EXHIBIT 3

Case No. 1-2012-037

Exhibit 3

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ALLEGATION REVIEW BOARD DISPOSITION RECORD ARB MINUTES ARE REVIEWED AND APPROVED BY THE ARB CHAIR

Allegation No.: RI-2012-A-0022 Site/Facility: Hunters Point (Tetra Tech) ARB Date: 2/29/2012 Branch Chief (AOC): Ferdas Acknowledged: No Confidentiality Granted:

No

Concern(s) Discussed:

(1) On 8/29-30/2011 the CI observed a co-worker shaking/trembling and ^{(b)(7)(C)} for at least the second time. The CI indicated this co-worker takes lots of days off and happens to be the ^{(b)(7)} of a Tetra Tect ^{(b)(7)(C)} The CI indicated that this co-worker should have been FFD tested. The CI reported this to his supervisor.

Security Category: N/A

(2) The CI reported to his supervisor that another co-worker falsified her resume. This co-worker happens to be the $\mathbb{C}^{(7)}$ of another Tetra Tech This same co-worker brought $\mathbb{W}^{(7)}$ to work and went inside the RCA. The $\mathbb{W}^{(7)}$ was not on the RWP. The CI stated that this same co-worker intimidates him and another technician because she is a $\mathbb{W}^{(7)}$

Security Category: N/A

(3) Laborers set up air samplers but are not qualified to do so.

Security Category: N/A

(4) On 12/15/2011, the CI reported to his supervisor that the gate at the RSY2 trench area was left open and copper thieves could get in there to steal the copper and be injured by the 5" deep pipes that stick up out of the trench. The following day, 12/16/2012, the CI was terminated.

Security Category: N/A

Does alleger object to providing concerns to the licensee via an RFI? NO

ALLEGATION REVIEW BOARD ATTENDEES

Chair: Lorson RI Counsel:	Branch Chief: Ferdas Farrar Others: Masnyk	SAC: Urban Bailey, McFadden	OI:	

DISPOSITION METHOD (See Attached RFI Worksheet, If Applicable)

N/A X RFI Inspection or Investigation Both

DISPOSITION ACTIONS

1. Regional Counsel has determined that a prima facie case exists.

Responsible Person: Farrar Closure Documentation:

- 2. Acknowledgment letter to CI and provide ADR/DOL and OI information. DB to provide Enclosure 1 without disposition of concerns.
- 3. **Responsible Person:** Urban/Ferdas Closure Documentation: Letter

ECD: 3/16/2012 Completed: EXHIBIT_____ PAGE / OF 2 PAGE(S)

Completed: 2/29/2012

ECD:

1 \$2012 037

SAFETY CONCERN:

There have been repeated concerns about adherence to radiation safety procedures and reprisals against Tetra Tech employees raising concerns. Also this is the 4th allegation about intimidation by the same individual.

PRIORITY OF OI INVESTIGATION: High Normal

RATIONALE USED TO DEFER OI DISCRIMINATION CASE:

ENFORCEMENT:

(Only applies to wrongdoing & discrimination issues that are under investigation by OI/DOL/DOJ) (Use parallel language in the requirement and the contrary to statement, and include a date on which, or until which, the violation occurred. **Ensure a review of the draft violation is conducted by the Region I enforcement staff prior to submitting to the SAC for processing**)

NOTES:

Concern 1:	Not an allegation because does not involve an NRC regulated activity.
Concern 2 and 3:	Previously reviewed during recent NRC inspection. Onsite inspection performed on
	January 9-12 in response to previous concerns received by other CIs (Inspection Report
	03038199/2012001, dated January 30, 2012) on similar issues. See Allegation 2011-A-
	0113 and 2011-A-0138 for NRC assessment and response.
Concern 4:	Concerns raised deal with non-radiological concerns. Inform CI if he has additional
	information to provide.

The reason the CI was given for being terminated was that they were closing the RSY2 trench area. The CI has not been onsite since his termination, but believes the RSY2 area was only closed recently.

This is the fourth allegation that a CI has been fired for raising "safety" concerns in the past year.

- 1. March 2011 R1-2011-A-0019
- 2. December 2011 R1-2011-A-0113
- 3. December 2011 R1-2011-A-0138
- 4. This allegation

Three inspectors, during two on site inspections, asked employed Tetra Tech RCTs about the validity of concerns raised by the CIs from the three earlier allegations. Even when these concerns could be substantiated, the RCTs denied any knowledge of them. Some of the concerns were common to all four CIs.

DISTRIBUTION: Panel Attendees, Regional Counsel, OI, Responsible Persons

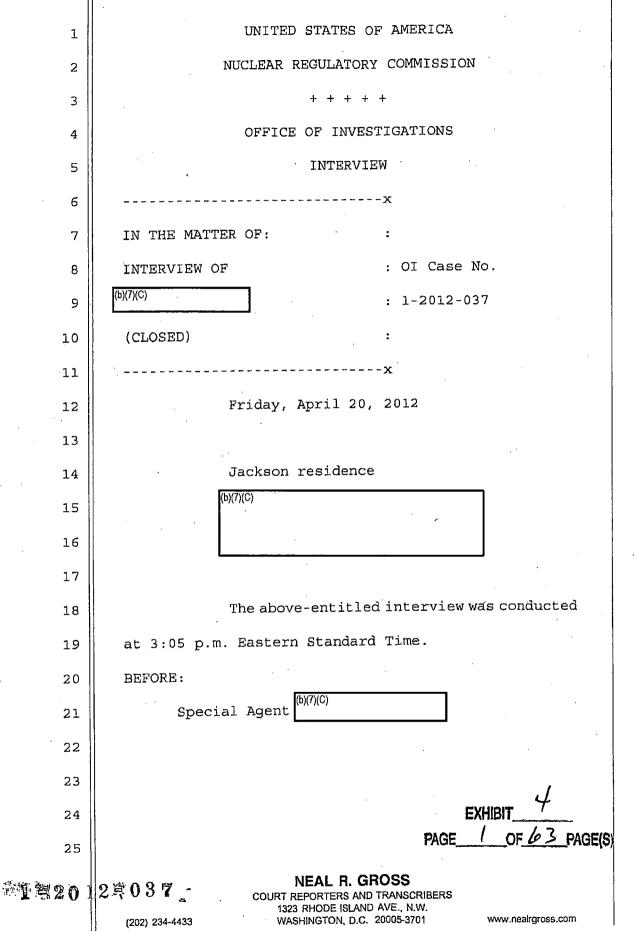
EXHIBIT PAGE 2 OF 2 PAGE(S)

EXHIBIT 4

Case No. 1-2012-037

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Exhibit 4

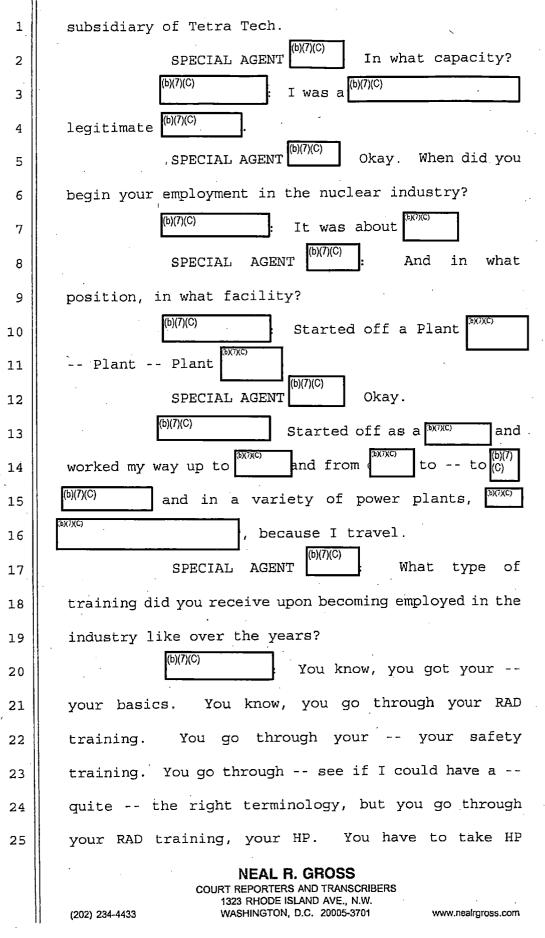


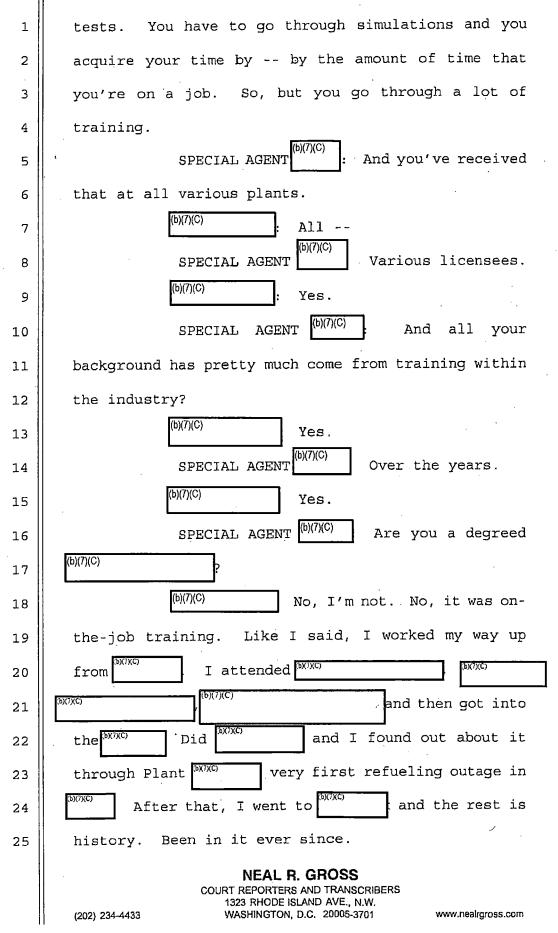
P-R-O-C-E-E-D-I-N-G-S

1

I	1
2	3:05 p.m.
3	SPECIAL AGENT : Today's date is
4	Friday, April 20th, 2012. The time is currently 3:05
5	p.m. Eastern Standard Time. For the record, this is
6	an interview of ((b)(7)(C), who was formerly
7	employed with Tetra Tech, AWS, which is a subsidiary,
8	at the Hunters Point Naval Shipyard in San Francisco,
9	California.
10	The location of this interview is the
11	residence of $(b)(7)(C)$ at $(b)(7)(C)$ and that's
12	in ^{(b)(7)(C)}
13	(b)(7)(C): No. No, it's not $(b)(7)(C)$.
14	It's (b)(7)(C)
15	SPECIAL AGENT (b)(7)(C) : I'm sorry, (b)(7)(C)
16	(b)(7)(C)
17	I am $(b)(7)(C)$, a Special Agent with
18	the Office of Investigations, U.S. Nuclear Regulatory
19	Commission, Region I Field Office in King of Prussia,
20	Pennsylvania.
21	The interview today is concerning OI Case
22	Number 1-2012-037 and is in regard to $(b)(7)(C)$
23	claim of discrimination and harassment on behalf of
24	Tetra Tech EC, Inc. upon raising safety-related
25	concerns there on-site at Hunters Point.
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	(b)(7)(C)
	I must first tell you, the
	NRC strictly prohibits the transmitting or recording
	of this interview by any parties other than the NRC or
	its designee.
	Having said that, are you recording this
	in anyway? No?
· · ·	(b)(7)(C) : NO. NO.
	SPECIAL AGENT (b)(7)(C) If you could please
	raise your right hand.
1	Do you swear that the testimony you're
1	about to provide is the truth, the whole truth and
1	nothing but the truth so help you God?
. 1	(b)(7)(C) Yes.
(SPECIAL AGENT (b)(7)(C) Please state your
. 1	full name for the record. Spell your last name.
1	(b)(7)(C) : (b)(7)(C)
1	(b)(7)(
1	
1	(b)(7)(C)
2	Are you currently employed?
2	(b)(7)(C) Not at the at the moment.
2	
2	SPECIAL AGENT Okay. Whom were you
2	employed with last?
2	(b)(7)(C) : Tetra Tech and AWS who is a
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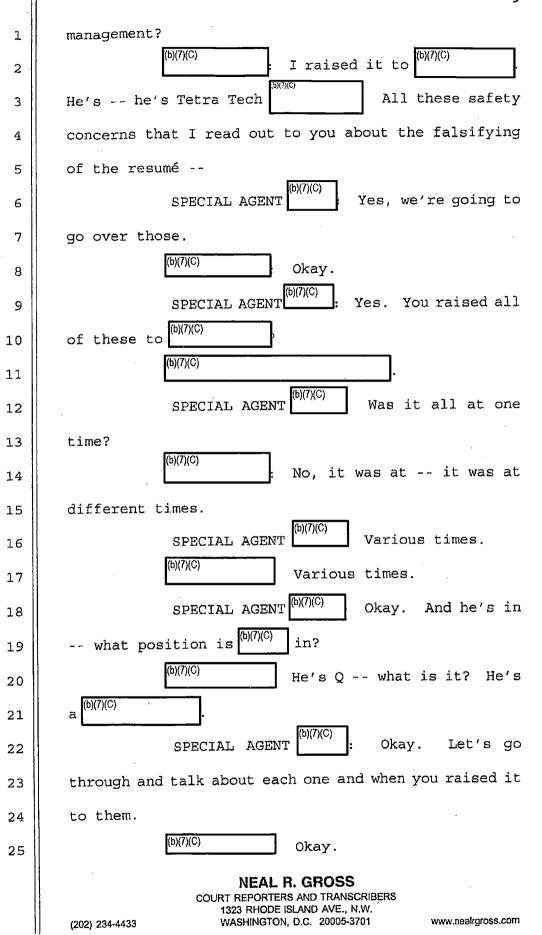




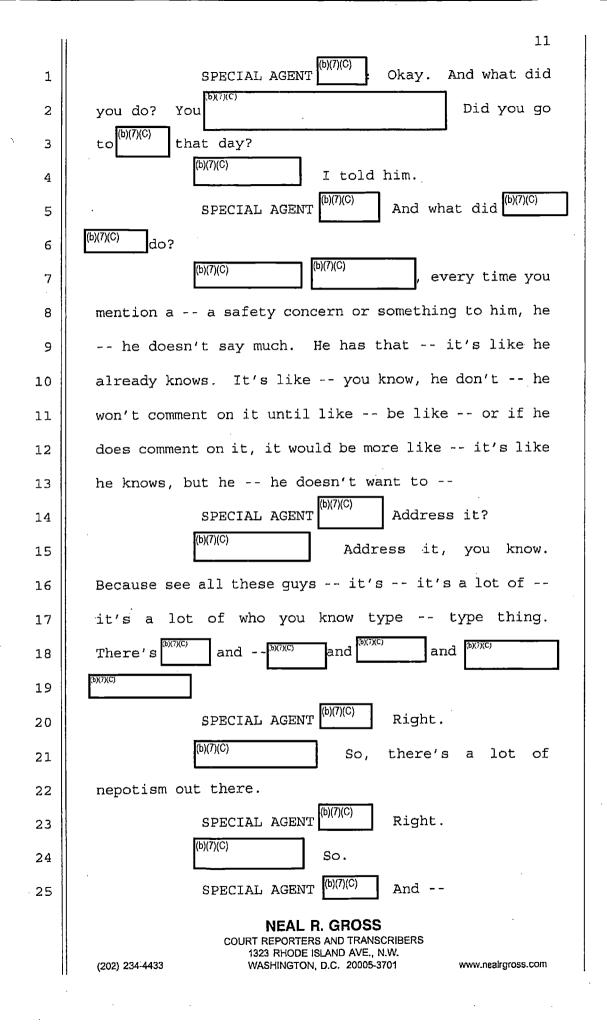
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1	(b)(7)(C) SPECIAL AGENT And what were your
2	duties and responsibilities under your last position
3	as an $(b)(7)(C)$
4	(b)(7)(C) . To protect the public and
5	workers from radiation and contamination and safety
6	concerns and HP, it involves a lot. I mean not just
7	you don't have to be an HP, but pretty much anyone,
8	you know, if if you don't raise if you see
9	something and you you don't report the concern,
10	you're just as guilty as the person what committed the
11	act. That's the way I was trained. That's the way I
12	was always trained.
13	(b)(7)(C) And you have to do
14	site training every time you get to a new site
15	whether
16	(b)(7)(C) Right.
17	SPECIAL AGENT (b)(7)(C) it's decom or
18	nuclear or whatever.
19	(b)(7)(C) : Yes.
. 20	SPECIAL AGENT (b)(7)(C) You've got to go
21	through the site specific training.
22	(b)(7)(C) : Right.
23	SPECIAL AGENT ^{(b)(7)(C)} Now, in that site
24	specific training, is it covered in terms of your
25	obligation and responsibility to report safety
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1	concerns and how you're suppose to report them? Is
2	all that covered in the training when you get there?
3	(b)(7)(C) Yes, pretty much. You
4	pretty much know to report it to your supervisor and
5	he takes it to the next level. You know, takes it to
6	the next supervisor on up and you're suppose to act
7	upon it.
8	SPECIAL AGENT Now, when you were
9	at Tetra Tech last, who did you report to? Who was
10	your boss?
11	(b)(7)(C)
12	SPECIAL AGENT ^{(b)(7)(C)} Did you have anybody
13	that reported to you? Any employees working under
14	you?
15	(b)(7)(C) As far as as far as like
16	when I'm like job coverage or whatever, they
17	they have to adhere to my to you know, being
18	scanned out or whatever or being signed in on the RWP.
19	SPECIAL AGENT Right.
20	(b)(7)(C) You know, you just have to
21	it's pretty much like autopilot. You know, you
22	just you know these things, but did I have anybody
23	under me? No. No, I I cannot say I did.
24	SPECIAL AGENT (b)(7)(C) And what policies
25	and procedures are you guided by as $a^{(b)(7)}_{(C)}$ and what were
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you guided by when working out at Tetra Tech? 1 (b)(7)(C) I'm guided by the NRC. Ι 2 mean pretty much, you know, the -- the standards, 3 safety concerns, NRC, from what I've been trained 4 throughout the years, you know. Just different --5 (b)(7)(C) SPECIAL AGENT Is there a 6 particular piece of (C) RP guidance that you follow? 7 (b)(7)(C) Yes. Yes. 8 SPECIAL AGENT A particular reg 9 that comes to mind. 10 (b)(7)(C) Yes. Yes, there is. There 11 is and I -- I don't have that. I can't remember that 12 right off hand. Particular reg. But, is that 8.13? 13 No, I don't -- but, it's -- it's a lot of -- a lot of 14 procedures that you -- that you're under as an HP. 15 16 Namely the NRC. (b)(7)(C) Okay. The NRC. And · SPECIAL AGENT 17 what was the time line of your employment out at 18 Hunters Point? 19 (b)(7)(C) What was it? Probably --20 it's 21 now. and then I was laid off, what, the (b)()(C) 22 (b)(7)(C) 23 (b)(7)(C) Okay. SPECIAL AGENT All right. 24 Did you raise safety concerns with Tetra Tech 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 (202) 234-4433 www.nealrgross.com



	10
1	SPECIAL AGENT $(b)(7)(C)$ And then if you can,
2	tell me why what the safety significance of it is
3	as you understand it to be.
- 4	(b)(7)(C) Qkay. See now, this this
5	concern here about the fitness for duty about this
6	what's her face? ^{(b)(7)(C)} This lady, she
7	constantly misses days from work and, you know, she's
8	she comes in I was standing behind her one day
9	when she was getting ready to sign out the instrument
10	and
11	SPECIAL AGENT (b)(7)(C) : What instrument was
12	this?
13	^{(b)(7)(C)} It was a well, in in
14	the commercial side, we call them RM14s, but out
15	there, they call them something else. The the
16	frisker.
17	SPECIAL AGENT (b)(7)(C) Right.
18	(b)(7)(C) Frisker.
19	(b)(7)(C) SPECIAL AGENT Frisker. Okay.
20	(b)(7)(C) Yes, and I'm standing behind
21	her and I So.
22	SPECIAL AGENT (b)(7)(C) Do you recall when
23	that day was?
24	(b)(7)(C) It was April August 29th,
25	2011.
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	12
1	(b)(7)(C) He's a good guy. A smart
2	guy.
3	SPECIAL AGENT (b)(7)(C) Is he amongst the in
4	crowd with this nepotism and does it seem like he's
5	worried about his
6	(b)(7)(C) No. No, I I can't say
7	that. He he can't he can't he can't go
8	against can't go against the grain because if he
9	does he's a like you and myself. If he
10	if he does, he I feel like he's going to
11	they're going to they're going to take it out on
12	SPECIAL AGENT (b)(7)(C) Okay. So, he's
13	towing the line?
14	(b)(7)(C) Yes.
15	SPECIAL AGENT ^{(b)(7)(C)} Okay. So, you
16	brought it up to him on that day. On the 29th.
17	(b)(7)(C) Yes. Yes.
18	SPECIAL AGENT (b)(7)(C) What did he say?
19	(b)(7)(C) It's more like he he
20	didn't really say anything.
21	SPECIAL AGENT (b)(7)(C) So, (b)(7)(C)
22	wasn't sent for a fitness for duty testing?
23	(b)(7)(C) She wasn't sent for a
24	fitness for duty or nothing. No.
25	SPECIAL AGENT (b)(7)(C) Was it documented?
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1.	Was a CR written? Was
2	(b)(7)(C) I don't know. I brought it
3	to his concern. That's all I could do.
4	SPECIAL AGENT (b)(7)(C) And he and you
5	don't recall him did anybody else come and ask you
6	any follow-up questions from management saying hey, we
7	hear you reported this
8	(b)(7)(C) No. Uh-huh.
9	SPECIAL AGENT $(b)(7)(C)$ up the chain?
10	(b)(7)(C) No. No.
11	SPECIAL AGENT $(b)(7)(C)$ What did you smell?
12	What did you
13	(b)(7)(C) No. No.
14	SPECIAL AGENT (b)(7)(C) You never heard
15	anything else about it after that. How early in the
16	shift what shift was this on?
17	(b)(7)(C) This was now, we had a
18	morning meetings. Like I said, she
19	SPECIAL AGENT $(b)(7)(C)$ Okay. So, this
20	is
21	(b)(7)(C) signed out the
22	SPECIAL AGENT $(b)(7)(C)$ this is
23	(b)(7)(C) She signed out the
24	SPECIAL AGENT (b)(7)(C) this is day
25	shift?
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1	14
1	(b)(7)(C) She signed yes, day
2	shift. Day shift. She signed out the instrument and
3	then and then I see $\frac{(b)(7)(C)}{D}$ later on during the
4	day and then when we comes down and he'll see come
5	talk to me and find out what's going on at RSY2 when
6	I when I was down there and I addressed it to him.
· 7	SPECIAL AGENT (b)(7)(C) Okay. And he didn't
8	say too much. So, she works all day. You essentially
9	see her at work all day.
10	(b)(7)(C) When she comes to work.
11	SPECIAL AGENT $(b)(7)(C)$ On that day though?
12	(b)(7)(C) On that day.
13	SPECIAL AGENT ^{(b)(7)(C)} The day you
14	(b)(7)(C) her first thing in the morning.
15	(b)(7)(C) Yes. Yes.
16	SPECIAL AGENT $(b)(7)(C)$ And then she worked
17	through the day. So, it's fair to
18	(b)(7)(C) She pretty much worked the
19	whole day.
20	SPECIAL AGENT ^{(b)(7)(C)} Yes. So, it's fair
21	to assume she didn't get she didn't get sent home
22	and I doubt she got sent for for cause testing. Can't
23	be sure about that, but you don't recall and nobody
24	else asked you anything about the scenario.
25	(b)(7)(C) Now, where I come from, the
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culture I come from, you -- you come in with protocols 1 if you got like drugs. Fitness for duty, if you're 2 too tired --3 (b)(7)(C) SPECIAL AGENT Right. 4 (b)(7)(C) you know, you're not 5 suppose to come into work. 6 (b)(7)(C) SPECIAL AGENT Right. 7 (b)(7)(C) They'll send you home or 8 whatever. If you're too tired, you're suppose to not 9 come in or whatever. That's their fitness for duty. 10 If -- if you got drugs in your system and they look at 11 12 your eyes -b)(7)(C) Right. SPECIAL AGENT 13 (b)(7)(C) -- it was like Bert Bowers, 14 if he -- he -- when he's having a conversation with 15 you or myself, I'm looking at you. I'm scoping you. 16 (b)(7)(C) SPECIAL AGENT Right. 17 (b)(7)(C) You know, I'm looking you up 18 and down --19 (b)(7)(C) SPECIAL AGENT Right. 20 (b)(7)(C) -- and I can pretty much 21 tell by your -- by your eyes. But, see these guys, 22 they wear like the dark -- dark shades like you --23 like you wear. I -- I mean I wear the dark shades, 24 too, but, you know, I don't don't have any problem 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

	16
1	with taking the shades off and showing my eyes.
2	SPECIAL AGENT (b)(7)(C) . Right.
3	(b)(7)(C) : You know, they're not red
4	and I'm not staying out day after day and you know.
5	So.
6	SPECIAL AGENT $(b)(7)(C)$ So, in her case, you
7	I guess it didn't really go anywhere as far as you
8	understood.
9	(b)(7)(C) It ain't going nowhere.
10	SPECIAL AGENT (b)(7)(C) : As far as you
11	understood, it didn't go too many places that day.
12	(b)(7)(C) : No, it ain't going nowhere.
13	No, it ain't going nowhere. It didn't go anywhere.
14	(b)(7)(C) SPECIAL AGENT Did you follow up
15	with (b)(7)(C) Was there any follow up the next day or
16	later that day?
17	^{(b)(7)(C)} : Well, you know, all I can do
18	is address it to him. That's all I can do. You know,
19	because they got a different culture out there. I
· 20	could tell the supervisor and I mean if he don't take
21	it anywhere, then evidently nothing's going to be
22	done. You know, he's my supervisor. He's my first
23	line supervisor and he's suppose to take it up
24	SPECIAL AGENT $(b)(7)(C)$: Up the chain.
25	(b)(7)(C) up the chain. Yes. So.
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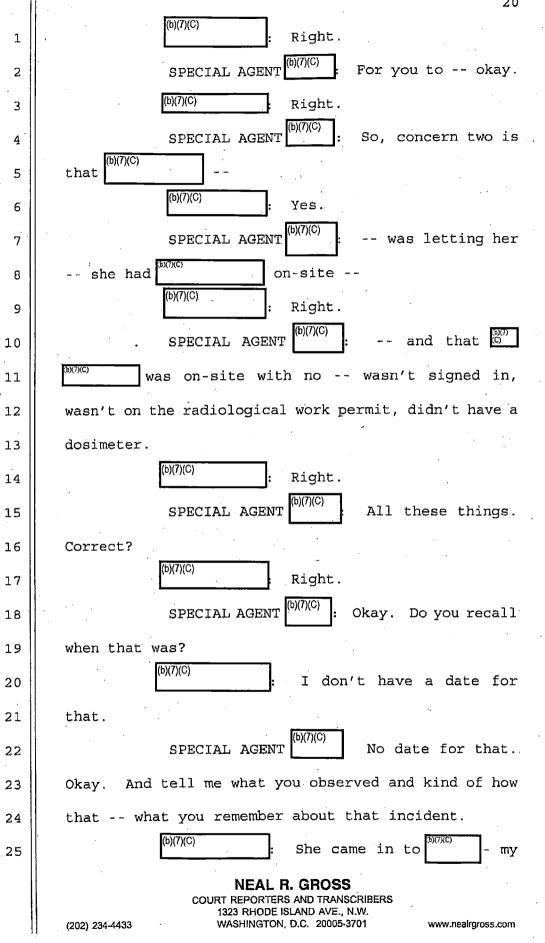
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. 1	SPECIAL AGENT (b)(7)(C) Okay. So, that was
2	the first issue and again, you don't know if it was
· 3	documented.
4	(b)(7)(C) ; I don't know.
5	SPECIAL AGENT (b)(7)(C) Did you have access
6	to go in the system and look and see if a CR had
7	been
8	(b)(7)(C) : Oh, no. No, I didn't.
9	SPECIAL AGENT ^{(b)(7)(C)} : had been
10	initiated?
11	(b)(7)(C) No. No, I they don't
12	I don't man, those guys treated me like I was
13	no, I I didn't have that. I don't know if Ms.
14	Andrews had access to that. She she she may
15	have or she may not have, but that no, they no,
16	I didn't have that that access to find out if she
17	was written up or anything, but she should have been
18	sit down for a fitness for duty test.
19	SPECIAL AGENT (b)(7)(C) Right.
20	(b)(7)(C) Yes.
21	SPECIAL AGENT (b)(7)(C) Do they have a
22	clinic or lab or something on-site there where they do
23	FFD tests or do they send you off-site to do it?
24	(b)(7)(C) I would think they would
25	have probably sent to this place called Consenture
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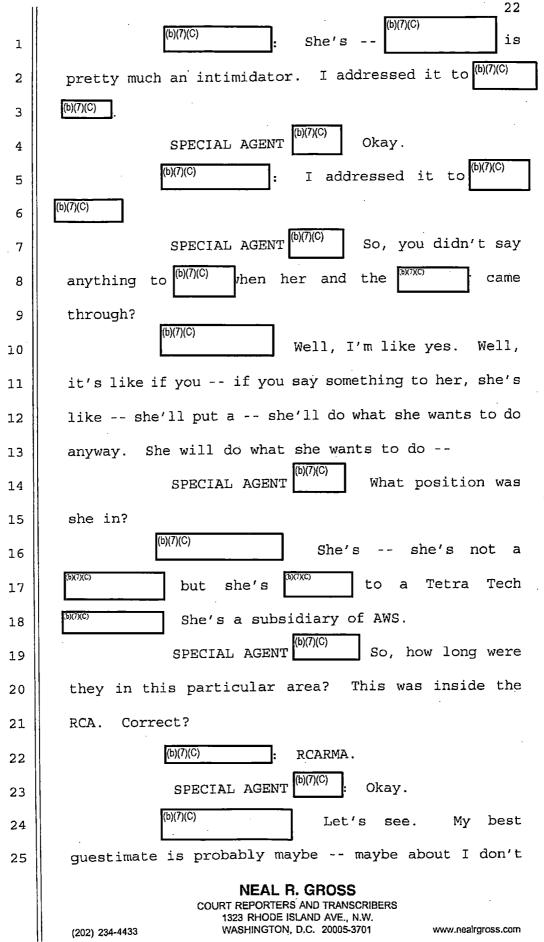
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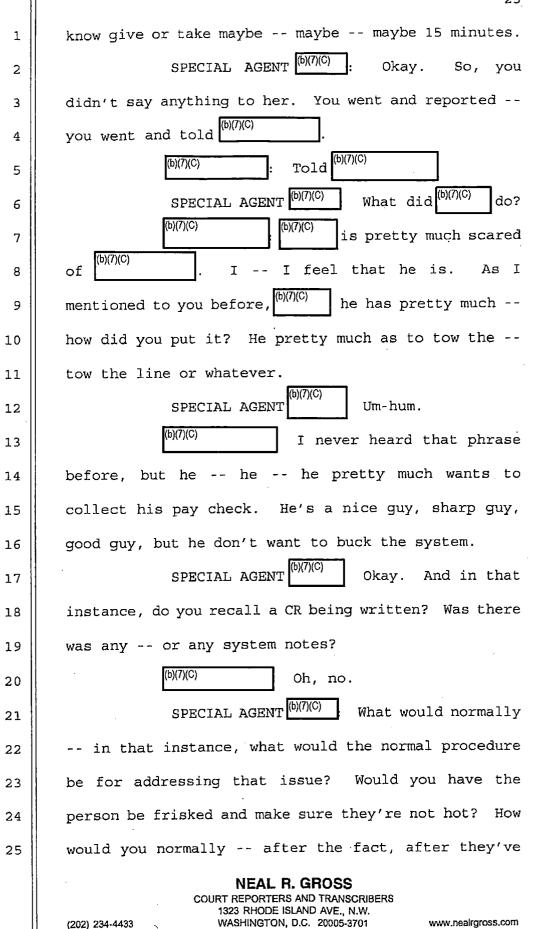
1 (phonetic). (b)(7)(C) Right. The clinic. SPECIAL AGENT 2 (b)(7)(C) The clinic and say, you 3 know, what all -- you know -- you know, you look --4 you know, we'd just like to send you down for a for 5 (7)(C)You know, check you -- check your 6 cause. (b)(7)(C) SPECIAL AGENT And they also do 7 8 randoms. Right? (b)(7)(C) Where at? At Hunters Yes. 9 Point? 10 (b)(7)(C) SPECIAL AGENT Yes. Are they doing 11 randoms? 12 (b)(7)(C) Not -- not since I've been 13 there. 14 (b)(7)(C) SPECIAL AGENT Okay. 15 (b)(7)(C) Uh-huh. No. I mean anytime 16 17 you go in a commercial power plant, DoE or DoD, you're suppose to do randoms. 18 SPECIAL AGENT Correct. 19 (b)(7)(C) No, because a lot of No. 20 these people, they're functioning drug addicts or 21 drunks. 22 b)(7)(C) Right. SPECIAL AGENT 23 (b)(7)(C) You know. So, yes, you're 24 suppose to do randoms. 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

