

1 **216-RICR-40-20-1**

2 **TITLE 216 – DEPARTMENT OF HEALTH**

3 **CHAPTER 40 – PROFESSIONAL LICENSING & FACILITIES REGULATION**

4 **SUBCHAPTER 20 - RADIATION**

5 **PART 1 – GENERAL PROVISIONS AND STANDARDS FOR PROTECTION**  
6 **AGAINST RADIATION**

7 **1.1 Authority**

8 A. This Part is promulgated pursuant to the authority conferred under R.I. Gen.  
9 Laws § [23-1.3-5\(f\)](#), as amended.

10 B. This Part establishes generally applicable provisions, including standards for  
11 protection against radiation hazards. Except as otherwise specifically provided,  
12 this Part applies to persons licensed or registered by the Agency to receive,  
13 possess, use, transfer, or dispose of any source of radiation; provided, however,  
14 that nothing in this Part shall apply to any person to the extent such person is  
15 subject to regulation by the U.S. Nuclear Regulatory Commission. The limits in  
16 this Part do not apply to doses due to background radiation, to exposure of  
17 patients to radiation for the purpose of medical diagnosis or therapy, to exposure  
18 from individuals administered radioactive material and released under § 9.5.16 of  
19 this Subchapter, or to voluntary participation in medical research programs.

20 C. The requirements of this Part are designed to control the receipt, possession,  
21 use, transfer, and disposal of sources of radiation by any licensee or registrant in  
22 such a manner that the total dose to an individual, including doses resulting from  
23 all sources of radiation other than background radiation, does not exceed the  
24 standards for protection against radiation prescribed in this Part. However,  
25 nothing in this Part shall be construed as limiting actions that may be necessary  
26 to protect health and safety.

27 **1.2 Incorporated Material**

28 A. Except as provided in this Part, the requirements of 10 CFR Part 20 (2018)  
29 <https://www.nrc.gov/reading-rm/doc-collections/cfr/part020/> are incorporated by  
30 reference, not including any further editions or amendments thereof and only to  
31 the extent that the provisions therein are not inconsistent with this Part.

32 B. Notwithstanding the provisions of § 1.2(A) of this Part, §§ 20.1001, 20.1002,  
33 20.1006, 20.1007, 20.1008, 20.1009, 20.1205, 20.1401, 20.1406(b), 20.2109,  
34 20.2202, 20.2206(a)(1), (3), (4) and (5), 20.2205, 20.2206, 20.2301, 20.2302,  
35 20.2401, 20.2402, Appendix D to Part 20 and Appendix F to Part 20 are not  
36 incorporated by reference.

1 C. Effect of incorporation of 10 CFR Part 20. To reconcile differences between this  
2 Part and the incorporated sections of 10 CFR Part 20, the following words and  
3 phrases shall be substituted for the language in 10 CFR Part 20 as follows:

4 1. Any reference to NRC or Commission shall be deemed to be a reference  
5 to the Agency.

6 2. Any reference to NRC or agreement state shall be deemed to be a  
7 reference to the Agency, NRC or agreement state.

8 3. Any reference to byproduct material shall be deemed to be a reference to  
9 radioactive material.

10 4. Any notifications, reports or correspondence referenced in the  
11 incorporated sections of 10 CFR Part 20 shall be directed to the Agency  
12 using contact information specified in § 1.4 of this Part.

13 5. Any reference to licensee shall be deemed to include registrant.

14 6. Any reference to license shall be deemed to include registration.

15 7. Any reference to licensed shall be deemed to include registered.

16 8. Any requirement to utilize NRC Form 4 may also be satisfied by use of  
17 Agency Form RCA-2.

18 9. Any requirement to utilize NRC Form 5 may also be satisfied by use of  
19 Agency Form RCA-3.

20 10. 10 CFR Part 20 notwithstanding, exposures involving the use of X-rays  
21 may be weighted, in a manner specified by the Agency, so that, with  
22 Agency approval, the effective dose equivalent may be substituted for the  
23 deep dose equivalent in determining compliance with occupational  
24 exposure limits for specified groups of individuals.

### 25 **1.3 Definitions**

26 A. In addition to the definitions contained in 10 CFR 20.1003, whenever used in this  
27 Part, the following terms shall be construed as follows:

28 “Act” means Title 23, Chapter 1.3 of the General Laws of the State of Rhode  
29 Island entitled "Radiation Control".

