Examination Preparation Checklist

Form ES-201-1

Facility:	Indian Point Unit 2 Date of Examination: 02/04/2019	9									
Develope	ed by: Written: Facility 🛛 NRC 🗌 // Operating: Facility 🖾 NRC 🗌										
Target Date*											
-240	 Examination administration date confirmed (C.1.a; C.2.a-b). For NRC-prepared exams, arrangements are made for the facility to submit reference materials (C.1.e; C.3.c; Attachment 3). 	06/09/2018									
-210	2. NRC examiners and facility contact assigned (C.1.d; C.2.f).	67/09/2018									
-210	 Facility contact briefed on security and other requirements (C.2.c). As applicable, the facility contact submits to the NRC any prescreened K/As for elimination from the written examination outline, with a description of the facility's prescreening process (ES-401, D.1.b). 	06/13/2018									
-343	4. Reference material due for NRC-prepared exams (C.1.e; C.3.c; Attachment 3). 0	N/A									
-210	5. Corporate notification letter sent (C.2.e).	07/10/2018									
-195	 NRC-developed written examination outline (ES-401-1/2 or ES-401N-1/2 and ES-401-3 or ES-401N-3) sent to facility contact (must be on the exam security agreement) (C.1.e-f; C.2.h; C.3.d-e). 	<i>1</i> 05/21/2018									
-150	 Operating test outline(s) and other checklists due, including Forms ES-201-2, ES-201-3, ES-301-1, ES-301-2, ES-301-5, and ES-D-1, as applicable (C.1.e–f; C.3.d–e). 	09/06/2018									
-136	 Operating test outline(s) reviewed by the NRC and feedback provided to facility licensee (C.2.h; C.3.d–e). 	B 10/11/2018									
-75	 Proposed examinations (written, JPMs, and scenarios, as applicable) and outlines (Forms ES-301-1, ES-301-2, ES-D-1, ES-401-1/2 or ES-401N-1/2, and ES-401-3 or ES-401N-3); supporting documentation (including Forms ES-301-3, ES-301-4, ES-301-5, ES-301-6, ES-401-6, ES-401N-6, and any Form ES-201-2 and ES-201-3 updates); and reference materials due (C.1.e-h; C.3.d). 	11/21/2018									
-75	10. Examinations prepared by the NRC are approved by the NRC supervisor and forwarded for facility licensee review (C.1.i; C.2.h; C.3.f–g).	11/21/2018									
-60	11. Preliminary waiver/excusal requests due (C.1.m; C.2.c; ES-202).	12/06/2018									
-50	12. Written exam and operating test reviews completed (C.3.f).	12/16/2018									
-35	 Examination review results discussed between the NRC and facility licensee (C.1.i; C.1.k–l; C.2.h; C.3.g). The NRC and the facility licensee conduct exam preparatory week. 	12/31/2018 12/17/2018									
-30	14. Preliminary license applications and waiver/excusal requests, as applicable (NRC Form 398) due (C.1.m; C.2.i; ES-202).	01/05/2019									
-14	 Final license applications and waiver/excusal requests, as applicable (NRC Form 398), due and Form ES-201-4 prepared (C.1.m; C.2.k; ES-202). 	h 01/21/2019									
-7	16. Written examinations and operating tests approved by the NRC supervisor (C.2.j-k; C.3.h).	01/28/2019									
-7	17. Request facility licensee management feedback on the examination (C.2.I).	for 01/28/2019									
-7	 Final applications reviewed; one or two (if more than 10) applications audited to confirm qualifications/eligibility; and examination approval and waiver/excusal letters sent (C.2.k; Attachment 5; ES-202, C.3.j; ES-204). 	01/28/2019									
-7	19. Proctoring/written exam administration guidelines reviewed with facility licensee (C.3.k).	h 01/28/2019									
-7	20. Approved scenarios and job performance measures distributed to NRC examiners (C.3.i).	01/28/2019									
	ites are based on facility-prepared examinations and the examination date identified in the corporate notificat ning purposes and may be adjusted on a case-by-case basis in coordination with the facility licensee.	ion letter. These dates									

