:

```
Starting Plugin Server for fia
Plugin ResSim opened ColumbiaRiverTreaty
Plugin FIA opened ColumbiaRiverTreaty
Opened Study ColumbiaRiverTreaty from directory C:\Users\q0hecprb\Desktop\CRT_WAT_Pre-FRA(1)\ColumbiaRiverTreaty
Loading Alternatives Without Project Conditions
Stream Alignment added to Schematic: Without Project Conditions
Without Project Conditions added to Schematic: Without Project Conditions
```

The status bar at the bottom left shows the coordinates: -2885760 east, 10665972 north.

- ResSim Models
- FIA Models



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# Development of HEC-WAT Model

HEC-WAT - ColumbiaRiverTreaty

File Edit View Maps Compute Results Tools Window Help

ColumbiaRiverTreaty

- Alternatives
  - Without Project Conditions
  - Simulations
  - Schematic: Without Project Conditions
- Analysis Periods
- Models

Simulation: Base Conditions-Event1948

Alternatives Base Conditions Simulations Base Conditions-Event1948

Starting Plugin Server for fia  
Plugin ResSim opened ColumbiaRiverTreaty  
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Opened Study ColumbiaRiverTreaty from directory C:\Users\q0hecprb\Desktop\CRT\_WAT\_Pre-FRA(1)\ColumbiaRiverTreaty  
Loading Alternatives Without Project Conditions  
Stream Alignment added to Schematic: Without Project Conditions  
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Study Maps Files Schematic

Coordinates: -2885760 east, 10665972 north

- ResSim Models
- FIA Models
- RAS Models



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# Model Linking

## HEC-ResSim Links

Model Linking Editor

Simulation: Base\_FRA

Model To Link: ResSim-Baseline\_F

Default Model To Link:

Location	Parameter	Input From Model	Location/Parameter
Zero	Known Flow	DSS File	zero.dss://ZERO//6HOUR//
Lake Mendocino Local	Known Flow	HMS-(MCA)Russian River FRA	EF Russian 10 - Flow
West Fork Headwater	Known Flow	HMS-(MCA)Russian River FRA	WF Russian - Flow
Lake Sonoma Headwater	Known Flow	HMS-(MCA)Russian River FRA	Dry Cr25 - Flow
Dry Creek Local	Known Flow	HMS-(MCA)Russian River FRA	Dry Creek 10 - Flow
Mark West Creek Local	Known Flow	HMS-(MCA)Russian River FRA	Santa Rosa 10 - Flow
Guerneville Local	Known Flow	HMS-(MCA)Russian River FRA	Russian 20 - Flow
Calpella Local	Known Flow	HMS-(MCA)Russian River FRA	EF Russian 20 - Flow
Hopland Local	Known Flow	HMS-(MCA)Russian River FRA	Russian 60 - Flow
Healdsburg Local	Known Flow	HMS-(MCA)Russian River FRA	Russian 30 - Flow
Ukiah_Loc	Known Flow	HMS-(MCA)Russian River FRA	Russian 70 - Flow
Cloverdale Gage_Loc	Known Flow	HMS-(MCA)Russian River FRA	Russian 50 - Flow
Big Sulfur Trib_Loc	Known Flow	HMS-(MCA)Russian River FRA	Big Sulphur Cr - Flow
Geyserville_Loc	Known Flow	HMS-(MCA)Russian River FRA	Russian 40 - Flow
Lake Sonoma_Loc	Known Flow	HMS-(MCA)Russian River FRA	Dry Creek 20 - Flow
Santa Rosa_Loc	Known Flow	HMS-(MCA)Russian River FRA	Santa Rosa Cr 10 - Flow
Green Valley_Loc	Known Flow	HMS-(MCA)Russian River FRA	Green Valley - Flow
Austin Ck_Loc	Known Flow	HMS-(MCA)Russian River FRA	Austin Cr 10 - Flow
Ocean_Loc	Known Flow	HMS-(MCA)Russian River FRA	Russian 10 - Flow

Input From Model	Location/Parameter
HMS-(MCA)Russian River FRA	WF Russian - Flow
HMS-(MCA)Russian River FRA	Russian 30 - Flow
HMS-(MCA)Russian River FRA	Austin Cr - Flow
HMS-(MCA)Russian River FRA	Green Valley - Flow
HMS-(MCA)Russian River FRA	Santa Rosa 10 - Flow
ResSim-Baseline_F	Lake Sonoma_OUT - Flow
ResSim-Baseline_F	Lake Mendocino_OUT - Flow
HMS-(MCA)Russian River FRA	Russian 60 - Flow
HMS-(MCA)Russian River FRA	Russian 50 - Flow
HMS-(MCA)Russian River FRA	Russian 40 - Flow
HMS-(MCA)Russian River FRA	Russian 30 - Flow
HMS-(MCA)Russian River FRA	Russian 20 - Flow
HMS-(MCA)Russian River FRA	Russian 10 - Flow
HMS-(MCA)Russian River FRA	Big Sulphur Cr - Flow
HMS-(MCA)Russian River FRA	Russian 20 - Flow
HMS-(MCA)Russian River FRA	Dry Creek 10 - Flow
HMS-(MCA)Russian River FRA	Russian 70 - Flow

## HEC-RAS Links

SA: LakeMendocino SA	Flow	HMS-(MCA)Russian River FRA	WF Russian - Flow
SA: AustinCr SA	Flow	HMS-(MCA)Russian River FRA	Austin Cr - Flow
SA: GreenValleyCr SA	Flow	HMS-(MCA)Russian River FRA	Green Valley - Flow
SA: SantaRosaCr SA	Flow	HMS-(MCA)Russian River FRA	Santa Rosa 10 - Flow
DryCreek DryCreek RS 14.28 (Sonoma Outflow J)	Flow	ResSim-Baseline_F	Lake Sonoma_OUT - Flow
Russian CoyoteToDC RS 99.93 (Lk Mendocino Out)	Flow	ResSim-Baseline_F	Lake Mendocino_OUT - Flow
Russian CoyoteToDC RS 91.31	Flow	HMS-(MCA)Russian River FRA	Russian 60 - Flow
Russian CoyoteToDC RS 84.61	Flow	HMS-(MCA)Russian River FRA	Russian 50 - Flow
Russian CoyoteToDC RS 70.92 (Cloverdale)	Flow	HMS-(MCA)Russian River FRA	Russian 40 - Flow
Russian CoyoteToDC RS 54.07	Flow	HMS-(MCA)Russian River FRA	Russian 30 - Flow
Russian CoyoteToDC RS 35.42	Flow	HMS-(MCA)Russian River FRA	Russian 20 - Flow
Russian DctoOcean RS 21.16	Flow	HMS-(MCA)Russian River FRA	Russian 10 - Flow
Russian CoyoteToDC RS 65.71	Flow	HMS-(MCA)Russian River FRA	Big Sulphur Cr - Flow
Russian DctoOcean RS 31.47	Flow	HMS-(MCA)Russian River FRA	Russian 20 - Flow
DryCreek DryCreek RS 13.73	Flow	HMS-(MCA)Russian River FRA	Dry Creek 10 - Flow
Russian CoyoteToDC RS 99.17	Flow	HMS-(MCA)Russian River FRA	Russian 70 - Flow



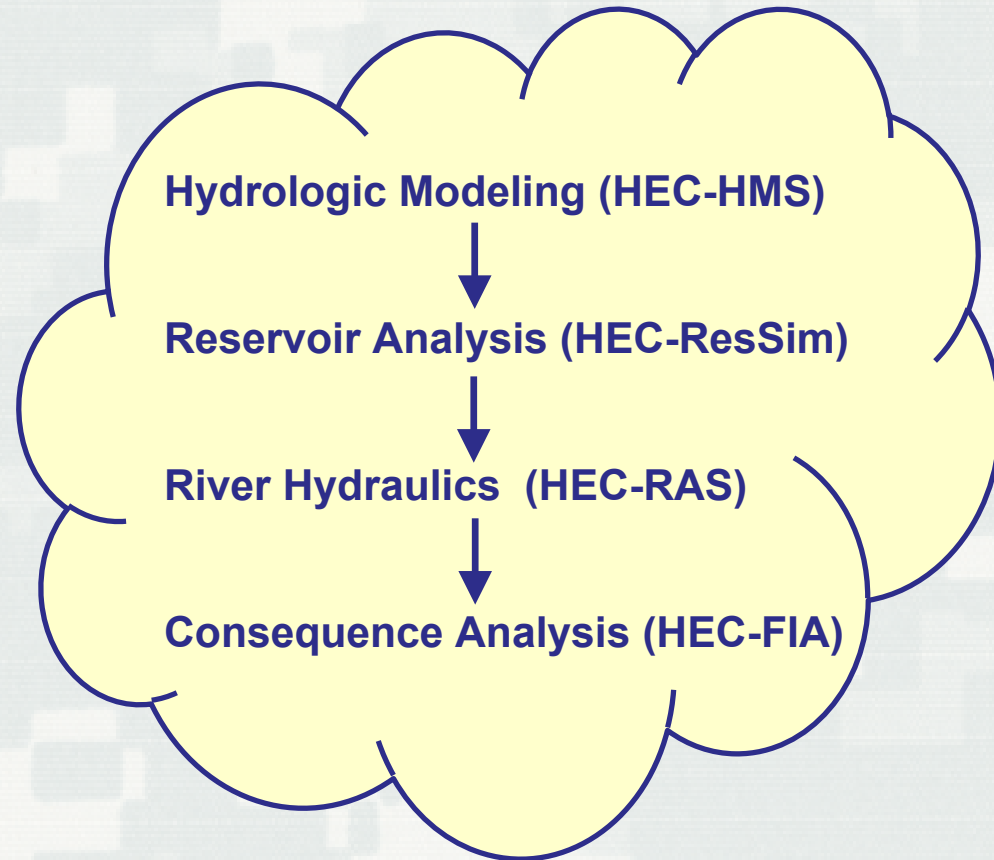
# Deterministic Compute

## ■ Single Flood Event

- Example: 8 January 1986 to 13 January 1986
- Simplest type of compute
- Eliminates manual handoffs between models

## ■ Period of Record

- Example: 1 October 1943 to 30 September 2014
- Slightly more complex compute



# FRA Simulations

- FRA simulations uses a Monte Carlo style compute to support risk analyses.
- Individual applications sample model parameters from a range of values to capture uncertainty.
- Natural variability and knowledge uncertainty sampled separately.
- Maintains consistency between alternatives by allowing use of same initial seeds.

Program	Alternative	Initial Seed	User Seed
Hydrologic Sampling	HS - St. Paul Levee 30y...	1.036942895E9	0
Fragility Curve	FC - St. Paul Levee	2.119158176E9	0
TimeWindowModifier	ForRAS	7.58670404E8	0
RAS	Fail Middle	3.3063242E8	0
FIA	ALT_Grids	3.30282058E8	0
Performance Metrics	PM_St. Paul	6.55864267E8	0

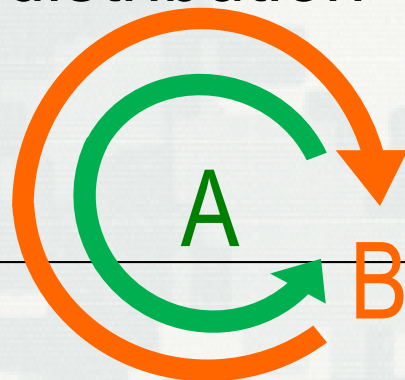


# How do we capture a distribution of uncertainty in EAD?

Nested Monte Carlo: *HEC-WAT/FRA*

- A. Sample instances of **natural variabilities** as flood *events*, with enough events to capture the distribution of damage
- B. Sample instances of **knowledge uncertainties** in model parameters to get their impact on the damage distribution

1 outer loop B = a realization



inner loop A varies natural variabilities, computes EAD

outer loop B varies knowledge uncertainty, computes EAD distribution

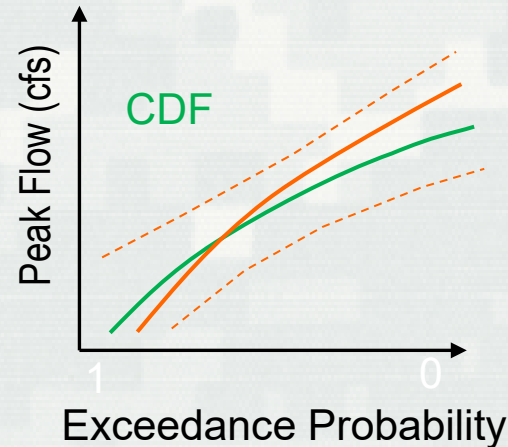
# Sampling Variability and Uncertainty

## Nested Monte Carlo Simulation

Reservoir Analysis  
Channel Hydraulics  
Levee Behavior  
Spreading Model

*sample uncertain  
model parameters*

Inundation Mapping  
Structure Inventory  
Damage to Structures

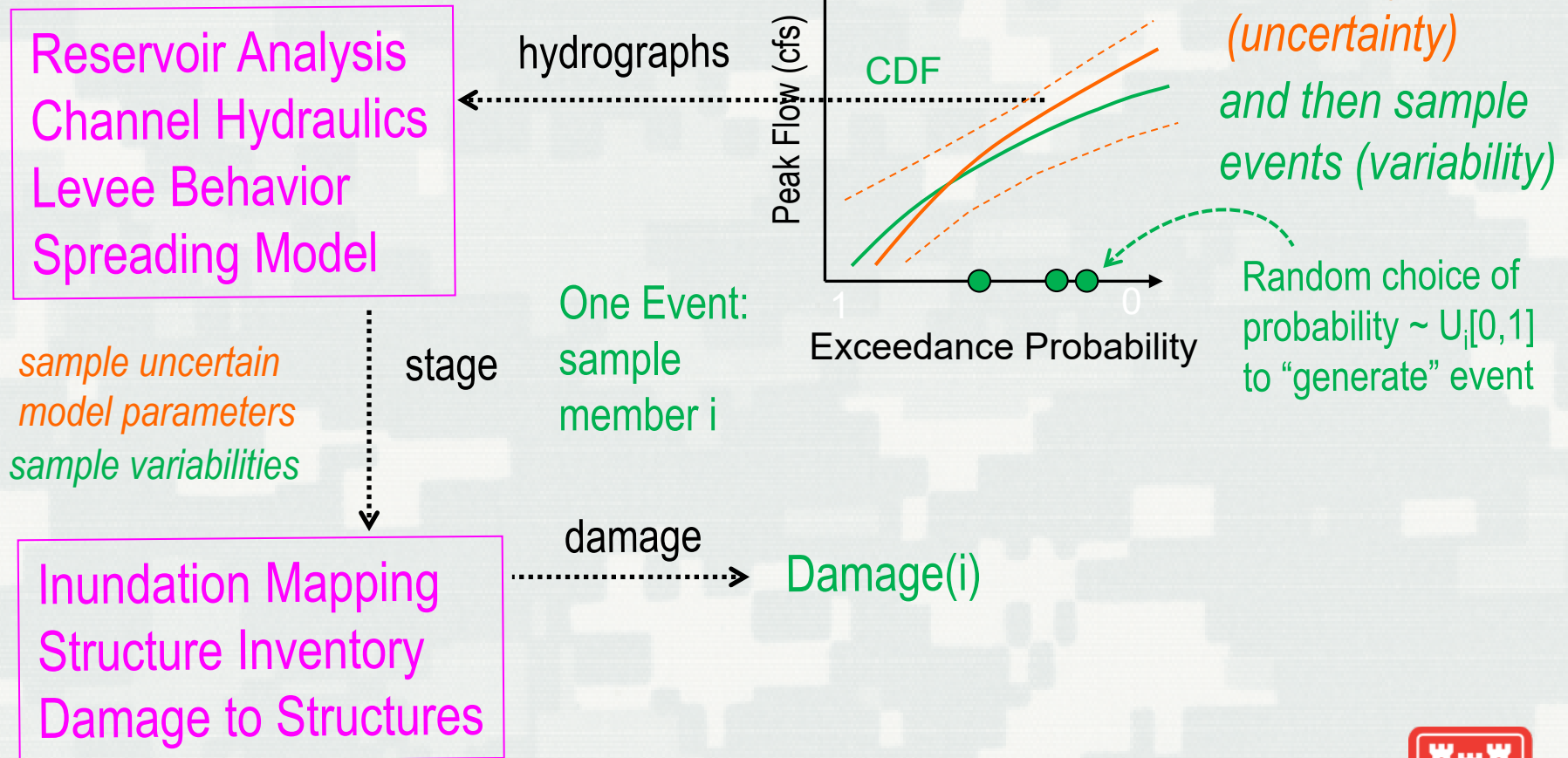


*sample new  
frequency curve  
(uncertainty)*



# Sampling Variability and Uncertainty

## Nested Monte Carlo Simulation



# Sampling Variability and Uncertainty

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Reservoir Analysis  
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*sample uncertain  
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stage

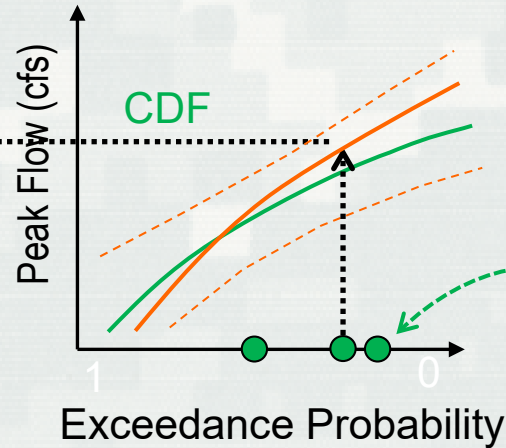
Inundation Mapping  
Structure Inventory  
Damage to Structures

One Event:  
sample  
member  $i$

damage

Damage( $i$ )

hydrographs



*sample new  
frequency curve  
(uncertainty)  
and then sample  
events (variability)*

Random choice of  
probability  $\sim U_i[0,1]$   
to "generate" event



# Sampling Variability and Uncertainty

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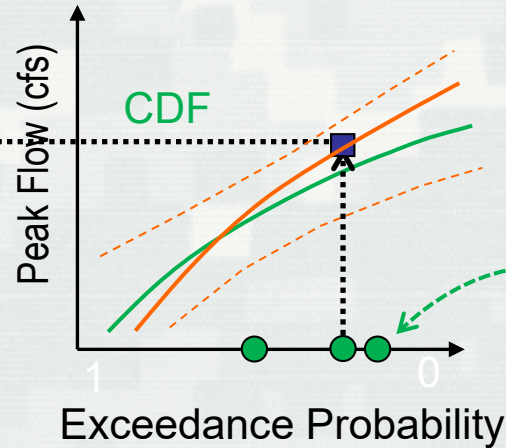
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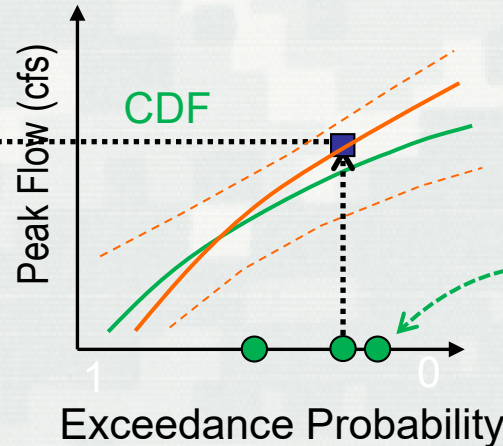
One Event:  
sample  
member  $i$

damage

Damage( $i$ )

