

APPENDIX V 

Significant Enforcement Actions Issued, 2017

Significant (escalated) enforcement actions include notices of violation (NOVs) for severity level (SL) I, II, or III violations; NOVs associated with inspection findings that the significance determination process (SDP) categorizes as White, Yellow, or Red; civil penalties (CPs); and enforcement-related orders. The NRC Enforcement Policy also allows related violations to be categorized collectively as a single problem. Escalated enforcement actions are issued to reactor, materials, and individual licensees; nonlicensees; and fuel cycle facility licensees.

| Action # | Name | Type | Issue Date | Enforcement Action |
|-----------|---|---------------|------------|--|
| EA-16-164 | Rozell Testing Laboratories, LLC | Materials | 1/11/2017 | NOV SL III |
| EA-16-180 | White Earth Department of Transportation | Materials | 1/17/2017 | NOV SL III |
| EA-16-152 | American Engineering Testing, Inc. | Materials | 1/18/2017 | NOV SL III |
| EA-16-231 | Wyoming Medical Center | Materials | 1/23/2017 | NOV SL III |
| EA-16-232 | XCEL NDT, LLC | Materials | 1/25/2017 | Problem SL III |
| EA-16-224 | Thrasher Engineering, Inc. | Materials | 1/26/2017 | NOV SL III |
| EA-16-214 | Spectrum Health Hospitals | Materials | 2/02/2017 | NOV SL III |
| IA-16-059 | Mr. Curtis Thompson | Individual | 2/02/2017 | Order prohibiting involvement in NRC-licensed activities for 1 year |
| EA-16-184 | PSEG Nuclear, LLC (Hope Creek Generating Station) | Reactor | 2/06/2017 | NOV White SDP finding resulting in plant inspections |
| IA-16-075 | Mr. Casey Pooler | Individual | 2/15/2017 | Order prohibiting involvement in NRC-licensed activities for 3 years; NOV SL III |
| EA-16-236 | Exelon Generation Co., LLC (Dresden Nuclear Power Station) | Reactor | 2/27/2017 | NOV White SDP finding resulting in plant inspections |
| EA-16-247 | Entergy Operations, Inc. (Arkansas Nuclear One) | Reactor | 2/27/2017 | NOV White SDP finding resulting in plant inspections |
| EA-16-066 | Botsford General Hospital | Materials | 3/01/2017 | NOV SL III |
| IA-17-028 | Mr. Roy Taylor | Individual | 3/01/2017 | NOV SL III |
| EA-15-218 | Louisiana Energy Services, LLC | Fuel Facility | 3/03/2017 | Problem SL III |
| IA-16-029 | Mr. Pieter van der Heide | Individual | 3/03/2017 | NOV SL III |
| EA-16-114 | Homestake Mining | Materials | 3/28/2017 | Confirmatory Order result of an ADR mediation |
| EA-16-191 | Premier Technology, Inc. | Materials | 3/31/2017 | Problem SL III |
| EA-16-255 | Somascan, Incorporated | Materials | 4/05/2017 | Problem/CP SL III-\$7,000 |
| EA-16-241 | Exelon Nuclear (Oyster Creek Nuclear Generating Station) | Reactor | 4/13/2017 | NOV White SDP finding resulting in plant inspections |
| EA-17-014 | Southern Nuclear Operating Co. (Vogtle Electric Generating Plant) | Reactor | 4/25/2017 | NOV White SDP finding resulting in plant inspections |