Examination Outline Quality Checklist

Form ES-201-2

	Task Description		Initia
		a	b*
a.	Verify that the outline(s) fit(s) the appropriate model in accordance with ES-401 or ES-401N.	Omp	
р.	Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 or ES-401N and whether all K/A categories are appropriately sampled.	GAY	枞
с.	Assess whether the outline overemphasizes any systems, evolutions, or generic topics.	-9mF	DX
d.	Assess whether the justifications for deselected or rejected K/A statements are appropriate.	3AF	ŎĮĮ
a.	Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.	Shift	рŀ
b.	Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.	orf	<i>i</i> }
с.	To the extent possible, assess whether the outline(s) conforms with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D and in Section D.5, "Specific Instructions for the 'Simulator Operating Test," of ES-301 (including overlap).	0A	<i>b</i> ję
a.	 Verify that the systems walkthrough outline meets the criteria specified on Form ES-301-2: (1) The outline(s) contains the required number of control room and in-plant tasks distributed among the safety functions as specified on the form. (2) Task repetition from the last two NRC examinations is within the limits specified on the form. (3) No tasks are duplicated from the applicant's audit test(s). (4) The number of new or modified tasks meets or exceeds the minimums specified on the form. (5) The number of alternate-path, low-power, emergency, and radiologically controlled area tasks meets the criteria on the form. 	Burg	Ŋ
D .	 Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) The tasks are distributed among the topics as specified on the form. (2) At least one task is new or significantly modified. (3) No more than one task is repeated from the last two NRC licensing examinations. 	ØĄ	0J.
с.	Determine whether there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.	GMP	1J
a.	Assess whether plant-specific priorities (including probabilistic risk assessment and individual plant examination insights) are covered in the appropriate exam sections.	Smf	SH
b.	Assess whether the 10 CFR 55.41, 55.43, and 55.45 sampling is appropriate.	they	0J
c.	Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	BWAY	11
d.	Check for duplication and overlap among exam sections and the last two NRC exams.	(Sing)	Ø
e.	Check the entire exam for balance of coverage.	3.7/	sk
f.	Assess whether the exam fits the appropriate job level (RO or SRO).	Smil	JJ.
R	Printed Name/Signature Tim Jenkins Im Internation lity Reviewer (*) C Chief Examiner (#) Supervisor Double L. Scheppenty / Duruf Schuppenty Double L. Scheppenty / Duruf Schuppenty Duruf Schuppenty / Duruf Schuppenty Duruf Schuppenty / Duruf Schuppenty Duruf Schuppenty / Duruf Schuppenty Duruf Sch		91 9/ 10

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1. **Pre-Examination**

I acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for the week(s) of 2/4/19 & 2/11/19 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC's chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge. I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 2/4/19 & 2/11/19. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

1. Gerald S. Gouding 2. Im Jenking 3. Kust James 4. Adam Hukill 5. byle Scheffertz 6. King Subject 6. King Subject 7. Kick MUKAY 8. RAN PELKET 9. GADRIEL KIMICH 9. GADRIEL KIMICH 10. WILLIAM LEAS 11. Chois Anton 12. Bill Martin 13. Jim Buchal 14. Jae Johnson Exam Reviewer 14. Jae Johnson 14. Jae Johnson Exam Reviewer 14. Jae Johnson Exam Reviewer 14. Jae Johnson 14. Jae	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE SIGNATURE (2)	DATE NOTE
5. buis Schepperty Facility Review Purchase Schepperty 5. buis Schepperty Facility Review Schepperty 5. buis Schepperty 5. buis Schepperty 5. building Scheppere	2. IM Jenking J 3. KURT JAMES	Exam Lead DPS REVIEWER	12300 (11)	5/14/18 Contraction	
9. GADRIEL KIMICH 10. WILLIAM LICAS 11. Chris Borren 12. Bill Martine 13. Jim Buchal Exam Reviewer 14. Bill Martine 14. Bill Martine	5. louis Schefferly 6. 10 ture Sunsan 7. RUCK, MVRRAY	Facility Reviewer	Don't fleggely	8-2-18 8/14/18 200- K#19/18/18/18/00/11	@
12. Bill Martine Exam Reviewer Jobuches 11/2/18 Mill Suches 21/5/19 13. Jim Buchal Exam Reviewer Jobuches 11/8/18 10 Suches 249-9	9. CIABRIEL KIMICH 10. WILLIAM LUCAS	ECAM REVIEWER	and telecone f		2/15/14
15. Nicolas Chase Exam Validator VInde 14/19	12. <u>Bill Martine</u> 13. <u>Jim Buchal</u> 14. Joe Johnson	Exam Reviewer Exam Reviewer Exam Reviewer	Job Buche	11/19/18	2/15/19

NOTES: () Emeil Response ATTACHED

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1. <u>Pre-Examination</u>

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PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE SIGNATURE (2)	DATE NOTE
1. DAVE Swen 2. John Bolter 3. Brian Ravenscroff 4. Janes Reedy 5. Dartian BARR 6. Messer O'Bosten 7. Julie Barly 8. Jani Joseph 10. DANID AXEUBY 11. CLIFTEN GATO 12. Gary Martin Jr 13. CHARCIE KOCSIS 14. J	EXAM UN/idated Exam Val.dation Exam Val.dation Exam Validation Exam Validation Exam Validation Exam Validation Ardinin Simulaton Exam Validation Simulaton Exam Validation Exam Validation	SIGNATURE (1)	DATE SIGNATORE (2) 1/4/19 $201/4/19$ $23/101/4/19$ $23/101/4/19$ $23/101/4/19$ $23/101/22-191/22-191/22-191/22-191/29/101/29/101/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/1001/20/100$	$\begin{array}{c} 2-19 \cdot 19 \\ 211411 \cdot 7 \\ 211411 \cdot 7 \\ 2/19/19 \\ 2/19/19 \\ 2-19/19 \\ 2-19/19 \\ 2-19/19 \\ 2/19/19 \\ 2/19/19 \\ 2/15/19 \\ 2/15/19 \\ 2/19/19 \\ 2/19/19 \\ 2/19/19 \\ 2/11/19 \\ 2$
15.				