	19
1	SPECIAL AGENT (b)(7)(C) : Okay. But, none of
2	those were going on out there?
3	(b)(7)(C) And it's alleged that she's
4	a it's alleged that she's she and (b)(7)(C)
5	the guy she's they're drug addicts.
6	SPECIAL AGENT (b)(7)(C) Okay. So, what was
. 7	the next issue?
8	(b)(7)(C) : Okay. Here we go. You
9	asserted that another worker, the ^{DATACC} of Tetra Tech
10	falsified her resumé. You stated that you
11	had reported it just to your (b)(7XC) I don't have
12	a date for this.
13	(b)(7)(C) SPECIAL AGENT : Okay.
14	(b)(7)(C) : You further asserted that a
. 15	coworker brought (3)(7)(C) to work inside of the
16	RCA without signing on the RWP and you stated the same
17	coworker intimidated you and another technician
. 18	because she's
19	SPECIAL AGENT ^{(b)(7)(C)} : For the record
20	$\stackrel{(b)(7)(C)}{:} \text{This is} \qquad \qquad$
21	SPECIAL AGENT ^{(b)(7)(C)} Real quick just so
22	we can capture it on the record, $(b)(7)(C)$ is
23	reading from the letter the allegation receipt
24	letter I believe that you got from the NRC. It just
25	clarifies your concerns.
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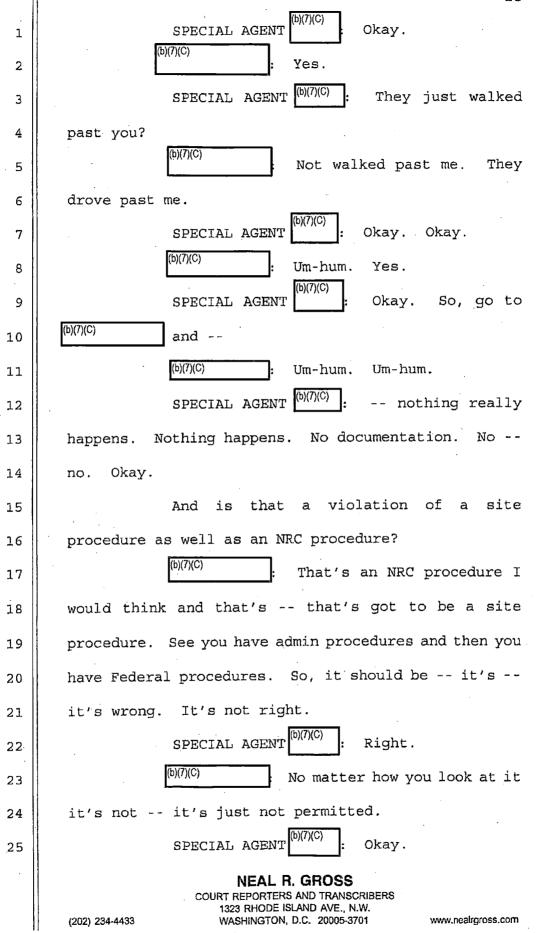
	21
1	understanding is she came into (b)(7)(C) not
2	(b)(7)(C) area and did the same
3	thing. Brought 00700 on site. I heard this
4	through the grapevine.
5	SPECIAL AGENT : Okay.
6	(b)(7)(C) And wasn't signed in on the
7	RWP, didn't have equipment, proper attire, the PPE,
8	whatever and I just heard this through the grapevine.
9	So, if that answers your question.
10	SPECIAL AGENT (b)(7)(C) : So, you didn't see
11	her you didn't see her per se bring
12	into an area that you were in. You didn't witness
13	that yourself.
14	^{(b)(7)(C)} : She brought her she
· 15	brought (3)(7)(C) inside my area.
16	SPECIAL AGENT (b)(7)(C) Chay. With RWP, no
17	PPE, no dosimeter?
18	(b)(7)(C) No. No dosimeter. No
. 19	not being signed on the RWP.
20	SPECIAL AGENT (b)(7)(C) None of that. Okay.
21	And that was in the area that you were working.
22	(b)(7)(C) : RSY2. Right.
23	SPECIAL AGENT (b)(7)(C) Okay. And when she
24	brought her in, did you say anything to her relative
25	to hey, she's not suppose to be in here?
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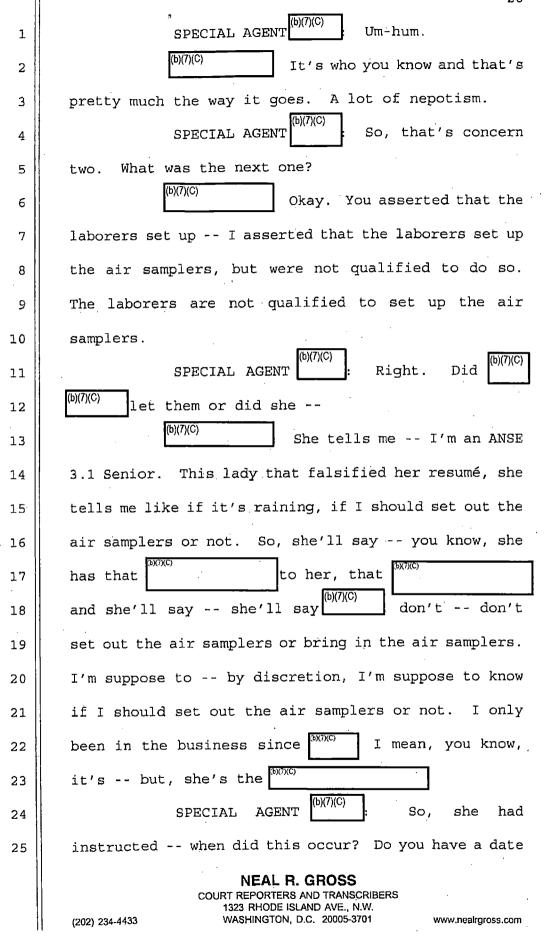
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1	gone in when they shouldn't have, how would you
2	adjudicate it on a normal situation?
3	(b)(7)(C) She's She's
4	b(7)(C) to the b(7)(C) but you normally you
5	really wouldn't let them in.
6	SPECIAL AGENT $(b)(7)(C)$ Okay.
7	(b)(7)(C) You wouldn't let them inside
8	of the area.
9	SPECIAL AGENT (b)(7)(C) They wouldn't have
10	gotten in the first place.
11	(b)(7)(C) : I mean to physically just
12	say you're not coming in, to put my hands on you,
13	that would be that would be like a criminal charge
14	on me, you know.
15	SPECIAL AGENT (b)(7)(C) Right. So, you're
16	not going to do that.
17	(b)(7)(C) I can no, I'm not going
18	to put my hands on no lady
19	SPECIAL AGENT $(b)(7)(C)$ Right.
20	(b)(7)(C) and say look here, this
. 21	lady's not going in, you know.
22	SPECIAL AGENT (b)(7)(C) Now, are you sitting
23	at the entrance to the RCA?
24	(b)(7)(C) I was at the control point.
25	Yes.
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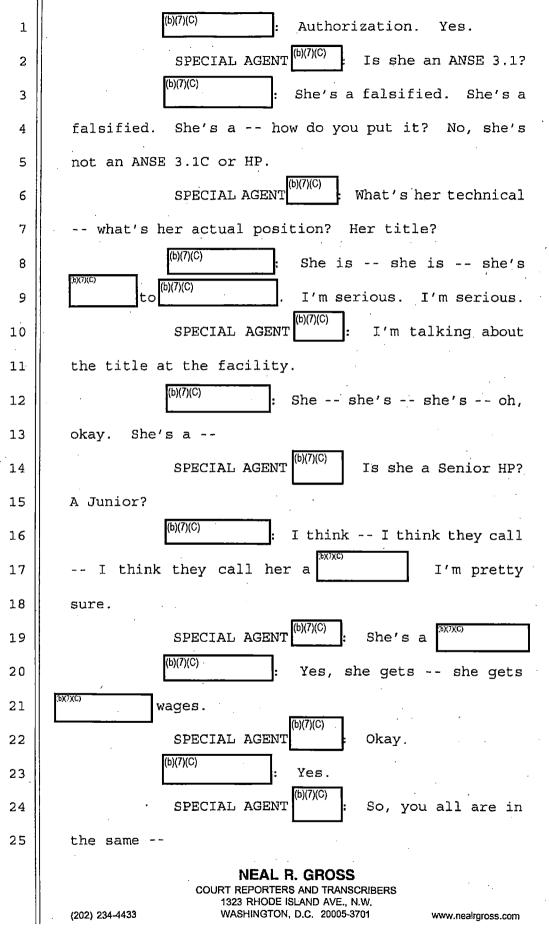


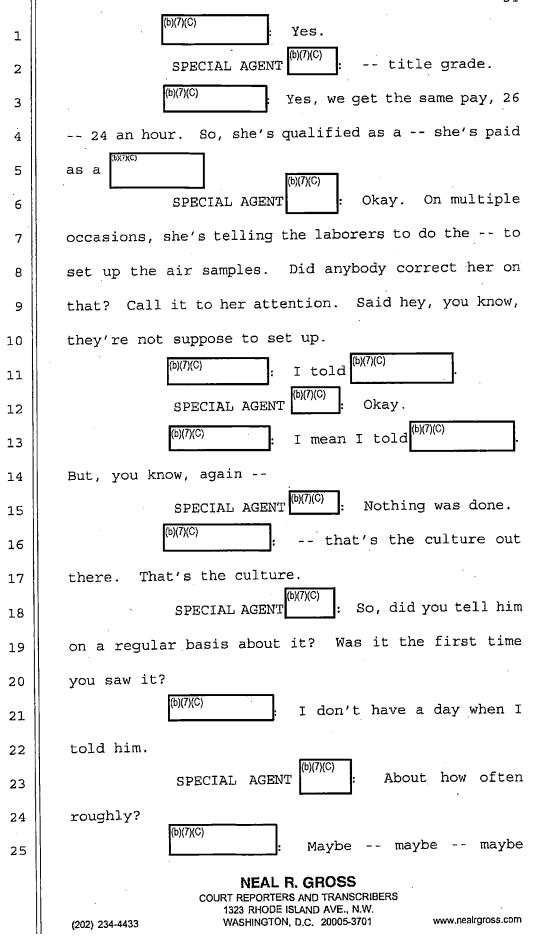
	26	
	(b)(7)(C) : You know, if you haven't had	
1	if you haven't been signed in on the RWP, you know,	
2		
3	but you're just bringing in someone that's because	
. 4	or whatever, they don't know what	
5	isotopes are in the area. They don't know what	
6	they've been exposed to. Yes, she may be with them	
. 7	and you could pretty much	
. 8	SPECIAL AGENT: There's probably	
9	some basic training that any guest would have to go	
10	through. Like a little mini-class or video or	
11	something.	
12	(b)(7)(C) : Yes, they don't give that	
13	out.	
14	SPECIAL AGENT $(^{(b)(7)(C)}$: They should be doing	
15	that. Shouldn't they?	
16	(b)(7)(C) : They should. Yes.	
17	SPECIAL AGENT (b)(7)(C) : Okay.	
18	(b)(7)(C) : Yes, they should. They	
19	should.	. ·
20	SPECIAL AGENT (b)(7)(C) I know at nuclear	
21	plants oftentimes you got to	
22	(b)(7)(C) : Yes. Yes.	
23	SPECIAL AGENT $(b)(7)(C)$: there's a video.	
- 24	(b)(7)(C) RAD worker. RAD worker	
25	training.	
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27 (b)(7)(C) SPECIAL AGENT Yes, there's --1 (b)(7)(C) Yes, RAD workers. Yes, and 2 a lot of these guys out there -- and --3 SPECIAL AGENT (b)(7)(C) Have never worked 4 nuclear though. Right? 5 (b)(7)(C) they're not --They --6 they're not really even RAD workers. 7 (b)(7)(C) SPECIAL AGENT They're 8 construction. Are a lot of them construction folks? 9 (b)(7)(C) A lot of these guys are --10 I talked to one guy. I actually went in -- he came 11 His name was (b)(7)(C) I said what's down. 12 your background? He said well, I just hang out and 13 just drink with everybody. I asked him how he got 14 15 started. So, but no, they don't really -- they 16 don't really give any training out there. 17 (b)(7)(C) SPECIAL AGENT Okay. 18 (b)(7)(C) Pretty much. 19 SPECIAL AGENT (b)(7)(C) : Is that based upon 20 think is everybody they're getting they an 21 "experienced worker" in the industry? 22 (b)(7)(C) It's who you know and 23 there's his buddy. His buddy this. His relative 24 25 this. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 (202) 234-4433 www.nealrgross.com



for --1 (b)(7)(C) I don't have a date for 2 No, that's -- that's been done so many times. that. 3 These -- this -- this has been done since I've been 4 So, you pretty much put that down since I've 5 there. started which was April 19, 2010 all the way through. 6 So, that's everyday. That's everyday. 7 SPECIAL AGENT Does the procedure 8 say that you should have a certain kind of background, 9 a certain kind of certification to conduct the air 10 samples? 11 (b)(7)(C) I don't have a procedure in 12 front of me, but --13 b)(7)(C) From the best of SPECIAL AGENT 14 15 your memory. (b)(7)(C) I would -- I would -- an 16 ANSE 3.1 Senior is suppose to set up the air samplers. 17 Senior HP or a Junior HP is suppose to set up the air 18 samplers to the best of my knowledge. I don't have --19 because I can refer back to the procedure. That could 20 be right in front of me. I am -- I am allowed to do 21 But, laborers, they're not -- they're not 22 that. qualified to do that. 23 (b)(7)(C) But, she gave them SPECIAL AGENT 24 instructions. 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433





	32
1	twice.
2	SPECIAL AGENT (b)(7)(C) Okay.
3	(b)(7)(C) Maybe twice. But, nothing's
4	going to get done with that. I mean the lady's
5	like I said, she's she's to (6)(7)(C)
6	So, you know, she could pretty much push her weight
7	around.
8	(b)(7)(C) SPECIAL AGENT
. 9	issue
10	(b)(7)(C) : Um-hum.
11	SPECIAL AGENT (^{(b)(7)(C)} : do you recall
12	seeing a CR or any formal documentation?
13	^{(b)(7)(C)} : Uh-huh. No. Uh-huh.
14	SPECIAL AGENT (b)(7)(C) : Okay. What else you
15	got?
16	: This is probably an OSHA
17	issue right here. I told my r that the gated
18	RSY2 was left open. As a result, properties could
19	steal copper and be injured by the five-foot deep
20	pipes that were sticking out of the trench and I was
21	let go the next day.
22	SPECIAL AGENT : Okay. Well, okay.
23	So, I think it's an OSHA issue. The gate was open for
24	the compromise basic.
25	Yes, because, you know, you
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1	. 33
1	got these crack heads. They come on-site. They come
2	on the Navy site. You know, you got the Navy there.
3	They catch them every now and then, but this guy I
4	remember almost vividly (b)(7)(C) who's the Tetra
5	Tech he told me that, what's his name,
6	(b)(7)(C) (phonetic) was going to come and re-
7	post the area and I said okay. So, I noticed the next
8	morning the gate was left unlocked. The lock was off
9	of the gate and I called $(b)(7)(C)$ and I addressed
10	it to $(b)(7)(C)$. He took pictures and that's pretty
11	much it. Let go the next day.
12	SPECIAL AGENT (b)(7)(C) : So
13	(b)(7)(C) : And
14	SPECIAL AGENT : this happened on?
15	(b)(7)(C) : December 15th.
16	SPECIAL AGENT (b)(7)(C) : Okay. Of 2010
17	'11?
18	(b)(7)(C) : Right. Right. Eleven.
19	What's his name? $(b)(7)(C)$, I forgot what his
20	what his position is.
21	SPECIAL AGENT (b)(7)(C) : Um-hum.
22	(b)(7)(C) : He said in the morning
23	meeting if you see a gate left open, just lock it
24	back.
25	No, I I mean I'm a, but, you
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know, if you -- if you've been in the industry for a 1 while, you don't just -- just lock it back. You're 2 suppose to report it to your -- to supervision first 3 and let them know. Which is what I did. 4 Because I knew if I had reported it to --5 (b)(7)(C) to one of these other guys like (b)(7)(C)or 6 (b)(7)(C) (b)(7)(C) but she carries 7 she's not a (b)(7)(C) I know it herself like she is one, or 8 would have been swept under the rug. 9 (b)(7)(C) But, I reported it to and 10 it got back to а higher authority. 11 evidently, Probably pretty much (b)(7)(C)and say well, this 12 guy, he's got to go man. He's had too many concerns. 13 (b)(7)(C) SPECIAL AGENT So, do you have any 14 opinion on why you think it was this time that they 15 (b)(7)(C) fired you? You've been reporting stuff to 16 any other time. 17 (b)(7)(C) Right. 18 (b)(7)(C) Was he not running. SPECIAL AGENT 19 What's your theory on that? it up the chain? 20 (b)(7)(C) He probably -- he probably 21 -- he -- he may have been running it up. I'm pretty 22 sure he probably was running it up the chain, but I 23 don't think that -- that's all he -- that's pretty 24 much all he could pretty much do, but if it's coming 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

	35
1	from me and Susan, if it's constantly coming from us,
2	then it's like
3	SPECIAL AGENT And Susan made a lot
4	of complaints, too?
5	(b)(7)(C) : Oh, yes. If it's constantly
6	coming from us, then it's like these guys they got to
7	go. I mean you come into work every day. You're on
8	time every day. You ain't drunk. You ain't got no
9	no drugs in your system. You are like a
10	SPECIAL AGENT : Right.
11	(b)(7)(C) : But, you know, and then you
12	got these other techs who are not qualified and then
13	so, I guess I had to go because I had too many
14	concerns.
15	SPECIAL AGENT : Were there other
16	people who kind of went down the same path? They
17	tended to raise a lot of issues and somehow, someway
18	ended up being gone? They ended up getting rid of
19	them.
20	(b)(7)(C) : The only the only people
21	that I know that raised other concerns that are not
22	gone is, and you'll probably have to talk to Bert
23	Bowers about this, is $(b)(7)(C)$ and $(b)(7)(C)$.
24	They're AWS also.
25	SPECIAL AGENT So, they can confirm
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1	a lot of what you're saying?
2	(b)(7)(C) : That you know, that's
3	that's a 50/50.
4	SPECIAL AGENT . They may cooperate
5	and they may not?
6	^{(b)(7)(C)} : Yes, that's a 50/50. That's
7	a (b)(7)(C)
8	SPECIAL AGENT (b)(7)(C) ; or
9	(b)(7)(C) ?
10	(b)(7)(C) : (b)(7)(C)
11	SPECIAL AGENT ^{(b)(7)(C)} : Did you appeal the
12	well, you were technically you weren't you
13	were laid off. You weren't terminated. Correct? How
14	is it written?
15	(b)(7)(C) : They didn't bring me back.
16	They didn't bring me back. I mean I you you
17	SPECIAL AGENT ^{(b)(7)(C)} What did they tell
18	you when they laid you off?
19	(b)(7)(C) : They told me that it was
20	something I heard two different stories. I told
21	they told me that RSY2 was shutting down. Another
22	party told me (b)(7)(C) told me RSY2 was shutting
23	down.
24	SPECIAL AGENT $(b)(7)(C)$: Is that an area on
25	site?
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I	37
1	(b)(7)(C) : Yes.
2	SPECIAL AGENT ^{(b)(7)(C)} : Okay.
з	(b)(7)(C) : (b)(7)(C) told me that
4	they would probably bring me back in March and it's
5	April now. He told me it was something to do with the
6	budget and what did $(b)(7)(C)$? $(b)(7)(C)$ also
7	told me that RSY2 was shutting down also. So, when he
° 8	when Susan and I was in the while we were in
9	there having that meeting with him and he told us that
10	we were being laid off. So.
11	SPECIAL AGENT $(b)(7)(C)$: And from an industry
12	perspective, that's kind of to some extent, you're
13	used to the lay-off thing because it happens in the
14	business. The work ends or money is is that fair
1.5	to assume or for the most part, was everything I
16	think Susan had been out there a few years. Is
17	everything
18	(b)(7)(C) She had been out there.
19	SPECIAL AGENT (b)(7)(C) : pretty steady out
20	there?
21	(b)(7)(C) Yes, but, you know, you got
22	other people that, you know, they could have they
23	could have they could have transferred me. I'm
24	I'm pretty much a qualified ANSE 3.1 but
25	you got these guys like (3)(7)(C) and a lot of
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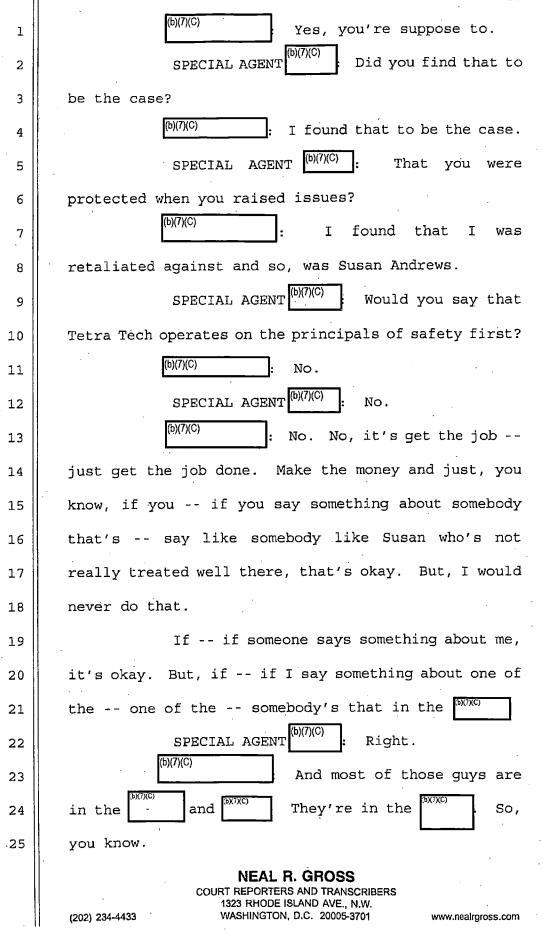
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these guys. They -- they could have went across the 1 board and say look, this person, he comes in every 2 He's not causing any problems. She's not 3 time. causing any problems, but these other guys say like 4 (b)(7)(C) But, she's to she lays out. 5 Tetra Tech (3)(7)(C) what's his name? 6 SPECIAL AGENT 7 (b)(7)(C) So, yes. 8 Right. You know, what can you do? .9 SPECIAL AGENT (b)(7)(C) And as far as you 10 know, no documentation was written? No condition 11 No -reports? 12 (b)(7)(C) Not to my knowledge. No. 13 Not to my knowledge. 14 (b)(7)(C) You didn't file --SPECIAL AGENT 15 you didn't fill out any incident reports or anything 16 of that nature? 17 (b)(7)(C) Uh-huh. No. I reported it 18 (b)(7)(C) 19 to b)(7)(C) SPECIAL AGENT Do they require that 20 If you see something, fill out an incident of you? 21 report or --22 (b)(7)(C) I -- I -- I reported it to 23 (b)(7)(C) That's all I did. But, no, they don't 24 require for me to fill out any incident reports. 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.neairgross.com (202) 234-4433

I	39
1	SPECIAL AGENT (b)(7)(C) Did you tell anybody
2	else outside of Tetra Tech personnel? Did you go to
3	anybody at Raso for the Navy or did you go to the NRC
4	Resident Inspector, anybody like that when you didn't
5	feel like you were getting results or $(b)(7)(C)$ was
6	responding?
7	(b)(7)(C) : No. No, I that that
8	goes to the last question you you asked. I feel
. 9	like $\frac{(b)(7)(C)}{D}$ he was reporting a lot of the concerns and
10	I felt like
11	SPECIAL AGENT (b)(7)(C) : It was just getting
12	shot down above him?
13	(b)(7)(C) : It was. Yes.
14	SPECIAL AGENT (b)(7)(C) : Okay.
15	(b)(7)(C) So, you know, this guy he's
16	got you know, he's trying to make us look bad.
17	Because I felt like I was just saving everybody's job
18	and now, it's you know, they're still liable. Even
19	if you got crack heads coming on-site, still improper.
20	You know, you're still liable. So. Anyhow. But
21	SPECIAL AGENT (b)(7)(C) What's your
22	understanding of Tetra Tech's company policy on
23	whistleblowing? If someone raises you, you know,
24	blow the whistle on some safety issues, are you
25	protected are you suppose to be protected?
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	41
1	And see, I've been out there like many a
2	times with Bert Bowers, with with New World
3	Technology, when he's the RSO.
4	(b)(7)(C) RSO.
5	(b)(7)(C) : He is the RSO there.
6	SPECIAL AGENT ^{(b)(7)(C)} : Okay.
7	(b)(7)(C) : And he was the he was
8	he was $(b)(7)(C)$ at one time and you know, you
9	know, he you know, he's he's always been more
10	like an approachable-type person. Bert, yes, oh, yes,
11	he was always an approachable-type person and he
12	always want to know how you're doing and how is it
13	going. If you have any shares, cares shares, cares
14	or concerns and, you know, we we kind of like took
15	that for granted at one time because, you know, he
16	says if you have any shares, cares or concerns, let me
17	know and we miss that.
18	But, ^{(b)(7)(C)} , he's like
19	SPECIAL AGENT $(b)(7)(C)$: Now, how would you
20	respond to and I've asked this question of multiple
21	people in the course of these couple of
22 .	investigations.
23	(b)(7)(C) Um-hum, Yes.
24	SPECIAL AGENT $(b)(7)(C)$: It was said to me
25	once that Hunters Point was a nuke site. I don't know
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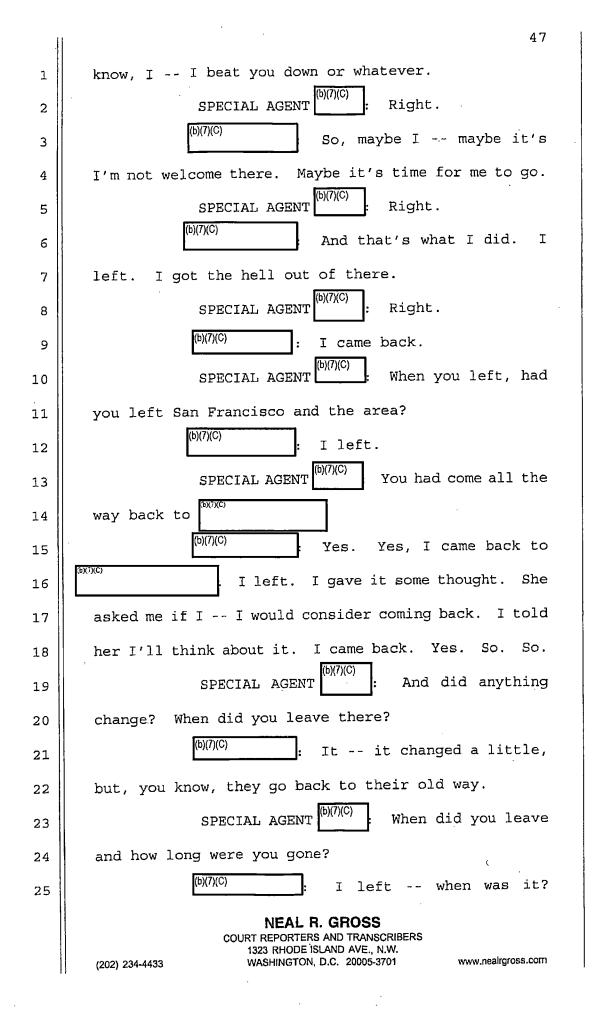
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l	how true that is, but a nuke site operating like a
2	construction site.
3	(b)(7)(C) Pretty much. Pretty much.
4	SPECIAL AGENT (b)(7)(C) And that the
5	construction folks didn't have an appreciation of
6	(b)(7)(C) RAD
7	SPECIAL AGENT ^{(b)(7)(C)} for yes, for
8	HP principles.
9	(b)(7)(C) : No, they don't. They don't.
10	They don't. They they have first first they
11	have priority over HPs. I mean like if you like
12	(b)(7)(C) (phonetic) and nice nice (b)(7)(C) guy,
13	but man, the culture out there is is is more
14	like how can I put it. It's more like a
15	construction site and but, you know, even though
16	it's low RAD, you know, you still have to frisk out
17	and still have to follow the procedures, guidance.
18	Right.
19	SPECIAL AGENT . And was it made
20	clear to employees at Tetra Tech that you had the
21	right to raise concerns without fear of retaliation?
22	(b)(7)(C) : That's my understanding.
23	SPECIAL AGENT Was that in the
24	training program? Was that reemphasized in meetings?
25	(b)(7)(C) : Well, they don't give much
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1	training out there. See now, I I submit my and
2	when New World was out there, New World was let go and
3	then the the first of was it 2011 AWS came in.
4	SPECIAL AGENT (b)(7)(C) : Okay.
5	(b)(7)(C) : So, we transferred over.
6	SPECIAL AGENT (b)(7)(C) . Was Shaw there at
7	that time, too?
8	(b)(7)(C) : Shaw was there . Shaw's not
. 9	there anymore?
10	SPECIAL AGENT $(b)(7)(C)$: No, I think they're
11	still there.
12	(b)(7)(C) Okay. Okay. And now, I was
13	Bert Bowers, he was the RSO then, you
14	know. (b)(7)(C)
15	SPECIAL AGENT (b)(7)(C) Okay. You said you
16	had worked at you had worked out at Hunters Point
17	in ^{(9)(7)(C)}
18	(b)(7)(C) Oh, yes, I worked there
19	about about four or five times and then after
20	SPECIAL AGENT : Was it just outages
21	or were you there for a while?
22	(b)(7)(C) : I I was no. No. No.
23	I wasn't there for a while. I was there like maybe
24	. Then I go work at commercial power plant.
25	You know, after I get tired of San Francisco, you
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1	know, I go work at commercial power plant. I said
2	Bert, you know, if you if you have some if you
3	have any if you have any openings for a layoff, you
4	know, I'd like to apply for it. You know, he'd pretty
5	much oblige. You know, I wasn't always in his face.
6	I wasn't trying to I'm not I'm not a I'm not
7	a what's the word for it? I'm not the kind of guy
. 8	that that that I just never been that type
9	person that kissed nobody's, you know, I don't want to
10	say the word, but
11	SPECIAL AGENT (b)(7)(C) : Right.
12	(b)(7)(C) : But, he pretty much obliged
13	because I worked commercial, DoE and DoE DoD and
14	DoE and commercial.
15	SPECIAL AGENT (b)(7)(C) Okay.
16	(b)(7)(C) So, yes, I been out there
:17	like many times before. This is the first time I
18	worked with (b)(7)(C)
19	SPECIAL AGENT : Okay. Do you know
20	if an investigation was conducted regarding your
21	concerns by Tetra Tech?
22	(b)(7)(C) Not to my knowledge.
23	SPECIAL AGENT ^{(b)(7)(C)} : You said that layoff
24	was December
25	(b)(7)(C) : December 16th.
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	(b)(7)(C) 45
1	SPECIAL AGENT : Prior to getting
2	laid off, were you ever given lesser duties or demoted
3	in anyway? Did you ever take a pay cut? Anything
4	like that?
5	(b)(7)(C) : Uh-huh.
6	SPECIAL AGENT
7	Tetra Tech management ever say anything to you
8	regarding the fact that your layoff had anything to do
9	with you raising the safety issues?
10	(b)(7)(C) Oh, no.
11	SPECIAL AGENT (b)(7)(C) : Officially or
12	unofficially?
13	(b)(7)(C) No. No, I don't think so.
14	No.
15 -	SPECIAL AGENT Was there a system
. 16	in place wherein you could anonymously send stuff up
17	the chain? Concerns you had. Was there a computer
18	database? Was there a drop box of suggestions where
19	you could leave something? Was there a platform to do
20	that?
21	(b)(7)(C) That's a good question. You
22	know, because I stopped going up top because these
23	guys they I I didn't feel like I was welcome
24	there. I left one time. I left a long time and then
25	what's her name, she asked if I wanted to come back.
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1	What's her name? (b)(7)(C) So, you know, I mean
2	I just felt like the $1000000000000000000000000000000000000$
3	left. I just got in I got in my little
4	little little (507%C) right down the hill down
5	there. I I drove that all the way to California
6	and came all the way back.
7	So, but your question was
8	SPECIAL AGENT (b)(7)(C) : Was there a way that
9	you could have submitted an anonymous
10	(b)(7)(C) : Anything in drop box?
11	SPECIAL AGENT (b)(7)(C) : Yes, a drop box or
12	anonymously.
13	(b)(7)(C) . And I never saw that.
14	SPECIAL AGENT (b)(7)(C) : Computer system.
15	(b)(7)(C) That's a good question. I
16	I never saw that inside. I stopped going inside of
17	the the office. I these guys, they give you an
18	unwelcome look. They give you a nasty look, you know.
19	It's like a it's like that. Is that the Jim Crow
20	type? I mean I'm we're I'm from (b)(7)(C)
21	man. I mean these guys they they got you know,
22	I don't want to get in any trouble. I ain't going to
23	I ain't going to confront you with it. I ain't
24	going to say you know, I'm not the kind type of
25	guy that just get up in your face and just say, you
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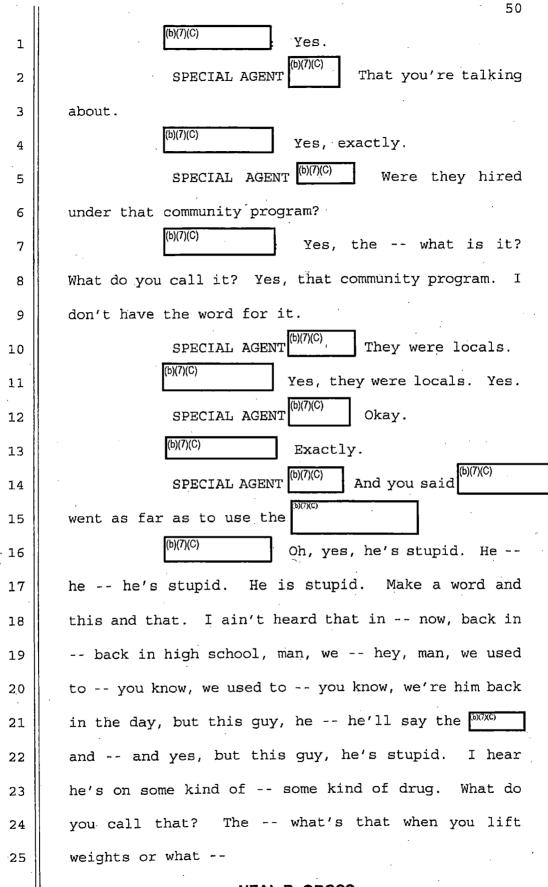
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<u>_</u> 1	(3)(7)(C) I believe it was or $(3)(7)(C)$ or $(3)(7)(C)$ and
2	I was out for like three weeks. Because, you know,
3	you could you could just you know when you ain't
4	you know when you're not welcome. But, then again,
5	you know, these guys you hear the you know, these
6	guys they they're on that stuff and I don't want to
7	piss these guys off. I'm driving with my little
8	and that little window wasn't hardly let down.
9	So, I don't want them to lace my car with no crack or
10	no drugs.
11	SPECIAL AGENT (b)(7)(C) : Right.
12	(b)(7)(C) : And then the police pull me
13	over.
14	SPECIAL AGENT (b)(7)(C) : Right.
15	(b)(7)(C) Then, you know, I'm doing
16	time for for something that I don't do.
17	SPECIAL AGENT $(b)(7)(C)$: Right.
18	^{(b)(7)(C)} : You know. But, yes. So,
19	you just have to act civilized, but it's a totally
20	different environment out there. I mean they should
21	do random testing out there and
22	SPECIAL AGENT ^{(b)(7)(C)} Now, was there any
23	when you speak of the discrimination and the
24	())()), was it overt? Was it ever overt or what it
25	just the unsettling feeling you got based upon the
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<pre>1 look, based upon 2 [0)(7)(C) : The looks and 3 name, he used to say the [PXXC] 4 SPECIAL AGENT [0)(7)(C) : Who's th 5 [0)(7)(C) 6 you know what? These guys you you're 7 Point. Do you know where you're act? If 8 guy. I said I know this guy. He ain't f 9 I say you know, man, you're in a 10 neighborhood. Because when I had gotten ba 11 time, Bert Bowers told me a guy had been 12 name was [0)(7)(C) (phonetic). Yes, a laborer 13 into like a HP, but them guys, man, from w 14 talking, these these guys these f 15 guys, they're they're easy going guys, f 16 a way these guys they would get you if y 17 that site. You don't want to piss these f 18 SPECIAL AGENT [0)(7)(C) Right.</pre>	hat? I might in Hunters know this too bright. dangerous
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a way these guys they would get you if y that site. You don't want to piss these	these
17 that site. You don't want to piss these	but there's
(b)(7)(C)	rou get off
18 SPECIAL AGENT (b)(7)(C) Right.	guys off.
19 (b)(7)(C) This is their to	erritory.
20 SPECIAL AGENT (b)(7)(C) Right.	Now, were
any were these guys that you're speaking	of were
a lot of these the laborers on site?	
23 (b)(7)(C) : Pardon?	
24 SPECIAL AGENT Were the	se a lot of
25 the guys that worked in the labor craft?	
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1	SPECIAL AGENT (b)(7)(C) Steroid.
2	(b)(7)(C) Steroids. Yes. Yes, that's
3	the word. Whatever. I'm not man, that that
4	stuff is affecting your mind, but I told him, you
5	know, you're in a bad neighborhood. These guys will
6	take you down and from what I heard, when he was going
7	through the neighborhood, somebody took the chair and
8	threw it and hit his door. They they told me that.
9	Well, I say well, it must have something that $(b)(7)(C)$
10	did. I say that. Okay. You don't say that. You
11	that's just something you don't say nowadays. That's
12	a that's a fatal word.
13	SPECIAL AGENT $(b)(7)(C)$ Right.
14	(b)(7)(C) Anywhere. Anywhere you go
15	to.
16	SPECIAL AGENT $(b)(7)(C)$ Right.
17	(b)(7)(C) Yes, so. Yes, he's stupid.
18	He's stupid, man. You can't even get in trouble with
19	no nonsense like that. It's too many people in the
20	court system.
21	SPECIAL AGENT $(b)(7)(C)$: Right.
22	(b)(7)(C) Right.
23	SPECIAL AGENT ^{(b)(7)(C)} So, you definitely
24	felt this and an uneasiness about the ways these guys
25	looked and acted.
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1	(b)(7)(C) Oh, yes. Yes.
2	SPECIAL AGENT (b)(7)(C) But, was he the only
3	one that was overtly and discriminatory or was
4	that
5	(b)(7)(C) Well, you really judge a
6	person by their actions. Is is the way I pretty
7	much go, but this guys, he he was saying it. You
8	know, I don't have the dates that when he said it,
. 9	but, you know, I didn't write it down. But, he said
. 10	that and I heard the guy was bringing guns on-site.
11	I didn't I didn't know that he was bringing guns
12	on-site, but I wouldn't doubt it. Because like
13	like I said, it's a lot of these guys they they are
14	ex-convicts. Easy-going guys.
15	SPECIAL AGENT . Right. When you say
16	that, you're talking about the workers?
17	^{(b)(7)(C)} : The laborers.
18	SPECIAL AGENT (b)(7)(C) : The laborers.
19	Right.
20	(b)(7)(C) Yes, these guys I talk to
21	a guy everyday. He told me he was in prison for he
22	drive a a to work everyday and one guy was
23	saying well, I wonder why he drive this car everyday.
24	I said this man done missed ()(XC) of his life. I
. 25	mean I don't drive my my nice vehicle. I drive the
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1	little even around here. But, you know, I keep
2	my little gas guzzlers parked or whatever as you can
3	see out front. But but, you know, they're easy-
4	going guys. But, these guys, man, they you know,
5	you don't mess with a guy that don't mess with nobody.
6	You know, you just
7	(b)(7)(C) SPECIAL AGENT NO.
8	(b)(7)(C) just try to try to
9	keep the peace because you're in you're in a bad
10	neighborhood. You're in a real bad even me. So,
11	I know where I was. So. Just try to get along and do
12	what you got to do and
13	SPECIAL AGENT (b)(7)(C) : Would you say that
14	(b)(7)(C) was kind of was he subjected to some of
15	those same overtones and discrimination?
16	(b)(7)(C) : Oh, yes, (b)(7)(C) will
17	probably deny it though. He'll probably deny it.
18	Because I remember one time we were in the
19	office one time and $(b)(7)(C)$ was in the office.
20	Me, he, (b)(7)(C)
21	were in the office and $\frac{(b)(7)(C)}{D}$ mentioned something about
22	about $(b)(7)(C)$ using the and and I
23	said yes. Yes, he uses it. I got upset. I don't
24	know why. I just got mad, man. I I was in $(b)(7)(C)$
25	(b)(7)(C) office. I was sitting down. I don't know why
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54 (b)(7)(C) (b)(7)(C) (b)(7)(C) would brought it up, but yes, and 1 2 tell me. like I mean he's an 3 He told me how We've had these discussions before. 4 they was out there. I don't know if he would **ЪХ1ХС)** 5 -- would say that now. He's probably fearing for his 6 -- his position now. He's a sharp guy. Don't get me 7 wrong, but he told me -- yes, but, yes, he told me how 8 b)(7)(C) He told me how they were. they were. 9 SPECIAL AGENT And did he 10 experience some of that same things? 11 (b)(7)(C) Because -- yes, because a 12 lot of stuff -- a lot of recommendations that he tried 13 to make, you know, he'd get -- he'd get pushed aside. 14 I can't guite guote them to you right now, but, you 15 know, just -- I could count. Like HPs that are there 16 now, the ANSE 3.1 Senior HPs, he's a qualified HP. 17 (b)(7)(C) I am and who else? That's all that is. 18 come to mind right now. 19 When Bert Bowers was out there, you know, 20 lot of these guys they -- they may -- haven't been 21 through the -- you know, what we went through as far 22 as like the training and stuff to really become ANSE 23 3.1 HPs, but, you know, you have more of a -- a 24 rainbow coalition out there. 25 NEAL R. GROSS