For each realization,  
get an EAD estimate:

$$EAD = \frac{1}{N} \sum_{i=1}^N \text{Damage}(i)$$



*sample new  
frequency curve  
(uncertainty)  
and then sample  
events (variability)*

Random choice of  
probability  $\sim U_i[0,1]$   
to "generate" event

...still end with  
a sample of  
damages

One  
Realization



# Sampling Variability and Uncertainty

## Nested Monte Carlo Simulation

Reservoir Analysis  
Channel Hydraulics  
Levee Behavior  
Spreading Model

sample uncertain  
model parameters  
sample variabilities

stage

Inundation Mapping  
Structure Inventory  
Damage to Structures

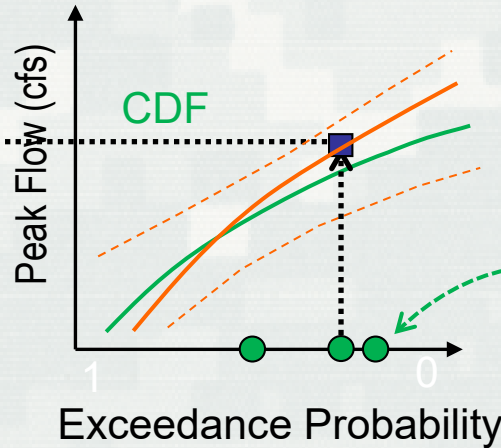
One Event:  
sample  
member  $i$

damage

Damage( $i$ )

For each realization, After repeating for  
get an EAD estimate: many realizations:

$$EAD = \frac{1}{N} \sum_{i=1}^N \text{Damage}(i)$$

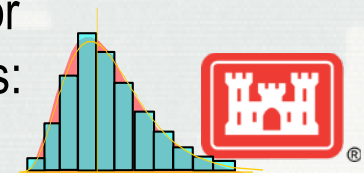


sample new  
frequency curve  
(uncertainty)  
and then sample  
events (variability)

Random choice of  
probability  $\sim U_i[0,1]$   
to "generate" event

...still end with  
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damages

One  
Realization

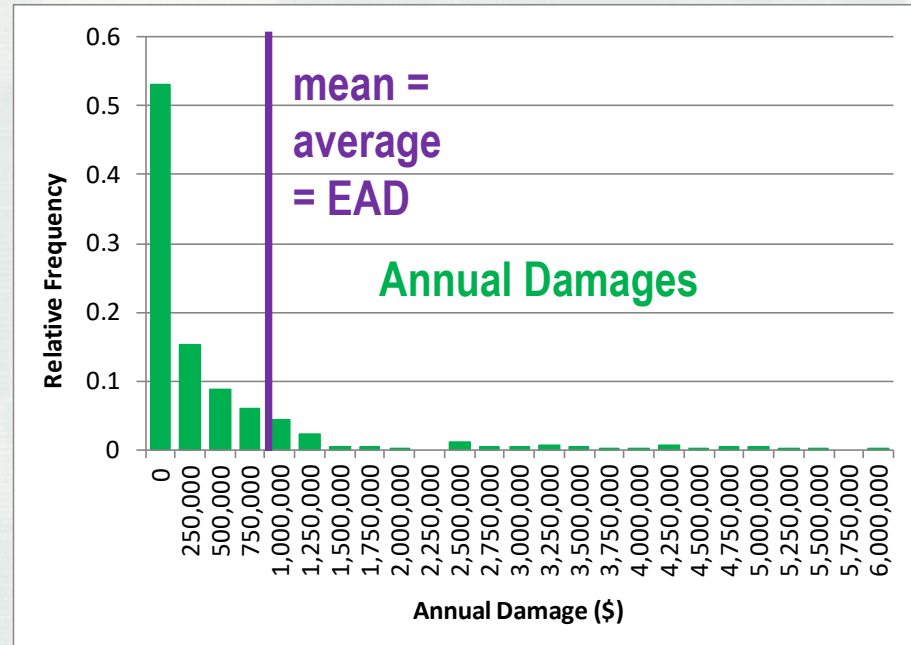


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sample of annual damage  
from one realization

(spans natural variability)

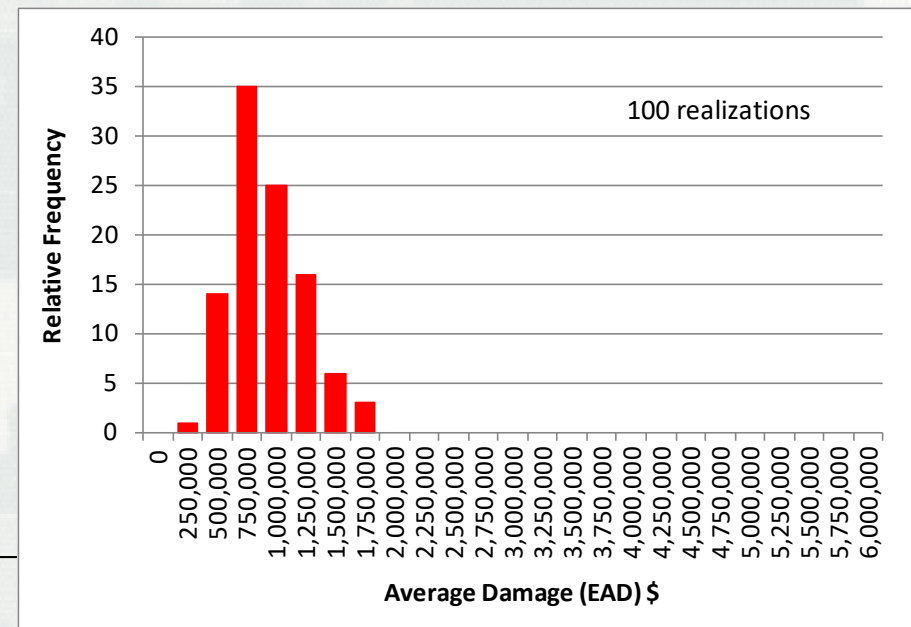
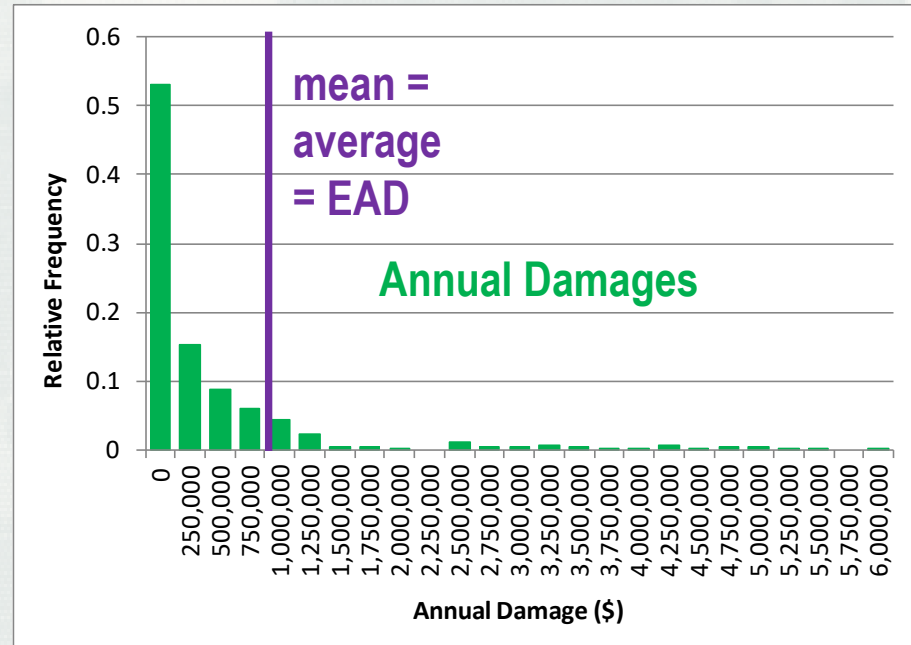
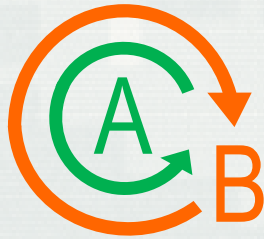
provides 1 estimate of  
EAD



sample of annual damage  
from one realization

(spans natural variability)

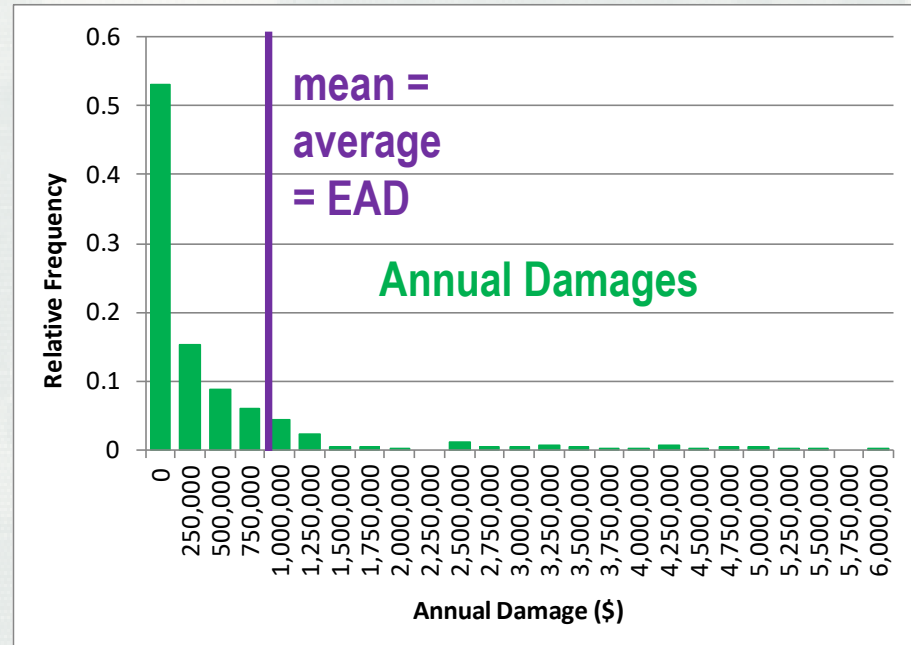
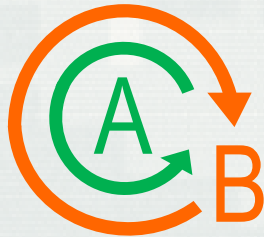
provides 1 estimate of  
EAD



sample of annual damage  
from one realization

(spans natural variability)

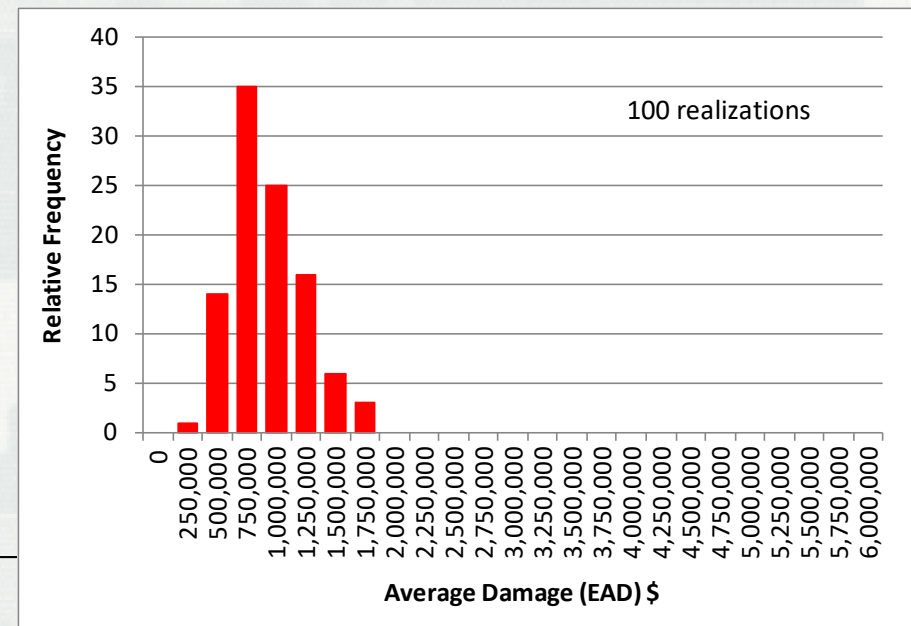
provides 1 estimate of  
EAD



sample of mean damage  
(EAD) from all realizations

(spans knowledge  
uncertainty)

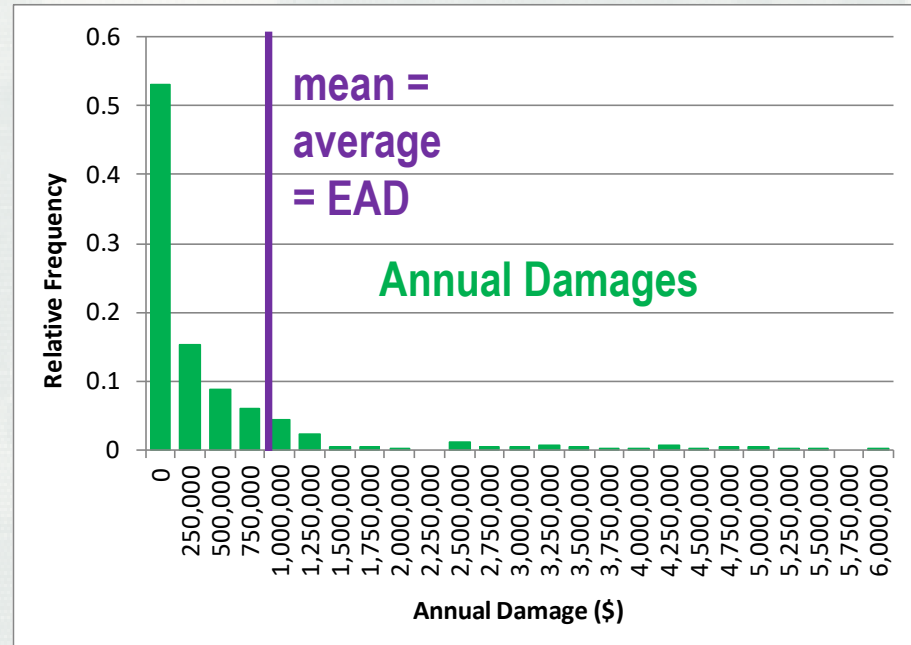
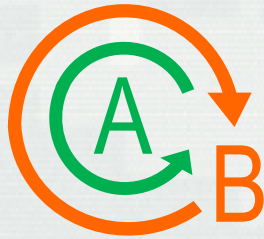
provides distribution of EAD



sample of annual damage  
from one realization

(spans natural variability)

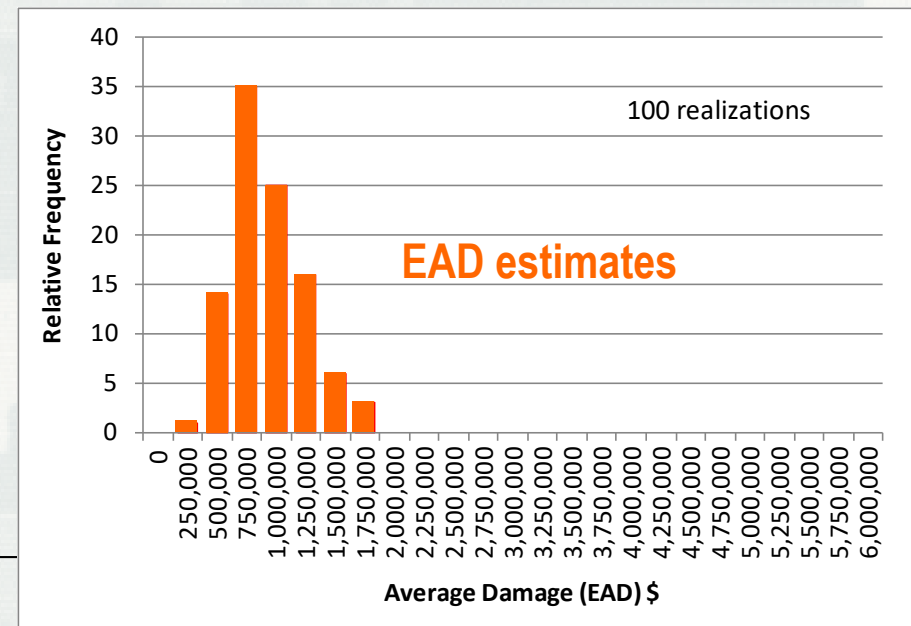
provides 1 estimate of  
EAD



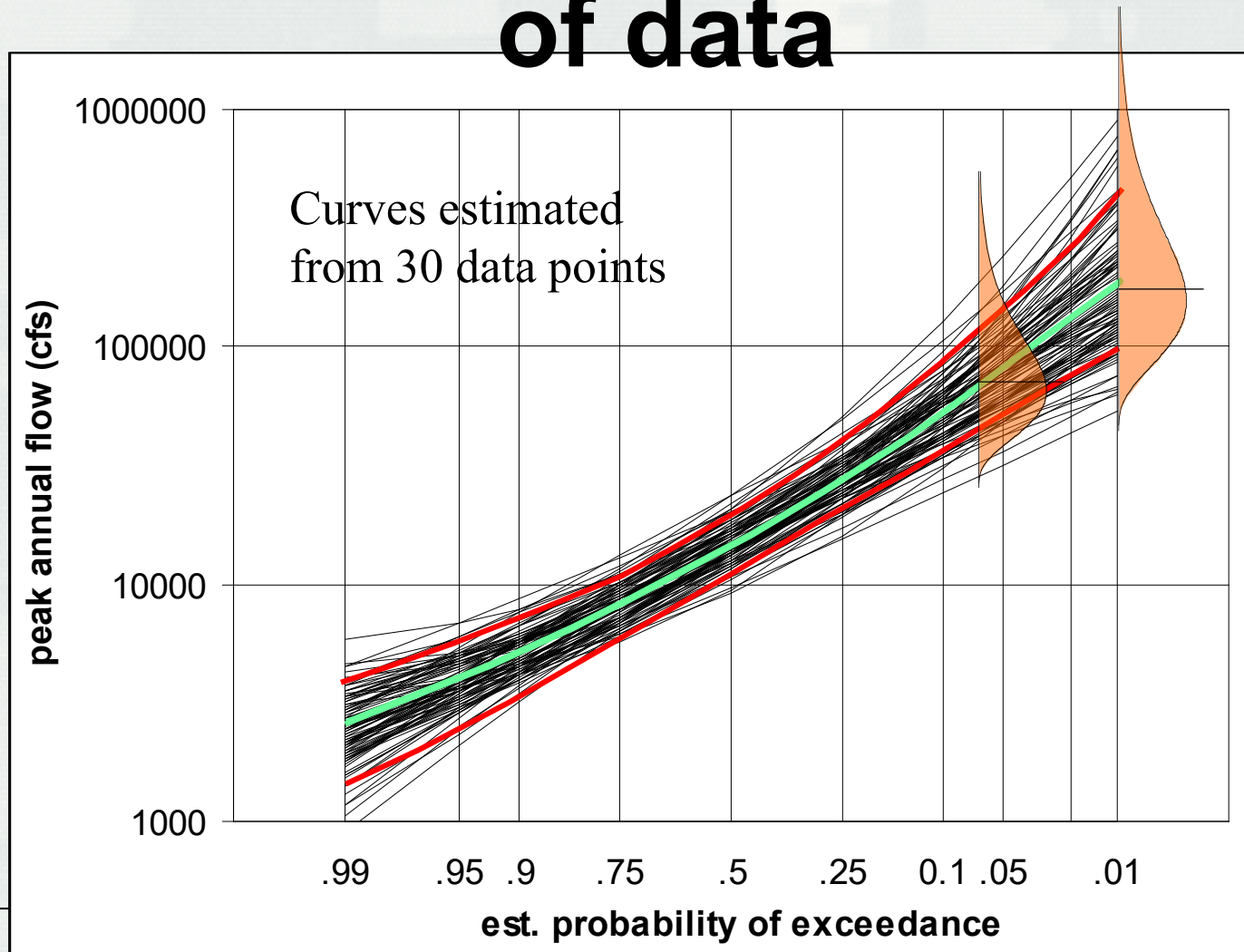
sample of mean damage  
(EAD) from all realizations