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|-----------|---|---------------|------------|---|
| EA-16-251 | PSEG Nuclear, LLC (Hope Creek Generating Station) | Reactor | 5/03/2017 | NOV SL III |
| EA-16-258 | Hayre McElroy & Associates, LLC | Materials | 5/11/2017 | NOV/CP SL III--\$7,000 |
| EA-17-012 | DTE Energy Company (Fermi Power Plant, Unit 2) | Reactor | 5/11/2017 | NOV White SDP finding resulting in plant inspections |
| IA-17-004 | Mr. Eli Dragomer | Individual | 5/11/2017 | NOV SL III |
| EA-15-124 | Kim Engineering | Materials | 5/25/2017 | NOV/CP SL III--\$7,000 |
| EA-16-281 | ADCO Services, Inc. | Materials | 5/30/2017 | NOV SL III |
| EA-16-130 | JANX Integrity Group | Materials | 6/01/2017 | Problem SL III |
| IA-16-049 | Toby Lashley | Individual | 6/01/2017 | Order prohibiting involvement in NRC-licensed activities for 1 year |
| IA-16-050 | Mr. Kevin Lashley | Individual | 6/01/2017 | NOV SL III |
| EA-17-026 | Guam Medical Imaging Center | Materials | 6/06/2017 | Problem SL III |
| EA-17-036 | Guam Regional Medical Center | Materials | 6/06/2017 | NOV SL III |
| EA-16-267 | P4 Production, LLC | Materials | 6/13/2017 | Problem SL III |
| EA-17-062 | ERP Federal Mining Complex, LLC | Materials | 6/21/2017 | Problem SL III |
| EA-16-255 | Somascan, Incorporated | Materials | 6/27/2017 | Order Imposing a Civil Penalty of \$7,000 |
| EA-16-262 | Cameco Resources/Power Resources, Inc. | Materials | 6/28/2017 | Problem SL III |
| EA-17-028 | Energy Northwest (Columbia Generating Station) | Reactor | 7/06/2017 | NOV White SDP finding resulting in plant inspections |
| EA-17-063 | Hill's Pet Nutrition | Materials | 7/27/2017 | NOV SL III |
| EA-17-022 | Tennessee Valley Authority (Watts Bar Nuclear Plant) | Reactor | 7/27/2017 | Confirmatory Order result of an ADR mediation |
| EA-17-058 | Westinghouse Electric Company, LLC | Fuel Facility | 8/09/2017 | Confirmatory Order result of an ADR mediation |
| EA-17-027 | Geo-Logic Associates, Inc. | Materials | 8/14/2017 | Problem SL III |
| EA-16-216 | STP Nuclear Operating Company (South Texas Project Electric Generating Station) | Reactor | 8/18/2017 | NOV SL III |
| EA-17-025 | Geo-Engineering & Testing, Inc. | Materials | 8/18/2017 | NOV SL III |
| EA-17-043 | FirstEnergy Nuclear Operating Co. (Perry Nuclear Power Plant) | Reactor | 8/24/2017 | NOV White SDP finding resulting in plant inspections |
| EA-17-048 | Allen County Cardiology | Materials | 9/05/2017 | Problem/CP SL III--\$7,000 |
| EA-17-096 | Cardinal Health Nuclear Pharmacy | Materials | 9/14/2017 | NOV SL III |
| EA-17-097 | Coastal Wireline Services, Inc. | Materials | 9/14/2017 | NOV SL III |
| EA-17-082 | Washington University | Materials | 9/21/2017 | NOV SL III |



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| EA-17-122 | Duke Energy Corporation (Catawba Nuclear Station, Unit 2) | Reactor | 10/16/2017 | NOV White SDP finding resulting in plant inspections |
| EA-17-091 | Michiana Hematology Oncology, PC | Materials | 10/31/2017 | Problem SL III |
| EA-17-125 | Board of Light and Water City of Marquette | Materials | 11/08/2017 | NOV SL III |
| IA-17-030 | Mr. Devin Caraza | Individual | 11/08/2017 | NOV SL III |
| EA-17-079 | Terracon Consultants, Inc. | Materials | 11/15/2017 | NOV SL III |
| EA-17-118 | Midwest Engineering and Testing, Inc. | Materials | 11/21/2017 | NOV SL III |
| EA-17-077 | Dominion Nuclear Connecticut, Inc. (Millstone Power Station) | Reactor | 11/21/2017 | Confirmatory Order result of an ADR mediation |
| EA-17-098 | Exelon Generation Company (Clinton Power Station) | Reactor | 11/27/2017 | NOV White SDP finding resulting in plant inspections |
| EA-17-101 | Qal-Tek Associates, LLC | Materials | 12/12/2017 | Problem/CP SL II-\$22,400 |
| EA-17-090 | Global Nuclear Fuel-Americas, LLC | Fuel Facility | 12/14/2017 | Confirmatory Order result of an ADR mediation |
| EA-17-148 | Construction Consulting and Testing | Materials | 12/18/2017 | NOV SL III |
| EA-17-104 | Avera McKennan Hospital | Materials | 12/21/2017 | Problem SL III |
| EA-17-157 | K & S Engineers, Inc. | Materials | 12/21/2017 | NOV SL III |
| IA-17-111 | Mr. Mark Sperlich | Individual | 12/21/2017 | NOV SL III |
| EA-17-147 | CTI and Associates, Inc. | Materials | 12/28/2017 | NOV/CP SL III-\$7,000 |

Notes: Reactor facilities in a decommissioning status are listed as materials licensees. The NRC report on Issued Significant Enforcement Actions can be found on the NRC Web site at <https://www.nrc.gov/about-nrc/regulatory/enforcement/current.html>.