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1	55
1	SPECIAL AGENT (b)(7)(C) : More diverse
2	workers?
3	(b)(7)(C) : Yes, more diverse. Yes,
4	it's more diverse. Exactly. So, yes.
5	SPECIAL AGENT ^{(b)(7)(C)} And at the time that
6	everything well
7	(b)(7)(C) Miss Susan was the one that
8	really, really, really welcomed me when I got out
9	there. She was she really welcomed. She she's
10	she's a nice person.
11	SPECIAL AGENT : Okay.
12	(b)(7)(C) : Real nice person. Bert
13	Bowers is a pretty pretty good guy. He's from down
14	(b)(7)(C)
15	But, this this last time I was out
16	there, man, it's just it's more like that Jim Crow
17	type, (30,7,0,0), in the (30,7,0), you know. Yes.
18	SPECIAL AGENT ^{(b)(7)(C)} Yes.
19	(b)(7)(C) So.
20	SPECIAL AGENT ^{(b)(7)(C)} . You were told that
21	the layoff had to do
22	(b)(7)(C) Well, I was told
23	SPECIAL AGENT ^{(b)(7)(C)} Some people went as
24	far as to tell you that it was the budget.
25	(b)(7)(C) the budget um-hum.
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1	SPECIAL AGENT $(b)(7)(C)$ Which is what $(b)(7)(C)$
2	told you, but you said and and
3	said that they were shutting that area.
4	(b)(7)(C) : They were shutting that.
5	SPECIAL AGENT $(b)(7)(C)$ They were releasing
6	well, I guess they were releasing that area back
7	over to the Navy. That area was cleared. I guess is
8	that the bottom line?
9	(b)(7)(C) He he didn't say that it
10	was clear. He just said that they they were
11	shutting down the RSY2. He didn't go into detail.
12	SPECIAL AGENT (b)(7)(C) Okay.
13	(b)(7)(C) So .
14	SPECIAL AGENT ^{(b)(7)(C)} Which would mean
15	they but, since you've been there, had they been
16	shutting other areas down like that over time and they
17	still found work for people?
18	(b)(7)(C) They shut down what's
19	other areas? RSY3 is where $\begin{bmatrix} (b)(7)(C) \\ \vdots \end{bmatrix}$ is and then right
20	across from that is it's been awhile since I've
21	been been there. I can't even recite that. The
22	areas.
23	SPECIAL AGENT Um-hum.
24	But, you know, they open and
25	close areas.
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1	57
ı	SPECIAL AGENT ^{(b)(7)(C)} Right.
2	(b)(7)(C) So, you know, it's like
3	SPECIAL AGENT (b)(7)(C) But, would they
4	generally keep everybody even if they shifted around
5	and closed an area and this and that? Was it a thing
6	that they
7	(b)(7)(C) I think it seems like
8	they they would have looked at your attendance.
9	They would have looked at your your attendance and
10	they would have looked at if you caused any problems
11	or if you, you know, at your how many years of
12	experience, if you're really a qualified HP and, you
13	know, you're really helping them by telling them about
14	their concerns and not being retaliated against.
15	SPECIAL AGENT (b)(7)(C) Well, that leads me
16	to some other questions.
17	(b)(7)(C) Yes.
18	SPECIAL AGENT (b)(7)(C) Were you given an
19	annual evaluation? Performance appraisal? Anything
20	of that some type of formal way to rate your work.
21	(b)(7)(C) No. Bert Bowers used to do
22	that though.
23	(b)(7)(C) SPECIAL AGENT When he was the RSO,
24	you used to get one?
25	(b)(7)(C) Yes. Yes, he
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1	58
1	SPECIAL AGENT (b)(7)(C) It was in writing or
2	was it just a verbal?
3	(b)(7)(C) He's no, he's giving
4	he used to he used to sign off and give you like a
5	tell you he gave me a pretty good recommendation
6	from what I remember. He he's pretty a critique
7	type of RSO type. Yes.
8	SPECIAL AGENT Um-hum.
9	(b)(7)(C) But, no, I I never was
10	given one when I was there the whole while.
11	SPECIAL AGENT (b)(7)(C) Was it required or
12	was it procedurally anywhere? Stipulated that you
13	would everybody
14	(b)(7)(C) I would think so. I would
15	think so. I would think so.
16	SPECIAL AGENT $(b)(7)(C)$ Was it ever well,
17	by the virtue that you didn't get one, we can question
18	whether it was ever reinforced or reannounced that
19	hey, we're going to be doing performance appraisals or
20	we're watching for this, this and this and at
21	appraisal time, you'll hear more about it. Was
22	anything like that said?
23	(b)(7)(C) No.
24	SPECIAL AGENT (b)(7)(C) Okay.
25	(b)(7)(C) No.
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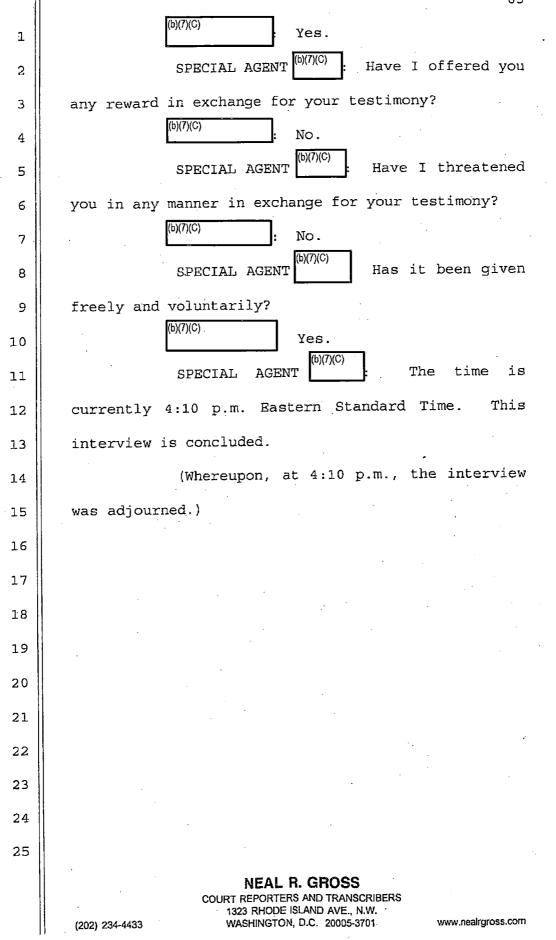
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59 SPECIAL AGENT (b)(7)(C) So, you didn't get 1 a -- had you been written up? Were you -- prior to 2 them terminating or prior to them laying you off --3 (b)(7)(C) No. 4 (b)(7)(C) SPECIAL AGENT -- did you have any 5 write-ups? 6 (b)(7)(C) Uh-huh. No. No. 7 SPECIAL AGENT (b)(7)(C) Is there a policy 8 regarding write-ups? Like after two or three write-9 ups, then you're going to be let go or anything? 10 (b)(7)(C) No. Well, I would think so. 11 I would think so. After -- after a certain while, you 12 know, you -- now, you get the layoff. You get the 13 boot. You know, this guy, you know, he's -- he don't 14 know what the heck he's doing or this girl or this 15 person, they don't know what the heck they're doing, 16 17 you know --(b)(7)(C) But, you also have SPECIAL AGENT 18 people coming to work. 19 (b)(7)(C) You know, if you --20 (b)(7)(C) SPECIAL AGENT potentially 21 inebriated and not being --22 (b)(7)(C) Inebriated. Missing all 23 these days, you know. You're missing day after day 24 after day. She comes in trembling and shaking like a 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. www.neairgross.com (202) 234-4433 WASHINGTON, D.C. 20005-3701

	60
1	wet fish out of water. So, but
2	SPECIAL AGENT $(b)(7)(C)$ But, nothing?
3	(b)(7)(C) nothing was done. Yes.
4	SPECIAL AGENT $(b)(7)(C)$ And I guess at the
5	end of the day you just feel like they had enough of
6	your at some point, they just you were raising
7	too many issues and they just had enough of it. So
8	(b)(7)(C) Yes.
9	SPECIAL AGENT (b)(7)(C) that's why, you
10	know, this was retaliation for you always bringing
11	raising things.
12	(b)(7)(C) Yes
13	(b)(7)(C) Did you ever raise
14	that to you and $(b)(7)(C)$ had a casual conversation.
15	Did it ever go up the management chain about
16	use of the
17	No, the guy, he's he's
18	disturbed. He's stupid. I took it as that. He's an
19	idiot. I mean I didn't want to I didn't want to
20	see the guy get hurt. I did not I don't know why
21	(b)(7)(C) brought that up that I I came up there for
22	something. That was when New World was there and
23	and ^{(b)(7)(C)} and I was sitting in his office and we were
24	talking about something. Me, he and $(b)(7)(C)$ and
25	(b)(7)(C) and he said something about $(b)(7)(C)$
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(b)(7)(C) using the I said yes. Yes, I say you 1 ain't got to say nothing. You ain't got to say a 2 3 word. He's still using that word and I just got 4 back here. I had just gotten back. I -- I had just 5 started the job probably about may 6 I say yes, he's still using that word and 7 if that. I'm telling this guy. Man, look here, do you know 8 where you're at? Do you know where you are? 9 b)(7)(C) These guys will kill you. 10 (b)(7)(C) (b)(7)(C) You got people from all 11 These guys are convicts. 12 (b)(7)(C) SPECIAL AGENT Right. 13 (b)(7)(C) I'm talking with this guy 14 every day. He -- you know, he done missed of . 15 his life. He drive a daggone $\frac{b(x)(x)}{x}$ to work every 16 day and like I told you, the guy, he say why does this 17 guy drive a -- I say where have you missed of 18 your life. I mean this man done miss most of his life 19 being in prison. 20 b)(7)(C) SPECIAL AGENT Correct. 21 (b)(7)(C) And, you know, I -- I like 22 They're real nice guys, you 23 talking to those guys. know, because -- the laborers, you know, they're 24 saying man, you know, stay -- stay out trouble. 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. www.nealrgross.com (202) 234-4433 WASHINGTON, D.C. 20005-3701

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1	Well, I already know. I'm (b)(7)(C)
2	You know, I ain't I ain't going to I ain't going
3	to bug the system as far as getting in nobody's face.
4	I I ain't I ain't stupid, you know. But, yes.
5	I heard that he was bringing guns on-site.
6	I'm like whoa. Maybe that's why he's bringing guns
7	on-site.
8	SPECIAL AGENT : Right.
9	(b)(7)(C) : So. But.
10	SPECIAL AGENT (b)(7)(C) : But, there was no
11	adverse action or any derogatory elements to your
12	performance and your work there prior to you getting
13	there was no writing on the wall so to speak?
14	(b)(7)(C) : No, no writing on the wall
15	whatsoever.
16	SPECIAL AGENT [b)(7)(C) It came as a
17	surprise?
18	(b)(7)(C) Came as a surprise.
19	Exactly.
20	SPECIAL AGENT (b)(7)(C) : Anything else you'd
21	like to add to the record at this time?
22	(b)(7)(C) That will be it. That would
23	be it. I don't think we missed anything. Okay.
24	SPECIAL AGENT $(b)(7)(C)$: A couple closing
25	comments and questions for you.
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CERTIFICATE

This is to certify that the attached proceedings before the United States Nuclear Regulatory Commission in the matter of:

Name of Proceeding: Interview of

(b)(7)(C)			

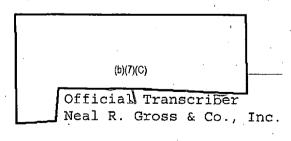
Docket Number:

1-2012-037

(b)(7)(C)

Location:

were held as herein appears, and that this is the original transcript thereof for the file of the United States Nuclear Regulatory Commission taken by me and, thereafter reduced to typewriting by me or under the direction of the court reporting company, and that the transcript is a true and accurate record of the foregoing proceedings as recorded on tape(s) provided by the NRC.



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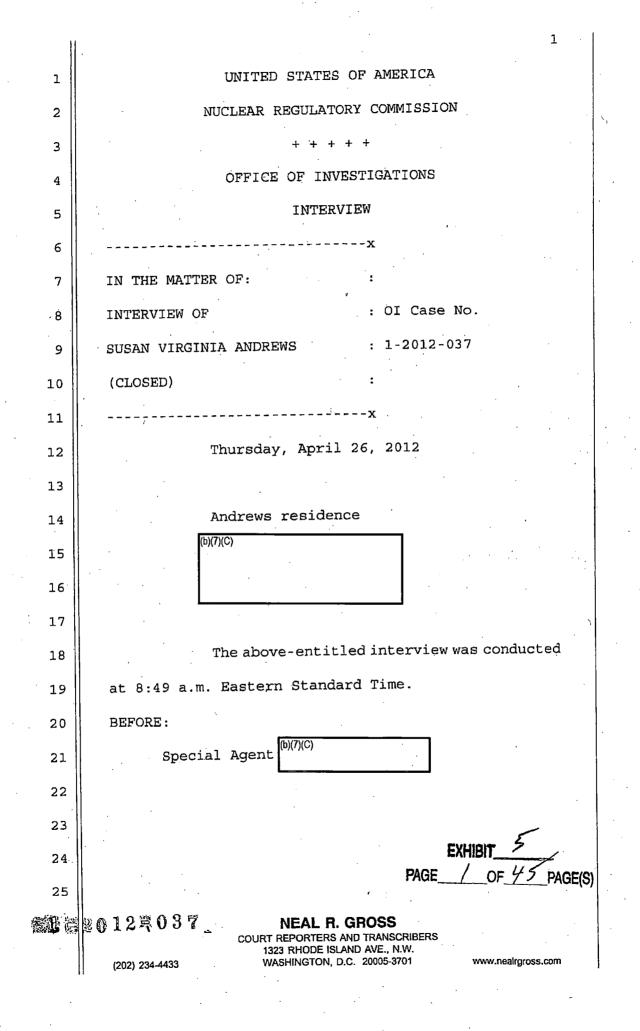
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EXHIBIT 5

Case No. 1-2012-037

Exhibit 5



1	2
1	P-R-O-C-E-E-D-I-N-G-S
2	8:49 a.m.
3	SPECIAL AGENT (b)(7)(C) : Today's date is
4	Thursday, April 26th, 2012. The time is currently
. 5	8:49 a.m., Eastern Standard Time.
6	For the record, this is an interview of
7	Ms. Susan Andrews who was previously employed at Tetra
. 8	Tech EC, Inc., at the Hunters Point Naval Shipyard in
· 9	San Francisco, California.
10	The location of this interview is the
11	Andrews residence located at (b)(7)(C)
12	(b)(7)(C)
13	[b)(7)(C) I am a Special Agent with
14	the Office of Investigations, U.S. Nuclear Regulatory
15	Commission, Region 1 Field Office in King of Prussia,
16	Pennsylvania.
17	The content and subject of our meeting
18	this morning is to discuss issues or events that Ms.
19	Andrews may have witnessed with regard to
20	discrimination against a fellow Tetra Tech employee,
21	(b)(7)(C) , who was laid off in or about
22	December 2011.
23	Ms. Andrews, I must inform you the NRC
24	strictly prohibits the transmitting or recording of
25	this interview by any party other than the NRC or its
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. 1	designee. Having said that, are you recording or
2	transmitting this interview?
3	MS. ANDREWS: No, sir.
4	SPECIAL AGENT (b)(7)(C) : If you could please
5	
6	SPECIAL AGENT Can I say something
7	right here? (b)(7)(C) is not a Tetra Tech employee.
8	SPECIAL AGENT $(b)(7)(C)$: I was going to get
9	to that. He was AWS which is a subsidiary of Tetra
10	Tech. I'm going to clarify that.
11	MS. ANDREWS: Okay. Great. Thanks.
12	SPECIAL AGENT $(b)(7)(C)$ For the record, $(b)(7)(C)$
13	(b)(7)(C) was employed by AWS, a subsidiary of Tetra
14	Tech, a subsequent subcontractor to Tetra Tech. But
15	at the time of the layoff was initiated by Tetra Tech,
16	the primary contractor. Correct?
17	SPECIAL AGENT (b)(7)(C) : Yes, sir.
18	SPECIAL AGENT $[b](7)(C)$ If you could, please
19	state your full name for the record and spell your
20	last name?
21	MS. ANDREWS: Susan Virginia Andrews, A-N-
22	D-R-E-W-S.
23	(b)(7)(C) SPECIAL AGENT Okay. Are you
24	currently employed, Ms. Andrews?
25	MS. ANDREWS: No.
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SPECIAL AGENT (b)(7)(C) : Okay. And when was	. 1
your last tenure of employment?	2
MS. ANDREWS: December 16th, 2011, with	3
AWS as a subcontractor for Tetra Tech at Hunters Point	. 4
Shipyard, San Francisco, California.	5
SPECIAL AGENT (b)(7)(C) : How long had you	6
been there? When did you start there?	7
MS. ANDREWS: I started June 27th, 2005.	8
SPECIAL AGENT (b)(7)(C) Okay. So, 2005 to	9
2011, just short of seven years?	10
MS. ANDREWS: That's correct.	11
SPECIAL AGENT (b)(7)(C) : Okay. And in what	12
capacity were you employed there?	13
MS. ANDREWS: I came in as a junior HP.	14
I was then put within a month into the lab so I was a	15
lab technician under (b)(7)(C) with New World	16
Technology, try not to say NWT. Then I was there for	17
four years working at that and then they asked me to	18
come out to the field as a Senior HP to do field work.	19
And at that time I was still employed by NWT, New	20
World Technology. The last year of my employment	20
there I was employed by AWS as a Senior HP for field	21
work, to balance the site under (b)(7)(C)	22
SPECIAL AGENT (b)(7)(C) Okay. When did you	23
begin your employment in the nuclear industry?	24
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MS. ANDREWS: 2003, February. 1 (b)(7)(C) Okay. And was that SPECIAL AGENT 2 3 as an HP? My first involvement MS. ANDREWS: No. 4 with nuclear was laborer. A union laborer at Beaver 5 Power Station in Shippingport, Valley Nuclear б 7 Pennsylvania. b)(7)(C) And during Okay. SPECIAL AGENT 8 your time with AWS and New World, were you at any 9 sites other than Hunters Point? 10 MS. ANDREWS: Yes. 11 (b)(7)(C) What other sites SPECIAL AGENT 12 were you at when you were employed by them? 13 MS. ANDREWS: No, no. 14 (b)(7)(C) Just Hunters Point? SPECIAL AGENT 15 MS. ANDREWS: Yes. 16 (b)(7)(C) SPECIAL AGENT Okay. Were you 17 trained on how to report safety concerns while at 18 Hunters Point? 19 MS. ANDREWS: Yes. We -- we had meetings 20 on how to do that, yes. 21 SPECIAL AGENT Okay. What was the 22 mechanism by where an employee could raise a safety-23 related issue? 24 You first went to your MS. ANDREWS: 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 (202) 234-4433 www.nealrgross.com

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1	supervisor. Then he was to go to his supervisor and
2	on up the chain.
З	SPECIAL AGENT (b)(7)(C) : Great. Who
4	performed that training, do you remember?
5	MS. ANDREWS: RAD safety, you're talking?
6	SPECIAL AGENT No, the training
7	at what block of training was it wherein you were
8	instructed on how to raise safety concerns and kind of
9	what the protocol is for an employee's right to raise
10	safety issues?
11	MS. ANDREWS: Yes, when you first got
12	there when I first got there in June 27th we had
13	everybody that was being processed in at that time
14	were different people such as the $(b)(7)(C)$ for
15	New world which was $(b)(7)(C)$ and $(b)(7)(C)$. I
16	think his title at the time was the for New World.
17	
18	They all came in and spoke to us on, you
19	know, different topics that we needed to know before
20	we were allowed to go in the field and whoever was
21	Tetra Tech's safety person at the time. It's been so
22	long and so many people have changed hands and titles
23	and the same person could be the safety director one
24	day and then the next day be out in the field as a
25	junior HP. So, I get confused a little bit. Bert
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Bowers would know all the definite specifics on who
was there at that time.
SPECIAL AGENT (b)(7)(C) Okay. And that
training occurred in 2007 when you arrived?
MS. ANDREWS: Three.
SPECIAL AGENT (b)(7)(C) : Three, I'm sorry.
MS. ANDREWS: Oh, yes, 7, you're right.
No, 5. 2005 is when I arrived.
SPECIAL AGENT (b)(7)(C) : Did you get that
same training every year or just initially when you
got there?
MS, ANDREWS: Safety briefings were always
done kind of every day at a 7:00 meeting.
SPECIAL AGENT (b)(7)(C) : Okay. They went
over
MS. ANDREWS: Yes.
SPECIAL AGENT (b)(7)(C) : And so they would
ask if anybody had any issues they wanted to raise.
MS. ANDREWS: Yes.
SPECIAL AGENT (b)(7)(C) : Okay. So, there was
a platform to do it.
MS. ANDREWS: Yes.
SPECIAL AGENT ^{(b)(7)(C)} Okay.
MS. ANDREWS: Yes.
SPECIAL AGENT (b)(7)(C) . Who did you report
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to at Tetra Tech? Who was your 1 MS. ANDREWS: At the end of my stay there, 2 (b)(7)(C) 3 I was -- I (b)(7)(C) And that's when you SPECIAL AGENT 4 were an HP? 5 MS. ANDREWS: Yes. 6 (b)(7)(C) Who was your boss SPECIAL AGENT 7 when you were in a lab? 8 (b)(7)(C) was the manager. MS. ANDREWS: 9 I had multiple bosses in those four years. At the end 10 (b)(7)(C) (b)(7)(C) and then and of my time was 11 (b)(7)(C) was their direct. 12 SPECIAL AGENT Okay. Did anybody 13 work for you? Did you have any employees under you? 14 MS. ANDREWS: No. 15 SPECIAL AGENT Okay. What policies 16 and procedures were you guys guided by as HPs there? 17 Was it an NRC reg? Were there --18 MS. ANDREWS: Yes. 19 (b)(7)(C) SPECIAL AGENT Were there Navy 20 regs? Multiple? 21 MS. ANDREWS: Well, our contract was with 22 the Navy so naturally we went under what they wanted 23 and our procedures was guided by the contract they had 24 But the NRC was always above with the Navy. 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. www.nealrgross.com WASHINGTON, D.C. 20005-3701 (202) 234-4433

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1	everything to make sure RADs stayed safe. So, we were
2	under the NRC license.
3	SPECIAL AGENT Okay. What was the
4	safety culture there at Hunters Point?
5	MS. ANDREWS: Okay. There's two safety
6	things. When you say safety my mind goes two
7	different ways. It's like, are you
8	SPECIAL AGENT (b)(7)(C) : Nuclear safety.
9	MS. ANDREWS: Okay. There you go.
10	SPECIAL AGENT $(b)(7)(C)$: Not the OSHA
11	Occupational Health. That's different
12	MS. ANDREWS: Yes, okay.
13	SPECIAL AGENT (b)(7)(C) : More the NRC driven.
14	
15	MS. ANDREWS: That was really not so good.
16	And I was just a junior with very little experience
17	when I came on site and saw things totally wrong then.
18	And $(b)(7)(C)$ was my $(b)(7)(C)$ for a couple of
19	weeks. I got put in his group and what they call
20	Metal Debris Reef, MDR. It was on the shoreline. And
21	at that time he threatened me. It was the second day
22	in he took me to another gentleman, $\binom{(b)(7)(C)}{2}$ and I don't
23	know his last name right now. Bert knows it. Off the
24	side and apparently at that point I didn't know.
25	(b)(7)(C) had a real foul mouth and just just not
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1	nice. And so he took me off to the side and said if
2	I had any problem with the say he talked, his language
3	or how he talked to people then I was no longer going
4	to work for him in his group. And he informed me at
5	that time that I wouldn't have a job there because
6	nobody else needed me wanted me, excuse me. Wanted
7	me in their group and he just took me in and if I
8	didn't get along with the way he did business, then I
9	apparently he told me I didn't have a position
10	there and I would be laid off and going home. And I
11	just got there. So, you know, I just I was only
12	there I had an eight-week contract so I thought I
13	could probably muster through this. This guy isn't
14	that bad for eight weeks to recoup my losses of
15	driving out there and back and make some money.
16	But then at that point I tried to figure
17	out how to get away from him. The other gentleman was
18	his witness. If I went to the $\binom{(b)(7)(C)}{D}$ which
19	was $(b)(7)(C)$ at the time and reported him for any
20	misconduct, that guy would I don't know what the
21	guy was supposed to do. Say that I swore that I
22	wouldn't, I guess. I I just wanted to work for
23	eight weeks and go home.
24	SPECIAL AGENT So, from a nuclear
25	safety perspective?
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1	MS. ANDREWS: Okay. Now
2	SPECIAL AGENT That sounds like a
3	lot of personality
4	MS. ANDREWS: That now get into this.
5	Now, I'm intimidated by this person. So, he puts me
6	out on walking pads with a 2350. And people are
7	swinging their meters, you know, a 2350. And you're
8	supposed to walk it real slow back and forth and
9	listen to the clicks and watch your meter to find
10	radiation. Well, these people were swinging and some
11	people swung them up around like
12	SPECIAL AGENT $(b)(7)(C)$: Is this a form of a
13	frisker?
14	MS. ANDREWS: No.
15	SPECIAL AGENT ^{(b)(7)(C)} : Radio
16	MS. ANDREWS: Yes.
17	SPECIAL AGENT ^{(b)(7)(C)} : It's a surveying
18	device?
19	MS. ANDREWS: Yes, for looking for gamma.
20	
21	SPECIAL AGENT ^{(b)(7)(C)} : Okay. A surveying
22	device.
23	MS. ANDREWS: Right
24	SPECIAL AGENT (b)(7)(C) : Okay.
25	MS. ANDREWS: And so I brought it up to
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him that, you know, I'm going to walk in behind them 1 and I'm going to find stuff that they're missing. So, 2 at the time, the laborer force had to pick this pile 3 up and turn it. Pick all the dirt up and turn it. 4 And they only wanted to turn this pad three times so 5 they could keep up with production. 6 Well, once I got there, you're going to 7 turn it as many times as you have to because I'm 8 looking for radiation. I don't care how many times 9 you have to turn it. 10 SPECIAL AGENT Right. 11 MS. ANDREWS: They were kind of getting a 12 little antsy with me but I was finding stuff. 13 SPECIAL AGENT (b)(7)(C) Okay. 14 Dials and stuff we were MS. ANDREWS: 15 supposed to be finding. Maybe some of it was brick, 16 but any how since I brought up that he put me out on 17 a gate so that I would just let people in and out of 18 the RCA, frisk them out, sign them in on the RWP 19 coming and going. So, because I brought up concerns 20 there, I was shifted away from that job and put on the 21 22 gate. And then I found out they were opening up 23 a porta-monitor and I asked if I could be put there 24 had work with truck drivers in that 25 because Ι NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

previously for 14 years and I had radiation detection 1 on my scale going into a landfill in Pennsylvania. 2 So, that time got away from (b)(7)(C)I've always had 3 RAD safety concerns out there when I put -- where my 4 job is. 5 (b)(7)(C) Would you say that SPECIAL AGENT 6 7 promoted а safety-conscious work Tech Tetra environment? 8 RAD-wise? MS. ANDREWS: 9 SPECIAL AGENT (b)(7)(C) Right. The issues 10 that would be -- that we would consider nuclear safety 11 concerns, i.e., RAD and --12 They're concerned MS. ANDREWS: Yes. 13 about it but they -- they want to kind of look the 14 other way and hurry through construction work. They 15 want to get the construction end of it done quicker. 16 So, they try to -- they try to put people in position .17 that will just, you know, look the other way also and 18 do their job and make the paperwork look right for an 19 And when they've had people come out inspection. 20 there that bring up concerns, those people are gone. 21 They figure out a reason to lay them off. They wait 22 for a layoff or they just get rid of them instantly if 23 there's no layoff coming up within the next couple of 24 25 weeks.