(spans knowledge  
uncertainty)

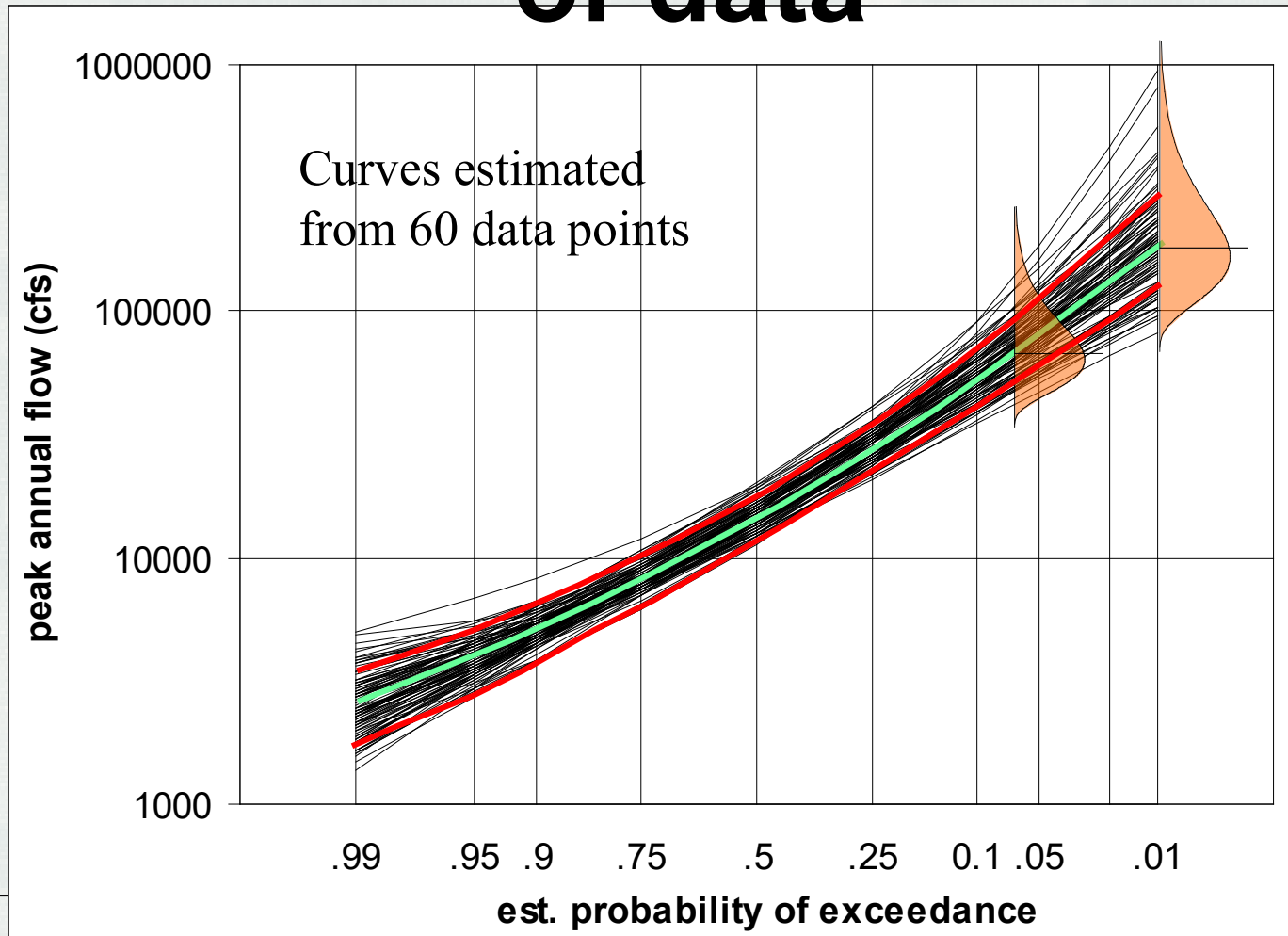
provides distribution of EAD



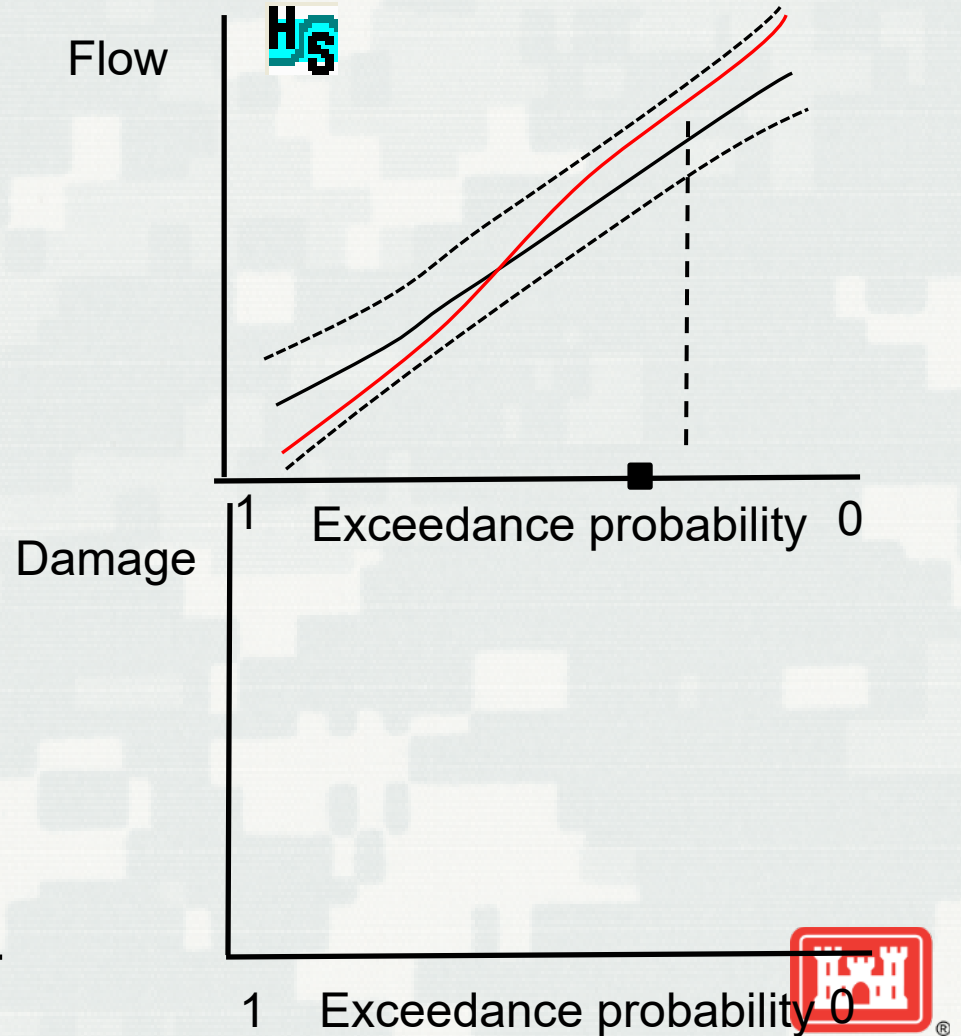
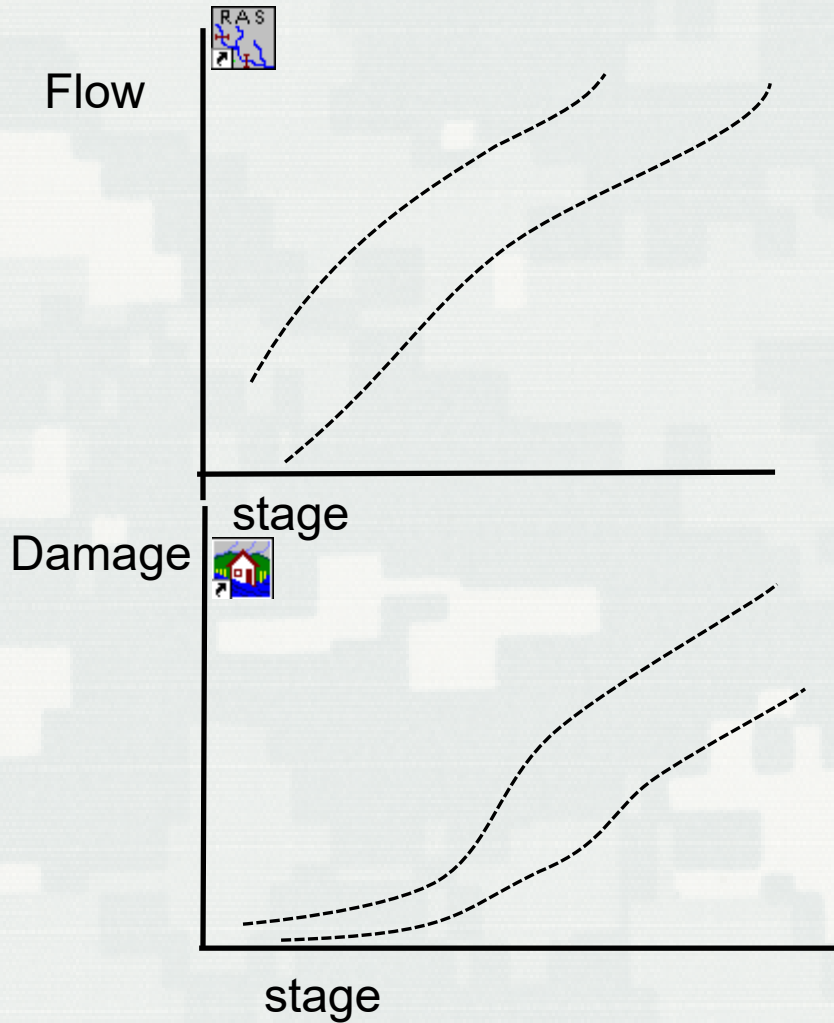
# Uncertainty in a frequency curve estimated from 30 years of data



# Uncertainty in a frequency curve estimated from 60 years of data

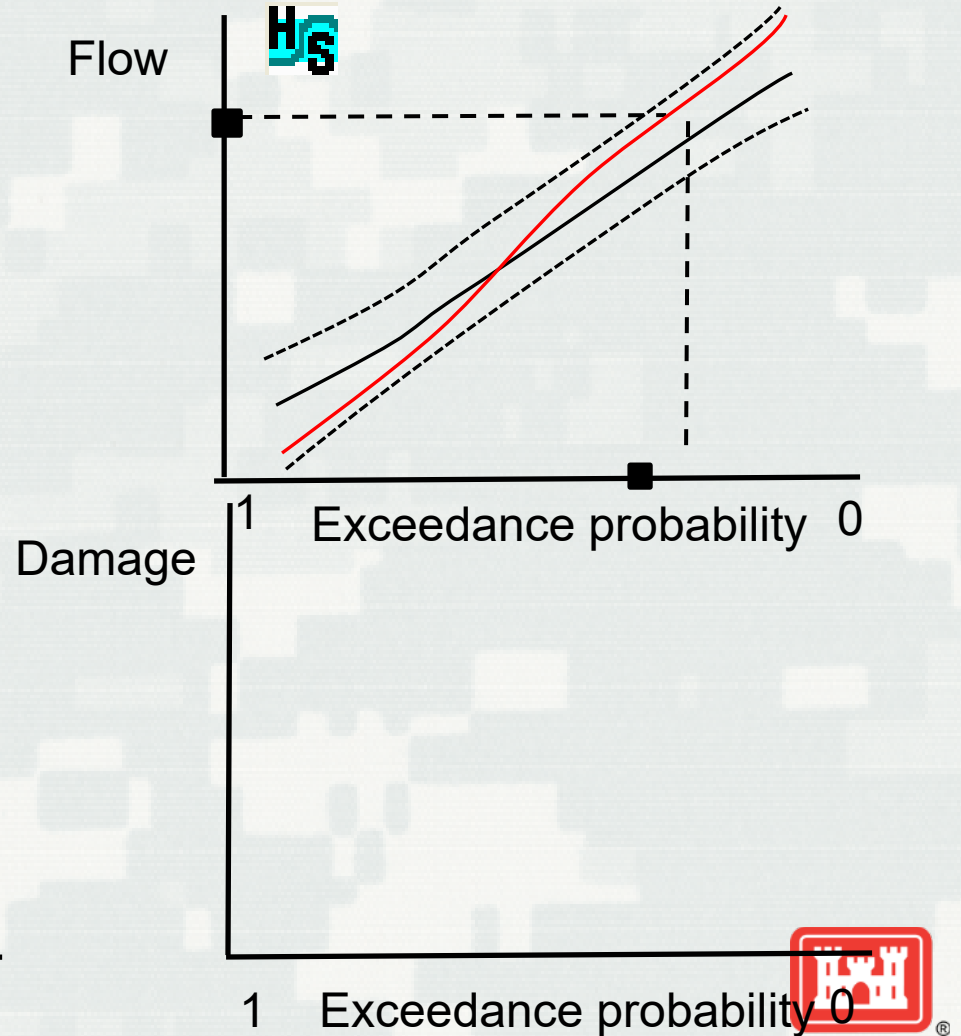
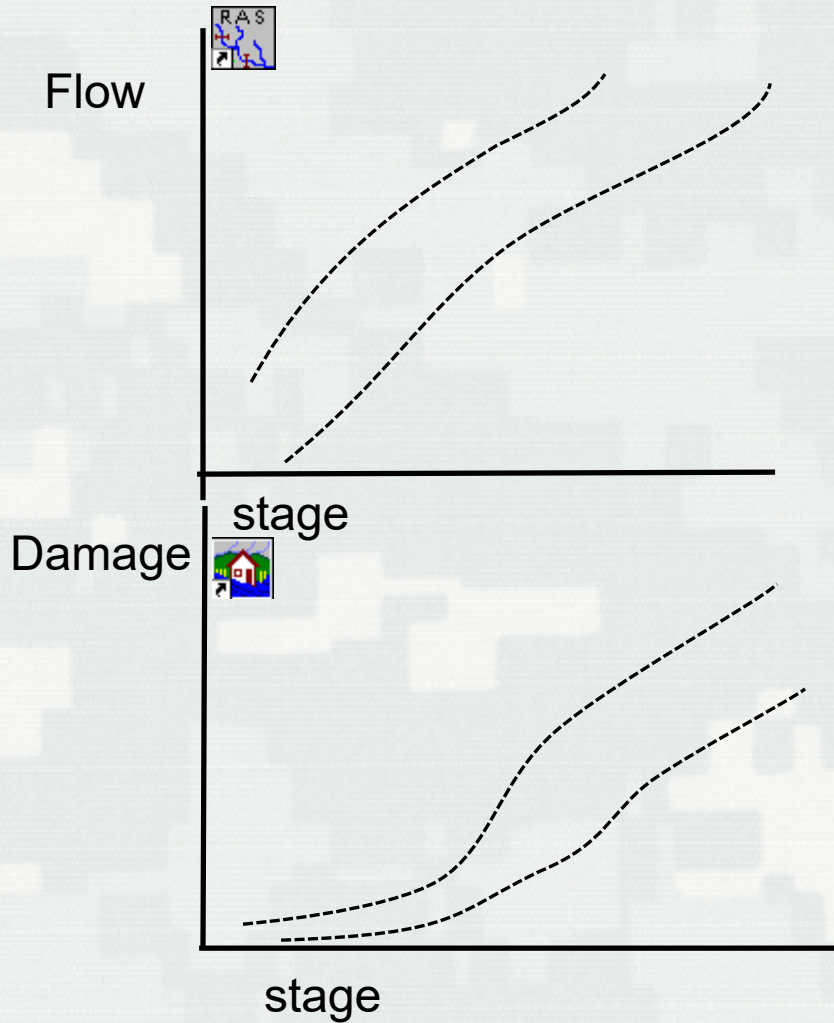


