



OFFICE OF THE  
INSPECTOR GENERAL

**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

July 25, 2018

MEMORANDUM TO: Margaret M. Doane  
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: EVALUATION OF  
THE NUCLEAR REGULATORY COMMISSION'S SHARED  
"S" DRIVE (OIG-18-A-06)

REFERENCE: CHIEF INFORMATION OFFICER, MEMORANDUM DATED  
JULY 5, 2018

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated January 19, 2018. Based on this response, recommendations 1 and 2 are closed and recommendation 3 and 4 remain resolved. Please provide a status update for these recommendations by October 15, 2019.

If you have questions or concerns, please call me at (301) 415-5915, or Beth Serepca, Team Leader at (301) 415-5911.

Attachment: As stated

cc: R. Lewis, OEDO  
H. Rasouli, OEDO  
J. Bowen, OEDO  
J. Jolicoeur, OEDO  
EDO\_ACS Distribution

**Evaluation Report**  
**EVALUATION OF NRC'S SHARED "S" DRIVE**

**OIG-18-A-06**

**Status of Recommendations**

Recommendation 1:           Revise NRC's SUNSI handling requirements guidance to specify how to effectively protect PII stored on the shared "S" drive.

Agency Response Dated  
July 5, 2018:

The guidance document, NRC Guidance for Protecting Personally Identifiable Information, dated March 5, 2018, was posted on the Personal Identifiable Information (PII) web page.

The NRC believes the Office of the Inspector General's (OIG) recommendation has been fulfilled.

**Target Completion Date:** Completed

OIG Analysis:

OIG reviewed the guidance document and determined that it incorporates NRC's SUNSI handling requirements guidance to specify how to effectively protect PII stored on the shared "S" drive. Therefore, this recommendation is considered closed.

**Status:**

Closed.

**Evaluation Report**  
**EVALUATION OF NRC'S SHARED "S" DRIVE**

**OIG-18-A-06**

**Status of Recommendations**

Recommendation 2: Provide PII training annually to NRC staff on how to protect PII stored on the shared "S" drive.

Agency Response Dated  
July 5, 2018:

The training course in iLearn, "PII and Privacy Act Responsibilities Awareness", was updated and all employees and contractors were to complete the training by June 29, 2018, and annually thereafter.

The NRC believes the OIG recommendation has been fulfilled.

**Target Completion Date:** Completed

OIG Analysis:

OIG verified that this training is automatically sent to every NRC account user through iLearn. OIG reviewed the mandatory training and determined that PII training was provided on how to protect PII stored on the shared "S" drive and that it will be given annually. Therefore, this recommendation is considered closed.

**Status:** Closed.

**Evaluation Report**  
**EVALUATION OF NRC'S SHARED "S" DRIVE**

**OIG-18-A-06**

**Status of Recommendations**

Recommendation 3: Review the shared "S" drive for PII on a periodic timeframe.

Agency Response Dated  
July 5, 2018:

OCIO is on track to perform an initial full review of the shared "S" drive to identify PII information by FY 2019 Q4. In addition, OCIO is in the process of evaluating new capabilities in platforms, software, and services that will automate the continuous monitoring of NRC systems for PII data to alleviate the need for continual manual processes in the future. The initial scan of the "S" drive and recommendations related to automating PII scanning will be completed by FY 2019 Q4.

**Target Completion Date:** September 30, 2019

OIG Analysis: The proposed actions meet the intent of this recommendation. This recommendation will be closed when OIG verifies that this review will be carried out as stated in the agency response.

**Status:** Resolved.

**Evaluation Report**  
**EVALUATION OF NRC'S SHARED "S" DRIVE**

**OIG-18-A-06**

**Status of Recommendations**

Recommendation 4: Remove or delete PII from the shared "S" drive.

Agency Response Dated  
July 5, 2018:

OCIO is on track to perform an initial full review of the shared "S" drive to remove or delete PII information by FY 2019 Q4. In addition, OCIO is in the process of evaluating new capabilities in platforms, software, and services that will automate the continuous monitoring of NRC systems for PII data to alleviate the need for continual manual processes in the future. The initial scan of the "S" drive and recommendations related to automating PII scanning will be completed by FY 2019 Q4.

**Target Completion Date:** September 30, 2019

OIG Analysis:

The proposed actions meet the intent of this recommendation. This recommendation will be closed when OIG verifies that the full review will be carried out as stated in the agency response.

**Status:**

Resolved.