NOTES: () Email Response Arranies

OPERATING EXAM

1. <u>Pre-Examination</u>

I acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for the week(s) of <u>2/4/19 & 2/11/19</u> as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC's chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

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PRINTED NAME	JOB TITLE / RESPONS BILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. CLIFTON GATES 2. DM KINNEK 3. Grany Norton 4. CMYRUE KOCSIS 5. C. MUSSELL (DWG 6. Kich Mon Tosis 7. Jon (MMP) 8.	TRAINING TRAINING Training Thematic Opsi Trig Trup Mom Training	Surga Monto Surga Monto Millowhis Jon Cramon	$\frac{21419}{21411}$ $\frac{21411}{277/19}$ $\frac{21419}{21419}$ $\frac{21419}{21419}$ $\frac{21419}{21419}$ $\frac{21419}{21419}$	DM ULL Bar anor March 6/19 on Gamon	2/11/19 2/11/19 2/11/19 2/11/19 2/11/19 2/11/19 2/11/19	1 <u>R</u>
9 10 11 12 13 14 15.						

NOTES:

From:	Suneson, Robert R
Sent:	Tuesday, February 19, 2019 9:01 AM
To:	Chase, Nicolas T; Schepperly, David; O'Brien,Wesley; Axelby, David R; Johnson, Joseph S; Bohren, Christopher
Cc:	Suneson, Robert R
Subject:	Unit 2 ILO Examination

Gentlemen the exam on Unit 2 is complete and you are required to sign off the security agreement. This is confirming to the best of your knowledge, you did not divulge to any unauthorized persons any information concerning the NRC license examinations administered during the weeks of 2/4/2019-2/15/2019. From the date entered into this security agreement until the completion of the examination administration, you did not instruct, evaluate, or provide performance feedback to those applicants who were administered these license examinations. Respond with the voting button or a response to me.

You may also come to my desk and physically sign off of the agreement. Thanks Sunny

Please dispose of your badges.

Bob Suneson IPEC ILO Program Lead 914-254-2635 845-401-6987 (Cell) 845-440-3807 (Home) sunbson@mac.com

From: Sent: To: Subject: Chase, Nicolas T Saturday, February 23, 2019 1:50 AM Suneson, Robert R Yes: Unit 2 ILO Examination

From: Sent: To: Subject: Johnson, Joseph S Wednesday, February 20, 2019 8:00 AM Suneson, Robert R Yes: Unit 2 ILO Examination

From: Sent: To: Subject: O'Brien,Wesley Tuesday, February 19, 2019 12:59 PM Suneson, Robert R Yes: Unit 2 ILO Examination

From:	Jenkins, Tim M	
Sent:	Friday, February 15, 2019 12	2:59 PM
То:	'gerrr1979@aol.com'; Murra	y, Richard; Kimich, Gabriel; 'adamhukill@gmail.com'
Subject:	IPEC Unit 2 Security Agreem	nent
Tracking:	Recipient	Response
	'gerrr1979@aol.com'	
	Murray, Richard	
	Kimich, Gabriel	Yes: 2/15/2019 1:55 PM
	'adamhukill@gmail.com'	

Gentlemen the exam on Unit 2 is complete and you are required to sign off the security agreement. This is confirming to the best of your knowledge, you did not divulge to any unauthorized persons any information concerning the NRC license examinations administered during the weeks of 2/04/2019-2/15/2019. From the date entered into this security agreement until the completion of the examination administration, you did not instruct, evaluate, or provide performance feedback to those applicants who were administered these license examinations. Respond with the voting button or a response to me.

You may also come to my desk and physically sign off of the agreement.

Thanks Tim

Please return your badges to my desk during the next cycle.

From: Sent: To: Subject: Adam Hukill <adamhukill@gmail.com> Friday, February 15, 2019 1:15 PM Jenkins, Tim M Re: IPEC Unit 2 Security Agreement

EXTERNAL SENDER. DO NOT click links, or open attachments, if sender is unknown, or the message seems suspicious in any way. DO NOT provide your user ID or password.

Hi Tim,

I didn't see a vote button, but I did not assist in any way. Did everyone make it thru?

Adam

On Feb 15, 2019, at 12:58 PM, Jenkins, Tim M <<u>TJenkin@entergy.com</u>> wrote:

Gentlemen the exam on Unit 2 is complete and you are required to sign off the security agreement. This is confirming to the best of your knowledge, you did not divulge to any unauthorized persons any information concerning the NRC license examinations administered during the weeks of 2/04/2019-2/15/2019. From the date entered into this security agreement until the completion of the examination administration, you did not instruct, evaluate, or provide performance feedback to those applicants who were administered these license examinations.

Respond with the voting button or a response to me.

You may also come to my desk and physically sign off of the agreement.

Thanks Tim

Please return your badges to my desk during the next cycle.

This message is intended for the exclusive use of the intended addressee. If you have received this message in error or are not the intended addressee or his or her authorized agent, please notify me immediately by e-mail, discard any paper copies and delete all electronic files of this message.

From: Sent: To: Subject: Gerald Gauding <gerrr1979@aol.com> Friday, February 15, 2019 1:31 PM Jenkins, Tim M Re: IPEC Unit 2 Security Agreement

EXTERNAL SENDER. DO NOT click links, or open attachments, if sender is unknown, or the message seems suspicious in any way. DO NOT provide your user ID or password.

I do so confirm.

Sent from my iPhone

On Feb 15, 2019, at 12:58 PM, Jenkins, Tim M <<u>TJenkin@entergy.com</u>> wrote:

Gentlemen the exam on Unit 2 is complete and you are required to sign off the security agreement. This is confirming to the best of your knowledge, you did not divulge to any unauthorized persons any information concerning the NRC license examinations administered during the weeks of 2/04/2019-2/15/2019. From the date entered into this security agreement until the completion of the examination administration, you did not instruct, evaluate, or provide performance feedback to those applicants who were administered these license examinations.