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And so it intimidates everybody out there. You better keep your mouth shut about that or you're going to be the next, you know, the next one to go. And I was told that repeatedly, repeatedly, keep your mouth shut by my co-workers because they liked working with me and they knew I was good at what I did for out there. And I tried, but it doesn't work with me. I still have to tell somebody because if I don't, it's If I pass it up to my up line, then always on me. it's on my up line. Does that make any sense? SPECIAL AGENT Right. So, was it acceptable to raise safety concerns or would you say not really? Should be? MS. ANDREWS; Yes, I know. It should be.

They really didn't want to hear it and they would try to work around a way that they could out talk you and convince you that they were right and you were wrong. And so you'd sit back and go like, okay. Is that really true? Like eventually you talk to some other seniors and find out what's right and what's wrong and then they'll go, well, we didn't do it that way at the previous place we worked but this is Hunters Point Shipyard so I guess that's the way they do business here so, unless it was really critical, you were just shut down.

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15 b)(7)(C) Are you aware of SPECIAL AGENT 1 (b)(7)(C) ever raising safety concerns to 2 management? 3 (b)(7)(C) Yes, to yes. MS. ANDREWS: 4 (b)(7)(C) Okay. SPECIAL AGENT 5 I know (b)(7)(C) had been out MS. ANDREWS: 6 there multiple times and I was in the lab at the time. 7 I really didn't know $(b)(7)(C)^{-1}$ until the last time when 8 I was out in the field he was in our group. And 9 that's when I really got to meet and know (b)(7)(C)10 SPECIAL AGENT So, you saw him in 11 passing previously -- when was the last --12 MS. ANDREWS: No, I never saw --13 SPECIAL AGENT You never really saw 14 him on the site before you guys were in the same 15 16 group? MS. ANDREWS: Yes, people were not to come 17 up unless they had business up at the main office --18 SPECIAL AGENT Okay. 19 -- and the lab was right MS. ANDREWS: 20 with the main office. If you didn't have business up 21 there, you weren't to go up there. 22 SPECIAL AGENT (b)(7)(C) : Okay. So, this last 23 b)(7)(C) time he was placed in your group and he was a 24 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

Yes, that's true. MS. ANDREWS: 1 (b)(7)(C) Okay. And what time SPECIAL AGENT 2 What was the time frame that you all were schedule? 3 working? 4 I think he might have been MS. ANDREWS: 5 about a good year and a half because -- I was out in 6 the field two and a half years. He might have came 7 (b)(7)(C) I know he was there for the year while 8 Bert was gone and he was there ahead of that. So, I'm 9 sure he would know the exact date. 10 (b)(7)(C) SPECIAL AGENT Did he tell you 11 directly that he reported his concerns to management? 12 MS. ANDREWS: Yes, because they were my 13 concerns too and I wanted to make sure he did 14 something about at least going to (b)(7)(C)15 SPECIAL AGENT (b)(7)(C) When was this that 16 he told you that he had directly reported the issues 17 to management? Do you recall when the first time was 18 and was it an ongoing thing? 19 MS. ANDREWS: Yes, it's ongoing out there. 20 Any time when (b)(7)(C)would say he saw something wrong 21 I -- we became friends and he felt that he could talk 22 to me and I always wanted him to go and at least tell 23 (b)(7)(C) he had to by -- I felt by the law, by our 24 NRC -- what I've been taught about the NRC, you have 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

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1	to go and tell somebody when you see something going
2	wrong no matter how severe it is.
3	And if he would tell me something I would
• 4	direct him to go and tell his and I always
5	got back with him. I always found him, you know,
6	called him. Did you talk to him because once he did,
7	then I would tell $(b)(7)(C)$ did $(b)(7)(C)$ tell you, but I
8	wanted $(b)(7)(C)$ to tell him first.
9	SPECIAL AGENT (b)(7)(C) . Okay.
10	MS. ANDREWS: So, it would be concerns
11	that he had. He was
12	SPECIAL AGENT (b)(7)(C) : How regular was
13	this? Was it if you had to estimate, were these
14	issues, were they weekly? Monthly? Was it, you know,
15	twice a year?
16	MS. ANDREWS: Oh, no, it was more frequent
17	than that. $(b)(7)(C)$ had concerns about like $(b)(7)(C)$
18	coming in inebriated and sometimes he's come up
19	we'd be in the morning meeting at 7:00 and he had
20	mentioned something about he could smell her. He just
21	gave me the look like, so I'd walk over to get close
22	to her and say something to her so she'd talk back at
23	me, you know, so I could smell it too because it was
24	becoming a really big concern of ours that she was a
25	and working the gate or walking pads,
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18 BX7XC) (b)(7)(C) which was 1 whatever her (b)(7)(C) (b)(7)(C) But she was -told her to do. 2 (b)(7)(C) And (b)(7)(C) I know brought it up to (b)(7)(C)3 I brought it up to (b)(7)(C)(b)(7)(C) In my 4 (b)(7)(C) didn't do anything about it. opinion, 5 There wasn't much (b)(7)(C)could do about it because --6 I mean, he could, but he -- to save his job he 7 couldn't because (b)(7)(C) and he was 8 (t)(7)(C (b)(7)(C) was pretty and 9 was the intimidated out there by the other senior --10 SPECIAL AGENT When did this issue 11 (b)(7)(C) with occur? 12 (b)(7)(C) drank all the time. MS. ANDREWS: 13 From the time I met her when I started out there and 14 then at one point, 2006, 2006 in January I went to 15 Hatch to do a project for New World. I left the site 16 (b)(7)(C) had And when I came back, for about a month. 17 apparently (30,7)(C) or done something and 18 they gave her (b)(7)(C) So, she was in the lab area 19 And so I was walking past her all the time setting. 20 going to, you know, do my job in the lab when I was 21 And, you know, she just brought back. 22 (b)(7)(C) And, you know, going out to the bathroom and 23 vomiting a lot. 24 So, finally -- finally, I got a little 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. www.neairgross.com WASHINGTON, D.C. 20005-3701 (202) 234-4433

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irritated with her setting in there all the time and 1 (b)(7)(C) and she at the time was 2 my So, she was getting tired of her setting in there. 3 was confronted, did she want to join -- move into the 4 and if she was 5 going to do that, she had to get out of the lab area. 6 7 And so she decided she wanted to stay a 8 so Bert Bowers -- in my opinion, this is how I 9 He created a job for her. I'm sure he's put it. 10 going to say, you know, there was a job there, but so 11 she did paperwork for him, sorting files. So, they 12 gave her a little office and kept her in a pumpkin 13 shell hidden very well. But not back out in the 14 field. So, she was doing paperwork. I'm not sure if 15 Bert knew about the drinking or not. He was more with 16 the for her. and keeping 17 (b)(7)(C) SPECIAL AGENT (b)(7)(C) So, when 18 it that (b)(7)(C)identified the when was 19 b)(7)(C) b)(7)(C) to management on her behalf? He 20 21 For me --MS. ANDREWS: 22 (b)(7)(C) SPECIAL AGENT That you recall? 23 MS. ANDREWS: It was in 2011 that I know, 24 let's see, no. April -- no, it had to be before that. 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

He left -- he left, he couldn't take anymore harassment 1 (b)(7)(C) (b)(7)(C) And he and that Group. 2 from left, I think it was in April of 2010. And he wasn't 3 (b)(7)(C) gone very long and for some unknown reason, 4 (b)(7)(C) told him to come back to work and she's not a 5 boss but he came back to work and they kept him at 6 R.S. White too and away from (b)(7)(C)And what I 7 (b)(7)(C) was told not to make snide remarks at 8 was told, him during the 7:00 meeting. 9 (b)(7)(C) That was in about SPECIAL AGENT 10 2010? 11 MS. ANDREWS: Yes. 12 (b)(7)(C) Was that the only --SPECIAL AGENT 13 (b)(7)(C) knew he couldn't get MS. ANDREWS: 14 no where with this complaints and if he complained 15 (b)(7)(C) he was going to get fired. about 16 SPECIAL AGENT What was the other 17 nature of his -- was that his only concern was that 18 (b)(7)(C) (b)(7)(C) on her? was coming in drunk or he 19 (b)(7)(C) on her. MS. ANDREWS: He 20 (b)(7)(C) Was that his primary SPECIAL AGENT 21 22 concern? MS. ANDREWS: Yes, they didn't do a drug 23 test on her after it was reported. 24 (b)(7)(C) She didn't get it at SPECIAL AGENT · 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. www.nealrgross.com (202) 234-4433 WASHINGTON, D.C. 20005-3701

1 2 3 4	the MS. ANDREWS: No, no. And I even told (b)(7)(C) I started to kind of like get on get behind (b)(7)(C) with his complaint so maybe if he put more behind it and (b)(7)(C) would do something about it but
3	(b)(7)(C) I started to kind of like get on get behind $(b)(7)(C)$ with his complaint so maybe if he put more
	I started to kind of like get on get behind (b)(7)(C) with his complaint so maybe if he put more
4	with his comptaint so maybe if he put more
	behind it and would do something about it but
5	
6	there wasn't anything done about it.
7	SPECIAL AGENT (b)(7)(C) Did he ever raise
8	that concern to management in your presence? Did you
9.	ever witness him?
10	MS. ANDREWS: Yes, one time because I went
11	to $\frac{(b)(7)(C)}{D}$ and he stepped in and made sure I was he
12	wanted to make sure I was saying something to $(b)(7)(C)$
13	too. And he goes, yes, I smelled it and he walked off
14	to his car.
15	SPECIAL AGENT (b)(7)(C) When was that?
16	MS. ANDREWS: Oh, it was in 2011,
17	sometime. I don't didn't write that down. But it
18	was after Bert Bowers left because things just started
19	getting really worse and more out there. They didn't
20	care.
21	SPECIAL AGENT Did anyone from
22	management ever come to you and mention that $(b)(7)(C)$
23	raised safety concerns and the content of his concerns
24	and so forth?
25	MS. ANDREWS: When I would bring them up
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(b)(7)(C) (b)(7)(C) was worried about something I knew 1 to b)(7)(C) (b)(7)(C) told and I'd go to And he'd go, yes, 2 Already told me. So, that's --З me, (b)(7)(C) So, he at least SPECIAL AGENT 4 acknowledged that --5 MS. ANDREWS: Yes, yes. 6 (b)(7)(C) that he had SPECIAL AGENT - -7 received a --8 MS. ANDREWS: Yes. 9 (b)(7)(C) SPECIAL AGENT Okay Do you 10 (b)(7)(C) was retaliated against for believe that 11 raising safety-related issues? If so, why? 12 Yes. MS. ANDREWS: 13 SPECIAL AGENT (b)(7)(C) Safety-related 14 issues and I'm going to get to this in a minute or do 15 you think that discrimination thing based on what you 16 previously identified to me at another time we talked. 17 He identified his more case. 18 I think it was both with MS, ANDREWS: 19 him. 20 b)(7)(C) Okay. SPECIAL AGENT 21 MS. ANDREWS: They were --Yes. Yes. 22 (b)(7)(C) (b)(7)(C) (b)(7)(C) at all. they weren't nice to 23 - in my group there was (b)(7)(C)(b)(7)(C) and 24 and (b)(7)(C) (b)(7)(C) and I in our own base-wide 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

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l	activity. And they did not like him and then they
2	started to be even nastier toward me because
3	SPECIAL AGENT (b)(7)(C) . You had befriended
4	him?
5	MS. ANDREWS: I was working with him
6	and trying, you know, and if I if I we had our
. 7	own little office and if I was setting in there with
8	showing her how to maybe how Tetra Tech wants
9	the paperwork written up to put your dots and dashes
10	in the right place so when the lab gets it they type
11	it in correctly and all the paperwork comes through
12	with the same metric form. If he came in and sat
13	down, she'd get up and leave right in the middle of me
14	I'd be where did she go, you know. $(b)(7)(C)$ did
15	the same thing. I mean, where did he go? But they
16	would not stay even in the same room with him once he
17	arrived.
18	SPECIAL AGENT (b)(7)(C) : So, would you say
19	that management do you believe that management
20	retaliated against him for raising the safety-related
21	issues which was going back to
22	MS. ANDREWS: By his lay off, do you mean?
23	SPECIAL AGENT $(b)(7)(C)$: $(b)(7)(C)$ drinking,
24	yes.
25	MS. ANDREWS: I think so because he left
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1	there that April of 2010, somewhere around the spring
2	of that year. And the scuttlebutt that went around,
3	you know, the gossip that went around Hunters Point
4	was that he went back east to get a lawyer because he
5	was going to come back and do something about $(b)(7)(C)$
6	(b)(7)(C) and his nasty mouth toward him and all the
7	things he had been talking about. And (b)(7)(C) just
8	went home and that's why I feel that (b)(7)(C) was
9	just an AWS employee. She was out talk between $(b)(7)(C)$
10	(b)(7)(C) , you know, the AWS office and us. But she also
11	is $(5x7)(C)$ to which is construction.
12	So, that's Tetra Tech. So, Tetra Tech wouldn't want
13	him to be getting a lawyer to file a discrimination
14	suit against him.
15	So, he called about a paycheck and she
16	said why don't you come back to work? You know, your
17	job is still here. Why don't you come back? And I
18	really feel that they brought him back so that they
19	could turn around and lay him off, if that makes sense
20	then.
21	SPECIAL AGENT $(b)(7)(C)$: As opposed to him
22	filing a lawsuit?
23	MS. ANDREWS: Yes. He'll know the exact
24	time when he left and came back. That had to be in
25	2011 because we were all working for AWS. So, he left
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1	in 2011 and Bert was gone, yes.
2	SPECIAL AGENT $(b)(7)(C)$: Do you know if $(b)(7)(C)$
3	(b)(7)(C) took his concerns to any other entities
. 4	outside of Tetra Tech? Did he go to RAD O? Did he go
5	to the NRC during the time this was going on, not
6	after the fact?
7	
8.	MS. ANDREWS: Not that I know of. $(b)(7)(C)$
9	is one not to really he tries to keep it within and
10	get it corrected right there on site. It just doesn't
11	get corrected on site and he knows that and h e knew
12	that.
13	(b)(7)(C) : Do you know what
14	management cited as the reason for his layoff?
15	Because you guys got laid off together?
16	MS. ANDREWS: Yes, on December 9th we were
17	brought in at 12:30 into $(b)(7)(C)$ office. $(b)(7)(C)$
18	said that they were going to close up RSY 2 which was
19	when they dug up the trenches they came and put the
20	dirt on the pads so it could be surveyed whether it
21	was RAD material in it in containment and then release
22	the soil back. So, he manned the gate there.
23	What was the question? I forget.
24	SPECIAL AGENT (^{(b)(7)(C)} : What did management
25	cite as the reason for
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1	MS. ANDREWS: Okay.
2	SPECIAL AGENT $(b)(7)(C)$ the layoff?
3	MS. ANDREWS: They were going to close
4	down RSY 2, that it wasn't going to be used any
. 5	longer. And so that was his position, they were doing
6	away with that position and that's why he got to go.
7	(b)(7)(C) also told him while we were setting there that
8	as soon as they started the dig in somewhere around
9	where Parcel B and Parcel C come together, he pointed
10	on a map. And he would be bringing $(b)(7)(C)$ back as
11	soon as they opened up RSY 2 again.
12	SPECIAL AGENT (b)(7)(C) : Okay.
13	MS. ANDREWS: And command that gate.
14	That's yet to be seen.
15	SPECIAL AGENT (b)(7)(C) : Were you ever
16	interviewed by anyone from management regarding or an
17	outside entity regarding (b)(7)(C) safety concerns
18	that he raised? Did they do an investigation and come
19	and say, hey, we heard so and so has been drinking on
20	site? The problem?
21	MS. ANDREWS: Well, you know, I've talked
22	to Fed OSHA and told them about that. I've talked to
23	Kathleen Daily with California Department of Labor and
24	I've talked to you.
25	SPECIAL AGENT (b)(7)(C) : Okay.
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MS. ANDREWS: And I've talked to another 1 NRC group, Marissa Bailey -- Marissa. 2 SPECIAL AGENT (b)(7)(C) : Did Tetra Tech do an 3 investigation? Did they ask you any questions 4 regarding --5 MS. ANDREWS: No. 6 SPECIAL AGENT (b)(7)(C) Okay. 7 MS. ANDREWS: She's still working there. 8 If they investigated it, she wouldn't be working 9 there. 10 (b)(7)(C) also right before our layoff 11 brought up a concern. People would go into had been 12 a posted area and they wouldn't lock the gate or post 13 it and he got a little tired of it and they down 14 posted it, this trench area. So, now it's just a 15 locked gate area so nobody gets hurt if they go in 16 And it just happened to be a 17 there. went in there to do something. named 18 I don't know what -- had no idea what he was in there 19 for but when he came back out he didn't lock the gate. 20 was kind of running a little short on these 21 And They needed to pay more attention. So, he 22 people. reported that to his right -- right that 23 week of layoff. And he kind of got reprimanded in a 24 They brought it up at the safety roundabout way. 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

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1	meeting at 7:00, $\frac{(b)(7)(C)}{D}$ did that if you see a gate
2	open, close it. Don't don't run into your
3	Just fix the situation and go about your
4	business.
5	So, I try to do that a lot, too. I
6	understand $(b)(7)(C)$ frustration because after awhile
7	the person constantly keeps making these mistakes
8	needs to know they're making them so they quit it.
9	You can't be everywhere with your eyes all over the
10	place.
11	I had the same problem with $(b)(7)(C)$
12	group. Every time, every place they worked they don't
13	repost when they lock the gate, close up. So, I would
14	stop and fix it on my way out and I eventually started
15	like telling the person I knew was working the gate
16	that day, please don't do that. And then after
17	awhile, you know, just kept it up. I would tell their
18	which was $(b)(7)(C)$ and $(b)(7)(C)$ would
19	tell me to mind my own business and thanks to Susan
20	and then I got tired of it so I would go to $(b)(7)(C)$
21	(b)(7)(C) . I even went to Bert Bowers over that, the
22	thing I'm thinking about right here. Bert finally
23	came down on $(b)(7)(C)$ pretty heavy.
24	(b)(7)(C) SPECIAL AGENT : Okay. Were you
25	aware of any discriminatory actions or statements made
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1	29
1	against $(b)(7)(C)$ I understand that at one point
2	he was kind of offended by ((b)(7)(C) use of the
3	Were you aware of any
4	MS. ANDREWS: Yes, I'm aware of (b)(7)(C)
5	with that nasty word. Personally, straight at me.
6	Did I hear him say it around $(b)(7)(C)$ no. $(b)(7)(C)$
7	probably has. Other people that worked out around
8	there would hear him. But I've witnessed $(b)(7)(C)$
9	horribly horribly.
10	The gentleman I spoke of earlier $\binom{(b)(7)(C)}{C}$
11	that was in the group if I went to management about
12	his bad language was This guy was trying to
13	get (b)(7)(C) job. He was real he wanted to be a
14	leader. He wanted to
15	SPECIAL AGENT $(b)(7)(C)$: Who, $(b)(7)(C)$?
16	MS. ANDREWS: Yes, $(b)(7)(C)$ did. And so he
17	was right now COC is really helping $(b)(7)(C)$ but $(b)(7)(C)$
18	knew that he was trying to move in on his position and
19	so they they were like this now all of a sudden
20	within like a week and a half of me being there.
21	They're not
22	SPECIAL AGENT (b)(7)(C) : Do you remember
23	(b)(7)(C) last name?
24	MS. ANDREWS: I did the other day. Bert
25	looked it up on the computer for me and gave it to me
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and now I can't remember it. 1 b)(7)(C) Is he still out on SPECIAL AGENT 2 3 the --MS. ANDREWS: No, he -- this is where I'm 4 getting at. He couldn't put up with it any longer and 5 he left and he went back working nuclear work and he -6 - last time I heard about him, he got a permanent job 7 and so I don't know if he's still down at 8 there or not. 9 But when he left, because he couldn't --10 he couldn't put up with it any longer. And he left 11 (b)(7)(C) came up to me and said -and 12 SPECIAL AGENT YOUNG: He left because of 13 (b)(7)(C) ? 14 Oh, I'm sure, he couldn't MS. ANDREWS: 15 came up to me and the 16 deal with and now you're -- I'm going to tell you what 17 said. 18 SPECIAL AGENT Okay. 19 MS. ANDREWS: (b)(7)(C) says, well, I got rid 20 of that (D)(7)(C) He's gone for good. And I went like, 21 okay? And I He said I got rid of the 22 huh? was huh, I don't believe you said that. 23 Right. SPECIAL AGENT 24 And I just walked on. And MS. ANDREWS: 25 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

I mean, that was when I first that was early on. 1 started out there and (b)(7)(C)just had a mouth like 2 3 that. It was horrible. (b)(7)(C) Okay. SPECIAL AGENT 4 MS. ANDREWS: He told me because I took. 5 under wing because (b)(7)(C)(b)(7)(C) and --6 (b)(7)(C) Treated him badly? SPECIAL AGENT 7 MS. ANDREWS: Really bad. Don't teach him 8 They wanted rid of him. And -anything. 9 SPECIAL AGENT (b)(7)(C) They conveyed that 10 you? 11 to (b)(7)(C) (b)(7)(C) and MS. ANDREWS: 12 (b)(7)(C) wanted -- wanted him out of our group. They 13 did not like him. They did not want him there. He 14 was in our group and so they -- and I even went to 15 (b)(7)(C) (b)(7)(C) he said just about it. I said, 16 keep him with you. And I said I'm keeping him with me 17 but you got to keep management off my back. If they 18 see him in my car and we're driving around and 19 somebody goes why is there two techs in one car? Why 20 isn't one tech doing something and why are they doing 21 the same job? You see what I'm saying? I said, you 22 better back me up because you know why he's in my car. 23 And he goes, I will, don't worry. And for the record, 24 (b)(7)(C) also so he knew the is 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 www.nealrgross.com (202) 234-4433

(b)(7)(C) discrimination he had went through with and 1 (b)(7)(C) (b)(7)(C) (b)(7)(C) and He knew what was 2 So, I kept (b)(7)(C)with me. going through. 3 SPECIAL AGENT (b)(7)(C) (b)(7)(C) Did 4 MS. ANDREWS: So, now let me finish this. 5 So, now I become, you like them. So, $\sum_{i=1}^{(b)(7)(C)}$ would 6 like -- well, you like them. You're one of them. And 7 to me -- do you understand what I'm saying? 8 SPECIAL AGENT (b)(7)(C) Right, right. 9 And I like, he's a MS. ANDREWS: Okay. 10 human being and he's very nice. He just needs to 11 learn how we do it. I know (b)(7)(C)had a lot going on 12 and a lot of discrimination hitting him and sometimes 13 he couldn't focus maybe on how to write it correctly 14 on a piece of paper what he had to write. 15 (b)(7)(C)[.] had me so upset back when I was 16 Somebody said, if I ask you your name i the lab. 17 right now you probably wouldn't know it would you? 18 And I go, what is my name, you know. You can have a 19 (b)(7)(C) get you so upset over discrimination that 20 you can't even think to do your job. And I think 21 that's what happened to (b)(7)(C)when he was in our 22 group, he did well and then all at once he just 23 started -- if he's up around one of them people, 24 couldn't think and that happens because you're being -25

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- being --people being mean to you. 1 Did (b)(7)(C) SPECIAL AGENT (b)(7)(C) Right. 2 and (b)(7)(C)(b)(7)(C) show the same type of --3 (b)(7)(C) MS. ANDREWS: is the one that 4 said about me liking them. 5 SPECIAL AGENT Right. Did they 6 have the same type of disposition towards (b)(7)(C)7 because wasn't he the 8 (b)(7)(C) MS. ANDREWS: (b)(7)(C) did. 9 (b)(7)(C) always went to like (b)(7)(C)if he did. 10 ()(/)(C) and he would go to him. is had a problem. 11 (b)(7)(C) And it was -- it He never liked to go to 12 and then would was because he was or 13 say, he don't know what he's talking about. He 14 doesn't have the right qualifications. He doesn't 15 16 know. But first and foremost was was 17 because he was 18 (b)(7)(C) didn't like him and to me 19 it was -- first and foremost was because of his 20 But then I find out she's dating somebody from, I 21 and don't know. Somewhere in or 22 -- and -- and, you know, she's a $\frac{100000}{1000000}$ you know. 23 He's not. So, when I found that out months, months 24 later, I go like, what is her problem? You know, 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701 (202) 234-4433 www.nealrgross.com