30 “Agency” means Rhode Island Radiation Control Agency (RCA), Center for  
31 Health Facilities Regulation - Radiation Control Program, Rhode Island  
32 Department of Health.

33 “Annual” means an interval not to exceed twelve (12) months.

1 “NARM” means any naturally occurring or accelerator-produced radioactive  
2 material. It does not include byproduct, source, or special nuclear material.

3 “Radioactive material” means any material (solid, liquid, or gas) which emits  
4 radiation spontaneously.

5 “Registrant” means any person who is registered with the Agency and is legally  
6 obligated to register with the Agency pursuant to this Subchapter and the Act.

7 “Registration” means registration with the Agency pursuant to this Subchapter  
8 and the Act.

9 “R.I. Gen. Laws” means the General Laws of Rhode Island, as amended

## 10 **1.4 Communications**

11 A. All communications and reports concerning this Subchapter, and applications  
12 filed thereunder, should be addressed to the Agency at its office located at:

13 Rhode Island Department of Health  
14 Center for Health Facilities Regulation  
15 Radiation Control Program  
16 Three Capitol Hill - Room 305  
17 Providence, RI 02908-5097

18 B. During normal business hours, the Agency may be contacted at (401) 222-2566.  
19 At other times, this number will allow you to leave a message on the answering  
20 machine. In case of an emergency when it is necessary to immediately contact  
21 the Agency, utilize the RI Department of Health's 24-hour number [(401) 272-  
22 5952] and indicate the nature of your emergency. FAX communications may be  
23 sent 24 hours a day to (401) 222-3999. For non-emergency situations, any  
24 required report or other routine correspondence may also be submitted via e-mail  
25 to [doh.radhealth@health.ri.gov](mailto:doh.radhealth@health.ri.gov).

## 26 **1.5 General Provisions**

### 27 **1.5.1 Implementation**

28 A. Any existing license or registration condition that is more restrictive than this Part  
29 remains in force until there is an amendment or renewal of the license or  
30 registration.

31 B. If a license or registration condition exempts a licensee or registrant from a  
32 provision of this Part in effect on or before 1 January 1994, it also exempts the  
33 licensee or registrant from the corresponding provision of this Part.

34 C. If a license or registration condition cites provisions of this Part in effect prior to 1  
35 January 1994, which do not correspond to any provisions of this Part, the license

1 or registration condition remains in force until there is an amendment or renewal  
2 of the license or registration that modifies or removes this condition.

3 **1.5.2 Exemptions and Additional Requirements**

4 A. The Agency may, upon application by a licensee or registrant or upon its own  
5 initiative, grant an exemption from the requirements of this Subchapter if it  
6 determines the exemption is authorized by law and would not result in undue  
7 hazard to life or property.

8 B. The Agency may, by rule, regulation, or order, impose requirements on a  
9 licensee or registrant, in addition to those established in this Subchapter, as it  
10 deems appropriate or necessary to protect health or to minimize danger to life or  
11 property.

12 **1.5.3 Inspections**

13 A. Each licensee and registrant shall afford the Agency at all reasonable times the  
14 opportunity to inspect sources of radiation and the premises and facilities  
15 wherein such sources of radiation are used or stored, and the cooperation and  
16 assistance of the registrant or licensee, or his staff, if needed.

17 B. Each licensee and registrant shall make available to the Agency for inspection,  
18 upon reasonable notice, records maintained pursuant to this Subchapter.

19 **1.5.4 Tests**

20 A. Each licensee and registrant shall perform upon instructions from the Agency, or  
21 shall permit the Agency to perform such reasonable tests as the Agency deems  
22 appropriate or necessary including, but not limited to, tests of:

23 1. Sources of radiation;

24 2. Facilities wherein sources of radiation are used or stored;

25 3. Radiation detection and monitoring instruments; and

26 4. Other equipment and devices used in connection with utilization or storage  
27 of licensed or registered sources of radiation.

28 **1.5.5 Violations**

29 An injunction or other court order may be obtained prohibiting any violation of any  
30 provision of the Act or any regulation or order issued thereunder. Any person  
31 who willfully violates any provision of the Act or any regulation or order issued  
32 thereunder may be guilty of a misdemeanor and upon conviction, may be  
33 punished by fine or imprisonment or both, as provided by law.