Respond with the voting button or a response to me.

You may also come to my desk and physically sign off of the agreement.

Thanks Tim

Please return your badges to my desk during the next cycle.

This message is intended for the exclusive use of the intended addressee. If you have received this message in error or are not the intended addressee or his or her authorized agent, please notify me immediately by e-mail, discard any paper copies and delete all electronic files of this message.

From: Sent: To: Subject: Murray, Richard Friday, February 15, 2019 2:20 PM Jenkins, Tim M Re: IPEC Unit 2 Security Agreement

Tim you can sign me off I have complied with the security agreement.

Rick Murray

Sent from my Verizon, Samsung Galaxy smartphone

------ Original message ------From: "Jenkins, Tim M" <<u>TJenkin@entergy.com</u>> Date: 2/15/19 11:58 AM (GMT-06:00) To: "'gerrr1979@aol.com'" <<u>gerrr1979@aol.com</u>>, "Murray, Richard" <<u>rmurra3@entergy.com</u>>, "Kimich, Gabriel" <<u>gkimich@entergy.com</u>>, "'adamhukill@gmail.com''' <<u>adamhukill@gmail.com</u>> Subject: IPEC Unit 2 Security Agreement

Gentlemen the exam on Unit 2 is complete and you are required to sign off the security agreement. This is confirming to the best of your knowledge, you did not divulge to any unauthorized persons any information concerning the NRC license examinations administered during the weeks of 2/04/2019-2/15/2019. From the date entered into this security agreement until the completion of the examination administration, you did not instruct, evaluate, or provide performance feedback to those applicants who were administered these license examinations. Respond with the voting button or a response to me.

You may also come to my desk and physically sign off of the agreement.

Thanks Tim

Please return your badges to my desk during the next cycle.

From: Sent: To: Subject: Schepperly, David Tuesday, February 19, 2019 5:46 PM Suneson, Robert R Yes: Unit 2 ILO Examination

Operating Test Quality Checklist

Form ES-301-3

Facility: IPEC Unit 2 Date of Examination: Feb. 4, 2019 Operating Test Num	nber:	1	
1. General Criteria		Initials	5
	а	b*	с*
a. The operating test conforms to the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	BA	byl	k
b. There is no day-to-day repetition between this and other operating tests to be administered during this examination.	3.J	DA	ko
c. The operating test shall not duplicate items from the applicants' audit test(s) (see Section D.1.a.).	M	<i>by</i> l	kg
d. Overlap with the written examination and between different parts of the operating test is within acceptable limits.	3nA	<u>cp</u>	k
e. It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	Jul	jjs	RD
2. Walkthrough Criteria			
 detailed expected actions with exact criteria and nomenclature system response and other examiner cues statements describing important observations to be made by the applicant criteria for successful completion of the task identification of critical steps and their associated performance standards restrictions on the sequence of steps, if applicable b. Ensure that any changes from the previously approved systems and administrative walkthrough 	Juff	LL J	A
outlines (Forms ES-301-1 and ES-301-2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last two NRC examinations) specified on those forms and Form ES-201-2.	2	ŊJ	R ı
3. Simulator Criteria			
The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4, and a copy is attached.	J.A	DØ3	ß
a. Author b. Facility Reviewer (*) c. NRC Chief Examiner (#) d. NRC Supervisor * The facility licensee signature is not applicable for NRC-developed tests. # The independent NRC reviewer initials items in column "c"; the chief examiner concurrence is required.		Da)///y [1// ///2 _11/2	te <i> 8</i> /18 6 <i> </i> 0

Simulator Scenario Quality Checklist

Form ES-301-4

Facilit	y: IPEC Unit 2 D	ate of Exam: 2-4-2019	Scenario Numbers: 1 /	2 / 3 Operati	ing Test I	No.: 1	
		QUALITATIVE A	TTRIBUTES			Initials b*	c*
1. T b	may be out of service,	a Drug	DM				
	30	101	1				
	he scenarios consist most ach event description con				Cand	- <i>V</i>	P
3. E • •	Gut	Dýj ·	Bo				
4. T	he events are valid with re	egard to physics and the	rmodynamics.		Juff	DA	B
5. S e	to obtain complete	3mT	M	B			
6. lf C	, NA	NA.	NA				
7. T	Shift	DA	As				
8. T d fi	9nF	CJ	ß				
9. S	34	èP	B				
10. A fe	S-301-6 (submit the	5.F	UA	A			
11. Т г	he scenario set provides the ating factors. (Competen	ne opportunity for each a cy rating factors as desc	applicant to be evaluated in ea ribed on Forms ES-303-1 and	ach of the applicable d ES-303-3.)	G MA	461	15
	ach applicant will be signi n Form ES-301-5 (submit		ninimum number of transients tor scenarios).	and events specified	Inf	如	B
	pplicants are evaluated or ossible.	a similar number of pre	identified critical tasks across	scenarios, when	Shi	49	ha
14. T	he level of difficulty is app	ropriate to support licens	sing decisions for each crew	position.	Sm	Lig	A
	Target Quantitative At	ributes per Scenario (See Section D.5.d)	Actual Attributes			
1.	Malfunctions after EOP	entry (1–2)		3/3/3	Durf	DØ	10
2.	Abnormal events (2-4)	2004		2/2/2	3.4	<u>Dr</u>	R
3.	Major transients (1-2)			2/2/2	Sing	DK	L
4.	EOPs entered/requiring	substantive actions (1-	2)	2 / 2 / 2	Sinty	DIS	ß
5.	Entry into a contingenc set)	y EOP with substantive a	actions (≥ 1 per scenario	1 / 1 /1	Sing	DAS	13
6.	Preidentified critical tas	ks (> 2)		3/3/2	g.A	Dy	R