# Concept behind event sampling

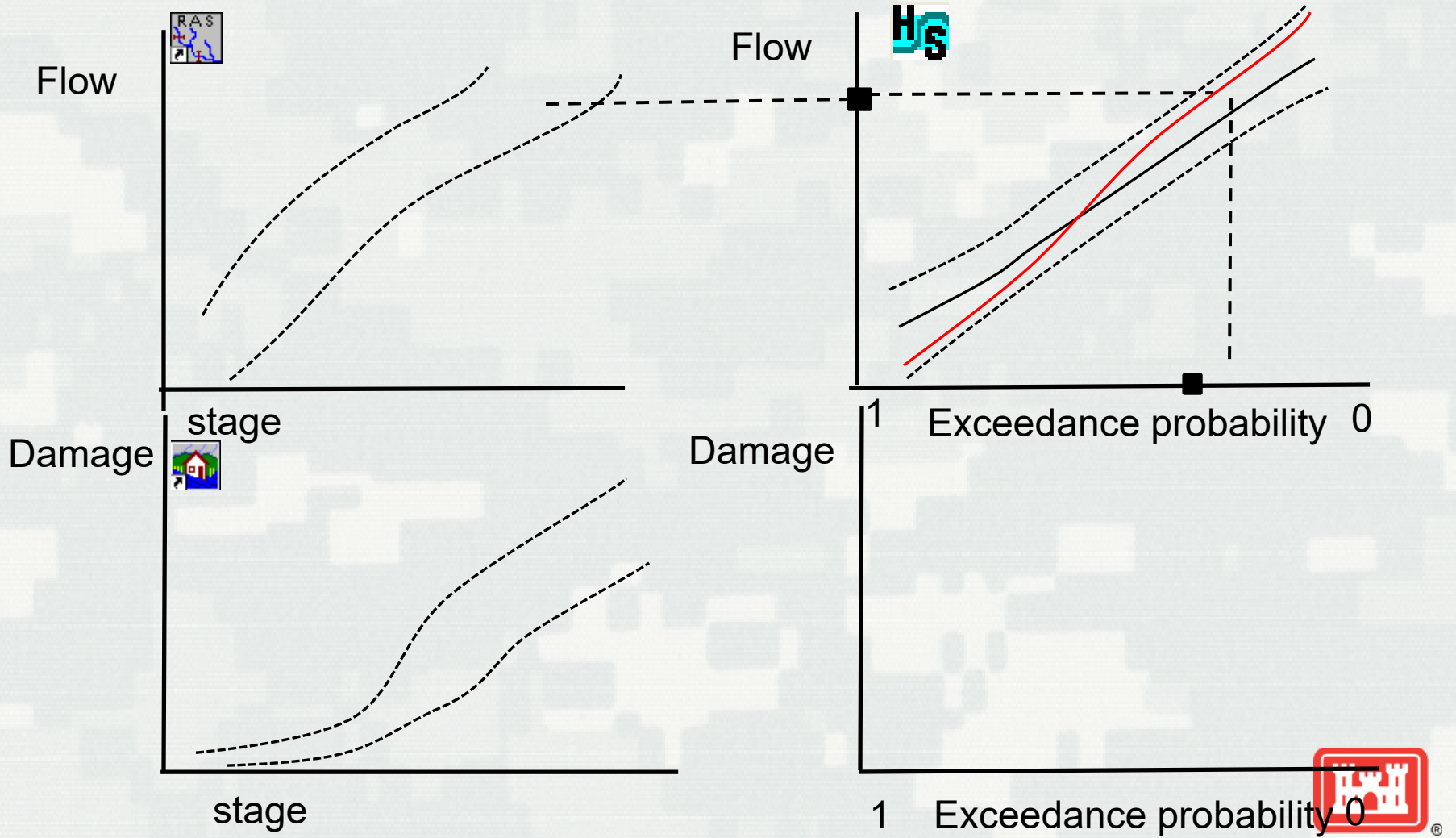




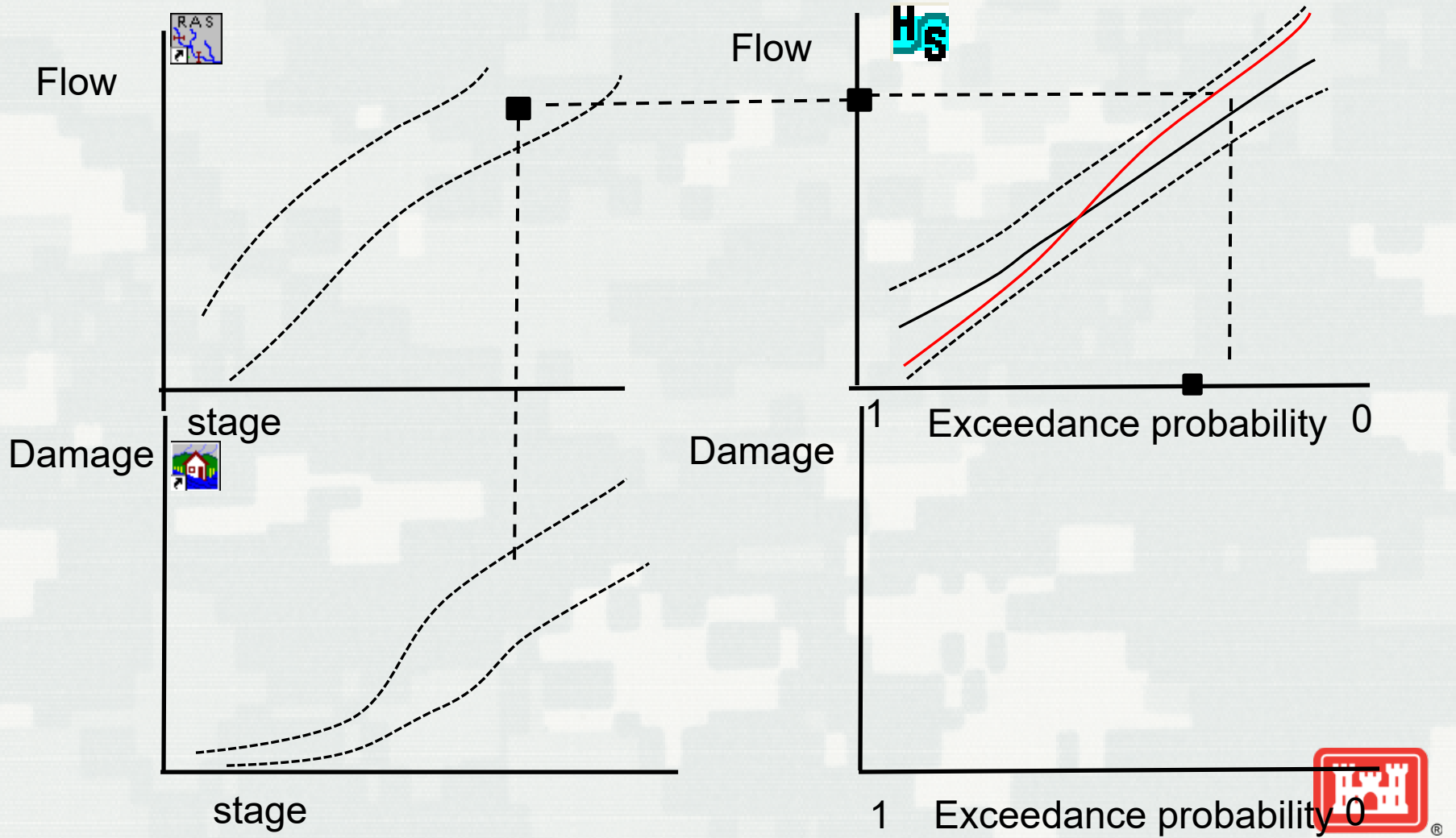
# Concept behind event sampling



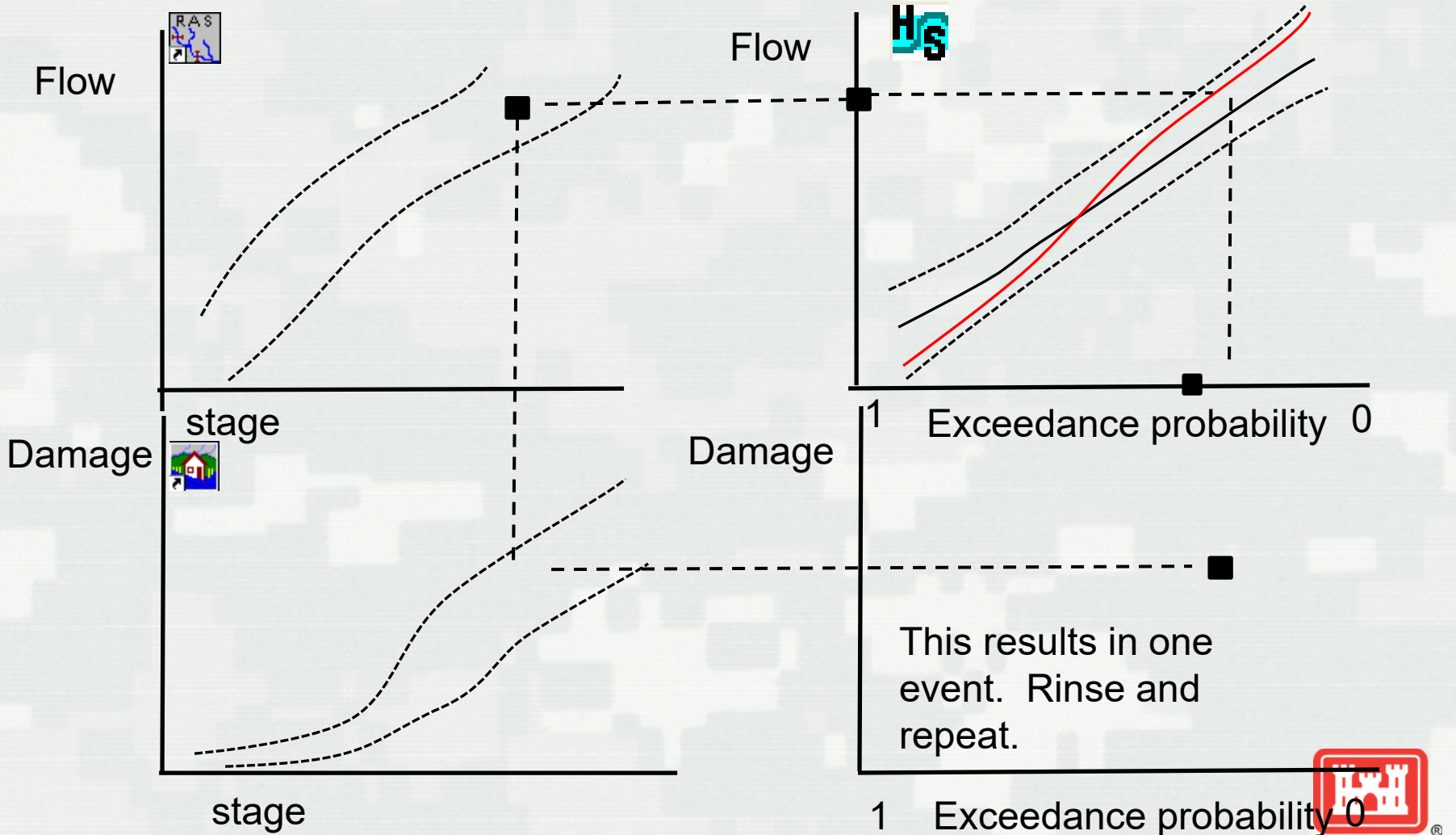
# Concept behind event sampling



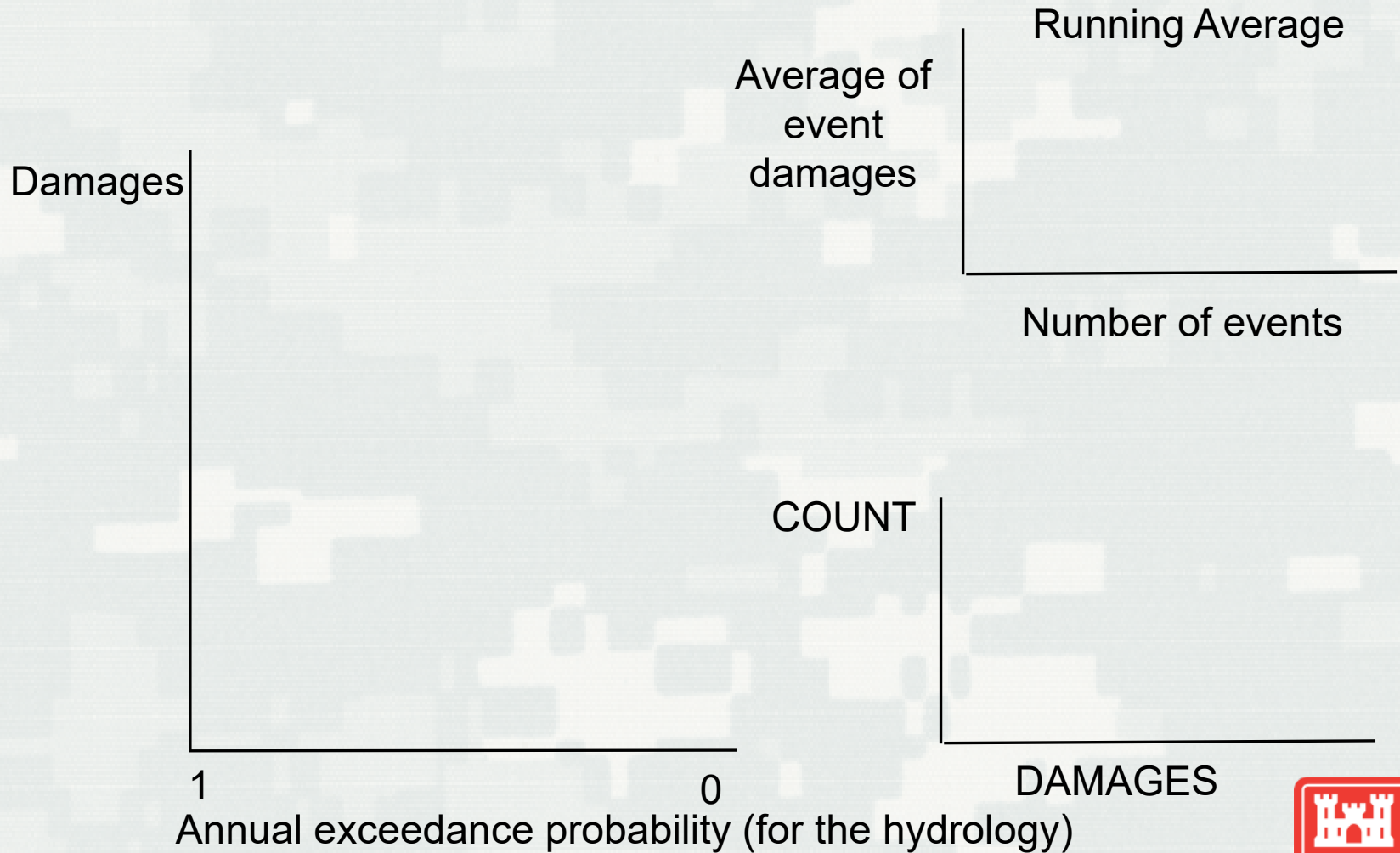
# Concept behind event sampling



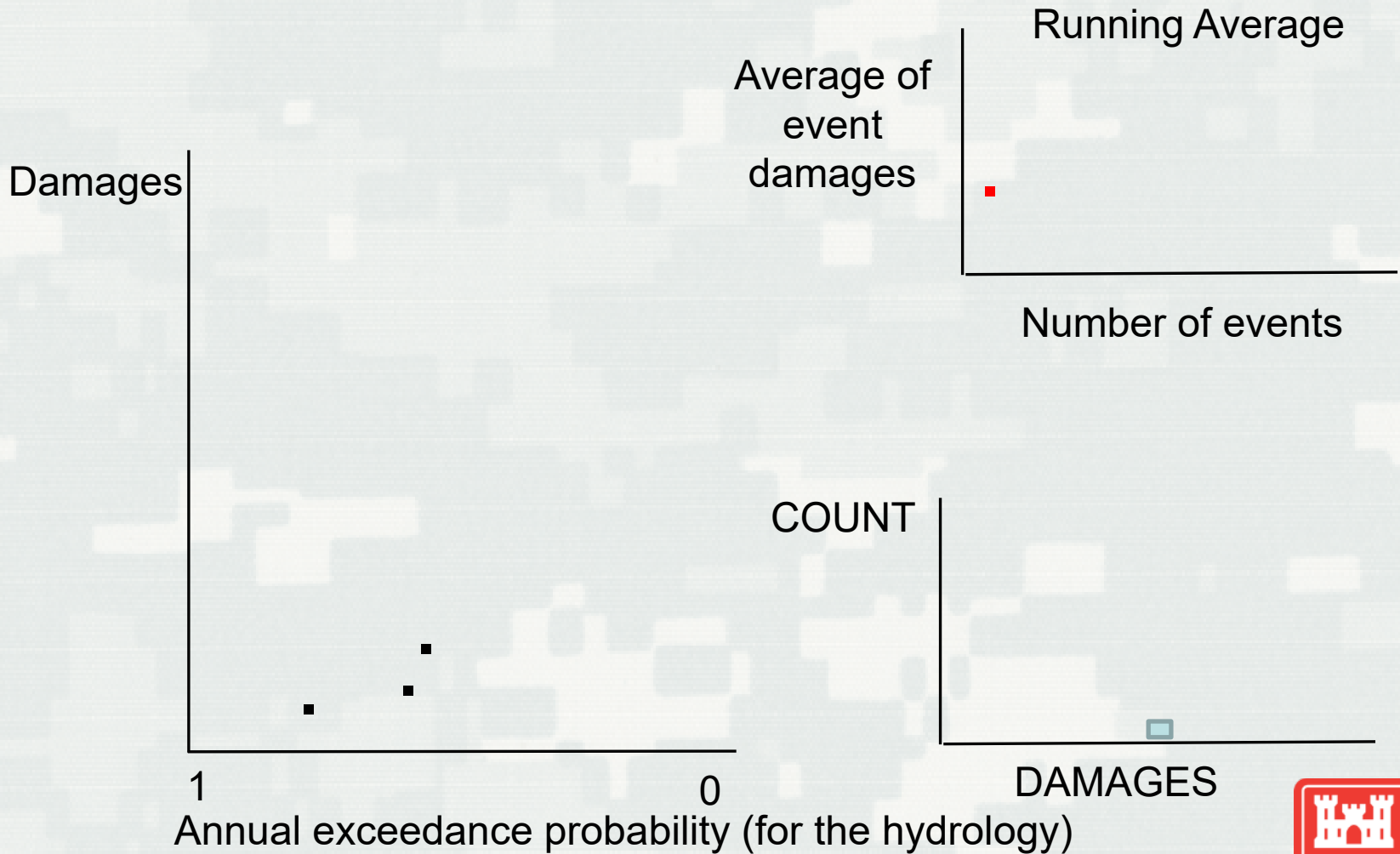