1 **1.5.6 Units of Radiation Dose**

2 For the purpose of this Part, the units of radiation dose are defined by 10 CFR §  
3 20.1004.

4 **1.5.7 Units of Radioactivity**

5 For the purpose of this Part, the units of radioactivity are defined by 10 CFR §  
6 20.1005.

7 **1.5.8 Deliberate Misconduct**

8 A. Any licensee, certificate of registration holder, applicant for a license or certificate  
9 of registration, employee of a licensee, certificate of registration holder or  
10 applicant; or any contractor (including a supplier or consultant), subcontractor,  
11 employee of a contractor or subcontractor of any licensee or certificate of  
12 registration holder or applicant for a license or certificate of registration, who  
13 knowingly provides to any licensee, applicant, certificate holder, contractor, or  
14 subcontractor, any components, equipment, materials, or other goods or services  
15 that relate to a licensee's, certificate holder's or applicant's activities in this part,  
16 may not:

- 17 1. Engage in deliberate misconduct that causes or would have caused, if not  
18 detected, a licensee, certificate of registration holder, or applicant to be in  
19 violation of any rule, regulation, or order; or any term, condition, or  
20 limitation of any license issued by the Agency; or
- 21 2. Deliberately submit to the Agency, a licensee, certificate of registration  
22 holder, an applicant, or a licensee's, certificate holder's or applicant's,  
23 contractor or subcontractor, information that the person submitting the  
24 information knows to be incomplete or inaccurate in some respect material  
25 to the Agency.

26 B. A person who violates §§ 1.5.8(A)(1) or (A)(2) of this Part may be subject to  
27 enforcement action in accordance with the procedures in § 2.11 of this  
28 Subchapter.

29 C. For the purposes of § 1.5.8(A)(1) of this Part, deliberate misconduct by a person  
30 means an intentional act or omission that the person knows:

- 31 1. Would cause a licensee, certificate of registration holder or applicant to be  
32 in violation of any rule, regulation, or order; or any term, condition, or  
33 limitation, of any license issued by the Agency; or
- 34 2. Constitutes a violation of a requirement, procedure, instruction, contract,  
35 purchase order, or policy of a licensee, certificate of registration holder,  
36 applicant, contractor, or subcontractor.

1 **1.6 Radiation Protection Programs**

2 For the purpose of this Part, the required radiation protection program is defined  
3 by 10 CFR § 20.1101.

4 **1.7 Occupational Dose Limits**

5 **1.7.1 Occupational Dose Limits for Adults**

6 A. For the purpose of this Part, the occupational dose limits for adults are defined by  
7 10 CFR § 20.1201.

8 B. For sources of radiation other than radioactive material, when a protective apron  
9 is worn and monitoring is conducted as specified in § 1.10.3(B) of this Part, the  
10 effective dose equivalent for external radiation shall be determined as follows:

11 1. When only one individual monitoring device is used and it is located at the  
12 neck outside the protective apron, and the reported dose exceeds 25  
13 percent of the limit specified in § 1.7.1(A) of this Part, the reported deep  
14 dose equivalent value multiplied by 0.3 shall be the effective dose  
15 equivalent for external radiation; or

16 2. When individual monitoring devices are worn, both under the protective  
17 apron at the waist and outside the protective apron at the neck, the  
18 effective dose equivalent for external radiation shall be assigned the value  
19 of the sum of the deep dose equivalent reported for the individual  
20 monitoring device located at the waist under the protective apron  
21 multiplied by 1.5 and the deep dose equivalent reported for the individual  
22 monitoring device located at the neck outside the protective apron  
23 multiplied by 0.04.

24 **1.7.2 Compliance with Requirements for Summation of External and Internal  
25 Doses**

26 For the purpose of this Part, compliance with requirements for summation of  
27 external and internal doses is defined by 10 CFR § 20.1202.

28 **1.7.3 Determination of External Dose from Airborne Radioactive Material**

29 For the purpose of this Part, determination of external dose from airborne  
30 radioactive material is defined by 10 CFR § 20.1203.

