



UNITED STATES  
NUCLEAR REGULATORY COMMISSION

REGION III  
2443 WARRENVILLE ROAD, SUITE 210  
LISLE, ILLINOIS 60532-4352

June 21, 2018

Mr. Bryan C. Hanson  
Senior VP, Exelon Generation Company, LLC  
President and CNO, Exelon Nuclear  
4300 Winfield Road  
Warrenville, IL 60555

SUBJECT: LASALLE COUNTY STATION, UNITS 1 AND 2—INFORMATION REQUEST FOR THE CYBER-SECURITY FULL IMPLEMENTATION INSPECTION, NOTIFICATION TO PERFORM INSPECTION 05000373/2018413; 05000374/2018413

Dear Mr. Hanson:

On October 22, 2018, the U.S. Nuclear Regulatory Commission (NRC) will begin an inspection in accordance with Inspection Procedure 71130.10P "Cyber-Security," issued May 15, 2017, at your LaSalle County Station, Units 1 and 2. The inspection will be performed to evaluate and verify your ability to meet full implementation requirements of the NRC's Cyber-Security Rule, Title 10 of the *Code of Federal Regulations*, Part 73, Section 54, "Protection of Digital Computer and Communication Systems and Networks." The onsite portion of the inspection will take place during the weeks of October 22, 2018, and November 5, 2018.

Experience has shown that these inspections are extremely resource intensive, both for the NRC inspectors and the licensee staff. In order to minimize the inspection impact on the site and to ensure a productive inspection for both parties, we have enclosed a request for documents needed for the inspection. These documents have been divided into four groups.

- The first group specifies information necessary to assist the inspection team in choosing the focus areas (i.e., "sample set") to be inspected by the Cyber-Security Inspection Procedure. This information should be made available via compact disc and delivered to the regional office no later than July 30, 2018. The inspection team will review this information and, by August 27, 2018, will request the specific items that should be provided for review.
- The second group of additional requested documents will assist the inspection team in the evaluation of the critical systems and critical digital assets, defensive architecture, and the areas of your plant's Cyber-Security Program selected for the Cyber-Security Inspection. This information will be requested for review in the regional office prior to the inspection by September 24, 2018.
- The third group of requested documents consists of those items that the inspection team will review, or need access to, during the inspection. Please have this information available by the first day of the onsite inspection, October 22, 2018.

- The fourth group of information is necessary to aid the inspection team in tracking issues identified as a result of the inspection. It is requested that this information be provided to the lead inspector as the information is generated during the inspection. It is important that all of these documents are up to date and complete in order to minimize the number of additional documents requested during the preparation and/or the onsite portions of the inspection.

The lead inspector for this inspection is Mr. Dariusz Szwarc. We understand that our regulatory contact for this inspection is Mr. Terry Lanc of your organization. If there are any questions about the inspection or the material requested, please contact the lead inspector at 630-829-9803 or via e-mail at [Dariusz.Szwarc@nrc.gov](mailto:Dariusz.Szwarc@nrc.gov).

This letter does not contain new or amended information collection requirements subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.). Existing information collection requirements were approved by the Office of Management and Budget, Control Number 3150-0011. The NRC may not conduct or sponsor, and a person is not required to respond to, a request for information or an information collection requirement unless the requesting document displays a currently valid Office of Management and Budget Control Number.

This letter will be made available for public inspection and copying at <http://www.nrc.gov/reading-rm/adams.html> and at the NRC Public Document Room in accordance with 10 CFR 2.390, "Public Inspections, Exemptions, Requests for Withholding."

Sincerely,

*/RA/*

Dariusz Szwarc, Senior Reactor Inspector  
Engineering Branch 3  
Division of Reactor Safety

Docket Nos. 50-373; 50-374  
License No. NPF-11; NPF-18

Enclosure:  
Cyber-Security Inspection Document Request

cc: Distribution via LISTSERV®

Letter to Bryan C. Hanson from Dariusz Szwarc dated June 21, 2018

SUBJECT: LASALLE COUNTY STATION, UNITS 1 AND 2—INFORMATION REQUEST FOR THE CYBER-SECURITY FULL IMPLEMENTATION INSPECTION, NOTIFICATION TO PERFORM INSPECTION 05000373/2018413; 05000374/2018413

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## CYBER-SECURITY INSPECTION DOCUMENT REQUEST

**Inspection Report:** 05000373/2018413; 05000374/2018413

**Inspection Dates:** Weeks of October 22, 2018, and November 5, 2018

**Inspection Procedure:** IP 71130.10P, "Cyber-Security," Issue Date: May 15, 2017

**Reference:** Guidance Document for Development of the Request for Information and Notification Letter for Full-Implementation of the Cyber-Security Inspection, Issue Date October 26, 2017 (ML17156A215)