# Concept behind event sampling



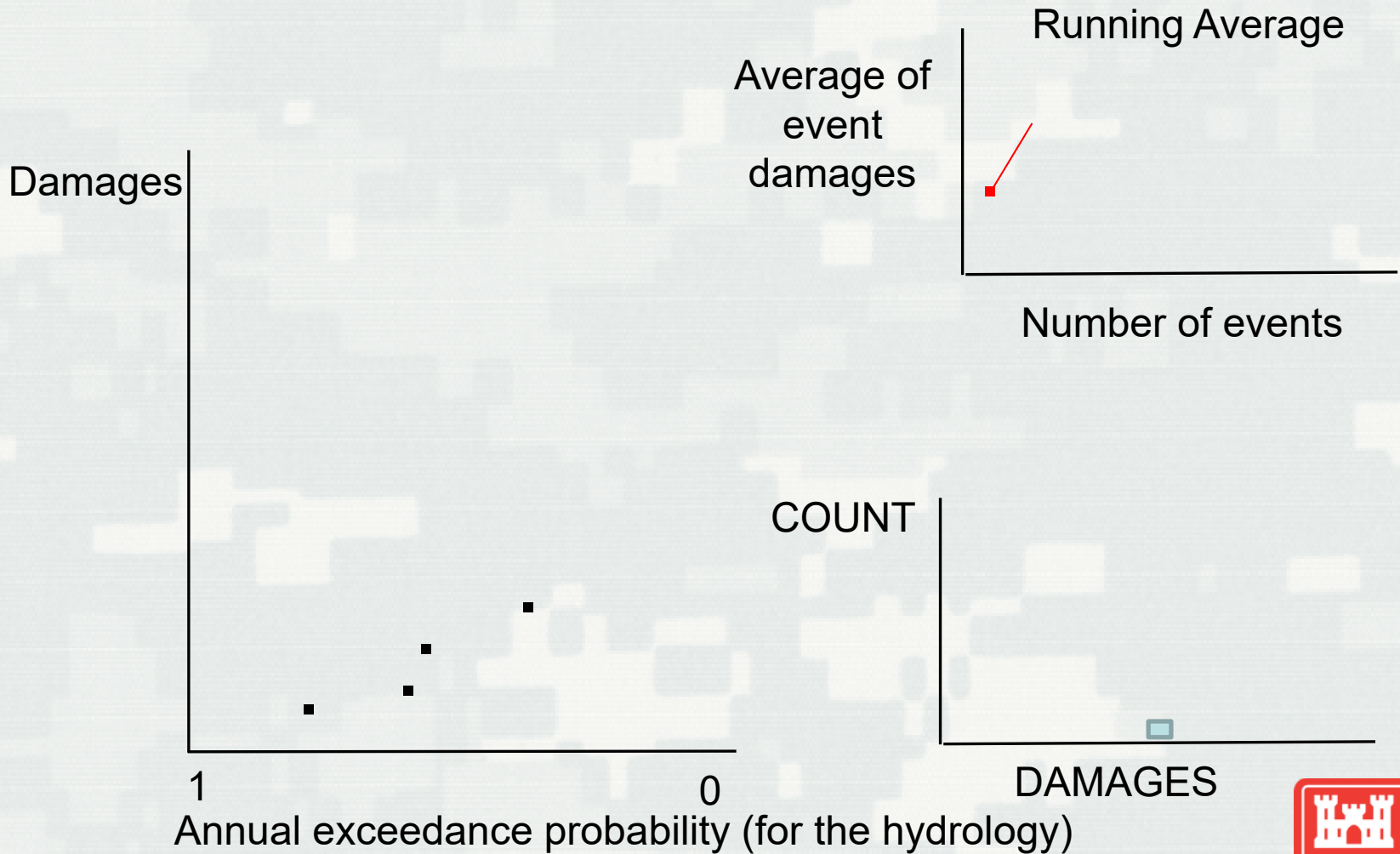
# EVENT SAMPLING



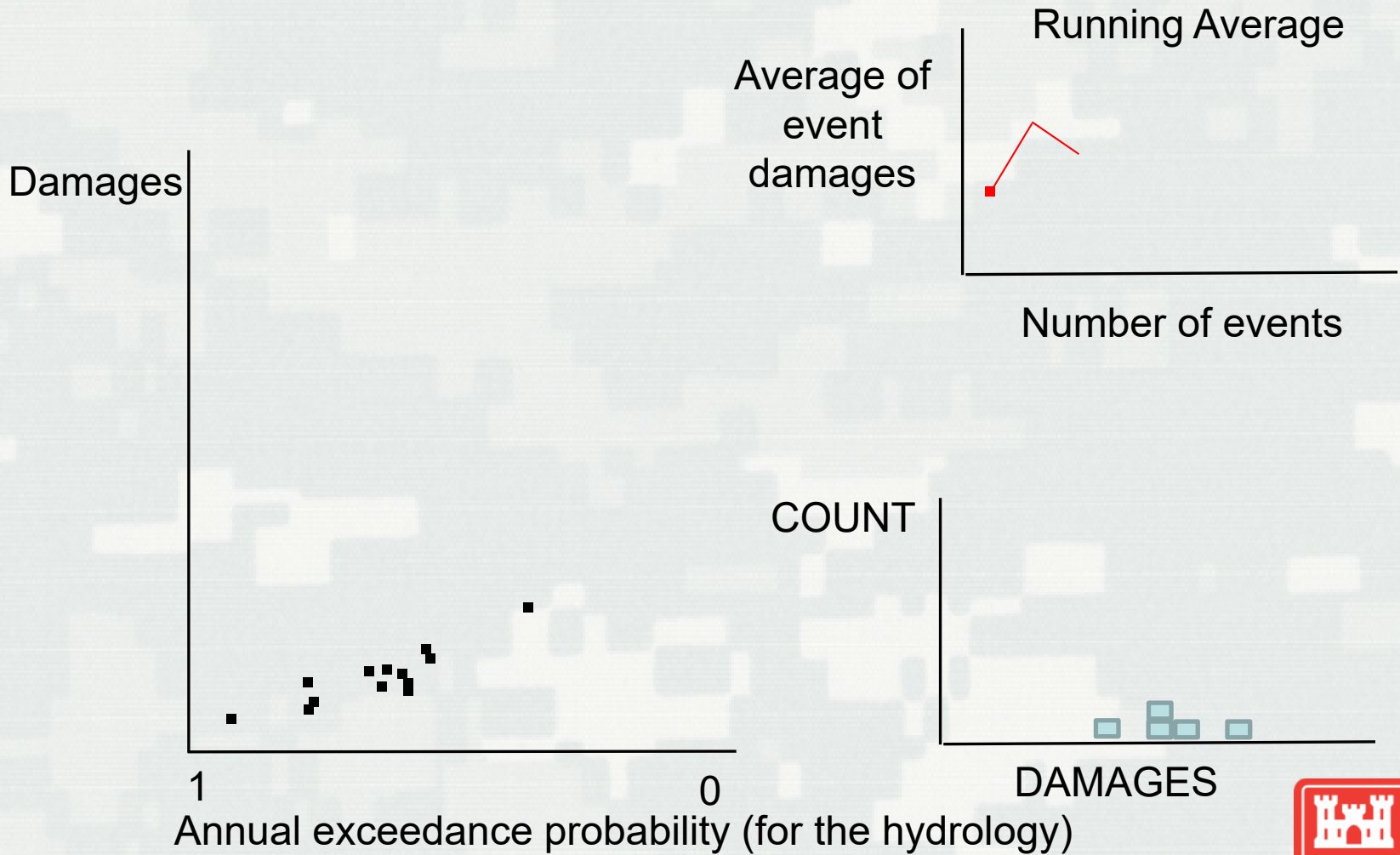
# EVENT SAMPLING



# EVENT SAMPLING

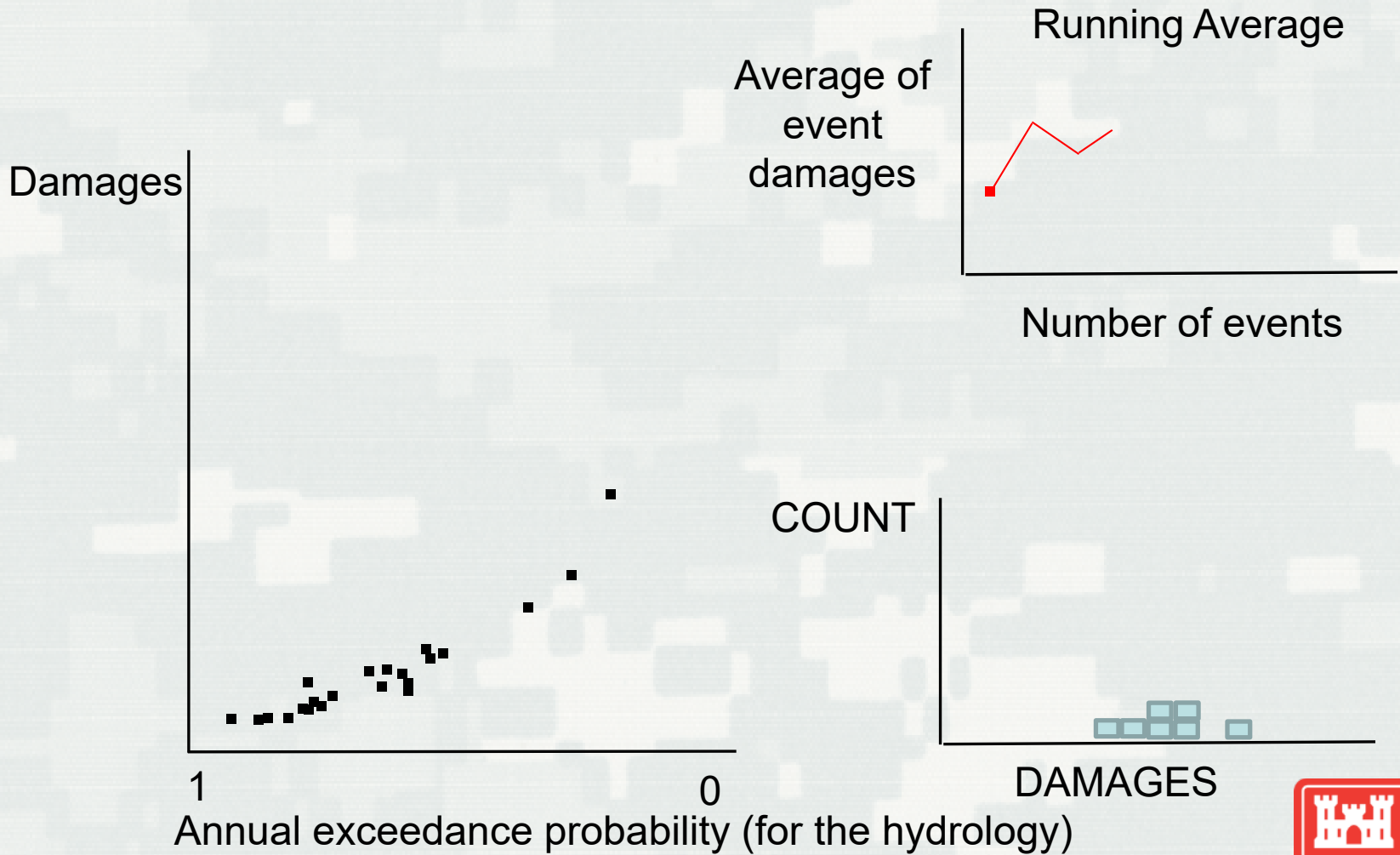


# EVENT SAMPLING

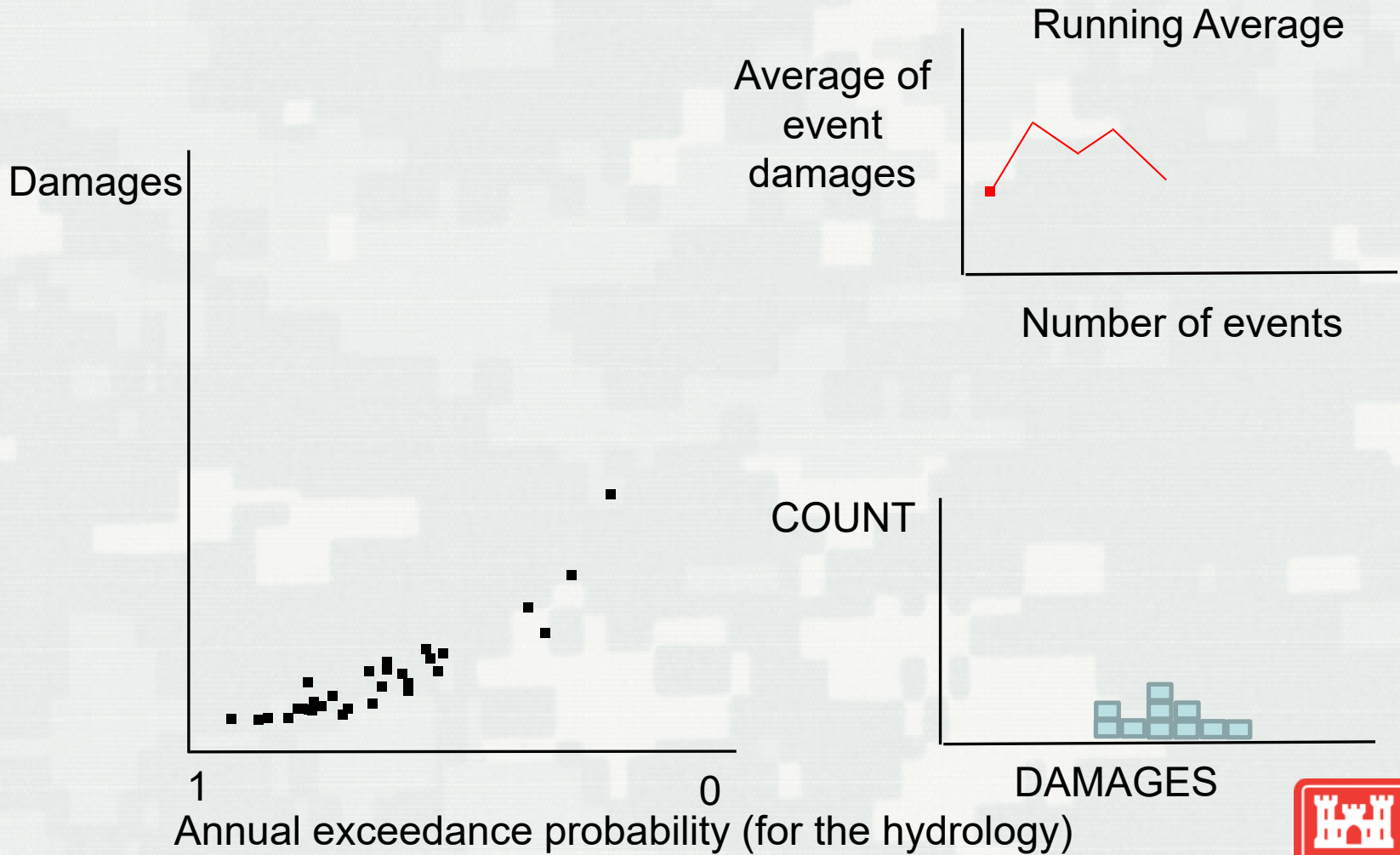




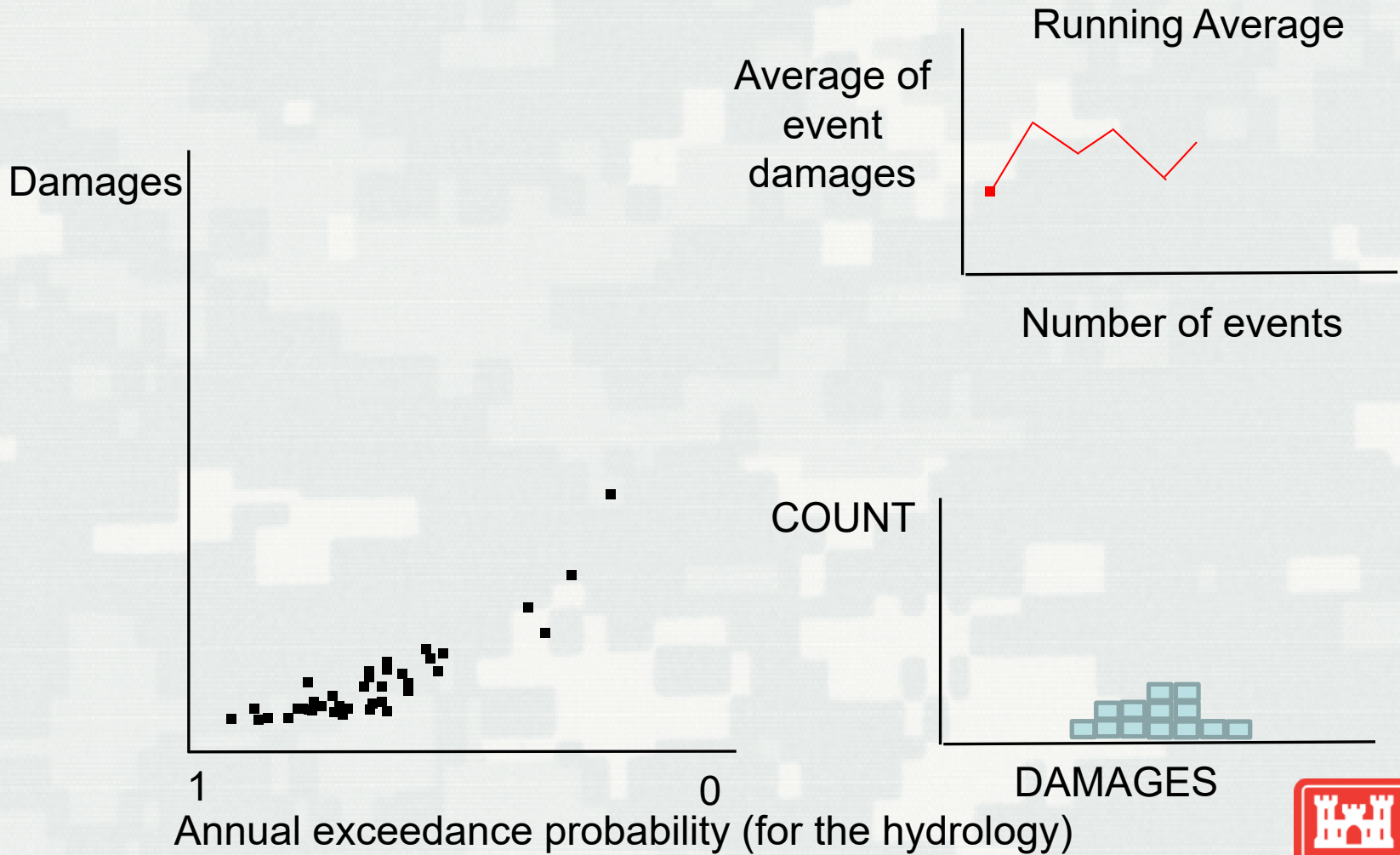
# EVENT SAMPLING



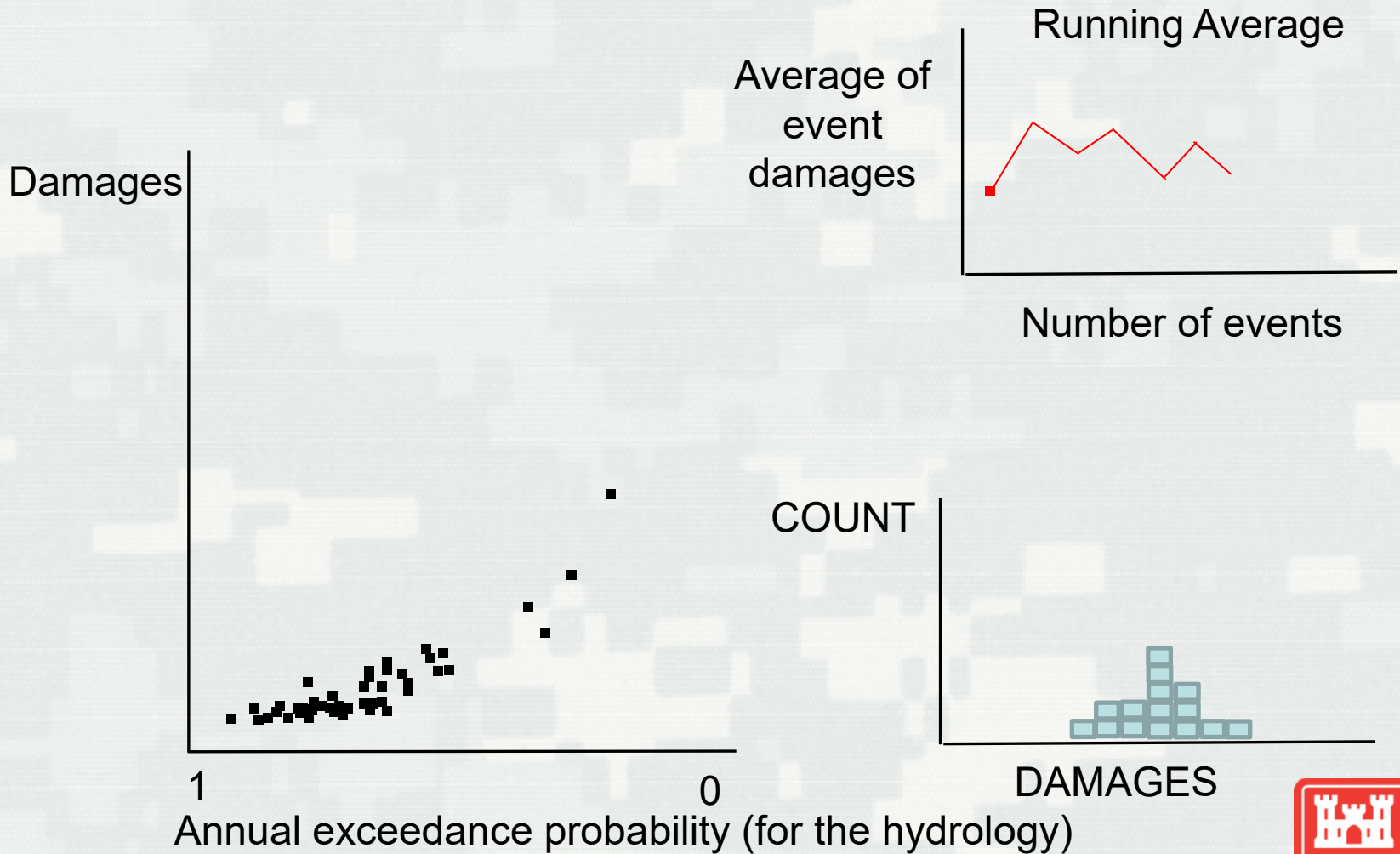