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1	she's Why does she treat (b)(7)(C) that way?
2	SPECIAL AGENT (b)(7)(C) : Right.
3	MS. ANDREWS: You know. But she did. It
4	was a shame and I kept him with me as much as I could.
5	And then when $(b)(7)(C)$ got let go, they put him on a
6	gate at RSY 2. He worked there off and on. And
7	that's where we found, you know, his niche out there
8	so to speak.
9	SPECIAL AGENT So, you believe that
10	his safety concerns going back to (b)(7)(C)
11	drinking was valid?
12	MS. ANDREWS: Oh, yes. Heavens sakes,
13	yes.
14	SPECIAL AGENT (b)(7)(C) : Are you aware of any
15	other employees that raised safety concerns? I know
16	yourself and for the record, Ms. Andrews has raised
17	safety concerns and subsequently was laid off on
18	December 9, 2011, and has brought those issues to the
19	NRC and currently has an active OI discrimination
20	investigation open at this time, so clarify this at
21	the time.
22	MS. ANDREWS: On December 16th yes. I
23	was notified on the 9th on that Friday that I was
24	going to be laid off, and I together. And the
25	16th was our last day of work.
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1	SPECIAL AGENT (b)(7)(C) Okay. Did anyone
2	ever did you ever hear anybody in management
3	identify that $(b)(7)(C)$ was laid off because he raised
4	the issues? Did anyone ever mentioned that to you?
5	MS. ANDREWS: No, no.
6	SPECIAL AGENT (b)(7)(C) : What kind of
7	employee would you say (b)(7)(C) was? What kind of
8	reputation did he have?
9	MS. ANDREWS: He was he was very
10	conscientious, willing to learn their ways. You know,
11	he knows his senior skills come in. How to use a
12	meter and what to look for but everybody's paperwork
13	is different. He was real polite to me when when
14	he put a dot where he shouldn't have put a dot. It's
15	really minor what he did. We all make those kind of
16	mistakes but when it's paperwork turning in, it has to
17	be perfect.
18	And whenever I would take a piece of
19	paperwork back and he would redo it or correct it. I
20	couldn't because it was his survey. So, I found him
21	very polite, very easy to get along with. I didn't
22	find him lazy. When he was told to do a job he went
23	out and did it and he did it right when he did it.
24	You know, he was at work on time. He never came in,
25	he didn't report off. He gave notice when he was
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1	going to be gone vacation time, advanced notice. And
2	he always let me know. I wasn't a supervisor or
3	anything but I kind of kept track for (b)(7)(C) and
4	kept the balance of site moving forward. It was kind
5	of but he always reports his goings and comings to
6	(b)(7)(C) . But he also politely let me know because I
7	needed to pick up where he wasn't going to be there
8	and figure out who was going to do what he did.
9	SPECIAL AGENT And you guys worked
10	together for about
11	MS. ANDREWS: Yes.
12	SPECIAL AGENT Are you aware of
13	whether or not he had been subject to any progressive
14	discipline during the time that he was there on site?
15	MS. ANDREWS: Discipline?
16	SPECIAL AGENT (b)(7)(C) : Yes, had he been
17	written up
18	MS. ANDREWS: No, no.
19	SPECIAL AGENT (b)(7)(C) or suspended or
20	did he
21	MS. ANDREWS: No, no. And that's why he
22	said he walked off he gave his notice and left back
23	in the spring of that year because he knew if he went
24	to anybody about $(b)(7)(C)$ and $(b)(7)(C)$ and that group,
25	he was going to be fired. And you don't want fired on
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1	your resume.
2	SPECIAL AGENT (b)(7)(C) Right.
3	MS. ANDREWS: So, it's better if you just
4	kind of leave.
5	SPECIAL AGENT ^{(b)(7)(C)} Do you know if he
6	had ever received any poor performance appraisals or
7	evaluations?
8	MS. ANDREWS: No.
9	SPECIAL AGENT (b)(7)(C) : Do you all get
10	performance appraisals?
11	MS. ANDREWS: We used to.
12	SPECIAL AGENT $(b)(7)(C)$: When did you stop
13	getting them?
14	MS. ANDREWS: When Bert Bowers left. Bert
15	always
16	SPECIAL AGENT $(b)(7)(C)$: So, Bert used to do
17	them?
18	MS. ANDREWS: Yes.
19	SPECIAL AGENT ^{(b)(7)(C)} : He was the RSO at
20	the time. Nobody else was doing them after he left?
21	MS. ANDREWS: Not that I know of. Once I
22	became AWS nobody did evaluations and they evaluated
23	me before I left.
24	SPECIAL AGENT (b)(7)(C) : But when you were
25	New World they were doing evaluations?
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1 2	MS. ANDREWS: Well, Bert was SPECIAL AGENT ^{(b)(7)(C)} : When Bert was there?
2	SPECIAL AGENT $(b)(7)(C)$ When Bert was there?
3	MS. ANDREWS: Yes. Oh, yes, I've got
4	I've got copies of them.
5	SPECIAL AGENT (b)(7)(C) : So, how would an
6	employee know, get adequate feedback as to the job
7	they were doing? How would you know if you were doing
8	a good job or a bad job?
9	MS. ANDREWS: You didn't. You just hoped
10	you were
11	SPECIAL AGENT . And would they come
12	back and did you ever see cases where they came
13	back and said, oh, you weren't doing a good job so we
14	laid you off when they hadn't given the person any
15	feed back or any notice that they weren't doing a good
16	job? That they let somebody go really without
17	notification because they hadn't pre-warned them that,
18	you know, this needed to be improved or that needed to
19	be improved?
20	MS. ANDREWS: Yes, yes. A lot of people
21	were just fired for bringing up concerns. $\binom{(b)(7)(C)}{D}$
22	(b)(7)(C) , he was in instruments. He had issues,
23	instruments weren't being done right. Weren't moving
24	sources around right. I didn't know well enough,
25	but I know there was a big conflict there and I know
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he tried to get -- get it turned so it was going right 1 and he butted heads and he ended up, you know, 2 spouting off and going and getting fired, you know, 3 because he wasn't more control over what was going on 4 with the instruments and the sources. 5 (b)(7)(C) (b)(7)(C) my heavens. was --And 6 (b)(7)(C) there she goes brings up to 7 He -- he (b)(7)(C) again going in and doing the -- me and 8 going in to do the PAD sampling wrong. You know, he 9 was frustrated. Why do you keep letting this lady 10 continually do this and it's not done correctly? 11 SPECIAL AGENT (b)(7)(C) : Right. 12 Let laborers do it, not MS. ANDREWS: 13 (b)(7)(C) paying attention to what's going on. was 14 I gave you ((b)(7)(C) definitely a person on the PAD. 15 (b)(7)(C) (b)(7)(C) gave you think I name. Ι 16 People -- laborers that actually name. 17 went out there and did this work that had no idea what 18 they were doing. And pulling samples. 19 Now, once they say that samples go into 20 the lab and the lab says the samples that came in 21 weren't elevated, then they can take that PAD and put 22 it in a non-radiological area and stage it to go off 23 site. And before that dirt is allowed to go off site, 24 it has to go in the portamonitor luckily. And when it 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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alarms the portamonitor per procedure three times, 1 it's hand scanned to what set the portamonitor off. 2 Then it is to go back on the PAD, laid back out and 3 find what she missed --4 b)(7)(C) Right. SPECIAL AGENT 5 when the sampling ANDREWS: MS. б process. And then take that up. That's -- after Bert 7 left, that whole procedure stopped. 8 SPECIAL AGENT She can do Okay. 9 whatever she wants to out on that PAD and that can go 1.0 through the portamonitor and alarm three times and 11 somebody with a 2350 does one of these things and that 12 dirt still goes off site. 13 SPECIAL AGENT Okay. 14 There's no stopping to it MS. ANDREWS: 15 16 now. (b)(7)(C Right. Okav. SPECIAL AGENT 17 MS. ANDREWS: And I think (b)(7)(C)told you 18 about her just coming up short by one jar. When they 19 collect the sample, there's like 18 samples that have 20 18 points. Okay. So, she was to collect 18 samples. 21 One time he witnessed in his CONEX that she was 22 missing one. They only got 17. So, she handed the 23 jar and the laborer knew by just gestures what to do. 24 So, with saying that to me, then it was something 25 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	commonly they did and $(b)(7)(C)$ agreed to that. He just
2	went out right there, not to the PAD that was way down
3	way down in RSY 2, just right there. Collected
4	enough dirt from the ground and gave it to her as a
5	sample and she put it in as it was from that PAD.
6	The way she does it is insane.
7	SPECIAL AGENT (b)(7)(C) : Okay.
8	MS. ANDREWS: It's just wrong. I don't
9	know how to explain it to anybody.
10	SPECIAL AGENT $(b)(7)(C)$: I've previously been
11	provided
12	MS. ANDREWS: Yes, we went through this.
13	SPECIAL AGENT (b)(7)(C) Right. Okay. A
14	list of some of the other people that raised concerns
15	so we've reached out and identified them.
16	MS. ANDREWS: And is another
17	one. I keep you need people to witness this.
18	^{(b)(7)(C)} is her her ^{(b)(7)(C)} She she
19	goes when is not there, fills in for
20	
21	SPECIAL AGENT (b)(7)(C) : Right.
22	MS. ANDREWS: So, they stay together so
23	they know what's going on. can verify
24	how they do it. If they're going to tell you how
25	they really do it or they're going to tell you how
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1	the procedure says they're supposed to do
2	SPECIAL AGENT (b)(7)(C) Right.
3	MS. ANDREWS: that there you get into
4	she's covering her, you know. They know what the
5	procedure says.
6	SPECIAL AGENT $(b)(7)(C)$: Right.
7	MS. ANDREWS: They don't work it that way.
8	(b)(7)(C)
9	SPECIAL AGENT All right. I have
10	a couple of closing comments and remarks.
11	MS. ANDREWS: And he brought up the safety
12	concern about her bringing on site and
13	bringing her into RAD areas.
14	SPECIAL AGENT Yes.
15	MS. ANDREWS: Yes, there's a lot of people
16	that can witness that.
17	SPECIAL AGENT Right. That's been
18	discussed.
19	MS. ANDREWS: Okay.
20	SPECIAL AGENT Have I threatened
21	you in any manner in exchange for your testimony?
22	MS. ANDREWS: Oh, heavens no.
23	SPECIAL AGENT Have I offered you
24	any reward in exchange for your testimony?
25	MS. ANDREWS: No.
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1	SPECIAL AGENT $(b)(7)(C)$: Has it been given
2	freely and voluntarily?
. 3	MS. ANDREWS: Yes.
4	SPECIAL AGENT (b)(7)(C) : Anything else you'd
5	like to add for the record at this time?
6	MS. ANDREWS: Are they going to look into
7	her falsified resume?
8	SPECIAL AGENT $(b)(7)(C)$: That issue has been
9	brought up. I'm not certain, the violation aspect of
10	that has to be determine.
11	MS. ANDREWS: Okay.
12	SPECIAL AGENT $(b)(7)(C)$: Whether that, you
13	know, falsifying a resume whether that's a violation
14	or whether there's a procedural tie-in there. So,
15	that will be looked at.
16	MS. ANDREWS: Okay. And what about the
17	trashing of Bert Bowers' office?
18	SPECIAL AGENT $(b)(7)(C)$: That
19	MS. ANDREWS: That's all in one?
20	SPECIAL AGENT $(b)(7)(C)$: That's relative to
21	the investigation and can't really speak on that
22	MS. ANDREWS: Okay.
23	SPECIAL AGENT $(b)(7)(C)$ because that's
24	being looked at.
25	MS. ANDREWS: All right. Just the foul
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language and the portamonitor and the frisking of the vehicles.

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Why can they -- they -- they have these company rented vehicles. When they come on site, they are allowed to go in and out of the RCA. They frisk their tires on the way out of the RCARMA. And then these vehicles go off site to lunch, to their homes or whatever. I've always had a concern that that's not really enough frisking on there. When it's muddy, raining out there, dirt and radioactivity could fall up into that. Everything that comes on site, goes off site according to procedure is supposed to go through 12 the gamma. So, why don't those vehicles, because they pick up wagons that, you know, like little wagons a 14 kid rides around in. We put equipment in there and 15 They'll put those wagons up in the take them out. 16 back of their truck and bring them out of the RCARMA 17 to stage-- who is frisking any of this stuff that goes 18 in the back? They don't do that. They don't frisk 19 anything that's in the back of these trucks. 20

SPECIAL AGENT (b)(7)(C) That sounds like an 21 inspection item that I will discuss that with the 22 inspection staff, (b)(7)(C)and folks there. That's 23 something they would have looked at when they came out 24 for their inspection so we'd have to follow up. That 25

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	45
1	, definitely sounds like an inspection-type activity
2	that they would be able to speak to.
3	MS. ANDREWS: Okay. All right.
4	SPECIAL AGENT $(b)(7)(C)$: The time is now 9:42
5	a.m. This interview is concluded.
6	(Whereupon, the above matter was concluded
7	at 9:42 a.m.)
8	
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CERTIFICATE

This is to certify that the attached proceedings before the United States Nuclear Regulatory Commission in the matter of:

Name of Proceeding: Interview of

Susan Andrews

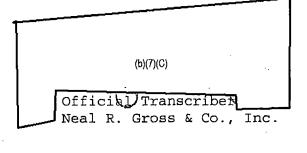
Docket Number:

1-2012-037

Location:

(b)(7)(C)

were held as herein appears, and that this is the original transcript thereof for the file of the United States Nuclear Regulatory Commission taken by me and, thereafter reduced to typewriting by me or under the direction of the court reporting company, and that the transcript is a true and accurate record of the foregoing proceedings as recorded on tape(s) provided by the NRC.



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EXHIBIT 6

Case No. 1-2012-037

Exhibit 6

1	UNITED STATES OF AMERICA
2	NUCLEAR REGULATORY COMMISSION
3	· + + + + · ·
4	OFFICE OF INVESTIGATIONS
5	INTERVIEW
. 6	x
7	IN THE MATTER OF: :
8	INTERVIEW OF : OI Case Nos.
9	(b)(7)(C) : 1-2012-032,
10	(CLOSED) : 1-2012-037
» . 11	x
12	Wednesday, June 13, 2012
13	
14	Tetra Tech Conference Room
15	Hunters Point Naval Shipyard
1.6	200 Fisher Avenue
17	San Francisco, CA 94124
18	
19	The above-entitled interview was conducted
. 20	at 3:07 p.m.
21	BEFORE:
22	Special Agent
23	
24	ALSO PRESENT:
25	(b)(7)(C) PAGE / OF Z 7 PAGE(S)
	NEAL R. GROSS
· · · ·	

P-R-O-C-E-E-D-I-N-G-S

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2 (3:07 p.m.) (b)(7)(C) SPECIAL AGENT 3 Today's date is Wednesday, June 13th, 2012. The time is currently 4 3:07 p.m. Pacific Standard Time. For the record this 5 is an interview of (b)(7)(C)6 who is 7 employed with AWS and working at the Hunters Point 8 Naval Shipyard in San Francisco, California. 9 The location of this interview is the Tetra Tech conference room onsite at Hunters Point in 10 I am (b)(7)(C) San Francisco, California. 11 а Special Agent with the Office of Investigations, U.S. 12 13 Nuclear Regulatory Commission, Region I Field Office in King of Prussia, Pennsylvania. 14 15 Also present during this interview is (b)(7)(C)(b)(7)(C) 16 who will also serve as 17 representative here today in the interview her process. We are here to discuss issues related to NRC 18 19 OI Case Number 1-2012-032 and 1-2012-037. Case Number 032 is regarding 20 21 a former who is no longer employed, was previously employed with New world Technologies here 22 at Hunters Point. He's no longer onsite here and has 23 24 raised claims of discrimination for raising safety 25 related concerns.

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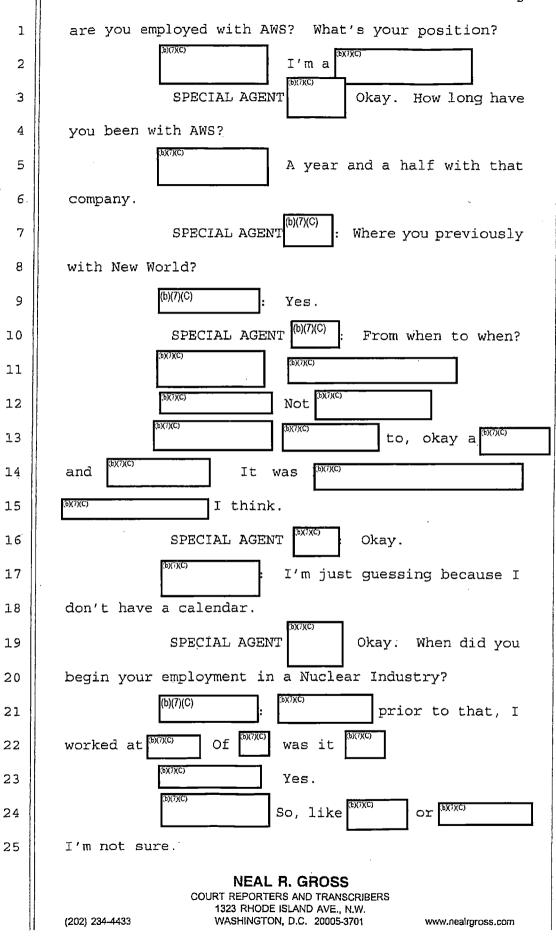
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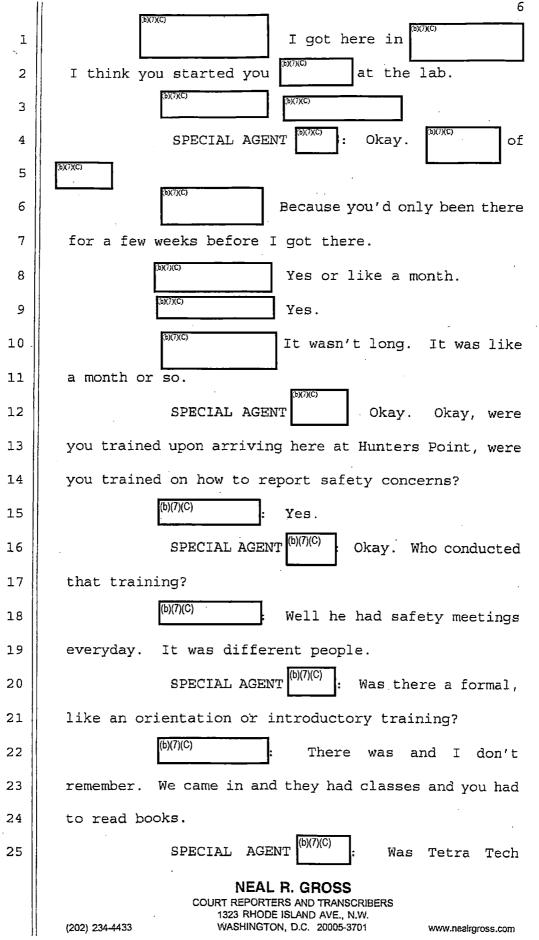
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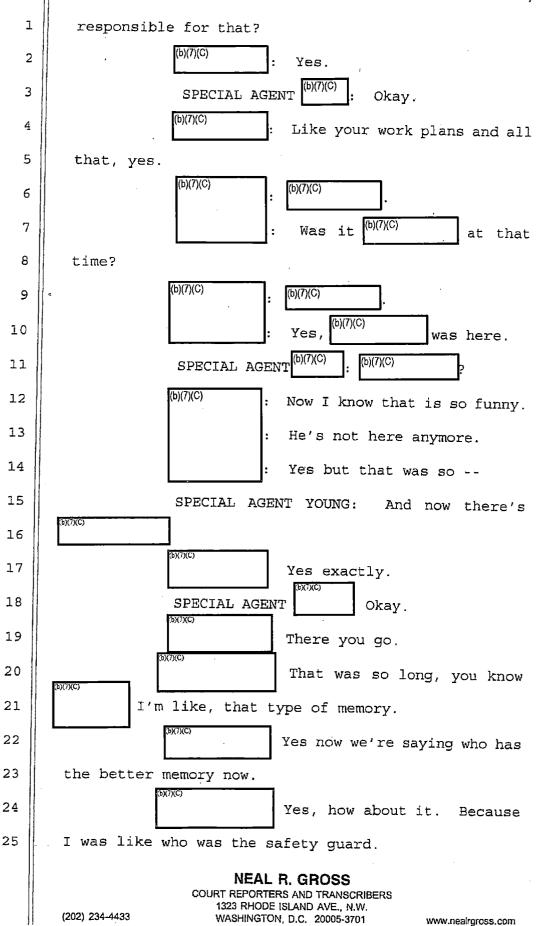
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l	Case Number 037 has to do with	
2	(b)(7)(C) who is no longer employed here as well and has	
3	also raised safety related issues. (b)(7)(C) claims	
4	of being terminated based upon raising safety related	
5	concerns. (b)(7)(C) was also a here.	
6	That will be the balance of our discussion	
7	here this afternoon. I must first inform you that the	
8	NRC strictly prohibits the recording or transmitting	
9	of this interview by any parties other then the NRC.	
10	Having said that, are you recording or transmitting	
. 11	this interview in any way?	•
12	(b)(7)(C) : No.	
13	SPECIAL AGENT $(b)(7)(C)$: Are you, sir?	•
1,4	(No response)	
15	. SPECIAL AGENT (b)(7)(C) : Okay. I take that	
16	as a no?	
17	(b)(7)(C) : That's a no.	
18	SPECIAL AGENT $(b)(7)(C)$ Okay, thank you. I	· ·
19	also want to advise you that under 18 United States	
20	Code, Section 1001, it's known as the False Statement	
21	Provision. And it essentially says, if you knowingly	
22	or willingly make any false, fictitious or fraudulent	
23	statements or representations and provide false	
24	information, you could be subject to prosecution under	
25	18 USC 1001, which that felony is punishable by a	
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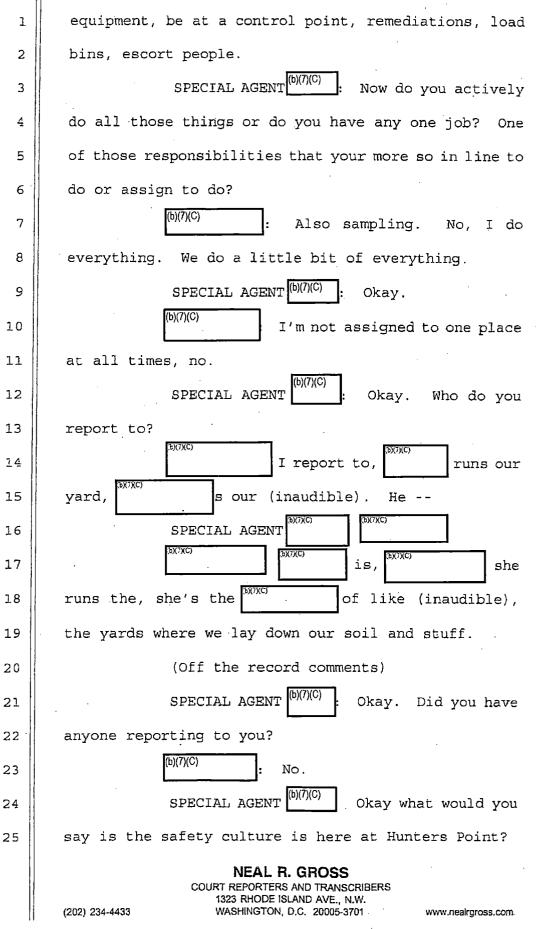
<pre>sentence of up to five years confinement and \$250,000 fine. That's not a threat, that is to say tha I'm a Special Agent with the US Government and it' important that what you disclose to me is forthrigh and truthful, and if we found that to be any differen we would have some concerns.</pre>
\$250,000 fine. That's not a threat, that is to say that I'm a Special Agent with the US Government and it' important that what you disclose to me is forthrighten and truthful, and if we found that to be any different we would have some concerns. SPECIAL AGENT (STR) 9 SPECIAL AGENT (STR) 9 OUT TIGHT hand. Do swear that the 10 please raise your right hand. Do swear that the 11 testimony you're about to provide is the truth, the 12 whole truth and nothing but the truth, so help you 13 god? 14 (STR) 15 SPECIAL AGENT (STR) 16 state your full name for the record, spell your last 17 name? 18 (STR) SPECIAL AGENT (STR) 19 SPECIAL AGENT (STR) 10 (OUT) 10 Okay. And you are
\$250,000 fine. That's not a threat, that is to say that I'm a Special Agent with the US Government and it' important that what you disclose to me is forthrighter and truthful, and if we found that to be any different we would have some concerns. 8 (b)(7)(C) : Okay. 9 SPECIAL AGENT (b)(7)(C) : Okay. If you coult 10 please raise your right hand. Do swear that the 11 testimony you're about to provide is the truth, the whole truth and nothing but the truth, so help you 13 14 (b)(7)(C) : I do. 15 16 16 17 18 (b)(7)(C) : Okay. And you are 19 SPECIAL AGENT (b)(7)(C) : Okay. And you are
 That's not a threat, that is to say that I'm a Special Agent with the US Government and it' important that what you disclose to me is forthright and truthful, and if we found that to be any different we would have some concerns. (b)(7)(G) : Okay. SPECIAL AGENT (b)(7)(G) : Okay. If you coult please raise your right hand. Do swear that the testimony you're about to provide is the truth, the whole truth and nothing but the truth, so help you god? (b)(7)(G) : I do. SPECIAL AGENT (b)(7)(G) : Thank you. Please state your full name for the record, spell your last name? (b)(7)(G) : Okay. And you are
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 8 9 9<
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<pre>15 SPECIAL AGENT</pre>
17 name? 18 19 SPECIAL AGENT (b)(7)(C) : Okay. And you are
17 name? 18 19 SPECIAL AGENT (b)(7)(C) : Okay. And you are
18
19 SPECIAL AGENT Okay. And you are
20 employed with AWS?
21 (b)(7)(C) Yes.
22 SPECIAL AGENT (b)(7)(C) . Okay. And that
23 stands for?
24 (b)(7)(C) : It's Aleut World Solutions
25 SPECIAL AGENT (b)(7)(C) : And what capacity
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1	SPECIAL AGENT ^{(b)(7)(C)} : And do you have to
2	annually re-certify on those training's? 'Particularly
3	as it relates to how you are to handle safety
4	concerns?
5	(b)(7)(C) : Well you do your HAZWOPER
6	every year.
7	SPECIAL AGENT (b)(7)(C) : Okay.
8	(b)(7)(C) : You have to have a 40 hour
9	HAZWOPER to be here and then you do your eight hour
10	refresher.
- 11	SPECIAL AGENT (b)(7)(C) : Okay.
12	(b)(7)(C) Every year.
13	SPECIAL AGENT $(b)(7)(C)$ And did the training
14	identify to you what safety related concerns were?
15	Like what's a safety related issue? Did it dilute it
16	all the way down to that common thread of what even a
17	safety issue is?
18	(b)(7)(C) Yes, and we were taught how
19	to use a fire extinguisher at one time. Like how to
20	actually sweep. So I would say, yes we were.
21	(Off the record comments)
22	SPECIAL AGENT Okay, and what are
23	your duties and responsibilities as a (b)()()
24	It's quite a few, you can do
25	anything. We can do surveys, we can do release of
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	10 .
. 1	(b)(7)(C) : Pretty safe, knock on wood.
2	We've had a lot of days, I think we're like in the
3	1,400 safety days. Is that what you're talking about?
4	SPECIAL AGENT (b)(7)(C) So pretty good?
5	(b)(7)(C) : Yes.
6	SPECIAL AGENT (b)(7)(C) : Does Tetra Tech
7	promote a safety conscious work environment?
8	(b)(7)(C) : Yes. We get safety awards
9	even.
10	SPECIAL AGENT (b)(7)(C) : Is it acceptable
11	here to report safety concerns to management? Is it
12	welcome and is it encouraged?
13	(b)(7)(C) : Yes. We have ZIP slips.
14	SPECIAL AGENT (b)(7)(C) : Okay. And we're
15	going to talk about those.
16	(b)(7)(C) : Okay.
17	SPECIAL AGENT (b)(7)(C) : So other then ZIP
18	slips is there any other mechanism that you can report
19	a safety concern? Is there a way you can log into the
20	computer system and generate?
21	In the Nuclear Plant where they call them
22	condition reports, some call them discrepancy reports.
23	Can you log on and put something in the computer
24	system or is the writing of a ZIP slip the only way to
25	report it?
	NEAL R. GROSS
	COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.
	(202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

_	(b)(7)(C)
1	Well other then verbally, the
2	ZIP slip is what we use here.
3	SPECIAL AGENT ORAY. SO VEIDAI,
Ą	ZIP slips.
5	i nave access to a computer,
. 6	if I had to do, that I could do that.
. 7	SPECIAL AGENT (b)(7)(C) Right.
. 8	(b)(7)(C) : I just never had.
9	SPECIAL AGENT ^{(b)(7)(C)} : Can this be done
10	anonymously, with the ZIP slips?
11	(b)(7)(C) : Yes. But they get awards
12	here, so. Everybody likes stuff.
13	SPECIAL AGENT (b)(7)(C) : What do they give
14	awards for?
15	(b)(7)(C) Like if you, well not awards,
16	they'll do a drawing. Say you have 15 people turning
17	in a ZIP slip. They'll have like a drawing, they'll
18	hold a hat and then give a \$10.00 coffee at Starbucks
19	gift card. So they encourage you to do that
20	SPECIAL AGENT (b)(7)(C) : Okay. Are you aware
21	of (b)(7)(C) ever raising safety concerns to
. 22	management?
· 23	(b)(7)(C) : Not personally, no.
24	SPECIAL AGENT (b)(7)(C) Did (b)(7)(C) ever
25	directly tell you that he reported safety concerns to
	NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS
	(202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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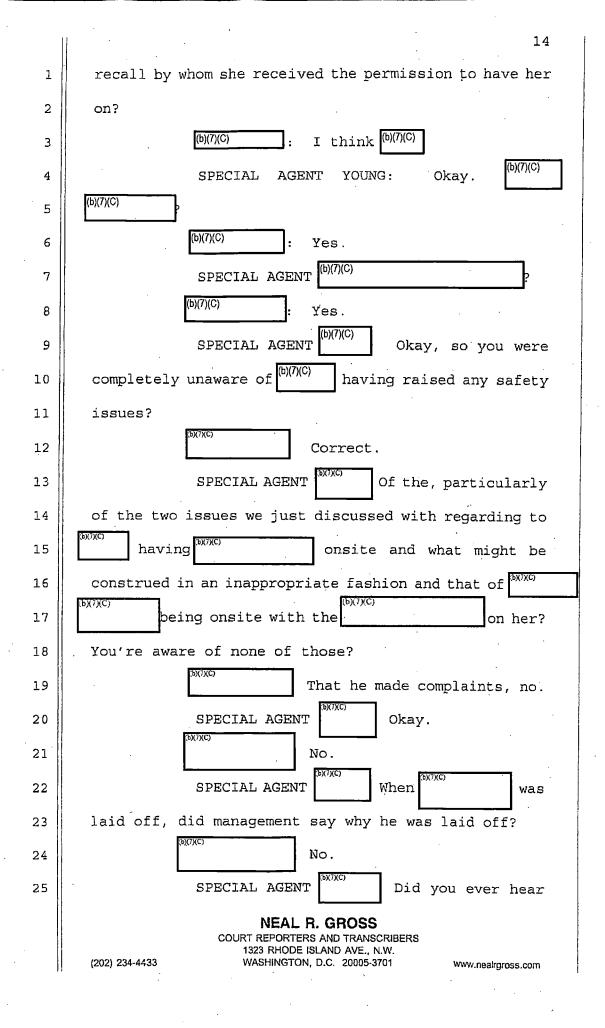
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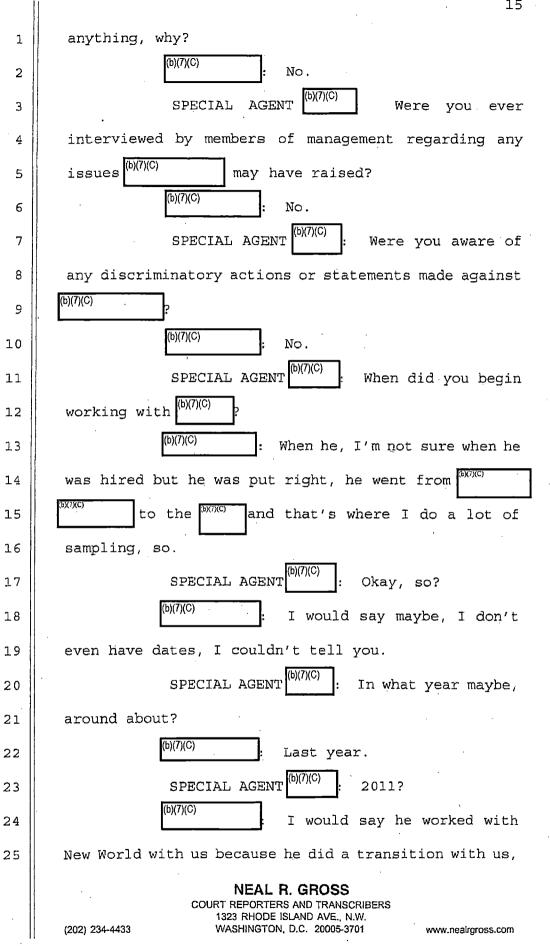
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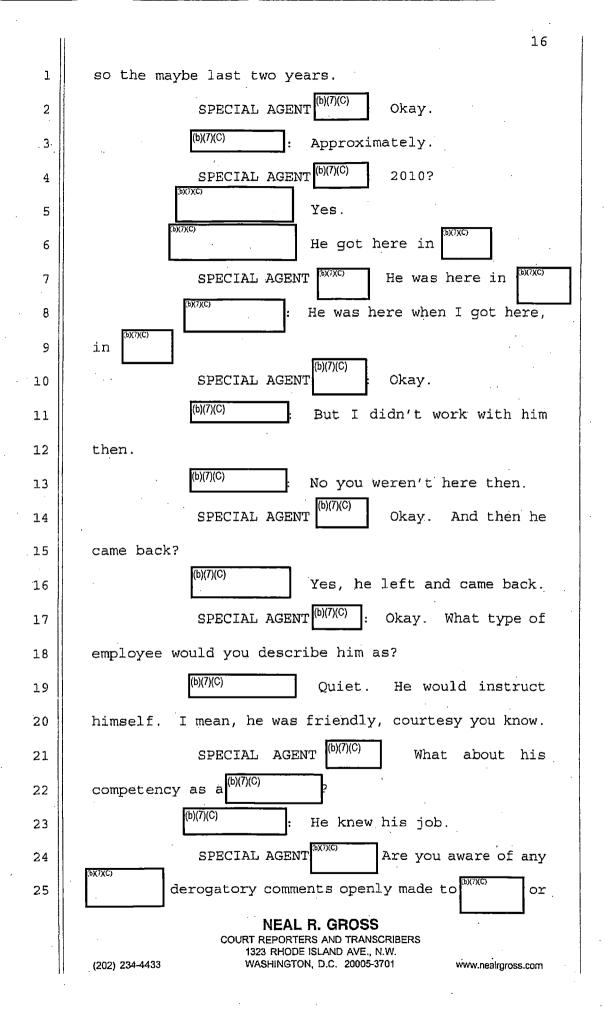
r

management? 1 (b)(7)(C) 2 No. SPECIAL AGENT (b)(7)(C) 3 Did he ever raise 4 them to, raise safety concerns to management in your 5 presence? (b)(7)(C) б No. (b)(7)(C) SPECIAL AGENT 7 Did anyone from (b)(7)(C) 8 management ever discuss with you raising 9 safety concerns? (b)(7)(C) 10 No. (b)(7)(C) SPECIAL AGENT 11 Okay, lets, I'm going to throw some things out here regarding the 12 nature of his concerns, and I want to see if it 13 resonates with you, maybe it's something you heard 14 15 before or you're aware of it. (b)(7)(C) 16 Any knowledge or recollection of (b)(7)(C) 17 being onsite in what appeared to be an (b)(7)(C) 18 you could on her? (b)(7)(C) No, I don't work with her so 19 20 I have no idea. I'd say no though. SPECIAL AGENT 21 Okay. Do you ever 22 recall bringing onsite and b)(7)(C) 23 not having a visitors badge or a TLD, and ' even an issue of her allegation that they had food 24 25 with them in the RCA? NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

