

Section	NOTES	Reporting				
		Number of Respondents	Responses per Respondent	Responses	Burden per Response	Total Burden Hours
37.11(a)	No exemption applications anticipated	1,400	0	0	1	0
37.25(c)	Reinvestigations are conducted every 10 years. Based on the date of issuance of orders, no reinvestigations are anticipated during this OMB clearance period	1,400	0	0	1	0
37.23(b)(2), 37.27 (a)(1), 37.27(c), 37.43(d)(3)(ii),	Fingerprinting requirement, burden captures reviewing officials & estimated 3 new employees per licensee annually.	1,400	3	4200	0.25	1050
37.41(a)(3)	Requires any licensee that has not previously implemented the Security Orders or been subject to the provisions of subpart C shall provide written notification to the NRC regional office at least 90 days before aggregating radioactive material to a quantity that equals or exceeds the category 2 threshold. None estimated.	0		0		0
37.45(a)(2)&(5) in PR, 37.45(b)(1)&(2) in FR	Notify regional office on LLEA none response, none estimated	0	0	0	0.25	0
37.57(a)	Event notification	3	1	3	0.25	0.75
37.57(b)	Phone call of suspicious activity	110	1	110	0.25	27.5
37.57(c)	Written follow up report for notifications within 30 days	3	1	3	20	60
37.77(a)&(b), NRC Form 755, "Advance Notification to the NRC of the Shipment of Category 1 Quantities of Radioactive Material"	Advance written notification of Category 1 shipments	16	21.9	350.1	1	350.1
37.77 (c),	Revision notification	16	2	32	0.25	8
37.77 (d)	Cancel notification	16	0	0	0.25	0
37.81(a), (b), (c), (d), (e), &(f)	Notification of lost/missing cat 1 & 2 materials and recovery	3	1	3	0.25	0.75
37.81(g)&(h)	Written report following lost/missing cat 1 & 2 materials	3	1	3	20	60
<b>TOTAL</b>				<b>4,704.1</b>		<b>1,557.1</b>

**Third Party Disclosure**

<b>Section</b>	<b>Notes</b>	<b>Rspndnts</b>	<b>Rsp. Per Rspndt</b>	<b>Responses</b>	<b>Burden per Response</b>	<b>Total Burden Hours</b>
37.23(d)	Employees providing personal history information	4,200	1	4,200	4	16,800
37.23(g)(1)	Notification of employees that they have the right to correct and complete information. No responses anticipated	0	0	0	1	0
37.27(a)(2), 37.23©	Notify each individual how their fingerprints will be used.	1,400	3	4,200	0.1	420
37.43(c)	Training	1,400	1	1,400	1	1,400
37.45(a)(1)&(2), (d)	Annual LLEA coordination	1,400	1	1,400	3	4,200
37.45(b)(1) & (2)	notify the regional office if LLEA has not responded to the request for coordination or does not plan to participate in coordination activities. None anticipated.	0		0		0
37.49(d)	Request armed response from LLEA- burden in 37.45(a)(10). none anticipated	0	0	0	0.1	0
37.57(a) - includes 37.11(c)(4)	Event notification	3	1	3	0.25	1
37.57(b)	Notify ops center with 4 hrs of suspicious activity	110	1	110	1	110
37.75(a)(1)	Pre-planning and coordination of Category 1 shipping with receiving licensee	16	21.88	350	0.5	175
37.75(a)(2)	Pre-planning and coordination with States thru which shipment passes of Category 1 Shipments	16	218.75	3,500	0.25	875
37.75(b)	Pre-planning and coordination of Category 2 shipping with receiving licensee	530	75	39,750	0.5	19,875
37.75(c)	Notification of shipping licensee upon receipt on Category 2 shipments	530	75	39,750	0.08	3,180
37.75(d)	Change in arrival time	110	3	330	0.16	53
37.77(a)&(b)	Advance written notification to the states of Category 1 shipments	16	21.88	350	4	1,400
37.77 (c),	Revision notification to state	16	2	32	0.25	8
37.77 (d)	Cancel notification to state	16	0	0	0.25	0
37.79(b)(1)(iii) in PR, 37.79(b)(1)(ii) in FR	Periodic reports to communication center	0	0	0	0.03	0
37.81(a),(c)&(e)	Category 1, zero estimated	0	0	0	0.25	0
<b>TOTAL</b>				<b>95,375.16</b>		<b>48,496.91</b>