# EVENT SAMPLING



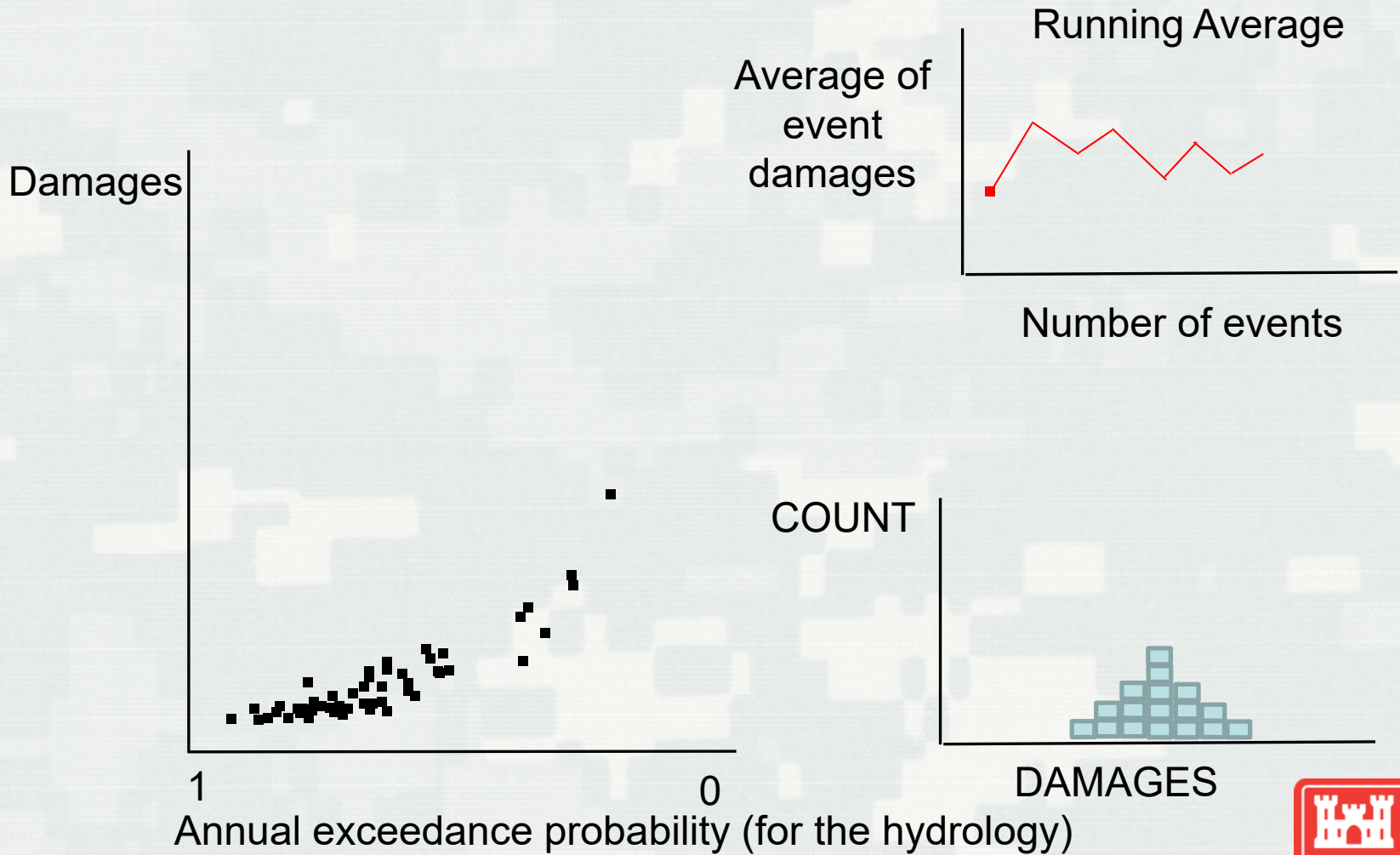
# EVENT SAMPLING



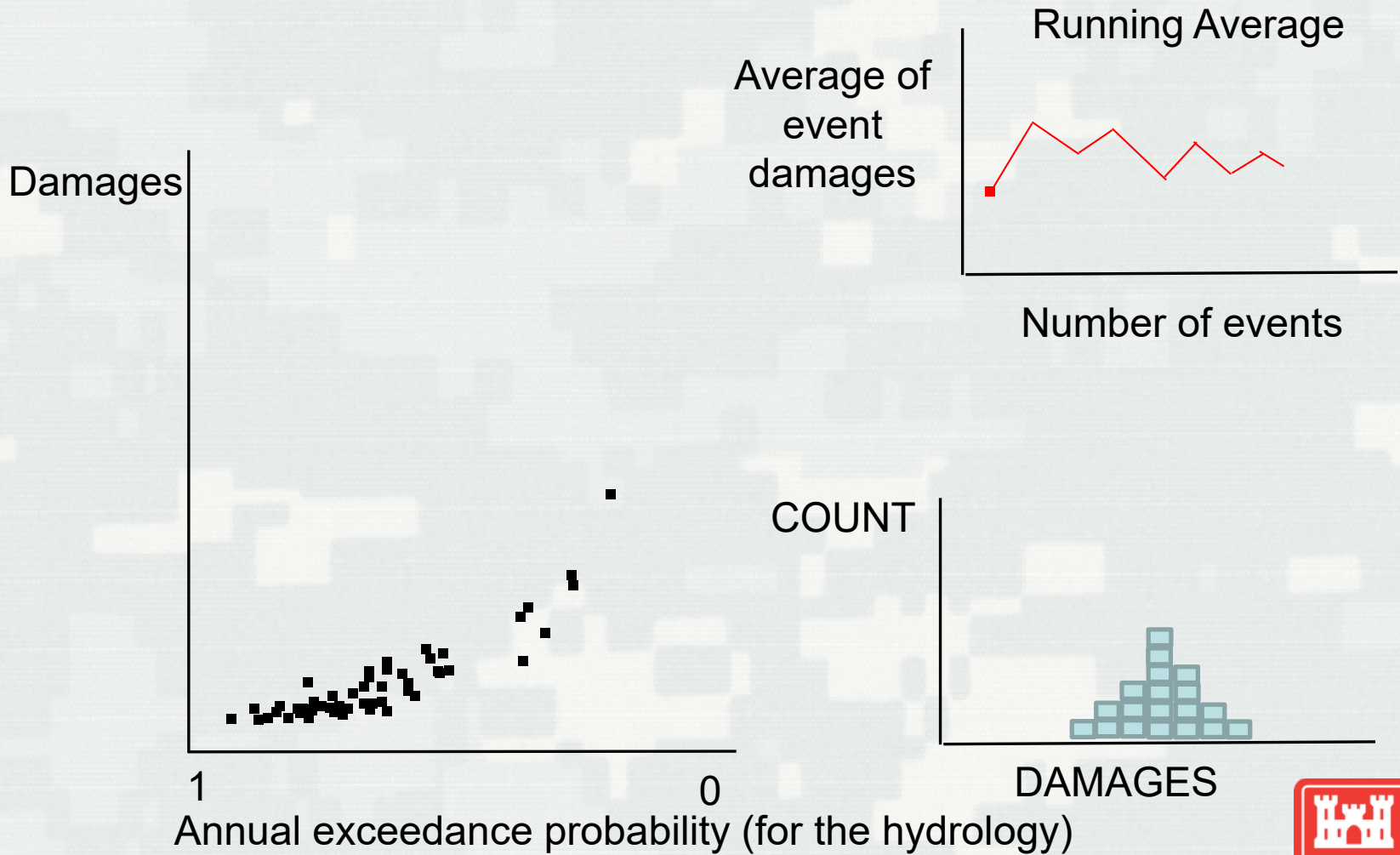
# EVENT SAMPLING



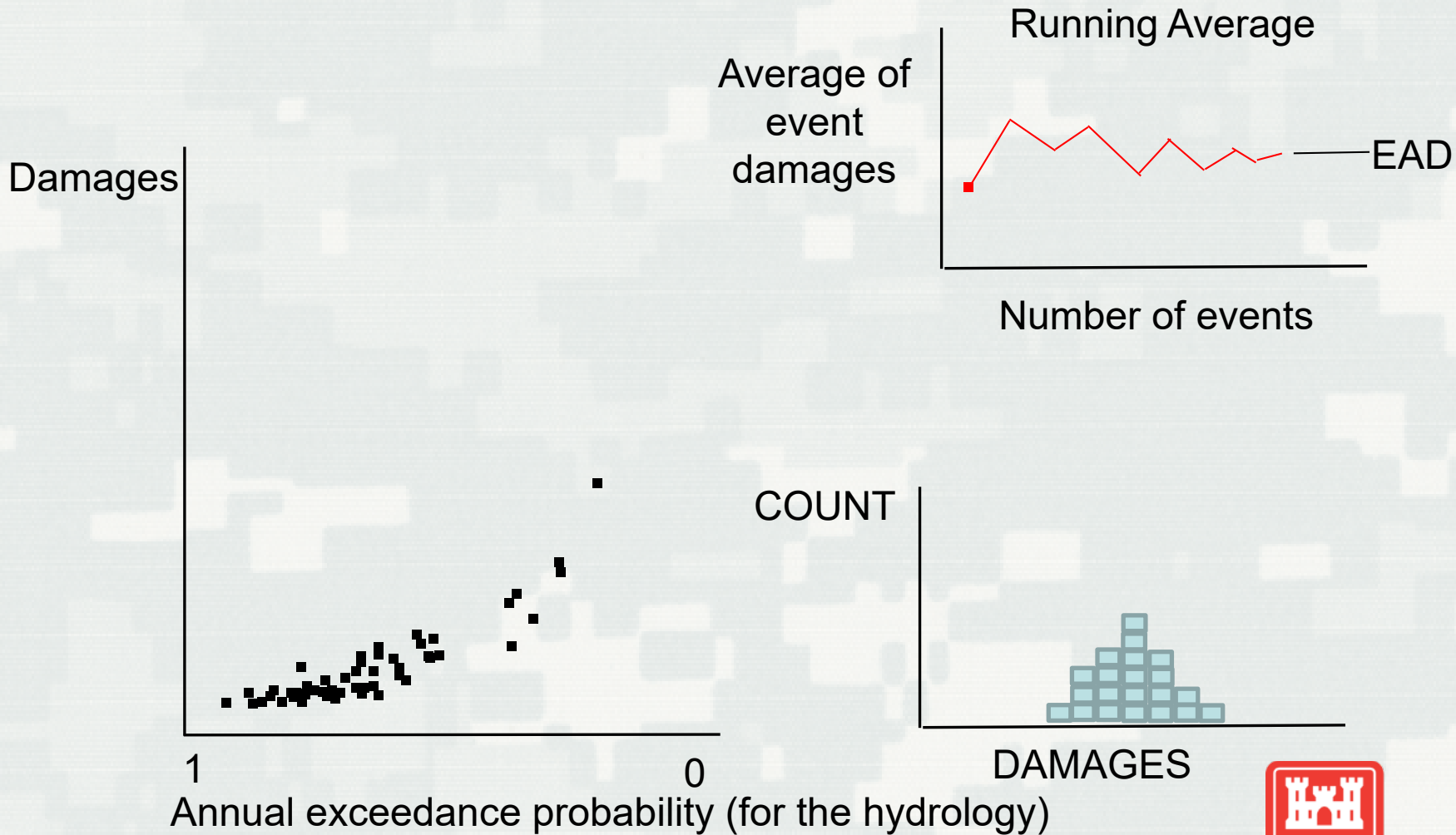
# EVENT SAMPLING



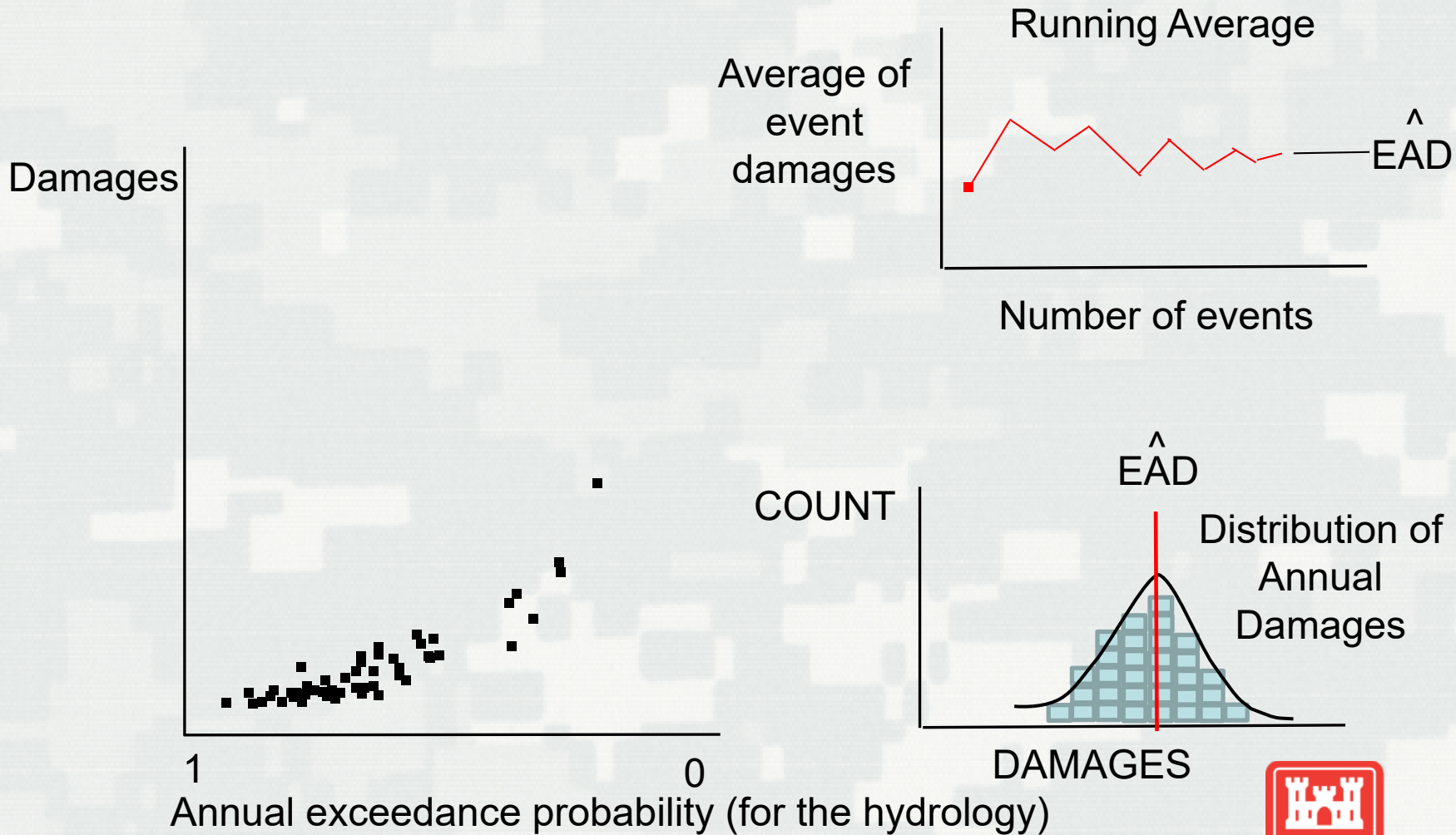
# EVENT SAMPLING



# EVENT SAMPLING



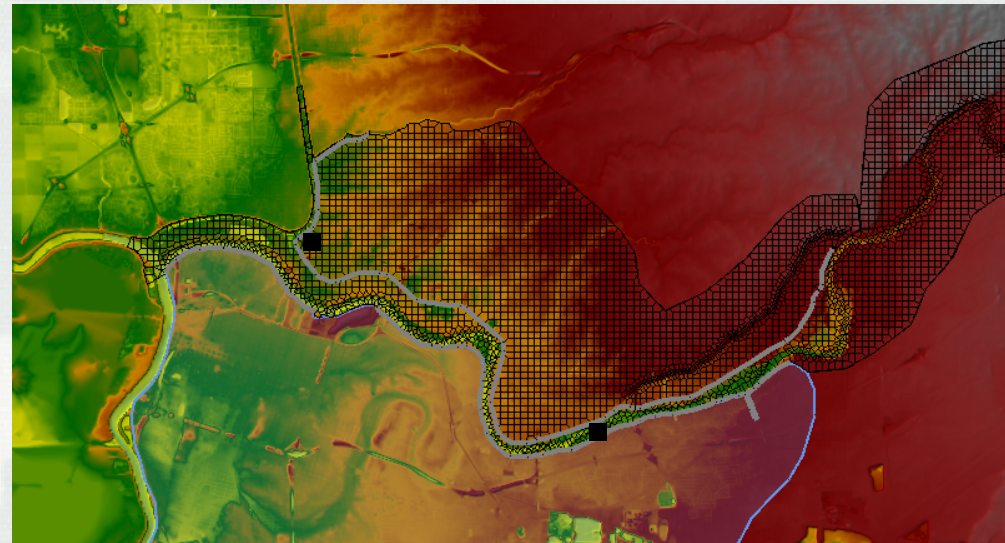
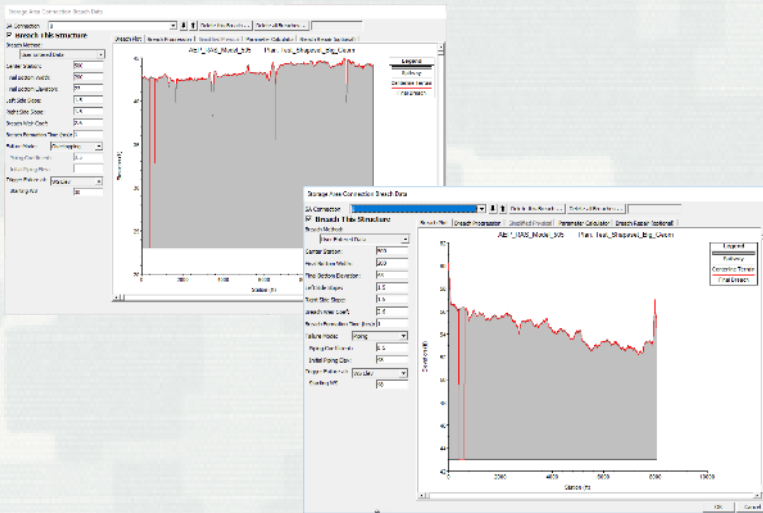
# EVENT SAMPLING