**NRC Inspectors:**

Dariusz Szwarc, Lead 630-829-9803 <a href="mailto:Dariusz.Szwarc@nrc.gov">Dariusz.Szwarc@nrc.gov</a>	John S. Robbins 630-829-9744 <a href="mailto:John.Robbins@nrc.gov">John.Robbins@nrc.gov</a>
Kim M. Lawson-Jenkins 301-287-3656 <a href="mailto:Kim.Lawson-Jenkins@nrc.gov">Kim.Lawson-Jenkins@nrc.gov</a>	

**NRC Contractors:**

F. Casey Priester 703-725-9538 <a href="mailto:Frederick.Priester@nrc.gov">Frederick.Priester@nrc.gov</a>	Additional Contractor To be Determined
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**Observers:**

James D. Beardsley 301-287-0908 <a href="mailto:James.Beardsley@nrc.gov">James.Beardsley@nrc.gov</a>	Robert C. Daley 630-829-9749 <a href="mailto:Robert.Daley@nrc.gov">Robert.Daley@nrc.gov</a>
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### **I. Information Requested for In-Office Preparation**

The initial request for information (i.e., first Request for Information (RFI)) concentrates on providing the inspection team with the general information necessary to select appropriate components and Cyber-Security Program (CSP) elements to develop a site-specific inspection plan. The first RFI is used to identify the list of critical systems and critical digital assets (CSs/CDAs) plus operational and management security control portions of the CSP to be chosen as the "sample set" required to be inspected by the Cyber-Security Inspection Procedure. The first RFI's requested information is specified below in Table RFI #1. The Table RFI #1 information is requested to be provided to the regional office by July 30, 2018, or sooner, to facilitate the selection of the specific items that will be reviewed during the onsite inspection weeks.

Enclosure

## CYBER-SECURITY INSPECTION DOCUMENT REQUEST

The inspection team will examine the returned documentation from the first RFI and identify/select specific systems and equipment (e.g., CSs/CDAs) to provide a more focused follow-up request to develop the second RFI. The inspection team will submit the specific systems and equipment list to your staff by August 27, 2018, which will identify the specific systems and equipment that will be utilized to evaluate the CSs/CDAs, defensive architecture, and the areas of the licensee's CSP selected for the Cyber-Security Inspection. All requests for this information shall follow the Table RFI #1 and the guidance document referenced above.

The required Table RFI #1 information shall be provided on compact disc (CD) to the lead inspector by July 30, 2018. Please provide five copies of each CD submitted (i.e., one for each inspector/contractor). The preferred file format for all lists is a searchable Excel spreadsheet file. These CDs should be indexed and hyper-linked to facilitate ease of use. If you have any questions regarding this information, please call the inspection team leader as soon as possible.

**Table RFI #1**

<b>Reference Section 3, Paragraph Number/Title:</b>	<b>Items</b>
1 List All Identified CSs and CDAs	All
2 List CDA Facility and Site Ethernet—Transmission Control Protocol/Internet Protocol Based Local Area Networks (LANs) and Identify Those LANs That Have Non-CDAs On Them	All
3 List CDA Facility and Site Non-Ethernet Transmission Control Protocol/Internet Protocol Based LANs Including Those Industrial Networks and Identify LANs That Have Non-CDAs On Them	All
4 Network Topology Diagrams (Be Sure To Include All NIDs and Security Information and Event Managements (SIEM) for EP Networks and Security Level 3 and 4 Networks)	All
8 List All Network Security Boundary Devices for EP Networks and All Network Security Boundary Devices for Levels 3 and 4	All
9 List CDA Wireless Industrial Networks	All
11 Network Intrusion Detection System Documentation for CSs That Have CDAs Associated with Them	11.a.1) 11.a.2)
12 SIEM Documentation for CSs That Have CDAs Associated with Them	12.a.1) 12.a.2)
14 List EP and Security Onsite and Offsite Digital Communication Systems	All
25 Cyber-Security Assessment and Cyber-Security Incident Response Teams	All
28 Copy of Current Cyber Security Plan and Copy of 50.54(p) Analysis To Support Changes To That Plan	All
29 Copy Of Any Licensee-Identified Violations and Associated Corrective Action Program Documentation To Resolve Issue(s)	All

## CYBER-SECURITY INSPECTION DOCUMENT REQUEST

In addition to the above information please provide the following:

- (1.) Electronic copy of the Updated Final Safety Analysis Report and technical specifications.
- (2.) Name(s) and phone numbers for the regulatory and technical contacts.
- (3.) Current management and engineering organizational charts.

Based on this information, the inspection team will identify and select specific systems and equipment (e.g., CSs/CDAs) from the information requested by Table RFI #1 and submit a list of specific systems and equipment to your staff by August 27, 2018 for the second RFI (i.e., Table RFI #2).