**Recordkeeping**

<b>Section</b>	<b>Notes</b>	<b>Number of Recordkeepers</b>	<b>Burden per Recordkeeper</b>	<b>Total Annual Burden Hours</b>	<b>Retention Period</b>
37.23(e)(5) and 37.23(h)(3)	List of persons approved access	1,400	1	1,400	3 years from the date the individual no longer requires unescorted access
37.23(g)(1)	Notification records	1,400	0.25	350	1 year
37.23(h)(1), 37.25(a)(2), 37.25(a)(7), 37.25(b)(2), 37.29(a)(12)&(13), and 37.29(b)	Record of procedure and trustworthiness	1,400	2.25	3150	3 years from the date the individual no longer requires unescorted access
37.23(h)(2) and 37.23(f)	Current procedures for conducting background investigations	1,400	0.5	700	3 yrs after procedure no longer need, or license termination
37.31(a)	Written procedures for protection of the record	1,400	0.75	1,050	3 yrs from date no longer requires access
37.31(e)	Fingerprint and criminal history records	1,400	0.75	1,050	3 yrs from date no longer requires access
37.33(b)&(c)	Document results of program review – 3 years	1,400	1.5	2,100	3 years
37.43(a)(4)	Security plan	1,400	3.3	4,620	3 yrs after plan is superseded or until license termination
37.43(b)(3) and 37.43(d)(8)(i) and (ii)	Security plan implementing procedures and information protection procedures	1,400	0.25	350	3 years after the record is superseded.
37.43(c)(4)	Training records for security plan	1,400	3	4,200	3 years
37.43(d)(8)(i) and (ii) and 37.43(d)(5) & (6)	List of trust worthy person	1,400	0.25	350	3 years
37.45(c)	Records of coordination with LLEA	1,400	1	1400	3 years
37.51(b)	Records of maintenance and testing	1,400	0.25	350	3 years
37.55(c)	Records of security plan review	1,400	1.5	2100	3 years
37.71(c) in PR, 37.71(a)-(d) in FR	Copy of document verification before transfer	530	0.75	397.5	3 years
37.75(a)(3), (b), & (e)	Copy of preplanning info for shipments	546	0.75	409.5	3 years
37.77(e)	Copy of advance notification, revision, cancellation notices	16	0.01	0.16	3 years
37.79(c) in PR, 37.79(a)(1)(iii) &(v) in FR	Written procedures for road shipment of category 1 material	16	0.75	12	3 years
37.79(b)(1)(i)	Procedures for rail shipments	0	0.75	0	3 years
<b>TOTAL</b>		<b>1,400</b>		<b>23,989.2</b>	

	Hours	Responses	Cost @\$263/hr
Reporting	1,557.1	4,704.1	\$ 409,512
Recordkeeping	23,989.2	1,400.0	\$ 6,309,149
Third-Party Disclosure	48,496.9	95,375.2	\$ 12,754,687
<b>Total</b>	<b>74,043.2</b>	<b>101,479.2</b>	<b>\$ 19,473,348</b>

<b>Respondents</b>	
Agreement State Licensees	1,140
NRC Licensees	260
Individuals making personal history disclosures under 37.23(d)	4,200
<b>Total</b>	<b>5,600</b>

<b>Other costs</b>	
Recordkeeping costs	\$ 2,524
Fingerprint processing fees	\$ 42,000
<b>Total</b>	<b>\$ 44,524</b>

	Burden Change					
	Previously Approved		Current Request		Change	
	Hours	Responses	Hours	Responses	Hours	Responses
Reporting	1,932.4	6,204.4	1,557.1	4,704.1	-375.3	-1,500.3
Recordkeeping	85,644.2	1,200.0	23,989.2	1,400.0	-61,655.0	200.0
Third-Party Disclosure	50,993.6	96,578.5	48,496.9	95,375.2	-2,496.7	-1,203.3
<b>Total</b>	<b>138,570.2</b>	<b>103,982.9</b>	<b>74,043.2</b>	<b>101,479.2</b>	<b>-64,527.0</b>	<b>-2,503.7</b>