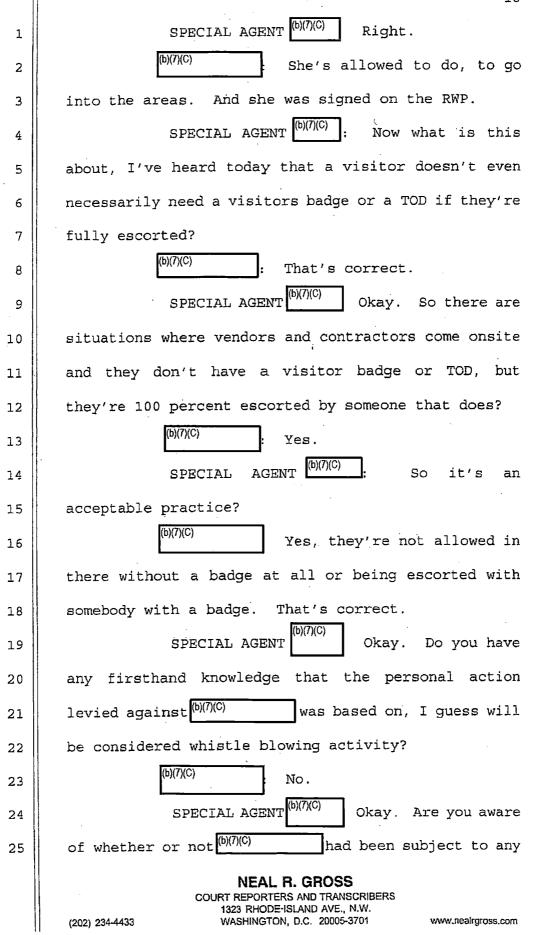
	13
- 1	bx7xC) No
2	SPECIAL AGENT Okay.
3	That be no.
4	SPECIAL AGENT No, so, to any of
5	those? You don't recall her bringing
6	onsite?
7	She's had bitter here
8	yes.
9	SPECIAL AGENT Okay.
10	But she did not have her in
11	the RCA with any food, no.
12	SPECIAL AGENT Okay. Now if she
13	had her onsite, could she have her onsite behind, I
14	guess the RCA zone in a vehicle? Have you ever
15	observed that, that she was in the car with
16	She was onsite, not sure if
17	she came in the area but she was being, potentially
18	going to be employed here in the lab. And she did
19	have permission to bring her around to show her were
20	we worked and stuff
21	SPECIAL AGENT Okay. Do you recall
22	when that was, when she had onsite?
23	I don't remember the exact
24	dates, no.
25	SPECIAL AGENT Okay. And do you
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	1323 RHODE ISLAND AVE., N.W.(202) 234-4433WASHINGTON, D.C. 20005-3701www.nealrgross.com



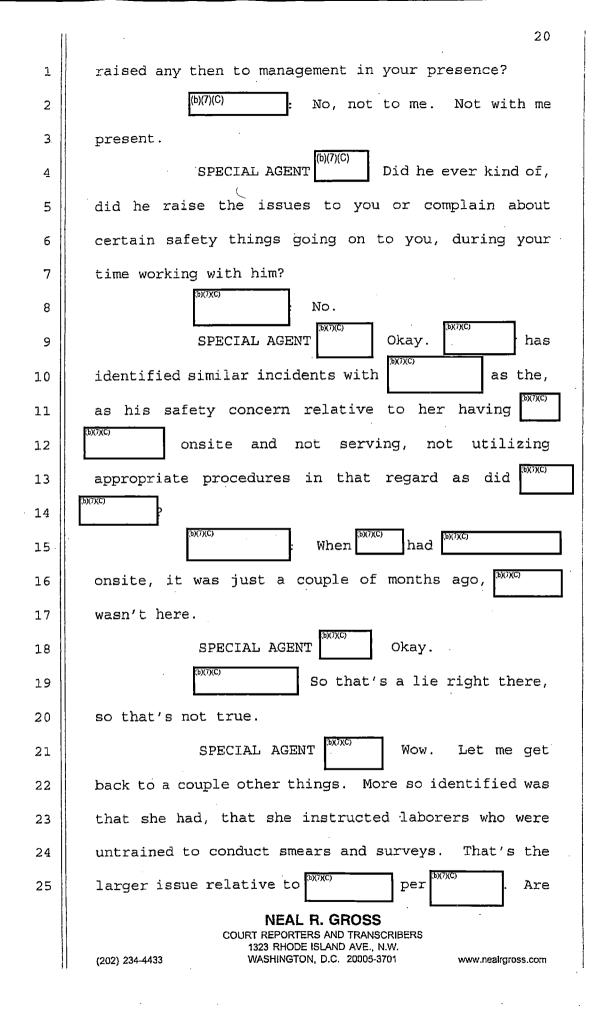


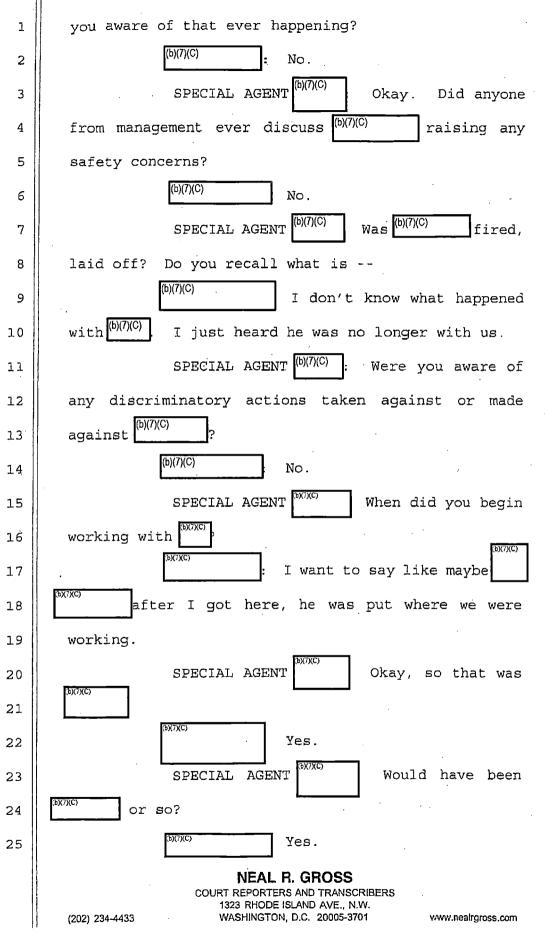


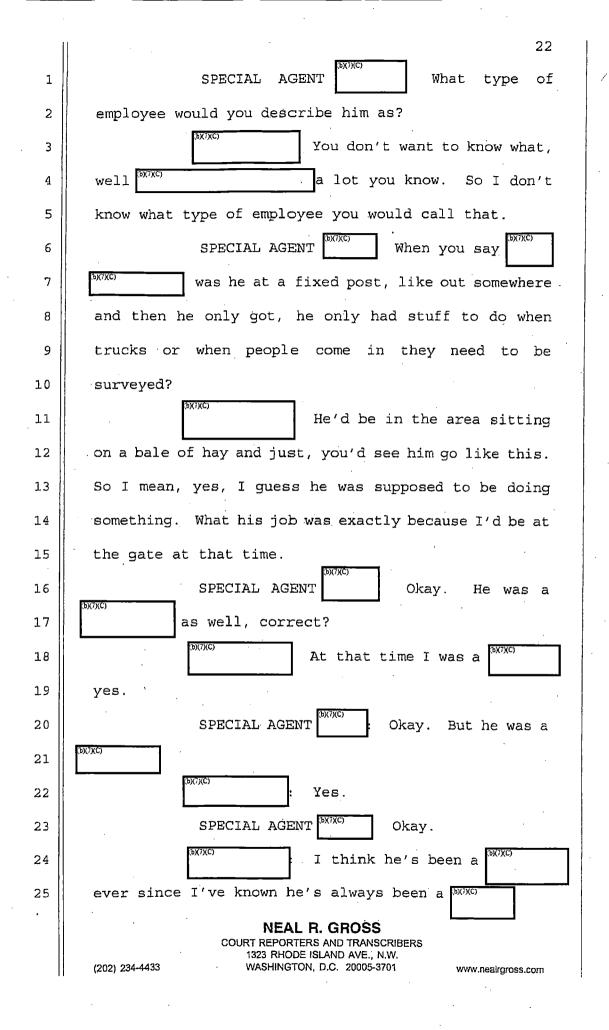
	17
1	about him, in the presence of other employees by
2	members of management?
З	(b)(7)(C) : No.
4	SPECIAL AGENT ^{(b)(7)(C)} : Okay. Were the
5	issues that I mentioned to you that $(b)(7)(C)$
6	raised, are those issues crossed referenced as a
7	violation somewhere in the policy and procedure?
8	Would both those things be, if they were true, be
9	legitimate violations somewhere?
10	Oh yes. That's
11	discrimination.
12	SPECIAL AGENT Well just the, but
13	the safety concerns that he had regarding (()()()) having
14	onsite, if she was onsite without the
15	appropriate credentials and so forth and the
16	allegation that had been drinking while at
17	work? Are those both, those things that occurred, are
18	those both violations?
19	Yes.
20	SPECIAL AGENT (b)(7XC) Okay.
21	Well I don't know about the,
22	being onsite
23	SPECIAL AGENT Right.
24	No, she was going to be an
25	employee here and was signed off on our RWP.
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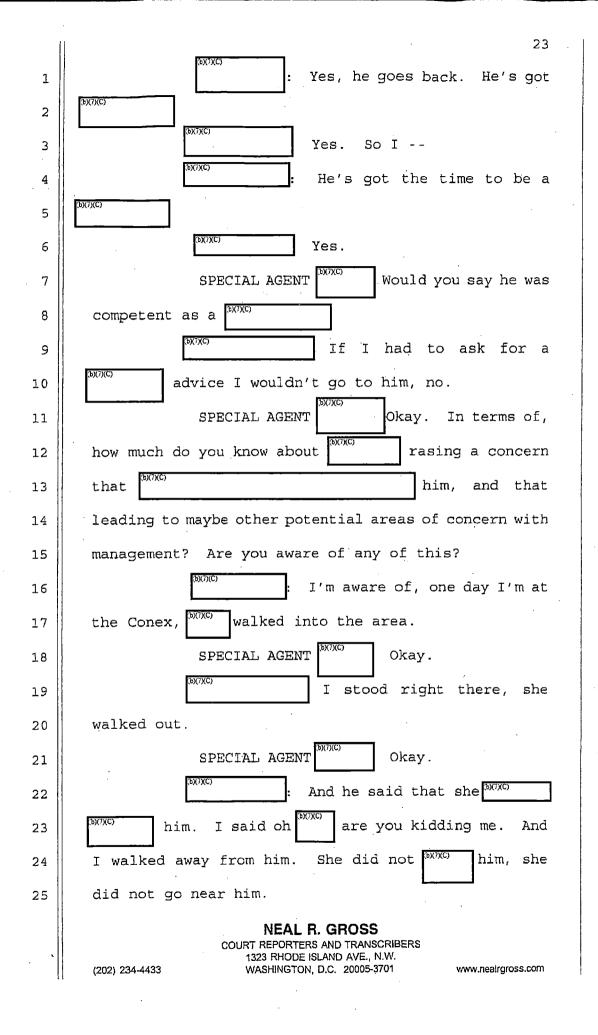


form of progressive disciple? Had he been written up 1 first or had he been having other problems that, 2 3 before the laid off occurred? (b)(7)(C) 4 Not that I know of. SPECIAL AGENT 5 We're going to take a brief recess. 6 (b)(7)(C) 7 Okay. SPECIAL AGENT 8 And the time is now 9 3:30 p.m., before we, returning to the record to address similar concerns with respect to (b)(7)(C)10 11 (Whereupon, the interview went off the 12 record at 3:30 p.m. and went back on the record at 13 3:35 p.m.) (b)(7)(C) 14 SPECIAL AGENT We are returning to the record at 3:35 p.m. Pacific Standard Time. 15 We're going to move now to discussing (b)(7)(C)16 as I 17 previously stated. How did you become aware or are (b)(7)(C) you aware of 18 ever raising safety concerns 19 to management? (b)(7)(C) 20 I'm not aware of that. SPECIAL AGENT 21 Okay. Did ever directly identify to you that he reported safety 22 23 concerns to management? (b)(7)(C) 24 No. (b)(7)(C) 25 SPECIAL AGENT Assuming he never NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.neairgross.com





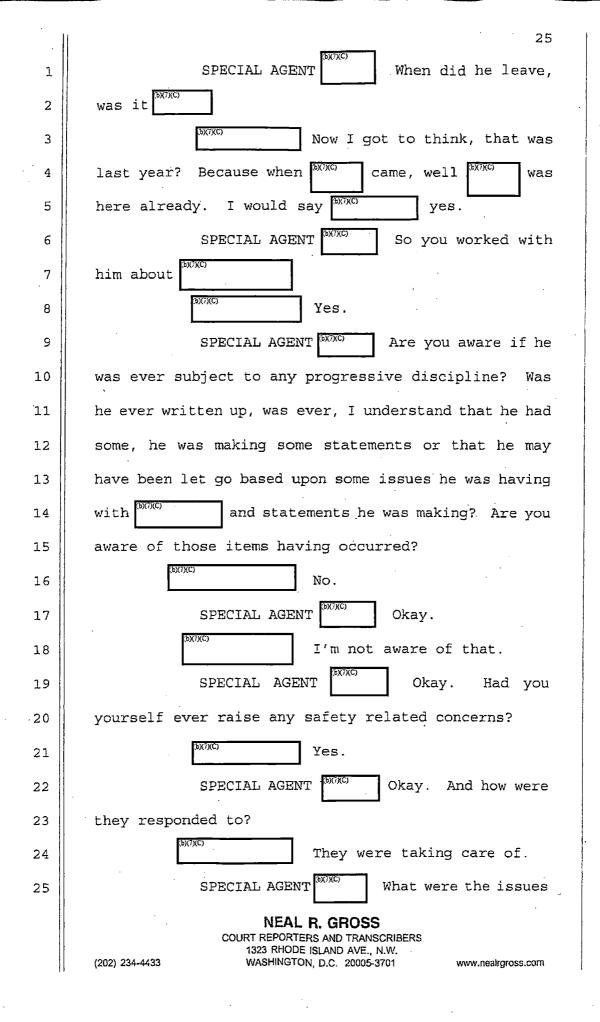




	24	
1	SPECIAL AGENT ^{(b)(7)(C)} : Did he report that	
2	to management?	
3	(b)(7)(C) : I don't know if he did,	
4	because I laughed in his face. They were, it was	
5	like, here's $(b)(7)(C)$ coming, $(b)(7)(C)$ went that way.	*
6	He stayed as far away from her as he can. So I don't	
7	even know where that came into play, but.	
. 8	SPECIAL AGENT Do you know if that,	
9	did it ever offer the appearance that management may	
10	have taken that seriously or that he was being treated	
11	unfairly as a result of even making those statements	
12	that she box him?	
13	(bx7)(C) He was never treated	
14	unfairly.	
15	SPECIAL AGENT Okay.	
. 16	I will say that and if he did	
17	make a complaint I'm sure they investigated it.	
18	Because management does not take anything lightly	
19	here.	•
20	(Off the record comments)	
21	SPECIAL AGENT Do you have any	
22	firsthand knowledge that the personal action levied	•
. 23	against had anything to do with	
. 24	whistleblowing activity or him raising issues?	
25	⁽³⁾ (7)(C) NO.	
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	26
1	and do you recall when you brought them up?
2	(b)(7)(C) : I just had on. I got a
3	and the windshield was total scratched, you
4.	couldn't see out of it. And they replaced it.
5	SPECIAL AGENT ^{(b)(7)(C)} : Okay. No brush back
6	or retaliation anything of that nature?
7	(b)(7)(C)
8	drawing, for the McDonald's card.
9	SPECIAL AGENT ^{(b)(7)(C)} : Okay. So you filled
10	out a ZIP slip?
11	(b)(7)(C) : Yes. Yes I did.
12	SPECIAL AGENT (b)(7)(C) Okay.
13	(b)(7)(C) And I also came and $got^{(b)(7)(C)}$,
14	well it was $(b)(7)(C)$ really and $(b)(7)(C)$ came and I said,
15	can you come look at this. And they saw what was
16	going on, so not just mine was replaced I think they
17	replaced two so far.
18	SPECIAL AGENT (b)(/)(C) : Okay. How much do
19	you know about assertions or information being put out
20	there that (b)(7)(C) had a fraudulent resume when she
21	came on the job?
22	^{(b)(7)(C)} : I don't know anything about
23	it.
24	SPECIAL AGENT (b)(7)(C) You don't know
25	anything about that?
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1	(b)(7)(C) : No, just anything personally			
2	about her prior history work and I know nothing about.			
3	SPECIAL AGENT (b)(7)(C) Okay. A couple of			
4	closing comments. Have I threatened you in any manner			
5	in exchange for your testimony?			
6	(b)(7)(C) = No.			
7	SPECIAL AGENT (b)(7)(C) Have I offered you			
8	any reward in exchange for your testimony?			
9	(b)(7)(C) : No.			
10	SPECIAL AGENT $(b)(7)(C)$ Has it been given			
11	freely and voluntarily?			
12	(b)(7)(C) Yes.			
13	SPECIAL AGENT ^{(b)(7)(C)} Is there anything			
14	else that you'd like to add at this time?			
15	(b)(7)(C) : NO .			
16	SPECIAL AGENT $(b)(7)(C)$: And are you aware of			
17	any other employees that related, that may have raised			
18	safety concerns and had some adverse impact from			
19	management as a result?			
20	(b)(7)(C) NO.			
21	SPECIAL AGENT (b)(7)(C) The time is now 3:46			
22	p.m. Pacific Standard Time. This interview is			
23	concluded.			
24	(Whereupon, the interview in the above-			
25	mentioned matter was concluded at 3:46 p.m.)			
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CERTIFICATE

This is to certify that the attached proceedings before the United States Nuclear Regulatory Commission in the matter of:

Name of Proceeding: Interview of

	(b)(7)(C)		
. '	1-201	2-019	/037

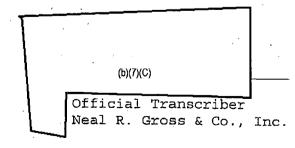
Docket Number:

.

Location:

San Francisco, California

were held as herein appears, and that this is the original transcript thereof for the file of the United States Nuclear Regulatory Commission taken by me and, thereafter reduced to typewriting by me or under the direction of the court reporting company, and that the transcript is a true and accurate record of the foregoing proceedings as recorded on tape(s) provided by the NRC.

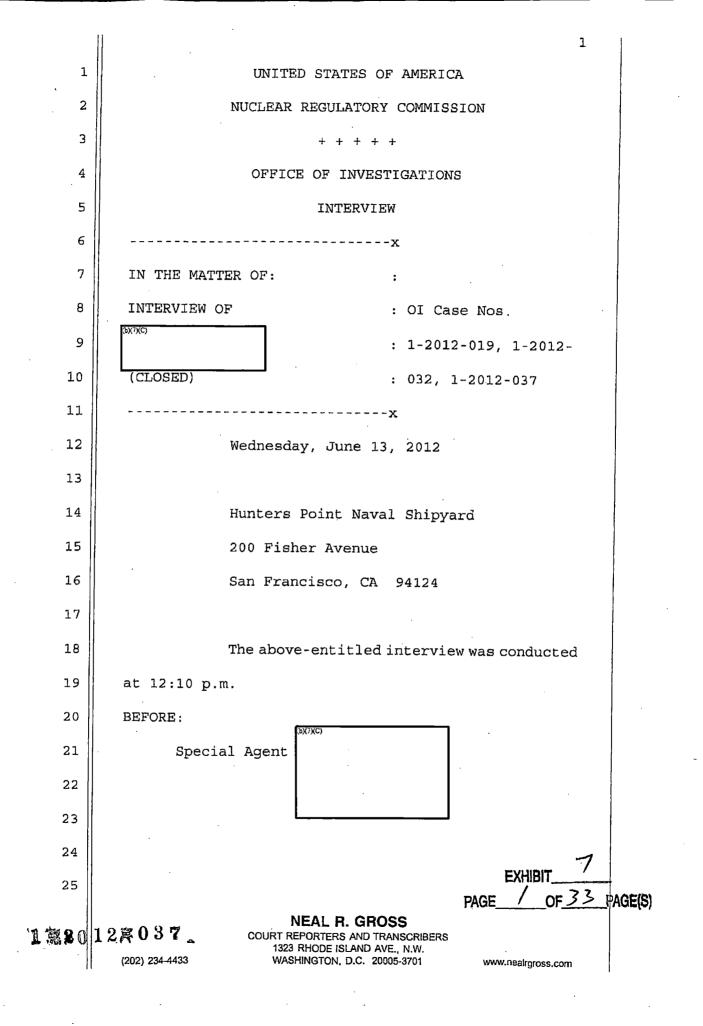


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(202) 234-4433

Case No. 1-2012-037



Case No. 1-2012-037

8 INTE 9 ELBE	UNITED STATES OF AMERICA NUCLEAR REGULATORY COMMISSION + + + + + OFFICE OF INVESTIGATIONS INTERVIEW THE MATTER OF: : ERVIEW OF : OI Case Nos.
2 3 4 5 6 7 1N 7 8 1NTE 9 ELBE 10 (CLC 11 12 13 14 15 16 17	NUCLEAR REGULATORY COMMISSION + + + + + OFFICE OF INVESTIGATIONS INTERVIEW THE MATTER OF: : ERVIEW OF : OI Case Nos.
3 4 5 6 7 1N 7 8 1NTE 9 ELBE 10 (CLC 11 12 13 14 15 16 17	+ + + + + OFFICE OF INVESTIGATIONS INTERVIEW THE MATTER OF: : ERVIEW OF : OI Case Nos.
4 5 6 7 1N 7 8 1NTE 9 ELBE 9 ELBE 10 (CLC 11 12 13 14 15 16 17	OFFICE OF INVESTIGATIONS INTERVIEW THE MATTER OF: : ERVIEW OF : OI Case Nos.
5 6 7 1N 1 8 1NTE 9 ELBE 10 (CLC 11 12 13 14 15 16 17	INTERVIEW THE MATTER OF: : ERVIEW OF : OI Case Nos.
6 7 IN 7 8 INTE 9 ELBE 10 (CLC 11 12 13 14 15 16 17	THE MATTER OF: : ERVIEW OF : OI Case Nos.
7 IN 7 8 INTE 9 ELBE 10 (CLC) 11 12 13 14 15 16 17	ERVIEW OF : OI Case Nos.
8 INTE 9 ELBE 10 (CLC) 11 12 13 14 15 16 17	ERVIEW OF : OI Case Nos.
9 ELBE 10 (CLC) 11 12 13 14 15 16 17	
10 (CLC) 11 12 13 14 15 16 17	
) 11 12 13 14 15 16 17	ERT BOWERS : 1-2012-019, 1-2012-
11 12 13 14 15 16 17	SED) : 032, 1-2012-037
13 14 15 16 17	x
14 15 16 17	Thursday, June 14, 2012
15 16 17	
16 17	Staybridge Suites
17	4775 Business Center Drive
	Fairfield, CA 94534
18	
	The above-entitled interview was conducted
19 at 1	0:46 a.m.
20 BEFO	RE:
21	Special Agent
, 22	
23	
24	
25	EXHIBIT 8
主题2012界(
(202) 23	PAGE OF 86 PAGE(S) NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

Case No. 1-2012-037

		1
1	UNITED STATES OF AMERICA	
2	NUCLEAR REGULATORY COMMISSION	
3		
4		12 010
5	(b)(7)(C)	
		12-032
6		12-037
7	Friday, January 25, 2013	
8	•	
9	Fisher & Phillips, LLP	
10	One Embarcadero Center, Suite 2040	
11	San Francisco, California 94111	
12		
13	BEFORE :	
. 14	()()(C) Special Agent	•
15	U.S. Nuclear Regulatory Commission	
16	Region I, Office of Investigation	
17	2100 Renaissance Boulevard, Suite	100
18	King of Prussia, Pennsylvania	
19	Telephone: 610-337-5339	
20	*	
21	FOR TETRA TECH and the WITNESS:	
22	TIMOTHY J. MURPHY, Esq.	
23	Fisher & Phillips	
24	San Francisco, California 94111	
25	Telephone: 415-490-9011	
	NEAL B GROSS	3IT
	1233 RHODE ISLAND AVE., N.W.	_OF_OT_PAGE(S)
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Case No. 1-2012-037

1	UNITED STATES OF AMERICA
2	NUCLEAR REGULATORY COMMISSION
3	
4	INTERVIEW OF : Case Nos. 1-2012-019
5	(B)(7)(C) : 1-2012-032
6	x 1-2012-037
. 7	Friday, January 25, 2013
. 8	
9	Fisher & Phillips, LLP
10	One Embarcadero Center, Suite 2040
. 11	San Francisco, California 94111
12	
13	BEFORE:
14	Special Agent
15	U.S. Nuclear Regulatory Commission
., 16	Region I, Office of Investigation
17	2100 Renaissance Boulevard, Suite 100
18	King of Prussia, Pennsylvania
19	Telephone: 610-337-5339
20	
21	FOR TETRA TECH and the WITNESS:
22	TIMOTHY J. MURPHY, Esq.
23	Fisher & Phillips
24	San Francisco, California 94111
25	Telephone: 415-490-9011 EXHIBIT /0
· 12 2 2012	NEAL R. GROSS PAGE OF 43 PAGE(S) COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

Case No. 1-2012-037



DEPARTMENT OF THE NAVY NAVAL FACILITIES ENGINEERING COMMAND SOUTHWEST 1220 PACIFIC HIGHWAY SAN DIEGO, CALIFORNIA 92132-5190

IN REPLY REFER TO:

10D0809 RAQB0.CK 24 June 2011

b)(7)(C VIA E-MAIL TO: Tetra Tech EC Inc Attn: (3)(7)(C) 1230 Columbia St, Suite 750 San Diego CA 92101

Subject: MODIFICATION 03 TO CONTRACT N62473-10-D-0809, CTO 0004, BASEWIDE RADIOLOGICAL SUPPORT AT HUNTERS POINT SHIPYARD, SAN FRANCISCO, CA

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Case No. 1-2012-037

N62473-10-D-0809 0004 (sswcak i 14578) Page 28 of 31

Record of Negotiations.

tions.

NonRepeate

exhibit____ ____OF____PAGE(S) PAGE

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Case No. 1-2012-037

	AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT	L CONTRACTID CODE	PAGE OF PAGES
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Е	8CEPTION TO \$F30 PPROVED BY OIRM 11-84	STANDARD FO	RM 30 (Rev. 10-83)
A	PPROVED BY OIRM 11-84	Prescribed by CS FAR (48 CFR) S	RM 30 (Rev. 10-83) 4 1,243
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. 8	201237	PAGE_/_	OF 67 F

Case No. 1-2012-037

	1
1	UNITED STATES OF AMERICA
. 2	NUCLEAR REGULATORY COMMISSION
3	+ + + +
4	OFFICE OF INVESTIGATIONS
5	INTERVIEW
6	x
7	IN THE MATTER OF: :
. 8	INTERVIEW OF : OI Case Nos.
9	(b)(7)(C) : 1-2012-019,
10	(CLOSED) : 1-2012-037
11	x
12	Wednesday, June 13, 2012
13	, , , , , , , , , , , , , , , , , , ,
14	Tetra Tech Conference Room
15	Hunters Point Naval Shipyard
16	200 Fisher Avenue
17	San Francisco, CA 94124
. 18	
19	The above-entitled interview was conducted
20	at 2:10 p.m.
21	BEFORE:
22	Special Agent
23	
24	EXHIBIT 14
25	PAGE / OF 39 PAGE(S)
	Image: New Sector of the se
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Case No. 1-2012-037

Case No. 1-2012-037

•		INVESTIGATION	STATUS RECORD	
Facility: Case Number:		NEW WORLD ENVIRONMENTAL, INC. 1-2012-032	Case Agent: Date Opened:	(b)(7)(C) 03/23/2012
Docket Number(s): Case Code: Primary Alleg Source: Allegation Number(s): Subject/Allegation:		03036174	ECD:	
		Materials/Other (A) Alleger RI-2011-A-0138 DISCRIMINATION AGAINST A HEAL	Priority: Status: TH PHYSICIST FOR HAV	High Case is closed ING RAISED SAFETY CONCERNS
Monthly Sta				
	On Dece working a remediat claims th individua terminate	mber 6, 2011, (b)(7)(C) as a subcontractor for Tetra Tech a ion site contacted NRC Region IV's at after raising safety concerns to h Is performing remediation/decomm ad by Tetra Tech (b)(7)(C)	t the Hunter's Point/Nav Rick MUNOZ to report is supervisor (b)(7)(C) issioning work and the c in late	a discrimination concern. (b)(7)(C) regarding the qualifications of
	The ARB discrimin program	, to include Regional Counsel, dete	rmined that(^{(b)(7)(C} had a ed access to the NRC's r repeated contact from	articulated a prima facie showing of Alternate Dispute <u>Resolu</u> tion (ADR)
	protection 06/2012.		n or about September 1	, 2015. Status: FWP ECD (90 days):
03/31/2012	interview continues	is reporting period, reporting agent ed during the reporting agent's next pending further investigative active D: 06/2012	t trip to the San Franciso	co Bay area. This investigation
04/30/2012	be sched next trip t agent is c	o the Northern California region. In	y 21, 2012-May 25, 2012 an effort to maintain a h n multiple cases originat	2, which will be the reporting agent's higher degree of efficiency, reporting ed at Hunters Point Naval Shipyard
05/31/2012	names of	is reporting period Concerned Indiv 2012. (b)(7) provided additional det potential witnesses. This investiga days): 06/2012.	alls with respect to his o	
06/30/2012	by the rep provided interviewe	porting agent in the very near future a small list of potential witnesses. A	 During his interview with predomination of the way 2012, in the San Francis 	ith the reporting agent, ^{(b)(7)(C)} ithesses identified by (b)(7)(C) co, CA bay area. This investigation
07/31/2012	priorities.	is reporting period, there has been Additionally, this investigation has D: 09/2012.		

04/19/2013 8:31:31 AM

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Page 1

INVESTIGATION STATUS RE	CORD
,	-

Facili	ty:
Case	Number:

NEW WORLD ENVIRONMENTAL, INC. 1-2012-032

Case Agent: Date Opened:

	(b)(7)(C)	
	03/23/2012	

03/19/2013 JANUARY ENTRY 2013:

•	Since last reporting period, on January 23, 2013, Radiological Survey and Remedial Services (RSRS) and ^{(b)(7)(C)}	RSRS were
	interviewed. On January 25, 2013, the following Tetra Tech personnel	were interviewed: (b)(7)(C)
· ·	(b)(7)(C)	
	(b)(7)(C) On January 30, 2013, (b)(7)(C)	New World
	Environmental Inc., was interviewed. Investigation is pending the rece	
	from Tetra Tech counsel. It is anticipated that after the witness intervie documentation are received the report will be prepared for submission ECD: 02/2013.	

03/28/2013 Since last reporting period, all active work has been completed. Investigation is currently being prepared for SAIC review. Due to working on other priority investigations, 1-2012-041 and 1-2012-057, the ECD has to be changed to April 30, 2013. Status: FWP ECD: 4/30/2013.

04/19/2013 Case was closed (unsubstantiated) and issued on April 19, 2013.

Completion Date:	Total Staff Hours:	116.5	· · · · · · · · · · · · · · · · · · ·
Issue Date:	Months Open:	13.1	
DOJ Action(s):	DOJ Referral Date:		·
OI Violation(s):	Statue of Limitations Date:	09/01/2015	
	,		

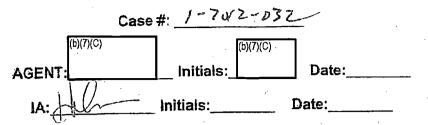
04/19/2013 8:31:31 AM

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PC / ISSUANCE REVIEW ROUTIN SLIP

CASE NO.: <u>1-2012-032</u>	RECEIVED AT OI:HQ: 06/17/2013
OPERATIONS OFFICER: (b)(7)(C) (b)(7)(C)	
	DATE: 7-18-13
OPERATIONS OFFICER RECOMMENDATION:	
File	
Copy of Post Issuance Review to Field	
Return to Field for Further Action/Issue C	Corrected Copy of Report
Forward to Deputy Director	
Forward to Director	
Comments:	
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	•

OI:RI BLUE CASE FILE CHECKLIST, EFFECTIVE 09/06/2012



AGENT RESPONSIBILITY:

OPEN CASE:

- [X] Completed Alleger Advisement on Identity Protection Form
- INCIC Information: Case File Marked with Red Dot
- [X] Draft NOV that triggered the investigation
- [X] Completed, Signed and Approved Investigative Plan

CLOSED CASE:

[] PII/Case Notes (Envelope)

- [] BC Wipe Audio Interview Files
- [] Delete Case Related Material from Computer Drive

IA RESPONSIBILITY:

- OPEN CASE:
- ARB Meeting Sheets
- [] Opening ISR
- [V] Checklist

CLOSED CASE:

[/] Completed Case Chrons Printout

• [V] Final ISR covering complete case history

[] Original ROI and Exhibits

INVESTIGATION STATUS RECORD (b)(7)(C) Case Agent: NEW WORLD ENVIRONMENTAL, INC. Facility: 03/23/2012 Date Opened: Case Number: 1-2012-032 ECD: Docket Number(s): 03036174 Priority: High Status: Case is closed Materials/Other Case Code: Primary Alleg Source: (A) Alleger RI-2011-A-0138 Allegation Number(s): DISCRIMINATION AGAINST A HEALTH PHYSICIST FOR HAVING RAISED SAFETY CONCERNS Subject/Allegation: Monthly Status Report: 03/23/2012 On December 6, 2011, ((b)(7)(C) (b)(7)(C) employed by New World Environmental, a contract working as a subcontractor for Tetra Tech at the Hunter's Point/Navy BRAC decommissioning and/or remediation site contacted NRC Region IV's Rick MUNOZ to report a discrimination concern. (b)(7)(C) claims that after raising safety concerns to his supervisor (b)(7)(C) regarding the qualifications of individuals performing remediation/decommissioning work and the conduct of such work, he was terminated by Tetra Tech (b)(7)(C) in late summer or early fall 2010. These concerns were discussed during a December 21, 2012, NRC:RI Allegation Review Board (ARB). The ARB, to include Regional Counsel, determined that (b)(7)(had articulated a prima facie showing of discrimination and that (b)(7) would be offered access to the NRC's Alternate Dispute Resolution (ADR) program or to have OI investigate. Only after repeated contact from the NRC staff did advise Ol that he declined ADR in favor of an Ol investigation. Potential violations include 10 CFR 50.5 (Deliberate misconduct) and 10 CFR 50.7 (Employee protection). The Statute of Limitations tolls on or about September 1, 2015. Status: FWP ECD (90 days): 06/2012. 03/31/2012 During this reporting period, reporting agent contacted ici who indicated his interest in being interviewed during the reporting agent's next trip to the San Francisco Bay area. This investigation continues pending further investigative activities in this matter, to include the alleger interview. Status: FWP ECD: 06/2012 04/30/2012 During this reporting period, reporting agent maintained contact with alleger C and an interview will be scheduled with (b)(7) for the week of May 21, 2012-May 25, 2012, which will be the reporting agent's next trip to the Northern California region. In an effort to maintain a higher degree of efficiency, reporting agent is conducting investigative activities on multiple cases originated at Hunters Point Naval Shipyard during each travel period to the west coast. Status: FWP ECD: 06/2012 05/31/2012 During this reporting period Concerned Individual (CI) (b)(7)(C) was interviewed in Scottsdale, AZ on May 31, 2012. (b)(7) provided additional details with respect to his discrimination claim as well as the names of potential witnesses. This investigation continues further investigative efforts. Status: FWP ECD (90 days): 06/2012. 06/30/2012 During this reporting period, OI:RI received CI transcript from May 31, 2012 interview with Transcript has been forwarded to RI Staff personnel for technical review and is scheduled to be reviewed by the reporting agent in the very near future. During his interview with the reporting agent, (b)(7)(C) provided a small list of potential witnesses. A predomination of the witnesses identified by (b)(7)(were interviewed during the week of June 12-16, 2012, in the San Francisco, CA bay area. This investigation continues pending additional field work in this matter. Status: FWP ECD: 09/2012. 07/31/2012 During this reporting period, there has been minimal field work completed due to other investigative priorities. Additionally, this investigation has been transferred to Special Agent (b)(7)(C) Status: FWP ECD: 09/2012.