### **II. Additional Information Requested to be Available Prior to Inspection**

As stated above, in Section I. of this enclosure, the inspection team will examine the returned documentation requested from Table RFI #1 and submit the list of specific systems and equipment to your staff by August 27, 2018, for the second RFI (i.e., Table RFI #2). The second RFI will request additional information required to evaluate the CSs/CDAs, defensive architecture, and the areas of the licensee's CSP selected for the Cyber-Security Inspection. The additional information requested for the specific systems and equipment is identified in Table RFI #2. All requests for this information shall follow the Table RFI #2 and the guidance document referenced above.

The Table RFI #2 information shall be provided on CD to the lead inspector by September 24, 2018. Please provide five copies of each CD submitted (i.e., one for each inspector/contractor). The preferred file format for all lists is a searchable Excel spreadsheet file. These CDs should be indexed and hyper-linked to facilitate ease of use. If you have any questions regarding this information, please call the inspection team leader as soon as possible.

**Table RFI #2**

Reference Section 3, Paragraph Number/Title:	Items
5   Plant Computer System Block Diagram (If Plant Computer System Is Selected for Inspection)	All
6   Plant Security System Block Diagram (If Security Computer System Is Selected for Inspection)	All
7   Systems That Are Distributed Block Diagrams (For Systems Selected for Inspection)	All
10   Host-Based Intrusion Detection System Documentation (For CDAs for Systems Selected for Inspection)	10.a.1) 10.a.2)
13   List All Maintenance and Test Equipment Used On CDAs for Systems Selected for Inspection	All
15   Configuration Management	All
16   Supply Chain Management	16.b. 16.c.1) 16.c.5) 16.c.6)
17   Portable Media and Mobile Device Control	All
18   Software Management	All

**CYBER-SECURITY INSPECTION DOCUMENT REQUEST**

**Table RFI #2**

<b>Reference Section 3, Paragraph Number/Title:</b>		<b>Items</b>
20	Vendor Access and Monitoring	All
21	Work Control	All
22	Device Access and Key Control	All
23	Password/Authenticator Policy	All
24	User Account/Credential Policy	All
26	Corrective Actions Since Last NRC Inspection	All
27	Cyber Security Assessments for Selected Systems	All

**III. Information Requested to be Available on First Day of Inspection**

For the specific systems and equipment identified in this enclosure's Section II., provide the following RFI (i.e., Table 1ST Week Onsite) on CD by October 22, 2018, the first day of the inspection. All requests for this information shall follow the Table 1ST Week Onsite and the guidance document referenced above.

Please provide five copies of each CD submitted (i.e., one for each inspector/contractor). The preferred file format for all lists is a searchable Excel spreadsheet file. These CDs should be indexed and hyper-linked to facilitate ease of use. If you have any questions regarding this information, please call the inspection team leader as soon as possible.

**Table 1<sup>st</sup> Week Onsite**

<b>Reference Section 3, Paragraph Number/Title:</b>		<b>Items</b>
10	Host-Based Intrusion Detection System Documentation for CDAs for Systems Selected for Inspection	10.a.3) thru 10.a.12)
11	Network Intrusion Detection System Documentation for CSs That Have CDAs Associated with Them	11.a.3) thru 11.a.15)
12	SIEM Documentation for CSs That Have CDAs Associated with Them	12.a.3) thru 12.a.14)
16	Supply Chain Management	16.c.2) 16.c.3) 16.c.4)
19	Cyber-Security Event Notifications	All
29	Update to Licensee Identified Violations and Corrective Action Program Actions Taken Since the Initial Request Was Made	All

In addition to the above information please provide the following:

- (1.) Copies of the following documents do not need to be solely available to the inspection team as long as the inspectors have easy and unrestrained access to them.

## CYBER-SECURITY INSPECTION DOCUMENT REQUEST

- a. Original Final Safety Analysis Report Volumes;
- b. Original Safety Evaluation Report and Supplements;
- c. Final Safety Analysis Report Question and Answers;
- d. Quality Assurance Plan;
- e. Latest IPE/PRA Report; and
- f. Vendor Manuals.

### (2.) Assessment and Corrective Actions:

- a. The most recent Cyber-Security Quality Assurance audit and/or self-assessment; and
- b. Corrective action documents (e.g., condition reports, including status of corrective actions) generate as a result of the most recent Cyber-Security Quality Assurance audit and/or self-assessment.

#### ***IV. Information Requested To Be Provided Throughout the Inspection***

- (1.) Copies of any corrective action documents generated as a result of the inspection team's questions or queries during the inspection.
- (2.) Copies of the list of questions submitted by the inspection team members and the status/resolution of the information requested (provided daily during the inspection to each inspection team member).

If you have any questions regarding the information requested, please contact the inspection team leader.