ES-301

Simulator Scenario Quality Checklist

Form ES-301-4

Fac	ility: IPEC Unit 2 Date of Exam: 2-4-2019 Scenario Numbers: 4 /	5 / Operatir	ng Test I	No.: 1							
				Initials							
			a	b*	c*						
1.	The initial conditions are realistic in that some equipment and/or instrumentation but it does not cue the operators into expected events.	may be out of service,	Int	DØ	les -						
2.	The scenarios consist mostly of related events.	•	Gn∯	DK -	K						
3.	Each event description consists of the following:		-0								
	 the point in the scenario when it is to be initiated the malfunction(s) or conditions that are entered to initiate the event 										
	 the symptoms/cues that will be visible to the crew 				lo						
	 the expected operator actions (by shift position) the event termination point (if applicable) 			AY .	12						
4.											
5.	3m4	DN	R								
6.	evaluation results commensurate with the scenario objectives. If time compression techniques are used, the scenario summary clearly so indica	ites.									
	Operators have sufficient time to carry out expected activities without undue time Cues are given.	constraints.	NA	NĄ	NA						
7.	The simulator modeling is not altered.		5nA	UX.	R						
8.	BA	D	R								
9.	30	Dø	L								
	Scenarios are new or significantly modified in accordance with Section D.5 of ES All individual operator competencies can be evaluated, as verified using Form ES			NP N							
10.	form along with the simulator scenarios).		Sig	M .	ko						
11.	The scenario set provides the opportunity for each applicant to be evaluated in ear rating factors. (Competency rating factors as described on Forms ES-303-1 and		5M	AX	P3						
12.	Each applicant will be significantly involved in the minimum number of transients on Form ES-301-5 (submit the form with the simulator scenarios).	and events specified	A.	Mg.	In						
13.	Applicants are evaluated on a similar number of preidentified critical tasks across possible.	scenarios, when	ANY	DB	In						
14.	The level of difficulty is appropriate to support licensing decisions for each crew p	oosition.	Sint	DIS	lm						
	Target Quantitative Attributes per Scenario (See Section D.5.d)	Actual Attributes									
1.	Malfunctions after EOP entry (1-2)	2/1/	519	DY	Pg						
2.	Abnormal events (2-4)	2/4/	M	DX	Ro						
3.	Major transients (1-2)	1 / 2 /	34	D)	Re .						
4.	EOPs entered/requiring substantive actions (1-2)	2 / 1 /	3mF	UM	ho						
5.	Entry into a contingency EOP with substantive actions (\geq 1 per scenario set)	0/1/	Shay	M	10						
6.	Preidentified critical tasks (\geq 2)	2/2/	Sint	DX	hop						
* T # 4	he facility licensee signature is not applicable for NRC-developed tests. An independent NRC reviewer initials items in column "c"; chief examiner concurre	nce is required.									

ES-301

Transient and Event Checklist

Form ES-301-5

Facility: IPEC Unit 2 Date of Exam: 2-4-2019 Operating Test No.: 1																	
A	E							S	cenario)s							
P P	V E		1			2			3			4		Т	1	M	
L 1	N T		CREW DSITIO	N	CREW POSITION			CREW POSITION			CREW POSITION			O T	ŗ	 N 	
C A N	T	S R	A T	B O	S R	A T	B O	S R	A T	B O	S R	A T	B O	A L	I	J J	
Т	Р	0	С	Р	0	С	Р	0	С	P	0	С	Р			VI(*)	
RO	E RX					2			Norman					1	R 1	1	U 0
	NOR	2				2					1			2	1	1	1
SRO-I		167				13					235			<u>-</u> 11	4	4	2
SRO-U						56					6						
	MAJ	35				48					4			5	2	2	1
	TS	12			A. S.	20.05			la superiore L'Alt Delardor		23			4	0	2	2
RO	RX								2					1	1	1	0
	NOR	2			2									2	1	1	1
SRO-I	I/C	167			135 6				145					10	4	4	2
SRO-U	MAJ	35			48				36					6	2	2	1
	TS	12			13				and a straight of					4	0	2	2
RO	RX											1		1	1	1	0
SRO-I	NOR	2			2									2	1	1	1
SRO-U	I/C	167			135 6							235		10	4	4	2
	MAJ	35			48							4		5	2	2	1
	TS	12			13									4	0	2	2
RO	RX		2											1	1	1	0
SRO-I	NOR							2			1			3	1	1	1
SRO-U	I/C		14					17			235 6			8	4	4	2
	MAJ		35					36			4			5	2	2	1
	TS							12			23		5.2 × 14	4	0	2	2
a F C	Check the a are not app positions. I component position, or	licable f Instant S (I/C) m	for RO a SROs (S alfunction	applica SRO-I) ons an	ants. Ro must s id one n	Os mu erve ir najor ti	st serve i both the ransient,	in both t e SRO a in the A	he at-th ind the . .TC pos	ne-contr ATC po sition. If	ols (AT) sitions, an SR(C) and t includir D-I <i>addi</i>	balance ng at lea <i>itionally</i>	-of-pla ist two serve	ant (B b instr s in th	OP) umei	nt or
r	Reactivity r nust be sig additional I	nificant	per Se	ction C	C.2.a of	Apper	dix D. (*										out
a	Whenever actions tha applicant's	t provide	e insigh	t to the	e applic	ant's c	ompeten										ole
	For new rea examiner n plant contro	nay plac															