31 **1.7.4 Determination of Internal Exposure**

32 For the purpose of this Part, determination of internal exposure is defined by 10  
33 CFR § 20.1204.

1 **1.7.5 Determination of Prior Occupational Dose**

- 2 A. For the purpose of this Part, determination of prior occupational dose is defined  
3 by 10 CFR § 20.2104.
- 4 B. The licensee or registrant shall retain the records of prior occupational dose and  
5 exposure history as specified in §1.7.5(A) on Agency Form RCA-2 or equivalent  
6 until the Agency terminates each pertinent license or registration requiring this  
7 record. The licensee or registrant shall retain records used in preparing Agency  
8 Form RCA-2 or equivalent for three (3) years after the record is made.
- 9 C. Upon termination of the license or registration, the licensee or registrant shall  
10 make arrangements, satisfactory to the Agency, for permanent storage of  
11 records contained on Agency Form RCA-2 or equivalent.

12 **1.7.6 Planned Special Exposures**

13 For the purpose of this Part, planned special exposures are defined by 10 CFR §  
14 20.1206.

15 **1.7.7 Occupational Dose Limits for Minors**

16 For the purpose of this Part, occupational dose limits for minors are defined by  
17 10 CFR § 20.1207.

18 **1.7.8 Dose Equivalent to an Embryo/Fetus**

19 For the purpose of this Part, dose equivalent to an embryo/fetus is defined by 10  
20 CFR § 20.1208.

21 **1.8 Radiation Dose Limits for Individual Members of the Public**

22 **1.8.1 Dose Limits for Individual Members of the Public**

- 23 A. For the purpose of this Part, dose limits for individual members of the public are  
24 defined by 10 CFR § 20.1301.
- 25 B. Each registrant shall conduct operations so that the total effective dose  
26 equivalent to individual members of the public does not exceed the original  
27 design criteria of 5 mSv (0.5 rem) in a year at locations within registered facilities  
28 where only radiation machines were installed prior to 1 January 1994 and which  
29 continue to meet the original design criteria (e.g. workload, type and use of  
30 radiation machine, room configuration, etc.) on or after 1 January 1994.

31 **1.8.2 Compliance with Dose Limits for Individual Members of the Public**

32 For the purpose of this Part, compliance with dose limits for individual members  
33 of the public is defined by 10 CFR § 20.1302.

1 **1.9 Radiological Criteria for License Termination**

2 **1.9.1 General Provisions and Scope**

3 A. **Applicability.** The criteria in §§ 1.9.1 through 1.9.6 of this Part apply to the  
4 decommissioning of facilities licensed under Parts 7, 9, 10 and 11 of this  
5 Subchapter, as well as other facilities subject to the Agency’s jurisdiction.

6 B. After a site has been decommissioned and the license terminated in accordance  
7 with the criteria in §§ 1.9.1 through 1.9.6 of this Part, the Agency will require  
8 additional cleanup only if, based on new information, it determines that the  
9 criteria in §§ 1.9.1 through 1.9.6 of this Part were not met and residual  
10 radioactivity remaining at the site could result in significant threat to public health  
11 and safety.

12 C. When calculating TEDE to the average member of the critical group the licensee  
13 shall determine the peak annual TEDE dose expected within the first 1000 years  
14 after decommissioning.

15 **1.9.2 Radiological Criteria for Unrestricted Use**

16 For the purpose of this Part, compliance with radiological criteria for unrestricted  
17 use is defined by 10 CFR § 20.1402.

18 **1.9.3 Criteria for License Termination Under Restricted Conditions**

19 For the purpose of this Part, criteria for license termination under restricted  
20 conditions is defined by 10 CFR § 20.1403.

21 **1.9.4 Alternate Criteria for License Termination**

22 For the purpose of this Part, alternate criteria for license termination is defined by  
23 10 CFR § 20.1404.

24 **1.9.5 Public Notification and Public Participation**

25 For the purpose of this Part, requirements for public notification and public  
26 participation are defined by 10 CFR § 20.1405.

