



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
REGION II
245 PEACHTREE CENTER AVENUE NE, SUITE 1200
ATLANTA, GEORGIA 30303-1257

January 7, 2019

Mr. Ernest J. Wylie
Duke Energy Carolinas, LLC
Catawba Nuclear Station
Mail Code: CN01SC
4800 Concord Road
York, SC 29745

**SUBJECT: CATAWBA NUCLEAR STATION – U.S. NUCLEAR REGULATORY COMMISSION
SECURITY INSPECTION REPORT 05000413/2018410 AND 05000414/2018410**

Dear Mr. Wylie:

On December 20, 2018, the U.S. Nuclear Regulatory Commission (NRC) completed a security inspection at your Catawba Nuclear Station, Units 1 and 2, and discussed the results of this inspection with Mr. T. Simril, and other members of the Catawba Nuclear Station staff. The results of this inspection are documented in the enclosed report.

No NRC-Identified or self-revealing findings were identified during the inspection.

This letter will be made available for public inspection and copying at <https://www.nrc.gov/reading-rm/adams.html> and at the NRC Public Document Room, in accordance with 10 CFR 2.390, "Public Inspections, Exemptions, Requests for Withholding."

Sincerely,

/RA/

Binoy Desai, Chief
Plant Support Branch
Division of Reactor Safety

Docket Nos. 50-413 and 50-414
License Nos. NPF-35 and NPF-52

Enclosure:
NRC IR 05000413/2018410, 414/2018410
w/Attachment: Supplemental Information

cc: (See page 2)

cc:

Terry W. King

Duke Energy Carolinas, LLC

526 S. Church Street – EC5ZD

Charlotte, NC 28202-1802

SUBJECT: CATAWBA NUCLEAR STATION – U.S. NUCLEAR REGULATORY
COMMISSION PHYSICAL SECURITY INSPECTION REPORT
05000413/2018410 AND 05000414/2018410 dated January 7, 2019

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* See previous page for concurrence

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ADAMS: Yes ACCESSION NUMBER: **ML19008A184** SUNSI REVIEW COMPLETE FORM 665 ATTACHED

OFFICE	RII:DRS/PSB	RII:DRS/PSB	RII:DRS/PSB	RII:DRP/BR1	RII:DRS/PSB	
SIGNATURE	JXJ5	JHW2	BBD	FJE	BBD	
NAME	J. Justice	J. Wallo	B. Desai	F. Ehrhardt	B. Desai	
DATE	1/ 7 /2018	12/ 27 /2018	12/ 26 /2018	12/ 26 /2018	1/ 7 /2018	
E-MAIL COPY	YES NO	YES NO	YES NO	YES NO	YES NO	

OFFICIAL RECORD COPY*****DOCUMENT NAME: G:\DRSII\PSBII\REACTORS\CATAWBA \Reports\2018\Catawba
Insp Report 2018-410.docx

U.S. NUCLEAR REGULATORY COMMISSION

Inspection Report

Docket Nos: 05000413 and 05000414

License Nos: NPF-35 and NPF-52

Report Nos: 05000413/2018410 and 05000414/2018410

Enterprise Identifier: I-2018-410-0019

Licensee: Duke Energy Carolinas, LLC.

Facility: Catawba Nuclear Station, Units 1 and 2

Location: York, SC

Dates: November 5 – 9, 2018 (Duke Corporate Office)
December 17 – 20, 2018 (Onsite)

Inspectors: Jon Wallo, Senior Physical Security Inspector
Jared Justice, Physical Security Inspector

Approved by: Binoy Desai, Chief
Plant Support Branch
Division of Reactor Safety

Enclosure

SUMMARY

The U.S. Nuclear Regulatory Commission (NRC) continued monitoring the licensee's performance by conducting a Baseline Security Inspection at Catawba Nuclear Station, in accordance with the Reactor Oversight Process. The Reactor Oversight Process is the NRC's program for overseeing the safe operation of commercial nuclear power reactors. Refer to <https://www.nrc.gov/reactors/operating/oversight.html> for more information. NRC and self-revealed findings, violations, and additional items are summarized in the table below.

List of Findings and Violations

No findings were identified.

INSPECTION SCOPE

Inspections were conducted using the appropriate portions of the inspection procedures (IPs) in effect at the beginning of the inspection unless otherwise noted. Samples were declared complete when the IP requirements most appropriate to the inspection activity were met consistent with Inspection Manual Chapter (IMC) 2201, "Security Inspection Program for Commercial Nuclear Power Reactors." The inspectors reviewed selected procedures and records, observed activities, and interviewed personnel to assess licensee performance and compliance with Commission rules and regulations, license conditions, site procedures, and standards.

SAFEGUARDS

71130.01 - Access Authorization (1 Sample)

The inspectors evaluated the access authorization program. The following elements were evaluated from this IP dated January 1, 2017.

Tier I: All requirements
 Tier II: 02.05 (b, d), 02.06(a, c), 02.07(a, b), 02.08(a, b, c)
 Tier III: 02.09, 02.10(a, b, c), 02.11(a, b)

71130.06 – Protection of Safeguards Information (1 Sample)

The inspectors evaluated the safeguards information protection program. The following elements were evaluated from this IP dated January 1, 2017.

Tier I: All requirements
 Tier II: 02.05(a, b), 02.06(a, b), 02.08(c)
 Tier III: 02.09, 02.10 (a, b)

71130.08 – Fitness for Duty (1 Sample)

The inspectors evaluated the fitness for duty program. The following elements were evaluated from this IP dated January 1, 2017.

Tier I: All requirements
 Tier II: All requirements
 Tier III: All requirements

EXIT MEETINGS AND DEBRIEFS

On December 20, 2018, the inspectors presented the Baseline Security Inspection results to Mr. T. Simril and other members of the licensee staff.

LIST OF DOCUMENTS REVIEWED

PROCEDURES

AD-SY-ALL-0110, Protection of Information Related to Nuclear Security, Rev 4
AD-SY-ALL-0301, Nuclear Worker Unescorted Access Authorization Program, Rev 4
AD-SY-ALL-0310, Access Authorization Processing, Rev 3
AD-SY-ALL-0320, Personnel Access Data System, Rev 2
AD-SY-ALL-0340, Access Authorization Training and Qualification Program, Rev 1
AD-SY-ALL-0370, Access Processing of Foreign Nationals, Rev 0
AD-SY-ALL-0401, Fitness for Duty Program, Rev 2
AD-SY-ALL-0405, Fitness for Duty Violations, Rev 0
AD-SY-ALL-0410, Fitness for Duty Drug and Alcohol Collections, Rev 2
AD-SY-ALL-0415, Fitness for Duty Blind Performance Testing Procedure, Rev 2
AD-SY-ALL-0420, Fitness for Duty Unscheduled Callout, Rev 1
AD-SY-ALL-0440, Fitness for Duty Training and Qualification Program, Rev 1
AD-SY-ALL-0451, Behavior Observation Program, Rev 3
AD-SY-ALL-0460, Managing Fatigue and Work Hour Limits, Rev 2
PY-SY-ALL-0004, Duke Energy Nuclear Generation Fitness for Duty Policy, Rev 1
Various Nuclear Oversight and Self-Screener Audits
Various Monthly Vital Area and CBOP Review Records

CONDITION REPORTS

02178700
02177482
02224465