Transient and Event Checklist

Form ES-301-5

Facility: IPEC Unit 2 Date of Exam: 2-4-2019 Operating Test No.: 1																	
A	E							S	cenario	os					<u></u>		
P P	V E		1 2 3								Т		И				
	N T		CREW (POSITION			CREW POSITION			CREW POSITION			CREW POSITION				l N	
ċ	1	s		В	s	Α	В	s	A	в	s	A	в	T A	,	I M	
A	T	R	A T	Ö	R	ÎŤ	Ö	R	Ť	0	R	Ť	ŏ	L		J	
N T	P P	0	0 C P O C P O C P O C P													M(*)	
	E					* -**			a server both the server of the server	100 March 1970 1970	an II alk and South		AME TO COMPANY OF A		R	1	U
RO	RX											1		1	1	1	0
SRO-I	NOR						2	2						2	1	1	1
	I/C						17	17				235		7	4	4	2
SRO-U	MAJ						48	36				4		5	2	2	1
	TS							12						2	0	2	2
RO	RX											1		1	1	1	0
	NOR				2					2				2	1	1	1
SRO-I	I/C				135 6					17		235		9	4	4	2
SRO-U	MAJ				48					36		4		5	2	2	1
	TS				13									2	0	2	2
RO	RX					2								2	1	1	0
	NOR							2			1			2	1	1	1
SRO-I	I/C					13		17			235			10	4	4	2
SRO-U						56					6			_			
	MAJ TS					48		36 12			4 23			5 4	2	2	1
RO	RX										23			4		2	2
SRO-I	NOR																
SRO-U	I/C																
	MAJ																
Instruction	TS				Salashin Mi	and the second second	174, Mars 4, 98	CR. 16			Renderin	No	de la com	A Second	William	is and	a Replace
									. –				-				
	Check the a are not app																; 15
	positions. I component																
	position, on															ie Du	
	Reactivity r must be sig additional l/	nificant	t per Se	ction C	C.2.a of	Apper	ndix D. (*										but
	Whenever actions that applicant's	t provid	e insigh	it to the	e applica	ant's c	ompeten										ble
	For new rea examiner m plant contro	nay plac															

Transient and Event Checklist

Form ES-301-5

Facility:	IPEC Unit	2				Date	of Exar	n: 2-4-	2019		Оре	erating	Test N	lo.: 1			
A	E							S	cenario	os							
P P	V E		1			2			3			4		Т		И	
	N T		CREW	N	CREV	V PO	SITION	CREV	V POS	ITION	CREV	V POS	ITION	О Т		I N	
C A	Т	S	Α	В	S	Α	В	S	Α	В	s	A	В	Α	1	N N	
N	 Y	R	T C	O P	R O	T C	O P	R O	T C	O P	R O	T C	O P	L			
Т	P E			Г	0			0	U			U			R	M(*)	U
RO	RX		2					使称的行动						1	1	1	0
	NOR									2			1	2	1	1	1
SRO-I	I/C	All and a second se	14							17			236	7	4	4	2
SRO-U	MAJ		35							36			4	5	2	2	1
	TS													0	0	2	2
	RX			an a partie and a she					2					1	1	1	0
RO	NOR			2			2							2	1	1	1
SRO-I	I/C			16 7			17		145					8	4	4	2
SRO-U	MAJ			35			48		36					6	2	2	1
	TS													0	0	2	2
RO	RX		2											1	1	1	0
SRO-I	NOR						2			2				2	1	1	1
	I/C		14				17			17				6	4	4	2
SRO-U	MAJ		35				48			36				6	2	2	1
	TS													0	0	2	2
RO	RX								2					1	1	1	0
SRO-1	NOR			2									1	2	1	1	1
SRO-U	I/C			16 7					145				236	9	4	4	2
	MAJ			35					36				4	5	2	2	1
	TS										an de te			0	0	2	2
Instruction	IS:																
	Check the a are not app positions. I component position, on	licable I nstant S (I/C) m	for RO a SROs (S alfunctio	applica SRO-I) ons an	nts. RC must so d one m	Os mu erve ir najor tr	st serve i both the ransient,	n both t sRO a in the A	he at-th nd the . TC pos	ie-contr ATC po ition. If	ols (ATC sitions, i an SRC	C) and b includin D-I <i>addi</i>	balance ig at lea <i>tionally</i> :	-of-pla st two serve:	ant (B) instr s in th	OP) umei	nt or
	Reactivity n must be sig additional I/	nificant	per Sec	ction C	.2.a of <i>i</i>	Appen	dix D. (*										out
	Whenever p actions that applicant's	t provide	e insight	t to the	applica	ant's c	ompeten										ole
	For new rea examiner m plant contro	nay plac														ating	