27 **1.9.6 Minimization of Contamination**

28 For the purpose of this Part, requirements for minimization of contamination are  
29 defined by 10 CFR § 20.1406.



1 **1.10 Surveys and Monitoring**

2 **1.10.1 Testing for Leakage or Contamination of Sealed Sources**

3 A. The licensee in possession of any sealed source shall assure that:

- 4 1. Each sealed source, except as specified in § 1.10.1(B) of this Part, is  
5 tested for leakage or contamination and the test results are received  
6 before the sealed source is put into use unless the licensee has a  
7 certificate from the transferor indicating that the sealed source was tested  
8 within six (6) months before transfer to the licensee.
- 9 2. Each sealed source that is not designed to emit alpha particles is tested  
10 for leakage or contamination at intervals not to exceed six (6) months or at  
11 alternative intervals approved by the Agency, after evaluation of  
12 information specified by § 7.6.17 of this Subchapter, another Agreement  
13 State or the U.S. Nuclear Regulatory Commission.
- 14 3. Each sealed source that is designed to emit alpha particles is tested for  
15 leakage or contamination at intervals not to exceed three (3) months or at  
16 alternative intervals approved by the Agency, after evaluation of  
17 information specified by § 7.6.17 of this Subchapter, another Agreement  
18 State or the U.S. Nuclear Regulatory Commission.
- 19 4. For each sealed source that is required to be tested for leakage or  
20 contamination, at any other time there is reason to suspect that the sealed  
21 source might have been damaged or might be leaking, the licensee shall  
22 assure that the sealed source is tested for leakage or contamination  
23 before further use.
- 24 5. Tests for leakage for all sealed sources, except brachytherapy sources  
25 manufactured to contain radium, shall be capable of detecting the  
26 presence of 185 Bq (0.005 µCi) of radioactive material on a test sample.  
27 Test samples shall be taken from the sealed source or from the surfaces  
28 of the container in which the sealed source is stored or mounted on which  
29 one might expect contamination to accumulate. For a sealed source  
30 contained in a device, test samples are obtained when the source is in the  
31 "off" position.
- 32 6. The test for leakage for brachytherapy sources manufactured to contain  
33 radium shall be capable of detecting an absolute leakage rate of 37 Bq  
34 (0.001 µCi) of radon-222 in a twenty-four (24) hour period when the  
35 collection efficiency for radon-222 and its daughters has been determined  
36 with respect to collection method, volume and time.
- 37 7. Tests for contamination from radium daughters shall be taken on the  
38 interior surface of brachytherapy source storage containers and shall be

1                   capable of detecting the presence of 185 Bq (0.005  $\mu$ Ci) of a radium  
2                   daughter which has a half-life greater than four (4) days.

3 B.       A licensee need not perform test for leakage or contamination on the following  
4       sealed sources:

5           1.       Sealed sources containing only radioactive material with a half-life of less  
6           than thirty (30) days;

7           2.       Sealed sources containing only radioactive material as a gas;

8           3.       Sealed sources containing 3.7 MBq (100  $\mu$ Ci) or less of beta or photon-  
9           emitting material or 370 kBq (10  $\mu$ Ci) or less of alpha-emitting material;

10          4.       Sealed sources containing only hydrogen-3;

11          5.       Seeds of iridium-192 encased in nylon ribbon; and

12          6.       Sealed sources, except teletherapy and brachytherapy sources, which are  
13               stored, not being used and identified as in storage. The licensee shall,  
14               however, test each such sealed source for leakage or contamination and  
15               receive the test results before any use or transfer unless it has been  
16               tested for leakage or contamination within six (6) months before the date  
17               of use or transfer. No sealed source shall be stored for a period of more  
18               than ten (10) years without being tested for leakage and/or contamination.

19 C.       Tests for leakage or contamination from sealed sources shall be performed by  
20       persons specifically authorized by the Agency, another Agreement State or the  
21       U.S. Nuclear Regulatory Commission to perform such services.

22 D.       Records of tests for leakage or contamination of sealed sources required by  
23       A.3.1 shall be kept in units of becquerel or microcurie and maintained for  
24       inspection by the Agency for five (5) years after the records are made.

25 E.       The following shall be considered evidence that a sealed source is leaking:

26           1.       The presence of 185 Bq (0.005  $\mu$ Ci) or more of removable contamination  
27           on any test sample; or

28           2.       Leakage of 37 Bq (0.001  $\mu$ Ci) of radon-222 per twenty-four (24) hours for  
29           brachytherapy sources manufactured to contain radium.

30 F.       The licensee shall immediately withdraw a leaking sealed source from use and  
31       shall take action to prevent the spread of contamination. The leaking sealed  
32       source shall be repaired or disposed of in accordance with this Part.

33 G.       The licensee shall file a report within five (5) working days with the Agency if the  
34       test for leakage or contamination indicates a sealed source is leaking or

1 contaminated. The report shall include the equipment involved, the test results  
2 and the corrective action taken.

