



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

August 7, 2018

MEMORANDUM TO: Margaret M. Doane
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: INDEPENDENT
EVALUATION OF NRC'S IMPLEMENTATION OF THE
FEDERAL INFORMATION SECURITY MODERNIZATION
ACT OF 2014 FOR FISCAL YEAR 2016 (OIG-17-A-03)

REFERENCE: CHIEF INFORMATION OFFICER MEMORANDUM DATED
JULY 2, 2018

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated July 2, 2018. Based on this response, recommendation 4 is closed and recommendation 3 remains resolved. Recommendations 1, 2, and 5 were closed previously. Please provide an updated status of the resolved recommendation by January 5, 2019.

If you have questions or concerns, please call me at (301) 415-5915, or Eric Rivera, Team Leader at (301) 415-7032.

Attachment: As stated

cc: H. Rasouli, OEDO
R. Lewis, OEDO
J. Jolicoeur, OEDO
J. Bowen, OEDO
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**INDEPENDENT EVALUATION OF NRC'S IMPLEMENTATION OF THE FEDERAL
INFORMATION SECURITY MODERNIZATION ACT OF 2014 FOR FISCAL YEAR 2016**

OIG-17-A-03

Status of Recommendations

Recommendation 3: Develop supporting processes, procedures, and guidance for ensuring the NRC systems inventory is maintained.

Agency Response Dated
July 2, 2018: The NRC is on target to complete this activity by December 29, 2018.

Revised Target Completion Date: December 29, 2018

OIG Analysis: The proposed actions as initially identified in the agency's response dated December 7, 2016, meet the intent of the recommendation. OIG understands the need to revise the completion date and will close this recommendation when OIG receives evidence showing NRC has developed supporting processes, procedures, and guidance for ensuring the NRC systems inventory is maintained.

Status: Resolved.

**INDEPENDENT EVALUATION OF NRC'S IMPLEMENTATION OF THE FEDERAL
INFORMATION SECURITY MODERNIZATION ACT OF 2014 FOR FISCAL YEAR 2016**

OIG-17-A-03

Status of Recommendations

Recommendation 4: Based on the updated inventory of contractor systems, identify those that are not compliant with ISD-PROS-2030, *NRC Risk Management Framework*, and complete appropriate authorization activities for those systems.

Agency Response Dated
July 2, 2018: All of the 30 contractor systems are currently authorized. The NRC believes the intent of the OIG recommendation has been fulfilled.

Target Completion Date: Completed

OIG Analysis: OIG reviewed the documentation provided by the agency and determined NRC has identified those contractor systems that were not compliant with ISD-PROS-2030, *NRC Risk Management Framework*, and completed appropriate authorization activities. Therefore, this recommendation is considered closed.

Status: Closed.