

NRC PROHIBITED SECURITIES LIST

NRC employees who are covered by the Prohibited Securities rule (including the employee's spouse and minor children) may not own securities issued by an entity on this list, in any amount (5 CFR § 5801.102(a)).

Employees are reminded that the definition of "securities" for purposes of this restriction broadly encompasses "all interests in debt or equity instruments," including (but not limited to) all types of common and preferred stock, bonds, debentures, and derivative security interests such as put and call options (see 5 CFR § 5801.102(c)(2)).

Employees are also reminded that ownership of securities issued by entities *other than* those on this list, while not outright prohibited, can still create conflicts of interest if the employee participates personally and substantially in an NRC matter that will directly and predictably affect his or her financial interests (18 U.S.C. § 208; 5 CFR § 2635.402). Always [contact an Ethics counselor](#) if you have questions regarding financial conflicts of interest.

Securities issued by any units of state or local governments on this list are only prohibited if the primary purpose of the security (e.g. bonds) is to finance the construction or operation of a nuclear reactor or low-level waste facility (5 CFR 5801.102(b)(2)). Bonds or other financial instruments issued for other purposes are not prohibited.

1. Nuclear Power Reactor Licensees (including parent corporations)

Alabama Power Company
Allegheny Electric Cooperative, Inc.
Ameren Corporation
American Electric Power Company, Inc.
Arizona Public Service Company
Avangrid, Inc.
Calvert Cliffs Nuclear Power Plant, LLC
Central Iowa Power Cooperative
City of Austin, TX
City of Dalton, GA
City of Riverside Utilities Department
Comanche Peak Power Company, LLC
Connecticut Yankee Atomic Power Company
Cooperative Energy
Corn Belt Power Cooperative
CPS Energy
Dairyland Power Cooperative
Dominion Energy, Inc.
Dominion Energy Nuclear Connecticut, Inc.
Dominion Energy Kewaunee
Dominion Nuclear North Anna, LLC
DTE Electric Company
DTE Energy Company
Duke Energy Carolinas, LLC
Duke Energy Corporation
Duke Energy Florida, LLC
Duke Energy Progress LLC

Edison International
El Paso Electric Company
Emera Maine
Energy Northwest
EnergySolutions, LLC
Entergy Arkansas, LLC
Entergy Corporation
Entergy Louisiana, LLC
Energy Mississippi, LLC
Entergy Nuclear Generation Company
Entergy Nuclear Indian Point 2, LLC
Entergy Nuclear Indian Point 3, LLC
Entergy Nuclear Operations, Inc.
Entergy Nuclear Palisades, LLC
Entergy Operations, Inc.
Eversource Energy
Exelon Corporation
Exelon FitzPatrick LLC
Exelon Generation Company, LLC
FirstEnergy Corporation
FirstEnergy Nuclear Generation, LLC
FirstEnergy Nuclear Operating Company
Florida Municipal Power Agency
Florida Power & Light Company
Georgia Power Company
Great Plains Energy
Green Mountain Power Corporation
Hudson Light & Power Department, MA
Indiana Michigan Power Company
Kansas City Power & Light Company
Kansas Gas and Electric Company
Kansas Electric Power Cooperative
LaCrosse Solutions, LLC
Long Island Power Authority
Los Angeles Department of Water & Power
Luminant Generation Company, LLC
Maine Yankee Atomic Power Company
Massachusetts Municipal Wholesale Electric Company
MEAG Power SPVM, LLC
MEAG Power SPVJ, LLC
MEAP Power, SPVP, LLC
MidAmerican Energy Company
Municipal Electric Authority of Georgia
Nebraska Public Power District
NextEra Energy Duane Arnold, LLC
NextEra Energy Point Beach, LLC
NextEra Energy Seabrook, LLC
NextEra Energy, Inc.
Nine Mile Point Nuclear Station, LLC
North Carolina Electric Membership Corporation

North Carolina Municipal Power Agency
Northern States Power Company (NSP-Minnesota)
NorthStar Group Services, Inc.
NorthStar Vermont Yankee, LLC
NRG Energy, Incorporated
NRG South Texas LP
Nuclear Innovation North America, LLC (also known as NINA)
Oglethorpe Power Corporation
Old Dominion Electric Cooperative
Omaha Public Power District
Ohio Edison Company
Orlando Utilities Commission, FL
Pacific Gas & Electric Company
PG&E Corporation
Piedmont Municipal Power Agency
Pinnacle West Capital Corporation
Progress Energy Carolinas, Inc.
Public Service Company of New Mexico (also known as PNM)
Public Service Enterprise Group, Inc. (also known as PSEG)
PSEG Power, LLC
PSEG Nuclear, LLC
R.E. Ginna Nuclear Power Plant, LLC
Riverstone Holdings, LLC
Rockwell Holdco, Incorporated
Salt River Project Agricultural Improvement & Power District
San Diego Gas & Electric Company
SCANA Corporation
Sempra Energy Corporation
South Carolina Electric & Gas Company
South Carolina Public Service Authority (Santee Cooper)
Southern California Edison Company
Southern California Public Power Authority
Southern Company
Southern Nuclear Operating Company
STP Nuclear Operating Company (STPNOC)
Susquehanna Nuclear, LLC
System Energy Resources, Inc.
Taunton Municipal Lighting Plant, MA
Tennessee Valley Authority
Toledo Edison Company
Union Electric Company (doing business as Ameren Missouri)
Virginia Electric & Power Company
Vistra Energy Corporation
Vistra Operations Company, LLC
Westar Energy
Wolf Creek Nuclear Operating Corporation
Xcel Energy, Incorporated
Yankee Atomic Electric Company
Zion Solutions, LLC