06/18/2013 9:56:27 AM

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OFFICIA-JOE ONEY OF INVESTICATION INFORMATION

INVESTIGATION STATUS RECORD

Facility:	NEW WORLD ENVIRONMENTAL, INC.
Case Number:	1-2012-032

Case Agent: Date Opened: (b)(7)(C) 03/23/2012

08/31/2012 Since last reporting period, reporting agent received case transferred from OI:RI SA (b)(7)(C) who resigned from NRC to take a position with another federal agency. The Investigation will continue pending review of case documentation to determine witness interview schedule. Status: FWP ECD: 09/2012.

- 09/30/2012 Due to efforts expended on high priority, time sensitive and emergent investigations in Region I, the planned field work in Califiornia did not occur. Since last reporting period, documenation continues to be reviewed on this case and two other cases (1-2012-019 and 1-2012-037) that are interelated. It is anticipated that one trip will be made to the San Fransico, CA, area to complete all three cases. To allow for the completion of field work, the drafting, review and approval of the report of investigation the ECD is being extended to December 2012. Status: FWP ECD: 12/2012.
- 10/31/2012 Since last reporting period no additional work has been completed on this report. Reporting agent is in the process of identifying witnesses for this case as well as 1-2012-019 and 1-2012-037 in order to make one trip to conduct all interviews at one time. It is anticipated that a trip to San Francisco, CA, will be made in the near future. Status: FWP ECD: 12/2012.
- 11/30/2012 Since last reporting period, the alleger's transcript continues to be reviewed to determine possible witnesses and efforts are ongoing to schedule witness interviews in the San Francisco, CA, area. It is anticipated that witness interviews will take place in January 2013 and that all of the witness interviews for this investigation, as well as 1-2012-019 and 1-2012-037, will take place during this anticipated trip. Status: FWP ECD: 12/2012.

02/19/2013

DECEMBER ENTRY:

Since last reporting period, approximately (5) witness interviews have been scheduled for January 2013, in the San Francisco, CA, area. It is anticipated that after these witness interviews are completed the investigation will be ready to be prepared for submission to SAIC for review and release. In order to maximize efficiency and since there were higher priority cases (1-2012-022 and 1-2012-045) the ECD needs to be changed to February 2013. Status: FWP ECD: 02/2013.

03/01/2013 Since last reporting period, on February 25, 2013, ^{(b)(7)(C)} New World Environmental Inc, (NWE)Livermore, CA, was provided with a request to provide OI with an investigation that NWE conducted pertaining to ^{(b)(7)(C)} happropriate conduct while working at Hunters Point Naval Shipyard, Hunters Point, CA. ^{(b)(7)(C)} confirmed receipt of the request and advised that he would provide the requested document in the near future, Investigation is pending receipt of the document from NWE and preparation of written report for SAIC review. To allow for receipt and review of the licensee investigative report and the completion of the OI Report of Investigation, the ECD is being extended to March 31, 2013. Status: FWP ECD: 3/31/2013.

06/18/2013 9:56:27 AM

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	INVESTIGATION	STATUS RECORD	(b)(7)(C)
Facility: Case Number:	NEW WORLD ENVIRONMENTAL, INC. 1-2012-032	Case Agent: Date Opened:	03/23/2012
03/19/2013	JANUARY ENTRY 2013:		
	Since last reporting period, on January 23, 2 Radiological Survey and Remedial Services	2013, (b)(7)(C)	RSRS were
	interviewed. On January 25, 2013, the follow	ving Tetra Tech perso	
	(b)(7)(C)		
	(b)(7)(C) On January 30, 2013, (b)(7)(C)		New World

Environmental Inc., was interviewed. Investigation is pending the receipt of requested documentation from Tetra Tech counsel. It is anticipated that after the witness interview transcripts and requested documentation are received the report will be prepared for submission to SAIC for review. Status: FWP ECD: 02/2013.

03/28/2013 Since last reporting period, all active work has been completed. Investigation is currently being prepared for SAIC review. Due to working on other priority investigations, 1-2012-041 and 1-2012-057, the ECD has to be changed to April 30, 2013. Status: FWP ECD: 4/30/2013.

04/19/2013 Case was closed (unsubstantiated) and issued on April 19, 2013.

Completion Date:	04/19/2013	Total Staff Hours:	120.5
Issue Date:	04/19/2013	Months Open:	13.1
DOJ Action(s):		DOJ Referral Date:	
OI Violation(s):		Statue of Limitations Date:	09/01/2015

	TOFFICIA SE ONLY ONN	VESTIGATION	WORMAT DN
	CASE CH	RONOLOGY	
Facilit <u>y</u> : Case Number:	NEW WORLD ENVIRONMENTAL, INC. 1-2012-032	Case Agent: Date Opened:	(b)(7)(C) 03/23/2012
Date 04/30/2012	Activity fod reviewed,\. case agent needs to beging of	chron entries.	
06/06/2012	On May 31, 2012 reporting agent interviewed This investigation continues pending addition	d Concerned Ine nal investigative	dividual (CI) ^{(b)(7)(C)} in Scottsdale, AZ.
06/22/2012	Reporting agent conducted subsequent inter during the week of June 16-12, in the San Fi	views in this inv ancisco, CA Ba	restigative matter with various witnesses y Area.
	On or about June 26, 2012, reporting agent is subsequent memo were forwarded to the RI Additionally, five witnesses were interviewed <u>The interviewed witnesses</u> include: Bert BOV (b)(7)(C) . Additional witnesses un extenuating circumstances. This investigation	staff for approri during the wee WERS, (b)(7)(C) fortunately post	k of June 12, 2012, in San Francisco, CA.
	fod reviewed Received case from SA ^{(b)(7)(C)} due to) job change.	
09/11/2012	SAIC reviewed case chrons. Case transferre	ed to SA Ferich	
10/18/2012	fod reviewed case chrons and did case revie	w with SA	
11/15/2012	Left messages with several potential witness	es in order to s	chedule an interview.
11/21/2012		ch) wanted cour	sel present during their interviews.
11/27/2012	Received phone message from ^{(b)(7)(C)}	about represen	ting Tetra Tech employees.
11/27/2012	Scheduled interview with (b)(7)(C)		New World Enviromental, INC, on 1/30/2013.

j

(b)(7)(C)

NRC ADVISEMENT ON IDENTITY PROTECTION

- This advisement is provided to clarify the degree of protection which can be afforded by the NRC to a concerned citizen making an allegation:
- In resolving technical issues, the NRC in protecting your identity intends to take all reasonable efforts to not disclose your identity to any organization, individual outside the NRC, or the public unless:
- You have clearly indicated no objection to being identified.
- Disclosure is necessary because of an overriding safety issue.
- Disclosure is necessary pursuant to an order of a court or NRC adjudicatory authority or to Inform Congress or State or Federal agencies in furtherance of NRC responsibilities under law or public trust.
- Disclosure is necessary in furtherance of a wrongdoing investigation, including an investigation of harassment and intimidation (H&I) allegations.
- Disclosure is necessary to support a hearing on an enforcement matter.
- You take actions that are inconsistent with and override the purpose of protecting your identity.

If your allegation is that you have been discriminated against for having raised safety concerns, the NRC will normally disclose your identity during an NRC investigation if you are the victim of the discrimination.

For allegations involving wrongdoing (e.g., record tabification or other deliberate conduct in violation of NRC regulatory requirements), your identity may be disclosed at the NRC's discretion in order to pursue the investigation.

information provided under the Freedom of Information Act (FOIA) will, to the extent consistent with that act, be purged of names and other potential identifiers; however, disclosures may be necessary-under this act.

of my identity as explained in this document

Date: 0531'12)(7)(C)
Witness:	(Concerned Citizen)

TOTAL P.001

NRC OFFICE OF INVESTIGATIONS INVESTIGATIVE PLAN

CASE NO.: 1-2012-032

TITLE / FACILITY: H & I/ Hunters Point Naval Shipyard

ALLEGATION: (b)(7)(C)	
The CI formerly served as a Senior	employed by New
World Technologies (NWT); a subcontractor to Tetra Tech EC, Inc, v	which is the primary
contractor at the Hunters Point Naval Shipyard Decommissioning sit	e in San Francisco, CA. C
has alleged that he was removed from HPNSY for raising safety con	cerns to management.

SUBJECTS: (b)(7)(C) (Alleger)

POSSIBLE VIOLATIONS:

10 CFR 50.7 (Employee Protection) 10 CFR 50.5 (Deliberate Misconduct)

INVESTIGATIVE ISSUES:

Was Sr.^{(b)(7)(C)} terminated for raising safety concerns at the HPNSY?

INVESTIGATIVE STEPS:

-Interview CI.

-Interview members of management.

-Interview other officers with a knowledge of the safety concern and subsequent reporting thereof.

-Obtain CR and other system generated documents associated with the issues reported by CI. -Interview officers who may have also reported safety concerns or been involved in an equitable way.

-Obtain internal audit report as well as NRC safety inspection report

		1		
		ecial Agent		Date
				<u> </u>
			~	4/10/10
Prepared by:		5 C		
	(b)(7)(C)			,

Field Office Director

Date

1-2012-037

Page 1 of 2

-		TION REVIEW BOARD DIS RE REVIEWED AND APPR		=
	ation No.: RI-2011-A-0138 acility: Tetra Tech (sub-contractor: New Hunters Point, CA – N	World Environmental) – زیا Navy BBAC site	Branch Chief (A Hu LS JURK, A	•
ARB I	Tate: December 21 2011		Confidentiality	TELS LIGUISE, NIT Granted: No NEW
Conc	ern(s) Discussed:	ADR, OT TO OF	En - change	ILERS ARE WORLD
None Tech I	of the concerns have a securit to provide decommissioning an	y component. New World E ad radiological activities.	nvironmental has be	BUTA ARE NAC LICENSAES
(1)	The CI alleges that an individ Environmental, a sub contract this happened approximately as a ^{(b)(7)(C)} (This is	ctor for Tetra Tech, is not qua	alified and falsified h that this individual s	for New World her job history. Although till works at Hunters Point
(2)		oncern No. 1. According to who asked that the CI be rer World Environmental ^{(3)(7)(C)} because he had "gone o <u>ff o</u>	the CI, the ^(bx7xc) noved from the site. <u>n the Tetra T</u> ech(^{b)(7}	The CI was told
(3)	The CI alleges that field labor and collecting and preparing a similar concern to that raise	soil samples without any He	alth Physics oversig	
Does	alleger object to providing c	oncerns to the licensee vir	an RFI? No	
ALLE	GATION REVIEW BOARD AT	TENDEES		
	Lorson/Collins Branch Chief sel: Farrar Others	: Ferdas/Hammann SAC: L : Masnyk Bailey	Irban/McFadden	OI: (b)(7)(C) RI
DISPO	SITION METHOD (See Attac	hed RFI Worksheet, If Apr	licable)	
N/A _	RFI	Inspection or Investigation	Χ	Both
DISPO	SITION ACTIONS			
1.	Acknowledgment letter with D	OL rights if prima facie case	e made.	
	Responsible Person: Urban Closure Documentation:	/Ferdas		D: 1/5/2012 npleted:
2.	Perform inspection of Concer and qualified to perform the jo			

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and qualified to perform the job duties necessary for the safe remediation of the site. This will include a review of field laborers operation of air samplers and collection and preparation of soil samples. Inspection will be conducted in conjunction with RI-2011-A-0113 inspection planned the week of 1/9/12.

Responsible Person: Ferdas **Closure Documentation:**

ECD: 1/13/2012 Completed:

3. If regional counsel determines prima facie, offer CI ADR/OI/DOL rights.

Responsible Person: Urban

ECD: TBD

Closure Documentation:

4. Request that a Region IV inspector participate in January 2012 inspection with Region I. If issues involve only New World Environmental Region IV can continue with enforcement against their licensee.

Responsible Person: Ferdas **Closure Documentation:**

ECD: TBD

SAFETY CONCERN:

(Describe potential safety impact, assuming concern is true, which should be commensurate with ECDs above) Potential for radioactive contamination and/or sources to be released offsite or site improperly released for unrestricted use.

PRIORITY OF OI INVESTIGATION: High

RATIONALE USED TO DEFER OI DISCRIMINATION CASE:

ENFORCEMENT STATUTE OF LIMITATIONS CONSIDERATION:

(Only applies to wrongdoing & discrimination issues that are under investigation by OI/DOL/DOJ) What is the potential violation and regulatory requirement? 10 CFR 30.7 When did the potential violation occur? 2010 -2011 time frame

NOTES:

RI-2011-A-0113 contains similar concerns and DNMS to inspect the week of January 9, 2012.

The CI here has concerns about New World Environmental but the CI in R1-2011-A-0113 has attributed these same concerns to Tetra Tech. Tetra Tech is the lead decommissioning contractor and New World Environmental is their subcontractor. The last inspection report. 3/2010, for New World Environmental states that they are using their NRC license at Hunters Point as subcontractors to Tetra Tech. The individual who asked that the CI be terminated is the Tetra Tech (3)(7)(C) r. With Region IV inspector participating an inspection can be opened for New World and if concerns are substantiated Region IV can pursue enforcement action if needed.

DISTRIBUTION: Panel Attendees to include Region IV, Regional Counsel, OI, Responsible Persons

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ALLEGATION REVIEW BOARD DISPOSITION RECORD ARB MINUTES ARE REVIEWED AND APPROVED BY THE ARB CHAIR

Allegation No.: RI-2011-A-0113 Site/Facility: Navy - Hunters Point, CA (decommissioning site) Acknowledged: No ARB Date: 12/21/2011

Concern(s) Discussed:

Confidentiality Granted: NO. email sent to OI IND GALLE

1. Cl alleges that she was terminated by Tetra Tech for "Attempts to address/correct observations considered adverse to industry standard radiation safety practices as well as regulatory license compliance...". Also, CI contends that she was fired after "participating as a silent witness" in discrimination hearing for another (b)(7)(C) Tetra Tech employee.

Security Category: N/A

Does alleger object to providing concerns to the licensee via an RFI? Need to find out from Allegations and/or OL

ALLEGATION REVIEW BOARD ATTENDEES

Chair: Lorson Branch Chief: Ferdas/Hammann SAC: Urban RI Counsel: Farrar Others: Masnyk Bailey

DISPOSITION METHOD (See Attached RFI Worksheet, If Applicable)

RFI N/A

Inspection or Investigation

Both

Branch Chief (AOC): Ferdas

DISPOSITION ACTIONS

1. Acknowledgment letter update

Responsible Person: Urban/Ferdas **Closure Documentation:**

2. Determine if prima facie case.

Responsible Person: Closure Documentation: ECD: Completed:

Completed:

ECD:

SAFETY CONCERN: Chilling effect on contractor staff

PRIORITY OF OI INVESTIGATION: High

RATIONALE USED TO DEFER OI DISCRIMINATION CASE:

ENFORCEMENT STATUTE OF LIMITATIONS CONSIDERATION:

(Only applies to wrongdoing & discrimination issues that are under investigation by OI/DOL/DOJ) What is the potential violation and regulatory requirement? When did the potential violation occur?

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NOTES:

Repanel of RI-2011-A-0113. CI sent in letter clarifying previous concerns and added this concern.

DISTRIBUTION: Panel Attendees, Regional Counsel, OI, Responsible Persons

Teator, Jeffrey

From: Sent: To: Subject: (b)(7)(C) <u>Wednesday, M</u>arch 21, 2012 5:29 PM (b)(7)(C) RE: RI-2011-A-0138 - Tetra Tech

No Problem. Thanks.

From: (b)(7)(C) Sent: Wednesday, March 21, 2012 5:23 PM To: (b)(7)(C) Subject: RE: RI-2011-A-0138 - Tetra Tech

Thanks - you will be getting this case.

From: Sent: Wednesday, March 21, 2012 3:05 PM To:(b)(7)(C) Subject: RE: RI-2011-A-0138 - Tetra Tech

(b)(7)(C)

I just got off the phone with the CI in the below matter. He has indicated his desire for an OI investigation and also identified that he will acknowledge that desire to Sharon Johnson in Allegations, immediately

(b)(7)(C)

(b)(7)(C)

(b)(7)(C)

Special Agent U.S. Nuclear Regulatory Commission Office of Investigations Region-I Field Office 475 Allendale Road King of Prussia, PA 19406 (\$\overline{\mathcal{Symmetry}} (\$\overline{\mathcal{Symmetry}}) Direct (\$\overline{\mathcal{Symmetry}}) Fax

Mobile

From: (b)(7)(C) Sent: Wednesday, March 21, 2012 2:34 PM To: (b)(7)(C)

Subject: FW: RI-2011-A-0138 - Tetra Tech

(b)(7)(C) - If you have yet to act of this, please do so near term and advise me of the results of the call. Thanks,

United States Nuclear Regulatory Commission Office of Investigations Region 1 475 Allendale Rd

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King of Prussia, PA 19406 Office Blackberry (b)(7)(C) From: (b)(7)(C) Sent: Tuesday, March 20, 2012 9:44 AM To: (b)(7)(C) Subject: FW: RI-2011-A-0138 - Tetra Tech (b)(7)(C) - see below - please contact the CI on whether CI has chosen ADR or wants OI to investigate. Tell the CI that the NRC needs an answer soonest. Thanks, (b)(7)(C) United States Nuclear Regulatory Commission Office of Investigations Region I 475 Allendale Rd King of Prussia, PA 19406 Office (%)(7)(C) Blackberry (b)(7)(C) From: (b)(7)(C) Sent: Tuesday, March 20, 2012 9:42 AM To: Johnson, Sharon Subject: RE: RI-2011-A-0138 - Tetra Tech has the tetra tech and hunters point cases – he is on leave today – I will have him Thanks Sharon · contact the CI tomorrow. (b)(7)(C) United States Nuclear Regulatory Commission Office of Investigations Region I 475 Allendale Rd King of Prussia, PA 19406 Office (BX7)(C) Blackberry (b)(7)(C) From: Johnson, Sharon Sent: Tuesday, March 20, 2012 8:59 AM To:^{(b)(7)(C)} Cc: Urban, Richard; Johnson, Sharon Subject: FW: RI-2011-A-0138 - Tetra Tech Importance: High

2

FRIENDLY REMINDER

Know you have been busy

Thanks SLJ From: Johnson, Sharon Sent: Eriday_March 02, 2012 10:55 AM Tot^{[(b)(7)(C)} Cc: Urban, Richard; Johnson, Sharon Subject: RI-2011-A-0138 - Tetra Tech Importance: High

(b)(7)(C)

This CI was offered ADR, OI and DOL in a "certified" letter dated 1/5/2012. The CI signed for the "certified" letter on 1/13/2012.

On 2/13/2012 I attempted to contact the CI via telephone - indication that the telephone mail box was FULL.

I spoke with Rick about this and we agreed to send an email asking CI's decision regarding ADR via email. I sent an email on 2/13/2012.

To date, 3/2/2012, our office has not received a response from this CI.

Please consider taking this for your action.

Thanks Much

Sharon Law Johnson Allegation Assistant 610-337-5374



UNITED STATES NUCLEAR REGULATORY COMMISSION OFFICE OF INVESTIGATIONS FIELD OFFICE, REGION I 2100 RENAISSANCE BLVD. KING OF PRUSSIA, PA 19406-2745

	April 19, 2013	(b)(7)(C)
MEMORANDUM	TO: William M. Dean, Regional Administrator Region I	
FROM:	(b)(7)(C) Office of Investigations Field Office, Region	Γ
SUBJECT:		

NEW WORLD ENVIRONMENTAL, INC.: DISCRIMINATION AGAINST A FOR HAVING RAISED SAFETY CONCERNS (CASE NO. 1-2012-032/ALLEGATION NO. RI-2011-A-0138)

Enclosed, for whatever action you deem appropriate, is the Office of Investigations (OI) Report of Investigation concerning the above matter.

Please note that documents may have been gathered during the course of the investigation that are not included in either the report or the exhibits. This additional documentation would be maintained in the OI case file and available for the staff's review upon request.

Neither this memorandum nor the report may be released outside the NRC without the permission of the Director, OI. Please ensure that any internal office distribution of this report is controlled and limited only to those with a need to know and that they are aware of the sensitivity of its contents. Treat as "Official Use Only – OI Investigation Information."

Enclosures: report w/exhibits

cc w/encl: R. Zimmerman, OE

cc w/o encl: M. Spencer, acting OGC M. Satorius, FSME

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Title: NEW WORLD ENVIROMENTAL, INC.

DISCRIMINATION AGAINST A FOR HAVING RAISED SAFETY

Licensee:

New World Environmental, Inc. 448 Commerce Way Livermore, CA 94551 Report Date: April 19, 2013

Case No.: 1-2012-032

Control Office: OI:RI Status: CLOSED

Docket No.: 03036174

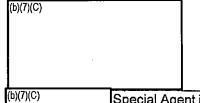
Allegation No.: RI-2011-A-0138

Reported by:

(b)(7)(C)	
(b)(7)(C)	
(0)(7)(0)	Special Agent

Onice or investigations Field Office, Region I

(b)(7)(C) former Special Agent Office of Investigations Field Office, Region I Reviewed and Approved by:



^{(b)(7)(C)} Special Agent in Charge Office of Investigations Field Office, Region I

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SYNOPSIS

This investigation was initiated by the U.S. Nuclear Regulatory Commission (NRC), Office of Investigations (OI), Region I (RI) Field Office, King of Prussia, PA, on March 23, 2012, to determine whether a contract (b)(7)(C), working at the Hunters Point Naval Shipyard (HPNS), Hunters Point, CA, remediation site, was subjected to harassment and discrimination for raising safety concerns. The (b)(7) was a subcontractor (New World Environmental, Inc. (NWE) working for Tetra Tech (TT), Pasadena, CA, who is the prime contractor for the HPNS remediation site. The (b)(7) alleged that after raising concerns to a HP DEC(C) TT, regarding the qualifications of laborers performing remediation work on site, his/her employment was terminated by the TT, (b)(7)(C) at HPNS.

Based upon the evidence developed during the course of this investigation, OI did not conclude that the $\binom{b}{C}$ was discriminated against for raising nuclear safety concerns.

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TESTIMONIAL EVIDENCE

	Exhibit
(b)(7)(C) Hunters Point Naval Shipyard (HPNS), Hunters Point, C	Tetra Tech (TT), A
BOWERS, Elbert (Bert), former RSO, TT, HPNS	
(b)(7)(C)TT, HPNS	
(b)(7)(C) New Livermore, CA	v World Environmental (NWE),
(b)(7)(C)	NWE, HPNS 4
(b)(7)(C) TT, HF	PNS 10
(b)(7)(C) Aleut World Solutions (AWS), Ancho	orage, Alaska, HPNS5
(b)(7)(C) TT, HPNS	9
(b)(7)(C) AWS, HPNS	

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Case No. 1-2012-032

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Case No. 1-2012-032

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DOCUMENTARY EVIDENCE

New World Environmental, Inc. (NWE), Memorandum, dated August 23, 2010. Memorandum indicates that (b)(7)(C) NWE, was terminated from employment as a result of making a disparaging remark about (b)(7)(C) Tetra Tech, Inc. (TT) (Exhibit 14).

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DETAILS OF INVESTIGATION

Applicable Regulations

10 CFR 30.10: Deliberate misconduct (2010 Edition) 10 CFR 30.7: Employee protection (2010 Edition)

Purpose of Investigation

This investigation was initiated by the U.S. Nuclear Regulatory Commission (NRC), Office of Investigations (OI), Region I (RI) Field Office, King of Prussia, PA, on March 23, 2012, to determine whether (b)(7)(C) working at the Hunters Point Naval Shipyard (HPNS), Hunters Point, CA, remediation site, was subjected to harassment and termination for raising safety concerns (b)(7)(C) was a subcontractor (New World Environmental, Inc. (NWE)) working for Tetra Tech (TT), Pasadena, CA, who is the prime contractor for the remediation site at HPNS. (b)(7)(C) alleged that after raising concerns to TT, regarding the qualifications of laborers performing remediation work on site, his employment was terminated by (b)(7)(C) TT, (b)(7)(C)

Background

On December 6, 2011, ^{(b)(7)(C)} contacted the NRC:RIV, Allegations office to report that he was harassed, and subsequently terminated for raising safety concerns regarding the qualifications of individuals performing remediation work at HPNS. (b)(7)(C) concern was forwarded to the NRC:RI Allegation Review Board (ARB) due to NRC:RI having oversight of military base and realignment commission (BRAC) sites. On December 21, 2011, a RI ARB met to consider discrimination allegation at which time it was determined that (b)(7)(C) articulated a prima facie showing. Only after repeated contact from the RI staff did (b)(7)(C) decide that he wanted an OI investigation in lieu of participating in the NRC Alternative Dispute Resolution (ADR) program (Exhibits 2 and 3).

Allegation: Discrimination Against a (b)(7)(C) for Having Raised Safety Concerns

Agent's Analysis of the Evidence

Sworn Testimony of	(Exhibit 4)		
AV770	ЪХ7)(С)	(V, /, C)	(b)(7)(C)
stated he worked	al lead was Bert BOWE		Officer (RSO), TT, and his
immediate (D)(7)(C)	/as ^{())()(C)}	, тт.	<u>_^{(b)(7)(C)}</u> stated in ^{(b)(7)(C)} he
made a complaint with	(b)(7)(C)	(b)(7)(C)	NWE, stating that
^{(5)(7)(C)} NWE,	sexually hai	rassed him.	ported that in approximately

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he informed that had laborers mishandle asbestos.	
stated that several days before getting fired (D)(XC) he approached (D)(XC) and informed	t
using laborers at the HPNS site that were not properly trained to move radiologically	
contaminated soil. ^{(3X7)(C)} related that the next day visited him and asked him if he had called ^{(3X7)(C)} a ^{(3)(7)(C)} and if he had ^{(3)(7)(C)} on a <u>co-worker</u> .	ł
^{(b)(7)(C)} I that he had not ^{(b)(7)(C)} on anyone and that ^{(b)(7)(C)} success at NWE was based	
on where she stayed and not her credentials. (b(7)(C) noted that several hours later (b)(7)(C) informed him that he had been fired. (b)(7)(C) advised that (b)(7)(C) , contract (b)(7)(C)	
Aleut World Solutions (AWS), had also approached (b(1)(C) about (b(1)(C) using unqualified	
laborers and that had approached approached about about inappropriate actions with the unqualified laborers (pp. 7-14, 17-24, and 29-30).	1
AGENT'S NOTE: At the time of this incident was residing with	
(6x(7xC) TT, HPNS. (6x(7xC) never denied	
calling [^{b(x)(C)}] a " ^{(b(x))(C)}] throughout his testimony (Exhibit 4, p. 31).	
According to $\frac{(b)(7)}{(C)}$, he reported to that that that the presume was not accurate and that $\frac{(b)(7)(C)}{(b)(7)(C)}$ was using unqualified laborers to handle radiologically contaminated soil (Exhibit 4, pp. 11 and 17).	
Management Knowledge	
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	
(b)(7)(C) reported that (b)(7) did not make a complaint to him regarding using	
unqualified workers to move waste and conduct samples. (b)(7)(C) noted that (b)(7)(C) lid inform	
him that (b)(7)(C) failed to monitor an air sampler stationed on site that was designated to collect data which violated radiological surveillance protocols (Exhibit 9, pp. 56-57).	
(b)(7)(C) stated that on one occasion (nfi)(b)(7)(C) nformed(b)(7)(C) that (b)(7)(C) had laborers	
mishandle asbestos. (b)(7)(C) noted that (b)(7)(C) subsequently informed him (b)(7)(C) prior to (b)(7)(C) termination, of the concern (b)(7)(C) had brought forward (Exhibit 10, p. 22).	ſ
(b)(7)(C) stated that $\frac{(b)(7)}{(C)}$ did approach him about $\frac{(b)(7)(C)}{(C)}$ resume not being accurate.	
also noted that (b)(7)(C) informed him that (b)(7)(C) had laborers transport a drum containing asbestos to the radiological screening yard which was not permitted. (b)(7)(C) related	4
upon hearing this information, he and (b)(7)(C) proceeded to the site and had the truck	•
containing the asbestos removed. $(b)(7)(C)$ advised that $(b)(7)(C)$ also informed him that $(b)(7)(C)$ was using unqualified laborers to move radiological waste (Exhibit 11, pp. 21-27).	
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Adverse Act
employment was terminated on August 23, 2010.
Nexus: Was discriminated against for raising safety concerns?
Aleut World Solutions (AWS), indicated that he is a $(3,7,7,7,7)$ and has been working for AWS at the HPNS site for approximately $(3,7,7,7,7,7,7,7,7,7,7,7,7,7,7,7,7,7,7,7$
advised that she is a strip employed by AWS working at the HPNS site. was not aware that $(b(7)(C)$ reported safety concerns to management. $(b(7)(C)$ noted that she was not aware that $(b(7)(C)$ had identified $(b(7)(C)$ as the individual permitting unqualified labors to conduct smears/surveys of radiologically contaminated soil. $(b(7)(C)$ was also not aware of any discriminatory actions that were taken against $(b(7)(C)$ or raising safety concerns. $(b)(7)(C)$ noted that on one occasion $(b)(7)(C)$ walked into an area where she (b)(7)(C) was located and subsequently left the area. $(b)(7)(C)$ was approached by $(b)(7)(C)who indicated that (b)(7)(C) had brushed up against him (b)(7)(C) (b)(7)(C) stated she wasthere the entire time and at no time did (b)(7)(C) brush up or touch (b)(7)(C) (Exhibit 6, pp. 4-5 and19-24).$
Elbert (Bert) BOWERS, former RSO, TT, HPNS, advised that $[b)(7)(C)$ never raised safety concerns to management in his (BOWERS) presence. BOWERS related that $[b)(7)(C)$ informed him, after he $[b)(7)(C)$ had been terminated, that unqualified laborers were collecting soil samples instead of qualified HPTs. BOWERS noted that $[b)(7)$ told him (BOWERS) that he $[b)(7)(C)$ informed $[b)(7)(C)$ of the use of unqualified laborers that were tasked to collect soil samples. BOWERS believes $[b)(7)(C)$ was retaliated against because of an incident where $[b)(7)(C)$ reported to $[circa^{[b)(7)(C)}$ that he $[b)(7)(C)$ was being sexually harassed by $(b)(7)(C)$ BOWERS reported to project, to include $[b)(7)(C)$ and believes that this played a factor in $[b)(7)(C)$ dismissal (Exhibit 7, pp. 64-81).
AGENT'S NOTE: Although BOWERS believes ^{(b)(7)(C)} was discriminated against; he was unable to provide any proof of that discrimination. In addition, BOWERS was laid off by TT in December 2011 and is currently pursuing legal action against TT and/or NWE.
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(b)(7)(C) was asked to leave the site because his $(b)(7)(C)$ comments were unacceptable and created a hostile work environment. $(b)(7)(C)$ said $(b)(7)(C)$ was let go solely based on the
inappropriate comments he made about $\frac{(b)(7)(C)}{c}$ and had nothing to do with $\frac{(b)(7)}{c}$ raising safety concerns (Exhibit 8, pp. 20-28).
bx(7)(C) stated he was not immediate bx(7)(C) r but had some bx(7)(C) on occasion (b)(7)(C) advised that (b)(7)(C) reported directly to (b)(7)(C) (b)(7)(C) stated he was aware
that in bx7xC had made a bx7xC complaint to bx7xC against bx7xC [b)(7)(C) reported that (b)(7)(C) did not make a complaint to him regarding bx7xC use of unqualified laborers to move waste and conduct samples. (b)(7)(C) noted that (b)(7)(C) did inform
him that $(b)(7)(C)$ failed to monitor an air sampler stationed on site that was designated to collect data which violated radiological surveillance protocols. $(b)(7)(C)$ Indicated that $(b)(7)$ was
removed from the HPNS site after it was determined that he made inappropriate comments
$\begin{array}{c c c c c c c c c c c c c c c c c c c $
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $
what had occurred before he could. (b)(7)(C) related that when he arrived at (b)(7)(C) office. (b)(7)(C) was telling (b)(7)(C) what (b)(7)(C) had said about (b)(7)(C) said (b)(7)(C) removed from HPNS because of the comment he made about (b)(7)(C)
and not because $(b)(7)(C)$ had raised safety concerns (Exhibit 9, pp. 51-64).
$\frac{(b)(7)(C)}{(b)(7)(C)} \text{ stated that he does not recall iff} \underbrace{(b)(7)(C)}_{(b)(7)(C)} \text{ or } \underbrace{(b)(7)(C)}_{(b)(7)(C)} \text{ approached him about information that } \underbrace{(b)(7)(C)}_{(b)(7)(C)} \text{ had provided indicating that } \underbrace{(b)(7)(C)}_{(b)(7)(C)} \text{ was using unqualified laborers to move contaminated dirt.} \underbrace{(b)(7)(C)}_{(b)(7)(C)} \text{ did remember that } \underbrace{(b)(7)(C)}_{(b)(7)(C)} \text{ raised an issue that } \underbrace{(b)(7)(C)}_{(b)(7)(C)} \text{ was having laborers mishandle asbestos.} \underbrace{(b)(7)(C)}_{(b)(7)(C)} \text{ stated as a result of } \underbrace{(b)(7)(C)}_{(c)} \text{ raising the concern about } \underbrace{(b)(7)(C)}_{(c)} \text{ stated as a result of } \underbrace{(b)(7)(C)}_{(c)} \text{ raising the concern about } \underbrace{(b)(7)(C)}_{(c)} \text{ raised concern about } (b)(7)$
the asbestos the problem was corrected. $(b)(7)(C)$ noted that $(b)(7)$ was returned to his employer (NWE) after making an inappropriate comment about $(b)(7)(C)$ related that
at the time of <u>pomment</u> was not nisted by the was not aware of what transpired be <u>tween (b)(7)</u> and $(b)(7)(C)$ <u>related that from what he has learned since</u>
this incident, $(b)(7)(C)$ did not leave on good terms. $(b)(7)(C)$ tated that he had no information that would indicate that $(b)(7)(C)$ was discriminated against for raising safety concerns (Exhibit 10, pp. 20-27).
stated that he was $\frac{(b)(7)(C)}{(b)(7)(C)}$ immediate stated that $\frac{(b)(7)(C)}{(b)(7)(C)}$ stated that $\frac{(b)(7)(C)}{(b)(7)(C)}$
approached him about $(b)(7)(C)$ resume not being accurate $(b)(7)(C)$ also noted that $(b)(7)(C)$ informed him that $(b)(7)(C)$ had laborers transport a drum containing asbestos to the radiological screening yard which was not permitted. $(b)(7)(C)$ related that he and $(b)(7)(C)$ proceeded to
the location and had the truck containing the asbestos removed. $(b)(7)(C)$ advised that $(b)(7)(C)$ also informed him that $(b)(7)(C)$ was using unqualified laborers to move radiological waste.
$\frac{(b)(7)(C)}{(b)(7)(C)}$ stated that several days after raising one of his concerns, $\frac{(b)(7)(C)}{(b)(7)(C)}$ was fired because he $\frac{(b)(7)(C)}{(b)(7)(C)}$ made a comment to he and $\frac{(b)(7)(C)}{(b)(7)(C)}$ indicating that the only reason $\frac{(b)(7)(C)}{(b)(7)(C)}$ was on site
was because she (307XC) was a related that he subsequently informed
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(b)(7)(C) was called in to conc removed from the site. (b)(7)(C)	what ^{(b)(7)(C)} had said about ^{(b)(7)(C)} duct an investigation into this incid advised that he has no knowled sult of raising safety concerns (Ex	lent and (b)(7)(C) was ultimately dge or information indicating that
sexually harassing him. (b)(7)(C) determined that (b)(7)(C) had act (b)(7)(C) related that (b)(7)(C) wa had called (b)(7)(C) a (b)(7)(C) wa	n to conclude that(b)(7)(C) did indee denied (^{b)(7)(C)} accusation	er inquiry into the matter, she hat (b)(7)(C) had repulsed him. informed her that (b)(7)(C) nvestigation and determined that