3 **1.10.2 General Survey and Monitoring Requirements**

4 A. For the purpose of this Part, general survey and monitoring requirements are  
5 defined by 10 CFR § 20.1501.

6 B. Exposure of a personnel monitoring device to deceptively indicate a dose  
7 delivered to an individual is prohibited.

8 **1.10.3 Conditions Requiring Individual Monitoring of External and Internal  
9 Occupational Dose**

10 A. For the purpose of this Part, conditions requiring individual monitoring of external  
11 and internal occupational dose are defined by 10 CFR § 20.1502.

12 B. Individuals wearing a protective apron, when personnel monitoring is otherwise  
13 required by this Subchapter, shall position their individual monitoring devices as  
14 follows:

15 1. An individual monitoring device used for the dose to an embryo/fetus of a  
16 declared pregnant woman, pursuant to § 1.7.8 of this Part, shall be  
17 located under the protective apron at the waist.

18 a. It is recognized that, in the specific work environment of medical  
19 fluoroscopic equipment, the dose to the embryo/fetus is  
20 overestimated by the individual monitoring device because of the  
21 overlying tissue of the pregnant individual. A medical physicist who  
22 is registered with the Agency pursuant to § 3.6 of this Subchapter  
23 as a Provider of Diagnostic X-Ray Physics Services should be  
24 consulted to determine the dose to the embryo/fetus for the rare  
25 occasion in which this individual monitoring device has a monthly  
26 reported dose equivalent value in excess of 0.5 mSv (50 mrem).  
27 Therefore, for purposes of this Part, the value to be used for  
28 determining the dose to an embryo/fetus pursuant to § 1.7.8 of this  
29 Part for occupational exposure to radiation from medical  
30 fluoroscopic equipment may be the value reported by the individual  
31 monitoring device worn at the waist underneath the protective  
32 apron which has been corrected for the particular individual and her  
33 work environment by the above referenced medical physicist.

34 2. An individual monitoring device used for eye dose equivalent shall be  
35 located at the neck, or an unshielded location closer to the eye, outside  
36 the protective apron.

37 3. When only one individual monitoring device is used to determine the  
38 effective dose equivalent for external radiation pursuant to § 1.7.1(B) of

1 this Part, it shall be located at the neck outside the protective apron.  
2 When a second individual monitoring device is used, for the same  
3 purpose, it shall be located under the protective apron at the waist. The  
4 second individual monitoring device is required for a declared pregnant  
5 woman.

- 6 C. An individual monitoring device used for monitoring the dose to the extremities,  
7 to demonstrate compliance with § 1.7.1(A) of this Part, shall be worn on the  
8 extremity likely to receive the highest exposure. Each individual monitoring  
9 device shall be oriented to measure the highest dose to the extremity being  
10 monitored.

## 11 **1.11 Control of Exposure from External Sources in Restricted Areas**

### 12 **1.11.1 Control of Access to High Radiation Areas**

- 13 A. For the purpose of this Part, control of access to high radiation areas is defined  
14 by 10 CFR § 20.1601.
- 15 B. The registrant is not required to control entrance or access to rooms or other  
16 areas containing sources of radiation capable of producing a high radiation area  
17 as described in § 1.11.1(A) of this Part if the registrant has met all the specific  
18 requirements for access and control specified in other applicable Parts of this  
19 Subchapter.

### 20 **1.11.2 Control of Access to Very High Radiation Areas**

- 21 A. For the purpose of this Part, control of access to very high radiation areas is  
22 defined by 10 CFR § 20.1602.
- 23 B. The registrant is not required to control entrance or access to rooms or other  
24 areas containing sources of radiation capable of producing a very high radiation  
25 area as described in § 1.11.2(A) of this Part if the registrant has met all the  
26 specific requirements for access and control specified in other applicable Parts of  
27 this Subchapter.

## 28 **1.12 Respiratory Protection and Controls to Restrict Internal** 29 **Exposure in Restricted Areas**

### 30 **1.12.1 Use of Process or Other Engineering Controls**

31 For the purpose of this Part, use of process or other engineering controls is  
32 defined by 10 CFR § 20.1701.

### 33 **1.12.2 Use of Other Controls**

34 For the purpose of this Part, use of other controls is defined by 10 CFR §  
35 20.1702.

1 **1.12.3 Use of Individual Respiratory Protection Equipment**

- 2 A. For the purpose of this Part, use of individual respiratory protection equipment is  
3 defined by 10 CFR § 20.1703.
- 4 B. For the purpose of this Part, further restrictions on the use of respiratory  
5 protection equipment are defined by 10 CFR § 20.1704.
- 6 C. For the purpose of this Part, authorization for use of higher assigned protection  
7 factors is required by 10 CFR § 20.1705.