2. Manufacturers/Vendors of Power or Test Reactors

AECL Technologies, Inc.
AREVA
ASEA Brown Boveri
BWXT Nuclear Operations Group
BNFL, Ltd.
British Nuclear Group
Framatome
General Atomics
General Electric Company
GE-Hitachi Nuclear Energy (also known as GEH)
Hitachi Ltd.
IHI Corporation
Korea Electric Power Corporation (also known as KEPCO)
Korea Hydro & Nuclear Power Company
McDermott International, Incorporated
Mitsubishi Heavy Industries, Ltd.
NuScale Power
Toshiba Corporation
Washington Group International, Incorporated
Westinghouse Electric Company
WECTEC

3. Architectural Engineering Companies

BWXT Nuclear Energy, Inc.
Bechtel
Black & Veatch
Brown Boveri
Burns & Roe
C.F. Braun
Chicago Bridge & Iron Company
Duke Engineering & Services
Dravo Corporation
Ensearch
Fluor
Gilbert Associates/Commonwealth Companies
Parsons Corporation
Sargeant & Lundy
Southern Services Company

4. Fuel Cycle-Related Applicants, Certificate of Compliance Holders, and Licensees

Alaron Corporation
American Nuclear Corporation
Applied Health Physics, Incorporated
Areva
AREVA Enrichment Services, LLC
AUC Holdings
AUC LLC
Azarga Uranium Corporation
BWXT Nuclear Operations Group Inc.

BWXT Technologies Inc.
Brookfield Asset Management
Brookfield WEC Holdings, Inc.
Cameco
Centrus Energy Corporation (Formerly USEC Incorporated)
Crow Butte Resources, Incorporated
Diversified Scientific Solutions, Incorporated
Edlow International Company
Energy Capital Partners II, LLC
EnergySolutions
Environmental Properties Management, LLC
Fansteel, Incorporated (FMRI, Incorporated)
Foster Wheeler
Framatome Inc.
General Electric
GE-Hitachi Global Laser Enrichment, LLC
General Nuclear Systems, Incorporated
Global Nuclear Fuel – Americas, LLC
Holtec International
Homestake Mining Company
Honeywell International, Incorporated
Hydro Resources, Incorporated
Interim Storage Partners LLC
International Isotopes, Incorporated
Kennecott Uranium Corporation
Laramide Resources Ltd
Lightbridge Corporation
Lost Creek, ISR, LLC
MKS Instruments, Inc.
Nordion (Canada) Inc.
NAC International
Nuclear Fuel Services, Inc.
NuFuels, Inc.
Northwest Medical Isotopes
NorthStar
Orano
Pathfinder Mines Corporation
Power Resources, Incorporated
Powertech (USA), Incorporated
Radiac Research Corporation
Rare Element Resources
RIO Algom Corporation
Rockwell Holdco, Incorporated
Scientific Ecology Group, Incorporated
Shieldalloy Metallurgical Corporation
Shine Medical Technologies
Strata Energy, Incorporated
The Shaw Group
TN Americas LLC
Umetco Minerals Corporation
UNC Mining and Milling

Ur-Energy
Uranerz Energy Corporation
Uranium Energy Corporation
Uranium One Americas, Incorporated
Uranium One USA
Uranium Resources
Urenco
U. S. Ecology, Inc.
Valhi, Incorporated
Waste Control Specialists, LLC
Western Nuclear, Incorporated

5. Energy or Utility Sector Investment Funds*

American Century Utilities Inv.
Fidelity Advisor Utilities
Fidelity MSCI Utilities Index ETF
Fidelity Select Utilities Portfolio
Fidelity Telecom and Utilities
First Trust Utilities AlphaDEX Fund
First Trust Utilities Select Portfolio
Franklin Utilities
Gabelli Utility Fund
Gabelli Utility Trust
Global X Uranium ETF
Guggenheim Utilities Portfolio Series
Guggenheim S&P 500 Equal Weight Utilities ETF
Hennessy Gas Utility Fund
ICON Utilities
John Hancock Multifactor Utilities ETF
iShares Edge MSCI Multifactor Utilities ETF
iShares US Utilities ETF
iShares Global Utilities
iShares Global Nuclear Energy Index Fund
MFS Utilities
PowerShares DWA Utilities Momentum ETF
ProFunds Utilities UltraSector
ProShares Ultra Utilities
Prudential Jennison Utility
Putnam Global Utilities
Reaves Utilities ETF
Rydex Utilities
SNet Nuclear Energy Index
SSgA Utilities Index Fund
Utilities Select Sector SPDR
VanEck Vectors Uranium+Nuclear Energy ETF
Vanguard Utilities ETF
Vanguard Utilities Index
Wells Fargo Utility & Telecom

* This list is compiled based on a recent Office of the General Counsel review of energy and utility mutual fund reports and prospectuses which show that at least 25% of the assets of each fund are entities in the first four categories of this list (5 CFR 5801.102.(b)(8)).

If you believe an entity or fund is missing or has been incorrectly placed on this list please contact Ethics.Resource@nrc.gov.