Agent's Analysis

While reviewing the documentation related to this investigation it became very clear that with the exception of (b)(7)(C) testimony that there is insufficient evidence to conclude that (b)(7)(C) employment was terminated for raising safety concerns. Both(b)(7)(C) and (b)(7)(C) testified that during their conversation with called ^{(b)(7)(C)} Ol finds testimony credible. OI also finds that although (b)(7)(C) raising of safety concerns and (b)(7)(C) and his termination were in the approximate same time frame, it was (b)(7)(C) inappropriate comments about (b)(7)(C) that caused his(b)(7)(C) termination of employment. When(b)(7)(C) interviewed (b)(7)(C) as part of her inquiry into the matter, (b)(7)(C) readily admitted to (b)(7)(C) that "was hired (bx7xC) not HP work." (b)(7xC) also reported that in circa had filed a b)(7)(C) claim against (3)(7)(C) issue revealed that (D(1)(C) was actually pursing (D(1)(C) and that (b)(7)(C) had rebuked him. If NWE or TT wanted to have $\frac{b(7)(C)}{C}$ removed from the site they would have been justified at that time but they chose to retain him. OI found $\frac{b(7)(C)}{C}$ testimony very credible noting that (b)(7)(C) is currently involved in civil litigation against NWE (Exhibit 9, pp. 51-64; Exhibit 11, pp. 20-35; Exhibit 12; and Exhibit 13, p. 2).

After examining all of the available testimonial and documentary evidence, OI concluded that (b)(7)(C) had not been discriminated against for raising safety concerns. In fact, with the exception of (b)(7)(C) testimony, OI found insufficient testimony and documentary evidence to support any part of (b)(7)(C) claim that he was discriminated against for engaging in protected activity.

Conclusion

Based upon the evidence developed during the course of this investigation, OI did not conclude that the (b)(7)(C) was discriminated against for raising nuclear safety concerns.

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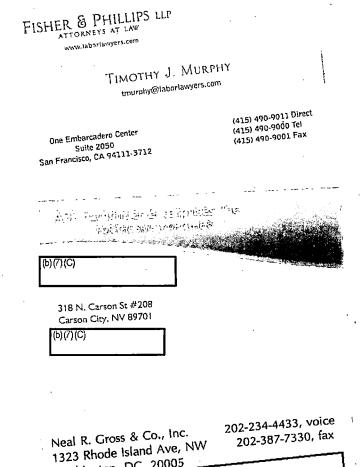
LIST OF EXHIBITS

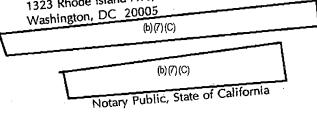
Exhibit <u>No.</u>	Description
1	Investigation Status Record, dated March 23, 2012 (1 page)
2	Allegation Receipt Report, dated December 6, 2011 (6 pages).
3	Allegation Review Board Disposition Record, dated December 21, 2011 (2 pages).
4	Sworn Testimony of ^{(b)(7)(C)} dated May 31, 2012 (65 pages).
5	Sworn Testimony of ^{(b)(7)(C)} dated June 13, 2012 (33 pages).
6	Sworn Testimony of $(b)(7)(C)$ dated June 13, 2012 (27 pages).
7	Sworn Testimony of Delbert BOWERS, dated June 14, 2012 (86 pages).
8	Sworn Testimony of (b)(7)(C) dated January 25, 2013 (43 pages).
9	Sworn Testimony of (b)(^{7)(C)} , dated January 25, 2013 (82 pages).
10	Sworn Testimony of (b)(7)(C), dated September 25, 2013 (32 pages).
11	Sworn Testimony of $(b)(7)(C)$ dated September 25, 2013 (36 pages).
12	Interview Report of (b)(7)(C) dated January 30, 2013 (2 pages).
13 ·	New World Environmental, Inc., Memorandum, dated August 23, 2010 (6 pages)

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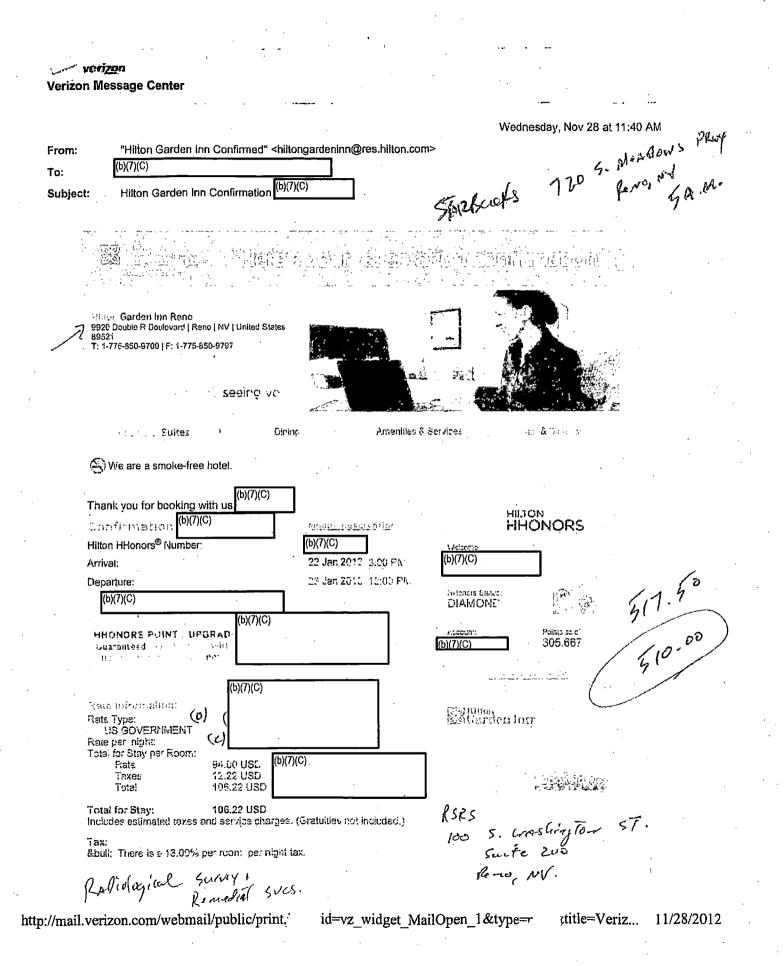


U.S. Nuclear Regulatory Commission Office of Investigations

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11/28/2012

1771/20 (b)(7)(C) 2012-019 NomE: 5505 pos Por: (b)(7)(C) (Ъ)(7)(С) RIES. Inder Try PAT bx7xC) site Employee 25122 @ Tatra Tech Same (b)(7)(C) 1485 --) (b)(7)(C) MALE Spring Ъ)(7)(C) -> Frisil - pequesting all love records = To RSRS Henters Pant - \sim J (b)(7)(C)

1/23/2013 1-2012-019 Ъ)(7)(C (b)(7)(C) pome. : مع 5 ک DoB (Pab: (b)(7)(C) or lan RSRS 6-1/2011 NAMES 1/25/2013 5501 DoB/Bob : DOC b)(7)(C) Tetro Tale -Postian : Employee - How Long. Hunty, JoanT-4P- 4-1-1permi of Earlorman When we have the providence of At Hunding PETER They want

2003 Noure 550: Porg Pals (b)(7)(C) fortran Tetra Fell Heiters form PA (b)(7)(C) (b)(7)(C) How I my YMANS inf Tator Tale. b)(7)(C) 203 Noure b)(7)(C) 5500: (b)(7)(C) POD/ P.B : Pas. tran: How Lung ! (Ъ)(7)(C) (b)(7)(C) Terro Tele <u> </u> HoenT. Har Ters (b)(7)(C) NOWE: 012 S SN': POB -POB γŚ b)(7)(C) 7 person (Trus print Pas fime: Tetra Park Falloppe ~> (b)(7)(C) VAME Vermine SSO: Do & Pol Pol () Person from Land How Land 1/30/2013 (b)(7)(C) b)(7)(C) Worio Envilamentas New (b)(7)(C) INC I. Service ved

(b)(7)(C) (conit) (b)(7)(C) filed Server remplant ARDR SAin commits (soin out - Radding up Againot Hem). (b)(7)(C) Mas Affresselis, (b)(7)(C) Acor (b)(7)(C) und Turme Pren Did Tulm Thos T Procume avos Fofe. (b)(7)(C) FARE. -> Many people to IP us that MAT Everything an Aman was of Mot Arel. (b)(7)(C) De Marpuna attyoniese (b)(7)(C) and Enployee at Now (Nor10 - (b)(7)(C) want and To Acod want and TO Acod when Were hung Lost on tract. Didn't pour to to To Taton Fale -Not score while stren (b)(7)(c) filed of clare 3) (Alt. PoL. (b)(7)(C) let go for heartile hall considerent. called me AER STATA to come aut - SATA (b)(7)(C) has making comment (ACIZ 1000 effecting monde. Talles To (DOXXC) - (DOXXC) SAID tust 12 Ship that they beard comments. Jr.

(b)(7)(C) (b)(7)(C) SACON LUDAUTS uff site. talled To (b)(T)(C) ARD be Admitted (b)(7)(C) (b)(7)(C) To stying the continuents. was remained But come be re-hird But no work. (b)(7)(C) Report Porce -) File Located creductor Regul hour Since Bottom Yanco m In computer ander AR. (b)(7)(C) hard D Encrometal Inc. Dill put more safaty Issues Do me until I To (1) (b)(7)(C) and to 11 he and Bring Remained : (b)(7)(C) sain (b)(7)(C) Report Re about the white with She was Incompetend. - andy Roadow Poin has a job to Ste " Cays on Brack". Jhe paner had any catal in tatra Tech sugarding No Knowledge I fortomation that has Pressed of Fed Bring nemored - (b)(7)(C) was getting the P prin to

(b)(7)(C) Nu-ing 5 perfecto \rightarrow 122607 ولكم Mee (ings (b)(7)(C) cantost of Andrews / Aus. No

U.S. Nuclear Regulatory Commission Office of Investigations Region I Field Office

Case Number: 1-201	2-032
Case Type: H&I Case	e Agent: S/A
Facility/Site: Hunters Poin	t Naval Shipyard Decommissioning Project
Interviewee:]
Date: May 31, 2012	· · · · ·
Location:	
Start Time: <u>/ う 5 ()</u> End Time: <u>う う し </u>	PM PST

Alleger Interview Questions

1

Background

1. Are you currently employed, if so by whom?

Ĺ

NO

2. In what capacity / title are you currently employed?

NA

3. How long have you been with your current employer?

NA

NA

4. Have you held any other positions other than the above identified with your current

employer?

5. Whom were you employed with prior to your current employer?

6. In what capacity?

(b)(7)(C)

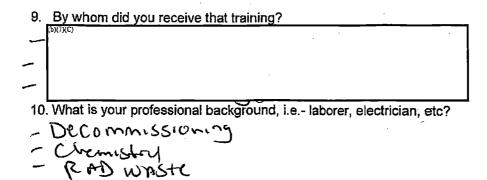
Ъ)(7)(C)

5)(7)(C)

7. When did you begin your employment in the Nuclear Industry?

What type of training have you received since becoming employed in the industry? 8

Worked IN Chemistry. RAD Tech H Tech 2



11. What are your duties and responsibilities under your current position?

NA

12. What were your duties and responsibilities during your tenure of employment with Tectra

(b)(7)(C)		
of /	RAD Maler	ia

13. Who did you report to?

Site RSO Bert Bowers. Bowers Was New World when [10/17/10] First got there and moved over TO Tetra Tech. Tetra Tech Installed Hp supervision was First Live. Who were Inexperienced. 14. Did you have any direct reports?, if so please identify?

3

Nn.

15. What policies and procedures were you guided by?

-10 CFR 20 - ID CFR 24 - Insustry stendards

16. What were your individual requirements under the license for which your name was

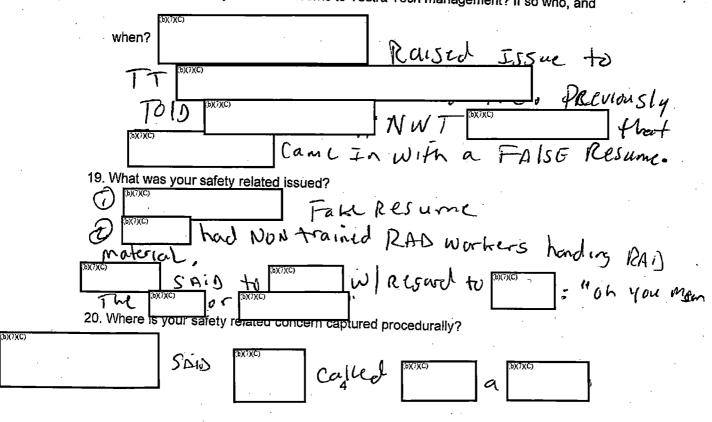
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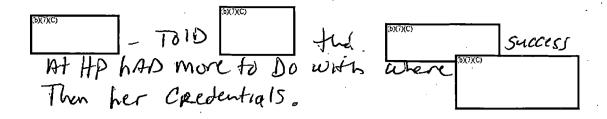
under?

17 What were Tectra Tech's responsibilities as a condition of the license?

Protected Activity / Management Knowledge



18. Did you raise safety related concerns to Tectra Tech management? If so who, and



- 21. How did management respond to you raising your safety concern?
 - He was Fired,
- 22. Was a condition report or equivalent written regarding your concern, if so by whom?

24. Did you have the ability to document your concerns in some form or another?

Was not Aware of CR'S or Zipslips

25. Had this issue been raised by any other Tectra Tech employees, if so what happened?

a respect went to ЪХЛХС

26. Did you take your concerns to anyone outside your employer (i.e.- the Navy), if so who,

when and in what form?

NO

27. What is your understanding of Tectra Tech's company policy regarding whistleblowing/

There isn't orc

raising safety related concerns/

NO.

28. Does Tectra Tech operate on the principals of safety first?

29. Was it made clear to TT employees that they had the right and even responsibility to

(b)(7)(C) Threatend to not Aprise safety Concerns OTT.

NO, neither New World or Tetra Tec

30. Was any training provided by TT with respect to how to raise safety related issues and

the process by which those issues would be addressed?

raise safety related concerns without fear of retaliation?

31. Was an investigation/inquiry conducted regarding your concerns and if so by whom at

TT? NO

Adverse Action (s)

32. What do you believe was the adverse action taken against you?

Firing

33. When was this action taken?

34. Were you ever demoted or given a lesser job, prior to termination proceedings?



NO

35. What did management provide as the reason for personnel action taken against you?

For Calline 5)(7)(C) α

36. Did you ever receive a reduction in pay?

NO

37. Did anyone within the TT management hierarchy ever identify to you either officially or

unofficially that the personnel action levied against you had anything to do with you

raising safety related issues?

38. What was the impact of you raising your safety concerns, on TT?

NO

There is Nuclear material and untrained losos who were untrained Could Cause a release outsoi fu plant.

39. Are aware of any other employees having been retaliated against for raising safety

concerns, if so whom?

NO

40. Was an internal investigation gone by TT or any other entity regarding your termination?

41. Do you currently have any other open complaints, i.e.- DOL or State of California? If so

Identify? D.O.L he was too late. TALKED W OSHA OSHA Later Sad he was too late. State of California 15 only active Cite

42. Do you know if TT management discussed your safety concerns and subsequent

termination with any other employees? If so whom?

NO

43. Is there anything additional that you would like to add to the record at this time?

TT see's HP's as In the Way, Slowing Down production,

Case No. 1-2012-032

EXHIBIT 1

Exhibit 1

,	OFFICIAL USE ONLY OF INVESTIG	ATION INFORM	ATION
	INVESTIGATION STATU	S RECORD	·
Facility: Case Number: Docket Number(s):	NEW WORLD ENVIRONMENTAL, INC. 1-2012-032 03036174	Case Agent: Date Opened: ECD: Priority:	(b)(7)(C) 03/23/2012 06/2012 High
Case Type: Primary Alleg Source: Allegation Number(s): Subject/Allegation:	-	Status: SAFETY CONCER	Field Work In Progress
Enviror decomin discrim (Accomination (Accomination) and the (ARB). facie sh Dispute the NR Potenti protect	ember 6, 2011, (b)(7)(C) , a contract (b)(7)(C) imental, working as a subcontractor for Tetra nissioning and/or remediation site contacted ination concern. (b)(7)(C) claims that after rais regarding the qualifications of individuals p conduct of such work, he was terminated b in late (b)(7)(C) claims that after rais regarding the qualifications of individuals p conduct of such work, he was terminated b in late (b)(7)(C) claims that after rais concerns were discussed during a December The ARB, to include Regional Counsel, det nowing of discrimination and that (b)(7) woul e Resolution (ADR) program or to have OI in C staff did (b)(7) advise OI that he declined al violations include 10 CFR 50.5 (Deliberate on). The Statute of Limitations tolls on or at 0 days): 06/2012.	r 21, 2012, NRC: r 21, 2012, NRC: erforming remedia r 21, 2012, NRC: ermined that (^{b)(7)} d be offered access vestigate. Only aff ADR in favor of an	Rick MUNOZ to report a s to his (347)(C) tion/decommissioning work had articulated a prima is to the NRC's Alternate ter repeated contact from OI investigation.
Completion Date: Issue Date:		Total Staff Hours Months Open:	s: 0.0 0.0
DOJ Action(s):		DOJ Referral Da	
Ol Violation(s):	Harassment and Intimidation - No Result	Statute of Limita	tions Date: 09/01/2015

EXHIBIT _OF__ _PAGE(S) PAGE_ 1

1=2012-032

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03/26/2012 3:52:28 PM OI INVESTIGATION INF ORMAT.

1

Page #1

EXHIBIT 2

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Case No. 1-2012-032

Exhibit 2

ATT	Deserve	E Martin Martin		Page of
	ation Receipt		~ ~ ~	_
		Received	By: <u>Rick Munoz</u>	Receipt Date: <u>12-06-2011</u>
Facility/Outside Org N GENERAL INFORMATI				
Concentione of the				
An Individual servi	ng as a $(b)(7)(C)$ is n	ot qualified for the jo go, the CI obtained ir	b and falsified her job h formation that this ind	istory in order to get hired. ividual was still working as an((b)(7)
and decommissioni (3)(7)(C) an asked the CI if he we	mental is a subcontractor fo ng activities at Hunter's Poi d had worked at H <u>unter's P</u> ould vouch for hel ^{®(7)(C)}	int in San Francisco, C oint as an ^{by} c for ^{(by)y} and prov	alifornia. The Cl was en in $\overline{\mathbb{S}^{(7)}}$ a $\overline{\mathbb{S}^{(7)(C)}}$ ride a statement or writ	co-worker ^{(b)(7)(C)} ten confirmation to ^{(b)(7)(C)}
refused. Later on ir	^{(b)(7)(C)} the CI found out that ied and her job history was	t she had been hired I falsified. As of the en	by New World as an ^(b,77) of of ^(b,77) No	activities at other locations. He The CI's concern is that she ^{(b(7)(C)} ew World was replaced by
The CI was interview		ornia Department of	Labor Retaliation Unit r	rs which supply the HP support. egarding a friend of his, Burt ech. After hearing the Cl <u>'s</u>
<u>concerns</u> , Mr. Bowe	rs suggested the Ci contact n allegation that Burt Bowe	R-IV. There is an on	going NRC R-I Ol Investi	gation by Special Agent ^{(b)(7)(C)}
Wite Catelogiels, Jereina	enstanol nation that burt bowe enstanol nenevidence sho ise Number 46-27767-01, d	illetten Robertson		
	nental License Number 04-			
Burt Bowers (termin	ated site RSO-Tetra Tech) .(800) 326-5146 or (864	483-1789	
Sewali Patel, Region	ai Inspector Region-9 DOL (DSHA (415) 625-2538		
Katharine Daly, Calif	ornia Labor Commissioner:	s office Retaliation Un	it DOL (415) 703-4841	ب
(b)(7)(C) Sp e	cial Agent Office of Investi	gation NRC R-I	(5)(7)(C)	
John Nicholson, NRC	Inspector R-I & Ms Orysia	Bailey, NRC Inspector	r R-I	· ·
(b)(7)(C)	Fetra Tech	,		•
(b)(7)(C)	rid Environmental ^{(b)(7)(C)}			
What other mony roual	stwitnessesonothersource s RSO at Hunter's Point (b)(7	25,340,010,010,010,014,014,010,000	and a second	als listed above)
			· · · · ·	
This individual had be an unrestricted area.	een working at an HP egres According to the CI this is a	s point, and may not an ongoing concern.	be controlling residual	radioactive contamination into
W-Superconcelution	Intromental smanustallen nythroftwinvino 22 stars	nion2eWasil/eouereen		nsEcogramMoAPJ/0What 2553
	minated. (see concern #2)			
	Nation devenisitals conce Procedural violations or L		dition?	

	EXHI	BIT	2	-
PAGE_	1	0F_	6	_PAGE(S)

1-2012-032

Allegation Receipt Form Allegation Number Riv 2011 A

HARRASSMENT AND INTIMIDATION (H&I)

Does the concern involve discrimination or H&I? If "No," proceed to page 2. O Yes

No

Why does the alleger believe the actions were taken as an exit. Of engaging in a protected activity e

Was the individual advised of the DOL process? () Yes

No

Whon in the adment supervision was away a port the protected a drivity of when and now were they made aware res

What a dverse action shave been teleon (commanon) demotion) erers when swas managements reason to section

EXHIBIT 2 PAGE 2 OF 6 PAGE(S)

Page of

Allegation Receipt Form

ATT					Page of
	egation Reco				
	for Drivin and Mp2				
GENERALINFORM	IATION: CONCERN #2	2			CALMAN AND A
a second and a second s	Rivosentences)				
The CI was tern	ninated for raising safe	ty concerns. The Cl st	ated "There is a cult	ure of non-compliance at	Tetra Tech".
	-				
ConcernDetails	MATHER SHOW THE TRUCK POINT	entre so loto but state	d that life ha DSO 6	or Tetra Tech (Burt Bower	c) une Fixed for
	oncerns, what do you th			or retta recir (buit bowe	5) was meu ioi
See discussion a	about H&I	× , .		. .	
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Carlos and a second	cuments or other evid	And the property of the Descent of	eview22		
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Description of the second s	duals (witnessesid) oth	leresources) dould the	NREContactorante	mation A	
See concern #1	NERSYARYINDER 7451	usanyanyangangen		esalely of security conce	n 44 bezondere K.
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Wastheiconcerni	orought tojmanagemei	its attention Wasilie	meredintonhe.com	regive/Actions/Program	CAP/21What
actionshave/beer	maken?lingt why not				
Yes		· · ·		•	
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10 CFR 30.7	. `				· · ·
ARRASSMENT ANI	DINTIMIDATION (H&	I)			all and
Regulation protected Engage in protected	ENRCHIGER SENEDOURIES ABBRIGER (A) EQUIDA	na kasu boon na ang ang Na sa mga Natang kasa ku	ardisalin toating agai Sulan Suetusing to ch	ssis papassing sourcementation na assumption and source and a so	graanvallei Swaper Viitealuus ei SP
Does the concern	involve discrimination	or H&I? If "No," proceed	to page 2. •Yes (No	
		al advised of the DOL	process? •Yes (
Construction of the second	concern that (b)(7)(C)	and the second state of th	istory and was not o	ualified to work as an (b)	出现了这些关系的不可以 有限的思想。
gualification(s) of	the individual were fa	sified approximately	(b)(7)(C) year ago. Th	he Navy requires individu	als working as
licensees working	num standards which a these sites commit to	establishing and impl	ementing procedur	package. The procedur es relative to radiologica	controls and
radiation safety.	(Supervision-wasawa)	evontrearategerat	avitozawaenandiko	wwwerestheychtadetaware	
On or about ^{(b)(7)(C)}	he spoke w	ith (5)(7)(C)	at the access p	oint, ^{(b)(7)(C)} vent to ^{(b)(7)(C)}	
(b)(7)(C) and (b)(7)(
	and the write state of the second state of the			Wasimanagementsreas concerns. The CI was inf	and the second se
World Environme	ntal (1)(7)(C)	that h	e was being termina	ited because "you had ""	on the
Tetra Tech ^{®)(7)(C)} (9)(7)(C) for rec	and "you called [®] onsideration. A few ho	ours later (b)(7)(C)	came to talk to the	the CI that she would go I CI at the HP access contro	back to talk to <u>(c)</u> I point and stated
he was sorry but h Why does the alles	e had to let the Cl go. Rhelley otheractions	/erzusken as an estilis	ol/engagincimfamio	lacted activity 25 - 2 - 2	
The CI had been th	ere (5)(7)(C) 0	completing (b)(7)(C) o	f service at Hunter's	Point. In all that time, h	<u>e had never bee</u> n
reprimanded for a	ny re <u>ason other than</u> p who ^{(3)(7)(C)} her about	utting his shoes up or t a $(30(7)(C))$ after the Cl	n a desk. (^{b)(1)(C)} was fired. An assau	was ^{(0)(7)(C)} with the ^{(0)(7)(C)} It charge comp erions	
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		(7)(C)
against (b)(7)(C) Cl.	$a^{(0)(XC)}$ prior to that. The CI felt that there was some influence by $a^{(0)(XC)}$ on $a^{(0)}$	to terminate the
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17: 317 2	1 de la constante	ះ រាះដូវជា រ	struptatedr	动力现在于	(22.5), 3455	15

GENERALINFORMATION: CONCERN#3

Field laborers at Hunter's Point are operating air samplers and collecting & preparing soil samples without any Health Physics oversight or supervision. This is contrary to O&E procedures established by New World Environmental.

ConcerniDetailSandiComments

Although New World was charged with supplying HP support at Hunter's Point, field laborers with limited education, hired by the local union, are being used to operate and collect air and soil samples. These activities are being conducted without the presence of an HP. Standard Operating Procedures for air sampling and soil sampling collection and preparation for analysis are not followed. As a result, soil samples are being cross contaminated and prepared for analysis incorrectly bringing into question the validity of the sample results for release of the site. As of the end of December 2010, New World was replaced by Radiological Survey & Remediation Services and Aluet World Solutions which supply the HP support. What records? documents of other evidences hould the MRC review.

Sample records for air and soils. Who was collecting the aliquots? How were these samples collected?

What other and wide assessment her sources is could the IN His contact for information?

See concern #1

Whan sthe potential safet vimpage is this an oncoind contern? Is than immediate safety on security concerns, and a safety of the safety of the

result in suspect or invalid sample results that are not defensible to verify that the site meets release criteria.

actions/have/beenpakent/limotav/hyaote/

Whommanacment/superv

What requirements equilation governs this concern? Unknown at this time. License Tie-Down Condition and or Operating and Safety Procedures.

HARRASSMENITAND INTIMIDATION (H&I)

an Miteria de Sector esta contra de Sector de Contra de Carlos de Carlos de Carlos de Carlos de Carlos de Carlo Cresta de Carlos de C

Does the concern involve discrimination or H&I? If "No," proceed to page 2. OYes

No

Why does the alleger believe the actions were taken as a result of endaging in a protected activit

Was the individual advised of the DOL process? () Yes () No

What was the protected activity/2When all difforcing and the second state of the

PAGE

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1 D-7 I II P PICTURES ANALYSIST SALE AND THE	1011 Receipt Form		
ALLEGATION INFORMATI	ON		
	: XPhone/Voice Mail Letter Ema	ail 🔄 Fax 🔄 In-Person 🔲	nspection
	Other (describe):		
Was this allegation	identified by the NRC or a licensee acting ir	n their official capacity? 🗇 e	No
ALLEGER INFORMATION			
Full Name: (b)(7)(C)		Email: (b)(7)(C)	
Mailing Address:		Telephone: ^{(b)(7)(}	C)
· ·			
Employer: unemploy		Occupation: (b)(7)(C)
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EXHIBIT 3

Case No. 1-2012-032

Exhibit 3