Facility: IPEC Unit 2

Date of Exam: 2-4-2019

Operating Test No.: 1

Transient and Event Checklist

Form ES-301-5

A	E							S	cenario	DS							
P P	V E		1			2			3			4		Т	1	И	
L	N T		CREW	N	CREV	N POS	SITION	CREV	V POS	ITION	CREV	V POS	ITION	О Т		 N 	
C A N T	T Y P E	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	о н о	A T C	B O P	A L	r	M J M(*)	U
RO	RX					2								1	1	1	0
	NOR			2									1	2	1	1	1
SRO-I				16		13							236	10	4	4	2
SRO-U				7		56							200				-
	MAJ			35		48							4	5	2	2	1
	TS			1.55252.48		i Na manana sa								0	0	2	2
RO	RX														1	1	0
SRO-I	NOR														1	1	1
	I/C														4	4	2
SRO-U	MAJ														2	2	1
	TS														0	2	2
RO	RX														1	1	0
SRO-I	NOR														1	1	1
	I/C														4	4	2
SRO-U	MAJ														2	2	1
	TS														0	2	2
RO	RX														1	1	0
SRO-I	NOR														1	1	1
	1/C														4	4	2
SRO-U	MAJ														2	2	1
	TS				and the second		ust d'alla				- 				0	2	2
a F G	Check the a are not app positions. I component position, on	licable f nstant S (I/C) m ie I/C m	for RO a SROs (S alfunctionalfunctio	applica SRO-I) ons an on car	ants. R must s d one r be cre	Os mus serve in najor tr dited to	st serve both the ransient, oward the	in both t e SRO a in the A e two I/(he at-th ind the TC pos C malfu	ne-contr ATC po sition. If nctions	ols (ATC sitions, an SRC required	C) and includir D-I <i>add</i> I for the	balance ng at lea <i>itionally</i> e ATC p	-of-pla ast two serve ositior	ant (B p instr s in th n.	iOP) rumei ne B(nt or DP
r	Reactivity n nust be sig additional l/	nificant	per Se	ction C	C.2.a of	Appen	ndix D. (*										but
a	Whenever actions that applicant's	t provide	e insigh	t to the	e applic	ant's c	ompeten										ble
	For new rea examiner m plant contro	nay plac															

Competencies Checklist

Form ES-301-6

Facility: IPEC Unit 2	Da	ate of	Exa	minat	ion: 2	2-4-20	019		<u>.</u>	Oper	ratir	ng Te	st No.	.: 1		
					_		APP	LIC	ANT	S						
	RC SR SR		[) .O-I .O-U			1) O-I O-U			RO SR SR			
Competencies	5	CEN	ARIC	2	s	CEN	ARIO		S	CEN	AR	0	s		ARI	<u>0</u>
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Interpret/Diagnose Events and Conditions	0	13- 68		0	0	0	1-5		0	0		24	1-5 7		0	0
Comply with and Use Procedures (1)	1-7	2		1-6	1-7	1-8	1-7		1-7	1-8		1-6	1-7		1-8	1-6
Operate Control Boards (2)	0	1-5 8		0	0	0	1-5		0	0		1-4	1-5 7		0	0
Communicate and Interact	1-7	1-8		1-6	1-7	1-8	1-7		1-7	1-8		1-6	1-7		1-8	1-6
Demonstrate Supervisory Ability (3)	1-7	0		1-6	1-7	1-8	0		1-7	1-8		0	0		1-8	1-6
Comply with and Use TS (3)	12	0		23	1-2	13	0		1-2	13		0	0		13	23
Notes: (1) Includes TS compliance for an RO. (2) Optional for an SRO-U. (3) Only applicable to SROs.																

Instructions:

Competencies Checklist

Form ES-301-6

Facility: IPEC Unit 2	0	Date o	of Exa	amina	atio	n: 2-4	2019)		Оре	erating	g Tes	t No.	: 1		
							A	PPLI		NTS						
		0 RO-I RO-L	J		5	RO SRO-I SRO-L	ļ			o Ro-I Ro-U	[]) [) 10-1 10-U		
Competencies		SCE	VARI	0		SCE	VARIO	ວ		SCE	VARI	0		<u>SCE</u>	NARI	0
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Interpret/Diagnose Events and Conditions		5	0	24 6		0	26 7	24		0	0	0				
Comply with and Use Procedures (1)		1-8	1-7	1-2 4-6		1-8	1-7	1-6		1-8	1-8	1-6				
Operate Control Boards (2)		1-5 8	0	12 46		0	12 46	1-4		0	0	0				
Communicate and Interact		1-5 8	1-7	1-6		1-8	1-7	1-6		1-8	1-8	1-6				
Demonstrate Supervisory Ability (3)		0	1-7	0		1-8	0	0		1-8	1-8	1-6				
Comply with and Use TS (3)		0	1-2	0		13	0	0		13	13	23				
Notes:(1)Includes TS compliance for an RO.(2)Optional for an SRO-U.(3)Only applicable to SROs.																