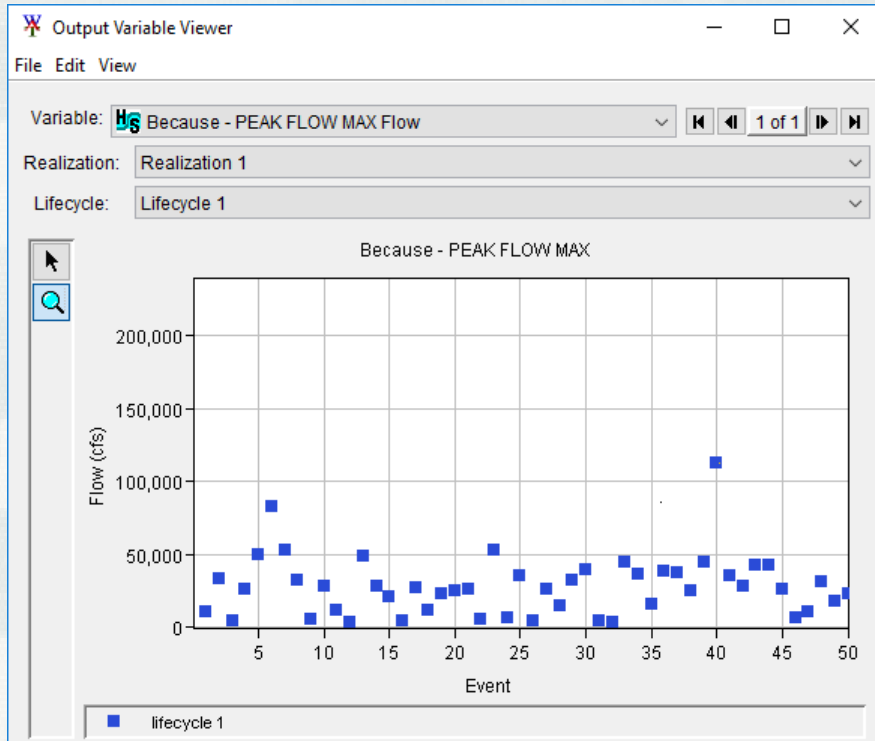


# American River Insurance Study

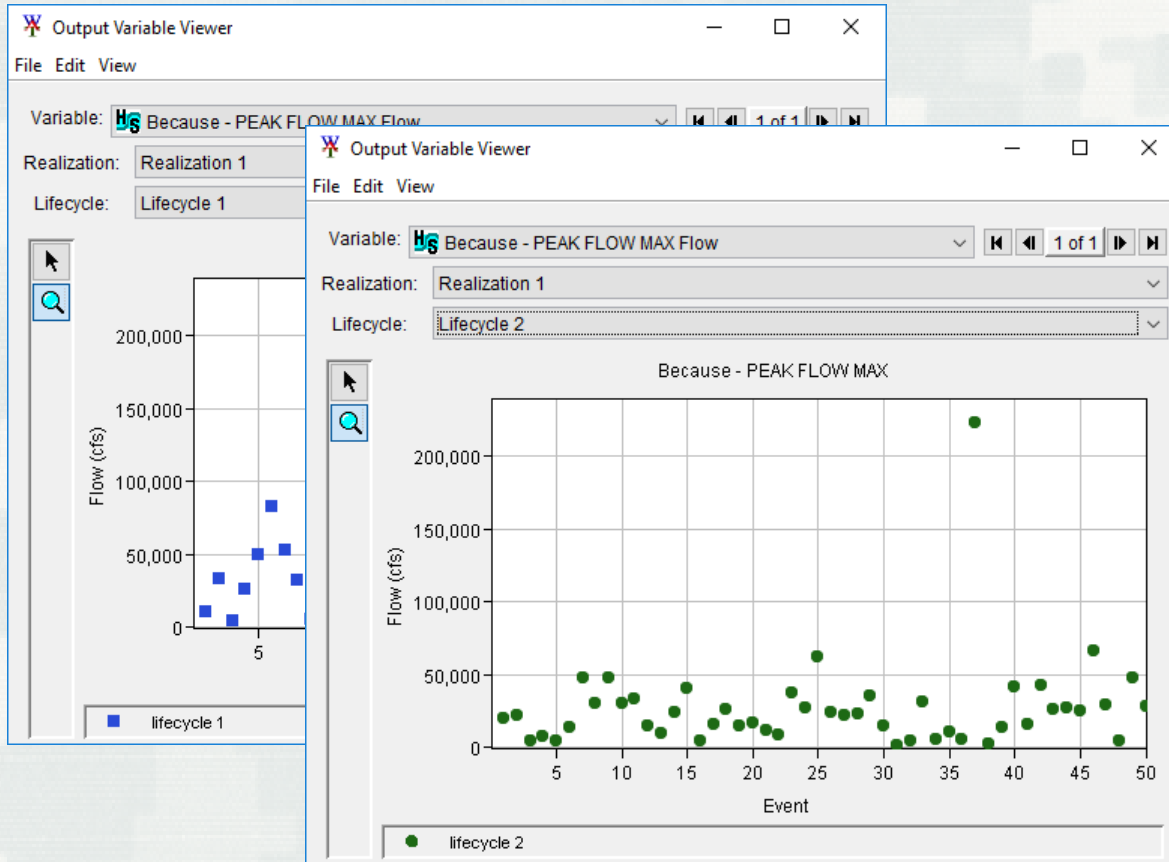
- For stability a 2D channel was linked to a 2D overbank area through a 2D SA connection.
- Two connections were set to breach
- Model development took approximately 8 hours



