

2. AMENDMENT/MODIFICATION NO. M0014	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO. CFO-18-0056	5. PROJECT NO. (If applicable)
6. ISSUED BY CODE US NRC - HQ ACQUISITION MANAGEMENT DIVISION MAIL STOP TWFN-5E03 WASHINGTON DC 20555-0001		7. ADMINISTERED BY (If other than Item 6) CODE	

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) ERNST YOUNG LLP ATTN JOHN SHORT 1101 NEW YORK AVENUE NW WASHINGTON DC 200054213	(x)	9A. AMENDMENT OF SOLICITATION NO.
		9B. DATED (SEE ITEM 11)
	x	10A. MODIFICATION OF CONTRACT/ORDER NO. GS23F8152H NRC-HQ-7N-14-T-0001
CODE 095347159 FACILITY CODE		10B. DATED (SEE ITEM 13) 12/20/2013

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required) Net Increase: \$39,253.50
See Schedule

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: FAR 52.212-4(c) and FAR 52.232-22
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not, is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)
The purposes of this modification are (a) modify the statement of work to add the requirement to support the Data Testing of NRC's Central Reporting Information System (CRIS) (see Attachment 1, Addition to Statement of Work); (c) to increase the ceiling price for the task order by \$39,253.50, from \$1,119,622.23 to \$1,158,875.73; and (d) to obligate funds in the amount of \$39,253.50, thereby increasing the obligated amount from \$1,119,622.23 to \$1,158,875.73.

Authorized labor categories and associated fixed hourly rates include: Manager at
[REDACTED]

Accounting Code: [REDACTED]

Continued ...

Except as provided herein, all terms and conditions of the document referenced in Item 9 A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) John Short	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARK S. LOHRMANN
15B. CONTRACTOR/OFFEROR [REDACTED] <i>(Signature of person authorized to sign)</i>	15C. DATE SIGNED 9/27/2018
	16B. [REDACTED] <i>(Signature of Contracting Officer)</i>
	16C. DATE SIGNED 09/27/2018

CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED
GS23F8152H/NRC-HQ-7N-14-T-0001/M0014

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NAME OF OFFEROR OR CONTRACTOR
ERNST YOUNG LLP

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
	New Total Ceiling for this Award: \$1,158,875.73 New Total Obligated Amount for this Award: \$1,158,875.73 Period of Performance: 12/20/2013 to 12/19/2018				

**ADDITION TO STATEMENT OF WORK
UNDER TASK 3 – Internal Control Reviews
Additional effort: Data Testing of NRC’s CRIS**

Background: It was recently determined that the Nuclear Regulatory Commission’s (NRC) Central Reporting Information System (CRIS) may have been storing some incorrect data which was then potentially utilized and distributed by a variety of NRC offices. The goal of this internal control review is to determine whether incorrect data was utilized for significant decision-making and/or distributed to other NRC internal and external parties.

Technical Approach: Develop a review and testing plan which tentatively will follow the following steps:

- 1) Obtain the population of users who accessed CRIS during the time period in question
- 2) Utilize a survey method to determine which of these users actively utilized and/or distributed data and which only passively observed it
- 3) Interview the users (or a selection on size) who actively utilized / distributed CRIS data to determine the specific data that was utilized / distributed;
 - a. For data used for decision making, determine the significance of the decision-making
 - b. Data that was distributed externally will be assumed to be used for high significance so that it will be more likely to be reviewed in Step 5
- 4) Prioritize all data used for decision making by the significance of the decision making
- 5) To the extent this level of effort hours are available, analyze the data in order to determine whether it is incorrect and, in being incorrect, the degree to which it was incorrect.
- 6) Develop an Internal Controls Report to document the conclusions reached and provide appropriate recommendations

Estimated Period of Performance:

The Period of performance is for this review is estimated to be between the dates of October 01, 2018 through December 19, 2018.