Instructions:

Competencies Checklist

Form ES-301-6

Facility: IPEC Unit 2	Da	ate o	of Exa	amina	ation:	2-4-20	019			Oper	ating	Te	st No.	: 1		
							APP	LIC	ANT	S						
		0-1 0-1	J			0-1 0-U) O-I O-U			RO SR(SR(0-I		
Competencies	s	CE	NARI	0	s	CENA	RIO		s	CEN	ARIO		S		VARI	<u>o</u>
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Interpret/Diagnose Events and Conditions	1-5 7		26 7	2-3	6	5	1-5		1-5 7	5	26 7		26 7		1-5	2-3
Comply with and Use Procedures (1)	1-7		1-7	1-6	1-7	1-8	1-7		1-7	1-8	1-7		1-7		1-7	1-6
Operate Control Boards (2)	1-5 7		12 46	1-6	1-6	1-5 8	1-5		1-5 7	1-5 8	12 46		12 46		1-5	1-6
Communicate and Interact	1-7		1-7	1-6	1-7	1-5 8	1-7		1-7	1-5 8	1-7		1-7		1-7	1-6
Demonstrate Supervisory Ability (3)	0		0	0	0	0	0		0	0	0		0		0	0
Comply with and Use TS (3)	0		0	0	0	0	0		0	0	0		0		0	0
Notes: (1) Includes TS compliar (2) Optional for an SRO- (3) Only applicable to SF	U.	r an	RO.													

Instructions:

Competencies Checklist

Form ES-301-6

Facility: IPEC Unit 2	Da	ate of	Exar	ninat	ion:	2-4-2	2019			Ope	rating	g Te	st N	o.: 1		
					-		AP	PLIC	ANT	s						
) :O-I :O-U) 70-1 70-U			1	0 70-1 70-1) 70-1 70-U		
Competencies	5	SCEN	ARIC			SCE	VARI	0	s	CEN	ARI	2	ARI	2		
	1	2	3	4	1	2	3	4	1	2	3	4	3	4		
Interpret/Diagnose Events and Conditions	nts 2 6 1 3- 7 6 8 2-3															
Comply with and Use Procedures (1)	1-7	2		1-6												
Operate Control Boards (2)	12 46	1-5 8		1-6												
Communicate and Interact	1-7	1-8		1-6		:										
Demonstrate Supervisory Ability (3)	0	0		0											ļ	
Comply with and 0 0 0 Use TS (3)																
(2) Optional for an SRO-	Includes TS compliance for an RO. Optional for an SRO-U. Only applicable to SROs.															

Instructions:

Facility: IPEC Unit 2	Da	ate of	Exar	minat	ion:	2-4-2	019			Ope	rating	g Te	st N	o.: 1		
		APPLICANTS														
) 10-1 10-U	[[[I) 70-1 70-1			1	0 70-1 70-U	l			
Competencies	encies SCENARIO					SCE	VARI	0	s	CEN	VARIO	0		SCE	VARI	0
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4

Written Examination Grading Quality Checklist

Form ES-403-1

Fa	cility: IPEC Unit 2 Date of Exam: 2115/19 Exam Level:	RO [SRO	
	Item Description		Initials	
		а	b	с
1.	Clean answer sheets copied before grading	Owf	DJS	Ko Ros
2.	Proposed answer key changes and question deletions justified and documented (facility reviewer initials not required (N/R) if NO post-examination comments are submitted)	Omf	by	
3.	Applicants' scores checked for addition errors	-		
	(reviewers spot check > 25% of examinations)	Durt	DA	Parter
4.	Grading for all borderline cases (80% \pm 2% overall and 70% or 80%, as applicable, \pm 4% on the SRO-only exam) reviewed in detail	Jug	Sy	1240
5.	All other failing examinations checked to ensure that grades are justified	ang	DJA	the for
6.	Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by one-half or more of the applicants	July	448	Refo.
	Printed Name/Signature		Date	
a.	Grader Tim M. Jenkins Jun Jonkins	2	125/19	_
b.	Facility Reviewer(*) David Schepperly Bury Schepperly	2	127.119	_
c.	NRC Chief Examiner (*) <u>Peter Presby</u> / Astraly Peter Man	to 3	3/15/19	3/5/19
d.	NRC Supervisor (*) Douglo Jackson (*)	2	3/25/19	2
(*)	The facility reviewer's signature is not applicable for examination NRC; two independent NRC reviews are required.	ns grad	ed by the	Э

Written Examination Grading Quality Checklist

Form ES-403-1

Fa	cility: TPEC Unif2 Dat	e of Exam: 2(15/19	Exam Level:	RO [SRO	X
	Item Desc	ription			Initials	
				а	b	с
1.	Clean answer sheets copied t	before grading		Img	Off	Kpo
2.	Proposed answer key change justified and documented (fac (N/R) if NO post-examination	ility reviewer initials	not required	Suf	DJS	Ap.9
3.	Applicants' scores checked for	or addition errors			-11	
	(reviewers spot check > 25%	of examinations)		Smg	DY.S	Aco.
4.	Grading for all borderline cas 80%, as applicable, ±4% on t detail			Im7	DJS .	K Co
5.	All other failing examinations are justified	checked to ensure	that grades	Juif	IAS .	Batter
6.	Performance on missed ques deficiencies and wording prot questions missed by one-half	olems; evaluate vali	dity of	9mg	LYY	Kar.
	Printed Nam	e/Signature			Date	
a.	Grader Tim M	1. Jenking 12m	In Jan kew	2 _	/25/19	
b.	Facility Reviewer(*)	Schepperly/Lon	aff Shepperty		/27/19	
c.	NRC Chief Examiner (*) <u>Peter</u>	Presby / Harrie G	PETE OUT Poly	<u>(</u>	3/15/19	3/15/19
d.	NRC Supervisor (*)	ld Jackson (K		3	25/19	-
(*)	The facility reviewer's signatu NRC; two independent NRC			ns grade	ed by the	e