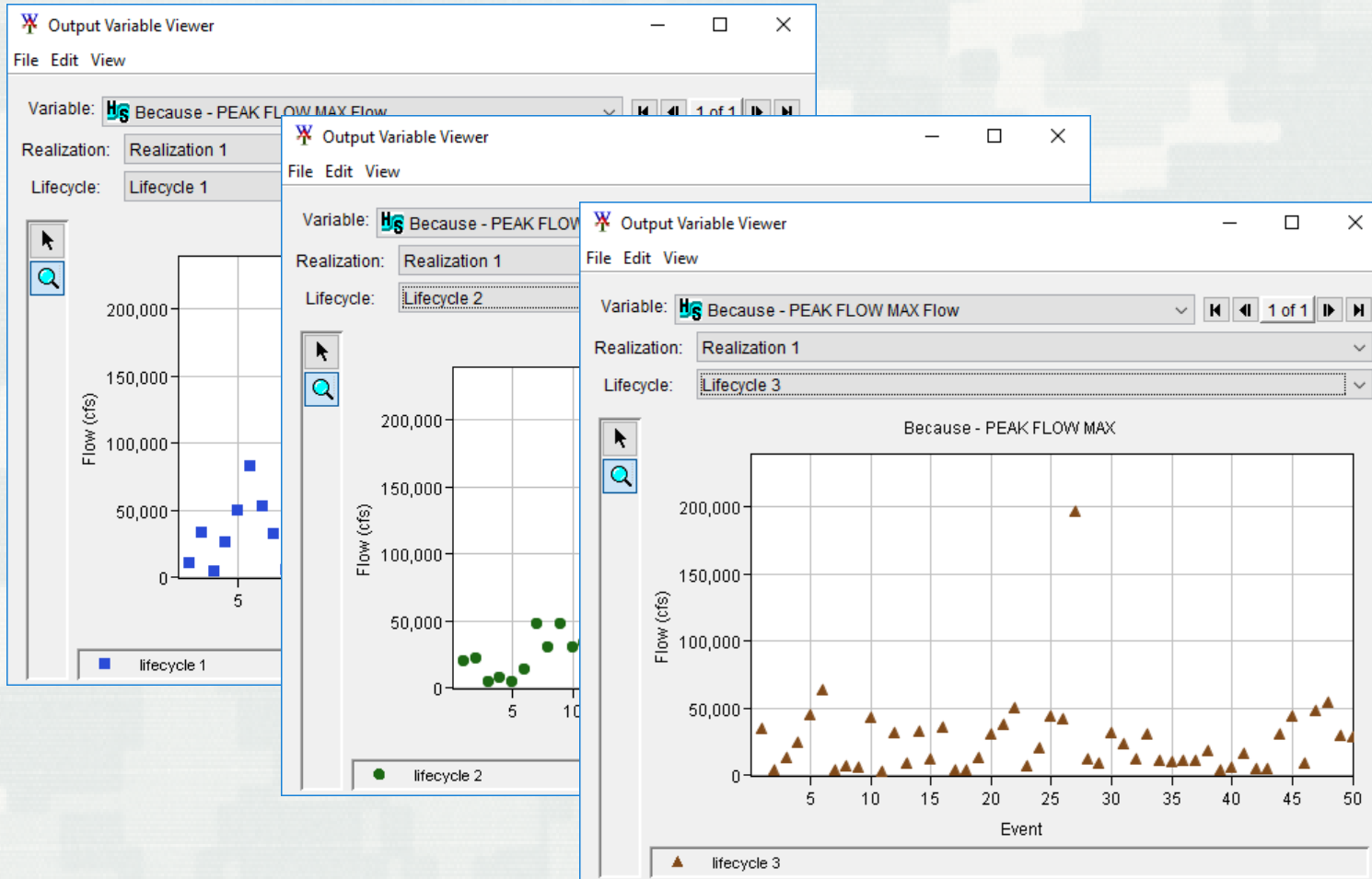
# Hydrologic Sampler Events



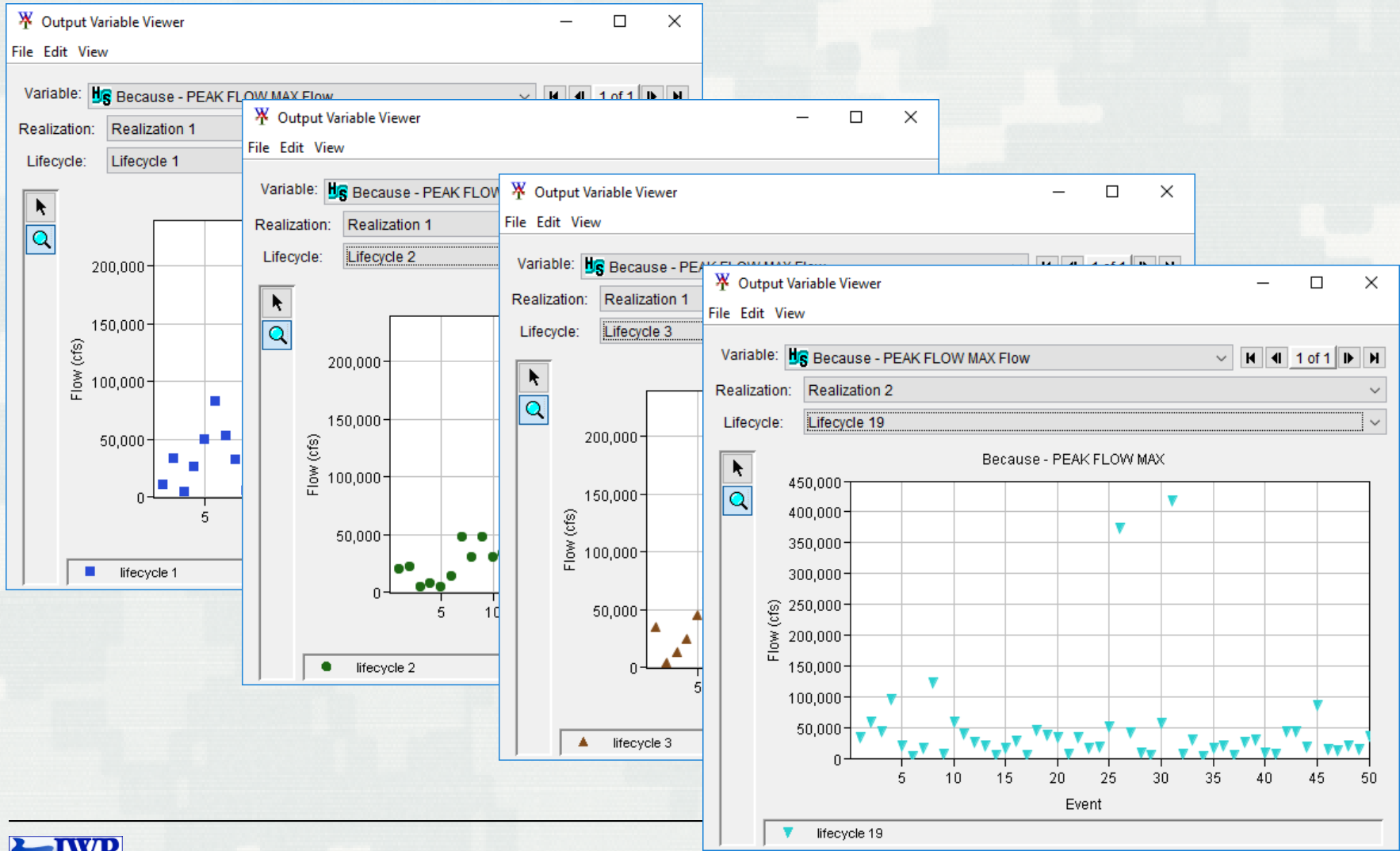
# Hydrologic Sampler Events

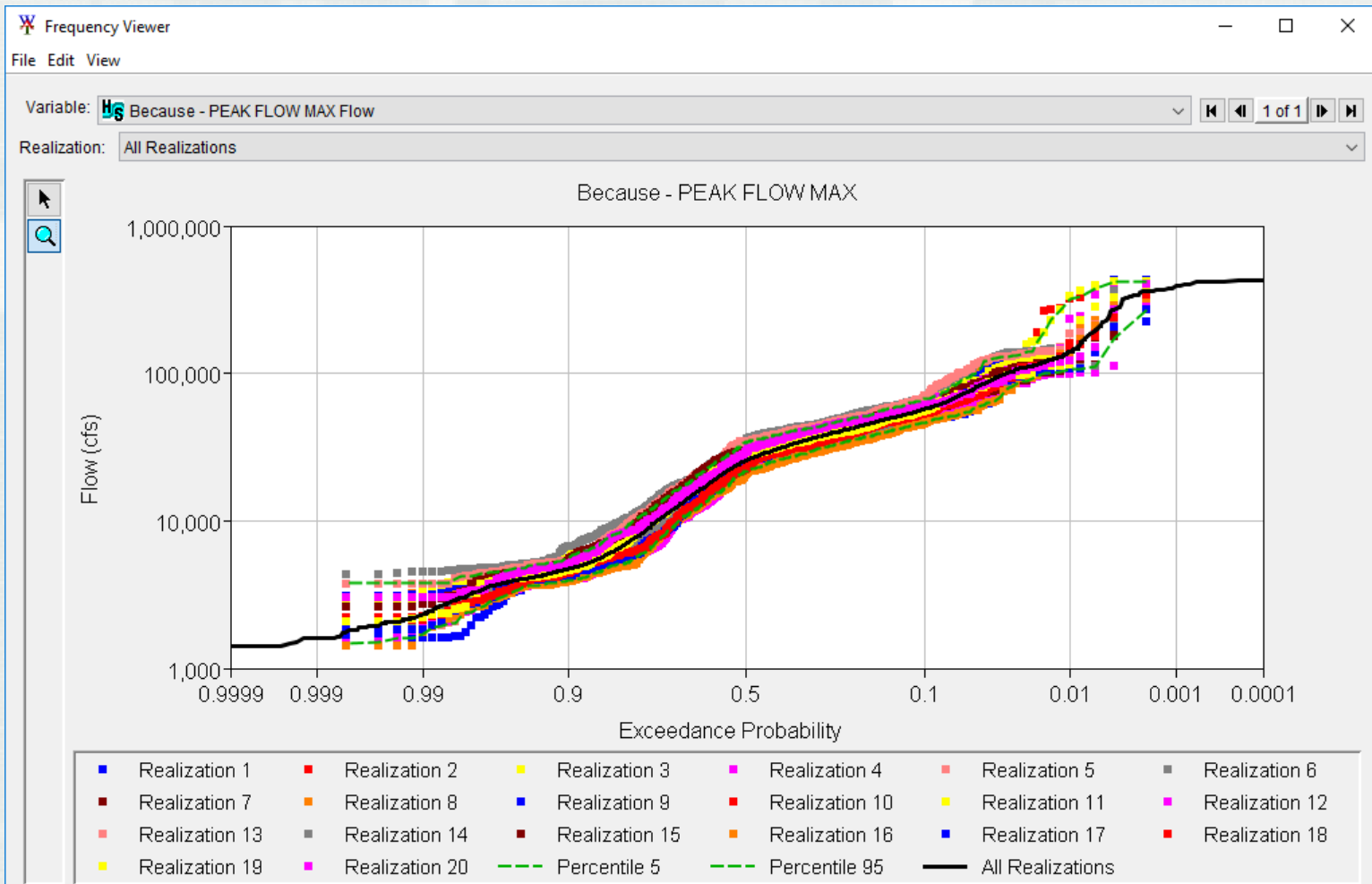


# Hydrologic Sampler Events

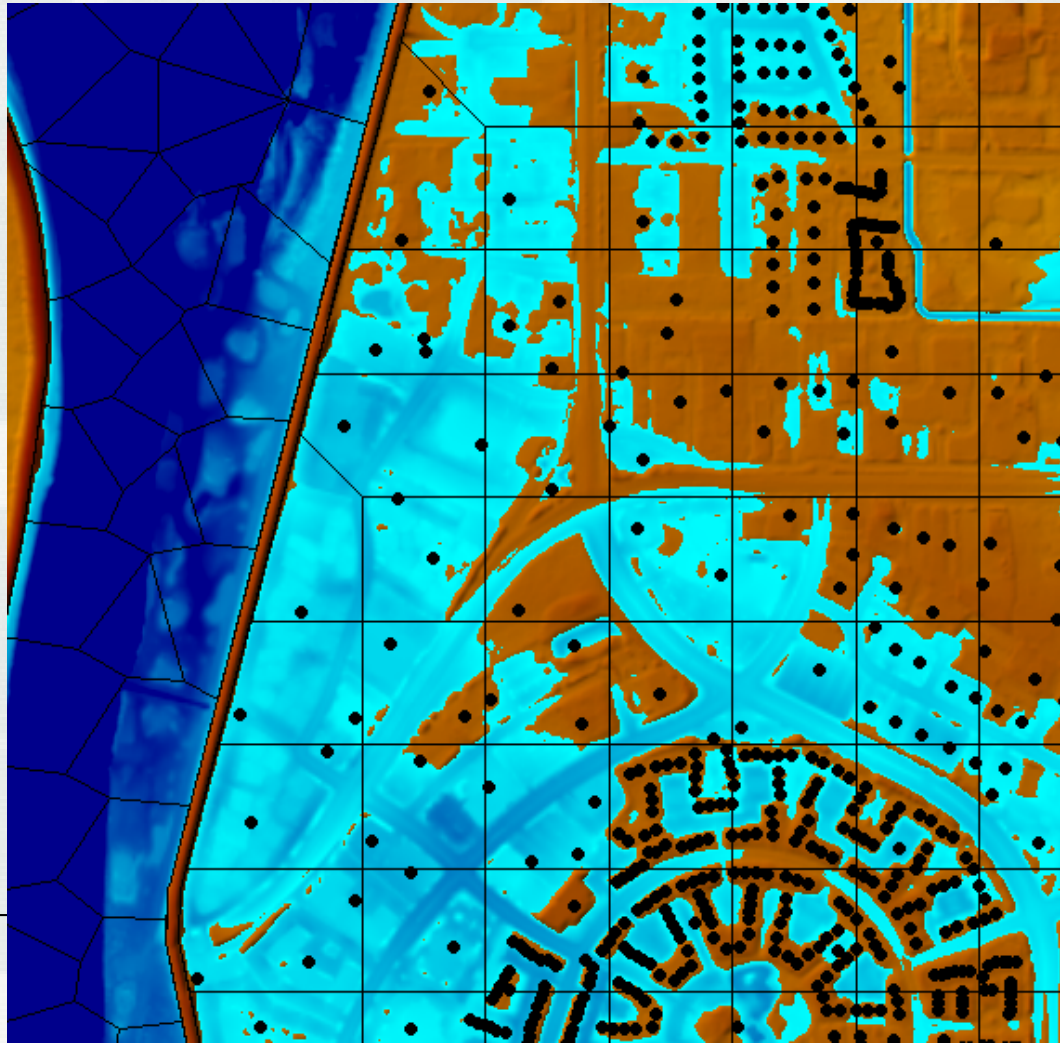


# Hydrologic Sampler Events

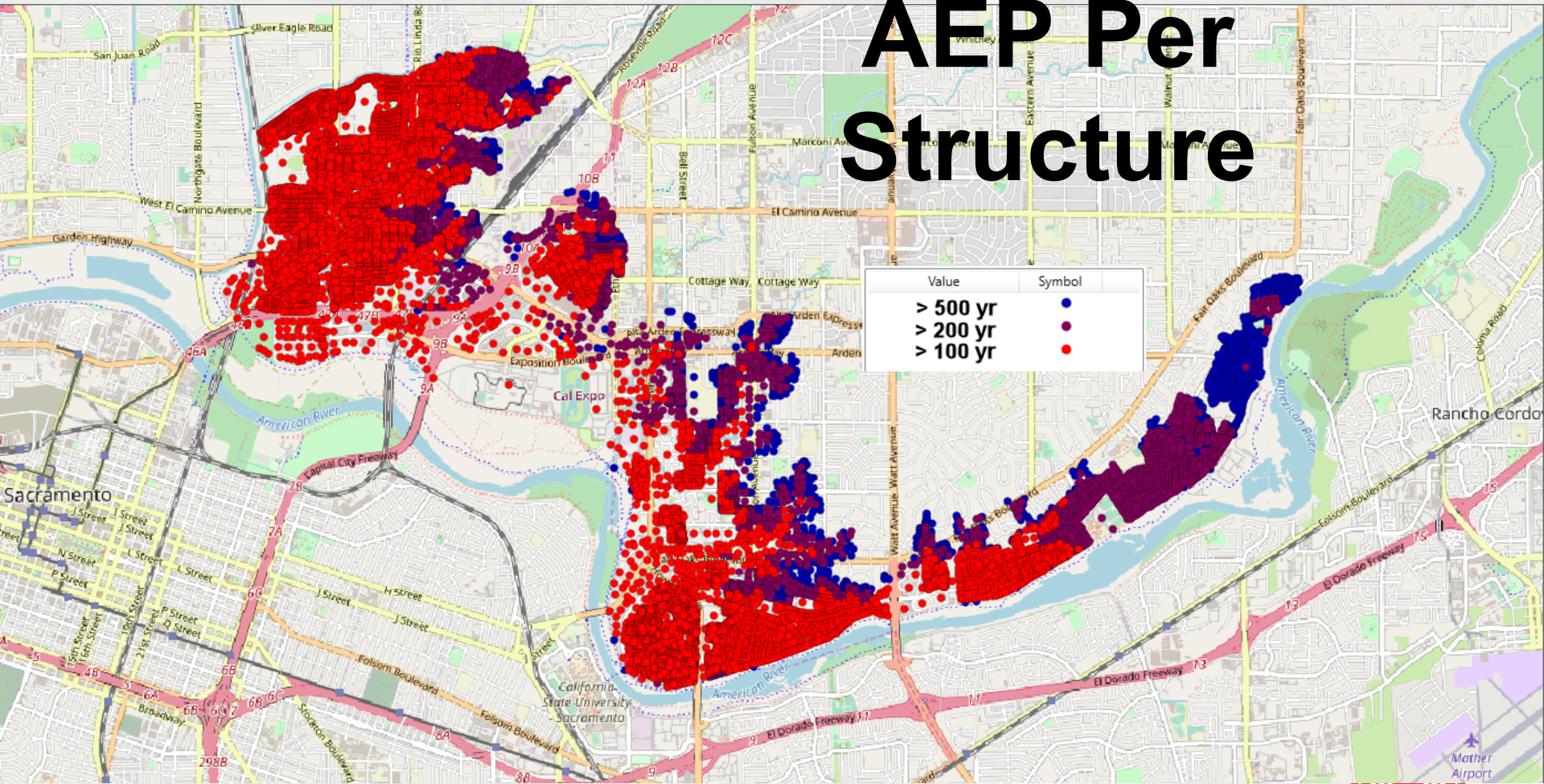




# HEC-RAS output by event

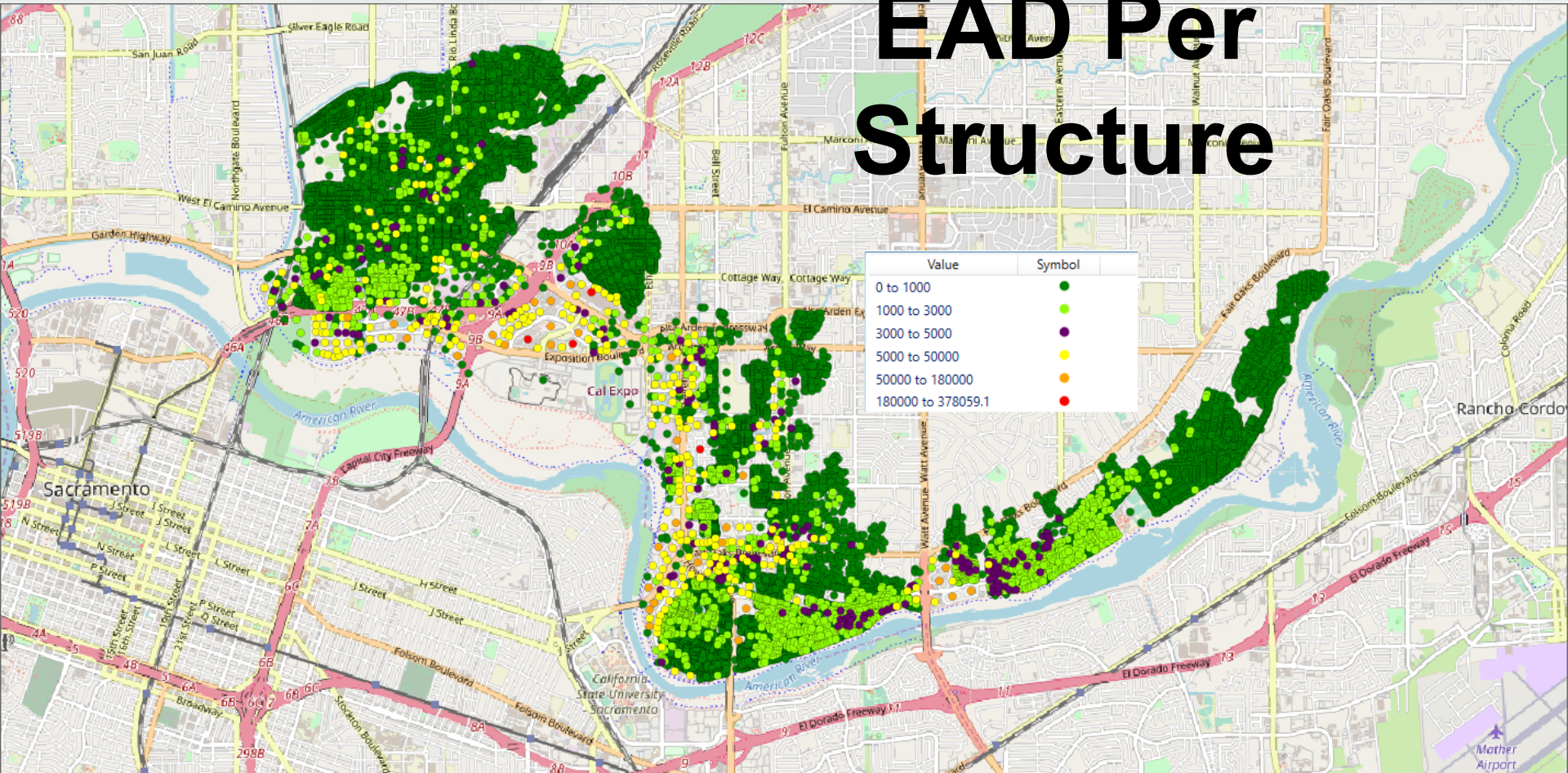


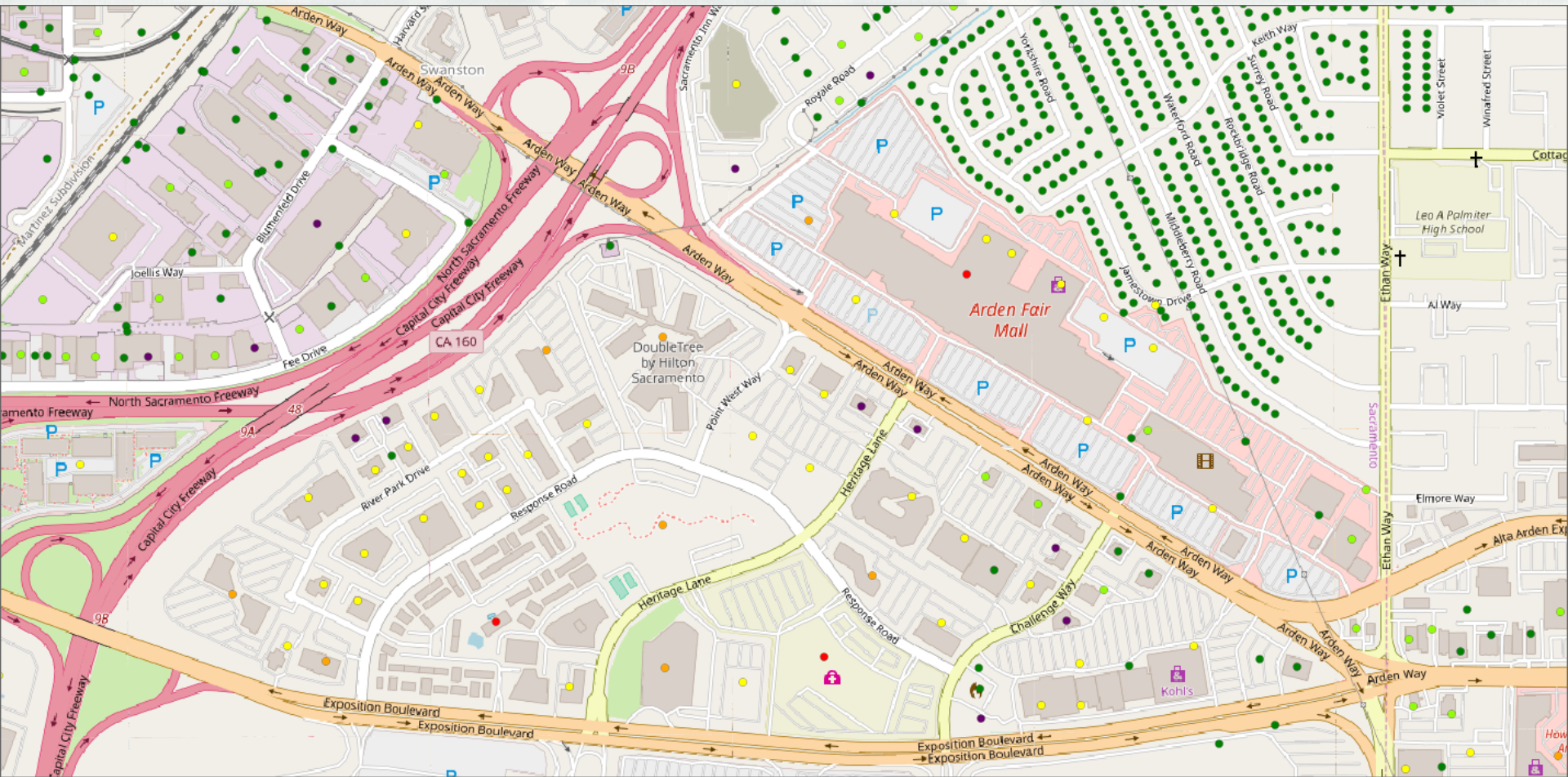
# AEP Per Structure

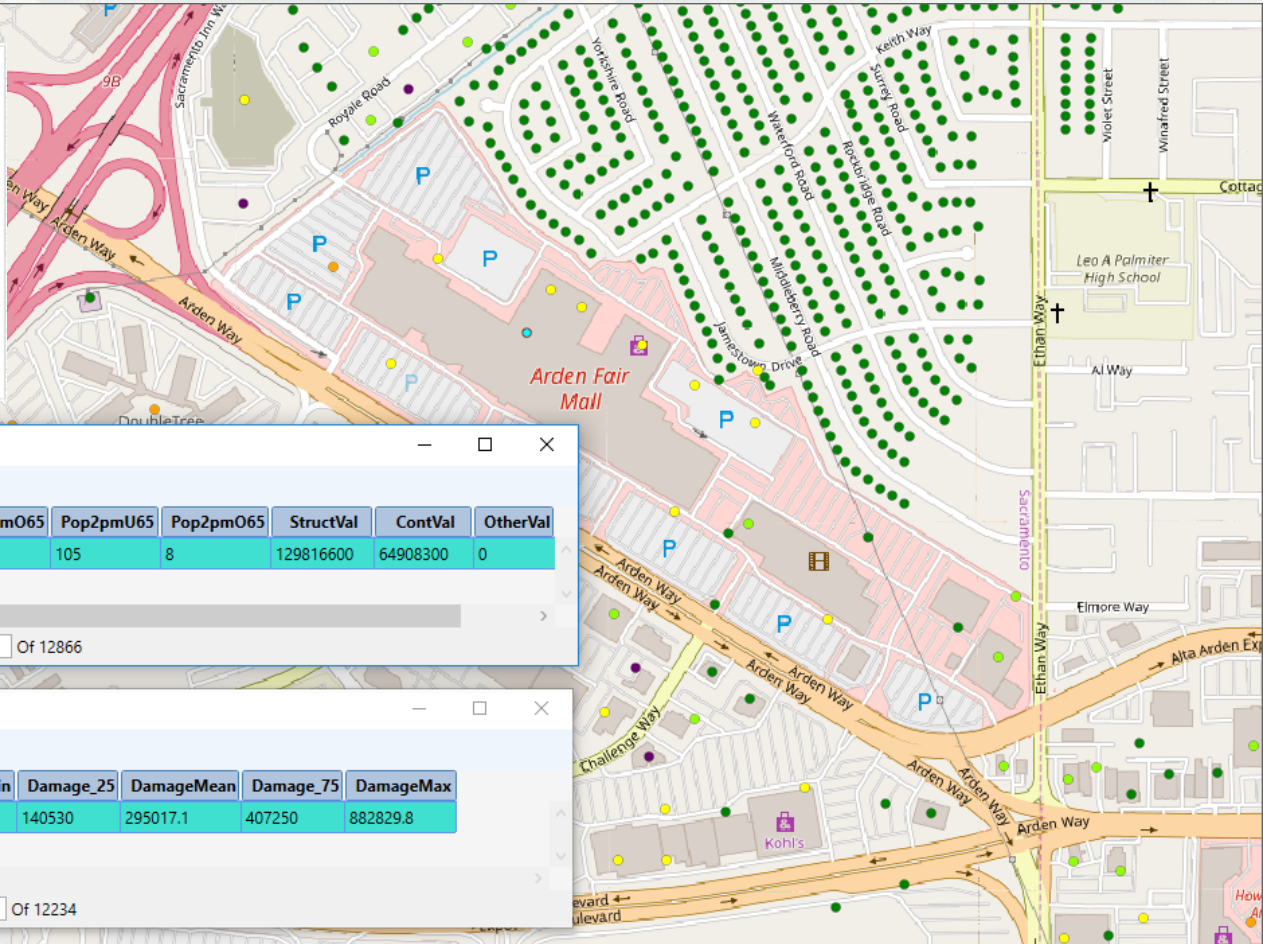
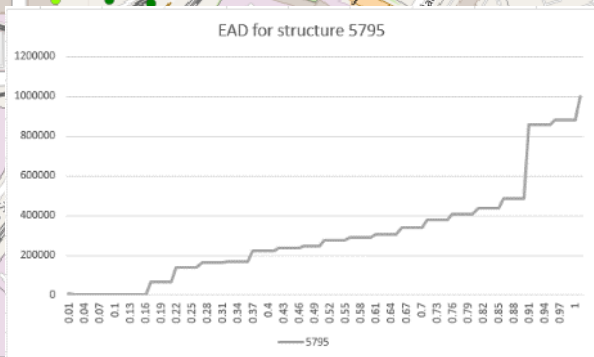




# EAD Per Structure







ARN\_Structures\_Projected Attributes

DamCat	OccType	N_Stories	BldgType	FoundHt	Pop2amU65	Pop2amO65	Pop2pmU65	Pop2pmO65	StructVal	ContVal	OtherVal	
5795	Comm...	COM1	0	Wood	1.5	6	0	105	8	129816600	64908300	0

Record 1 Of 12866

AEP\_Output Attributes

rowIndex	AEP_Min	AEP_25	AEP_Mean	AEP_75	AEP_Max	DamageMin	Damage_25	DamageMean	Damage_75	DamageMax	
5163	5795	0	0.0020...	0.0051	0.0060...	0.018	0	140530	295017.1	407250	882829.8

Record 1 Of 12234



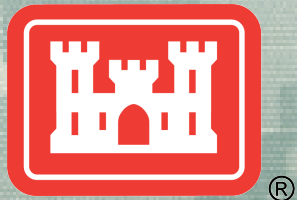
# Conclusion

- HEC-WAT/FRA is a planning and evaluation tool that conducts risk assessments in a systems context.
- It includes systems approaches, event sampling, alternative analyses, structural and non-structural analyses, Life Loss, agricultural damage analyses.
- Is being used nationwide for dam and levee evaluations and assessments, and planning and design studies.



# QUESTIONS?

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