8 **1.13 Storage and Control of Licensed Material**

9 **1.13.1 Security of Stored Material**

10 For the purpose of this Part, security of stored material is defined by 10 CFR §  
11 20.1801.

12 **1.13.2 Control of Material Not in Storage**

- 13 A. For the purpose of this Part, control of material not in storage is defined by 10  
14 CFR § 20.1802.
- 15 B. The registrant shall maintain control of radiation machines that are in an  
16 unrestricted area and that are not in storage.

17 **1.14 Precautionary Procedures**

18 **1.14.1 Caution Signs**

19 For the purpose of this Part, caution signs are defined by 10 CFR § 20.1901.

20 **1.14.2 Posting Requirements**

21 For the purpose of this Part, posting requirements are defined by 10 CFR §  
22 20.1902.

23 **1.14.3 Exceptions to Posting Requirements**

- 24 A. For the purpose of this Part, exceptions to posting requirements are defined by  
25 10 CFR § 20.1903.
- 26 B. A room or area is not required to be posted with a caution sign because of the  
27 presence of radiation machines used solely for diagnosis in the healing arts.

28 **1.14.4 Labeling Containers and Radiation Machines**

- 29 A. For the purpose of this Part, labeling of containers is defined by 10 CFR §  
30 20.1904.

- 1 B. Each registrant shall ensure that each radiation machine is labeled in a  
2 conspicuous manner which cautions individuals that radiation is produced when it  
3 is energized.

4 **1.14.5 Exemptions to Labeling Requirements**

5 For the purpose of this Part, exemptions to labeling requirements are defined by  
6 10 CFR § 20.1905.

7 **1.14.6 Procedures for Receiving and Opening Packages**

8 For the purpose of this Part, procedures for receiving and opening packages are  
9 defined by 10 CFR § 20.1906.

10 **1.15 Waste Disposal**

11 **1.15.1 General Requirements for Waste Disposal**

12 For the purpose of this Part, general requirements for waste disposal are defined  
13 by 10 CFR § 20.2001.

14 **1.15.2 Method for Obtaining Approval of Proposed Disposal Procedures**

15 For the purpose of this Part, the method for obtaining approval of proposed  
16 disposal procedures is defined by 10 CFR § 20.2002.

17 **1.15.3 Disposal by Release into Sanitary Sewerage**

18 For the purpose of this Part, disposal by release into sanitary sewerage is  
19 defined by 10 CFR § 20.2003.

20 **1.15.4 Treatment or Disposal by Incineration**

21 For the purpose of this Part, treatment or disposal by incineration is defined by  
22 10 CFR § 20.2004.

23 **1.15.5 Disposal of Specific Wastes**

24 For the purpose of this Part, disposal of specific wastes is defined by 10 CFR §  
25 20.2005.

26 **1.15.6 Transfer for Disposal and Manifests**

27 For the purpose of this Part, transfer for disposal and manifests are defined by 10  
28 CFR § 20.2006.

1 **1.15.7 Compliance with Environmental and Health Protection Regulations**

2 For the purpose of this Part, compliance with environmental and health protection  
3 regulations is defined by 10 CFR § 20.2007.

4 **1.15.8 Disposal of 11e(3) and 11e(4) Byproduct Material**

5 For the purpose of this Part, disposal of 11e(3) and 11e(4) Byproduct Material is  
6 defined by 10 CFR § 20.2008.

7 **1.16 Records**

8 **1.16.1 General Provisions**

9 A. For the purpose of this Part, general recordkeeping provisions are defined by 10  
10 CFR § 20.2101.

11 B. Each licensee and registrant shall maintain records showing the receipt, transfer,  
12 and disposal of all sources of radiation. All records required by this Subchapter  
13 shall be maintained indefinitely unless otherwise specified in this Subchapter.

14 **1.16.2 Records of Radiation Protection Programs**

15 For the purpose of this Part, requirements for maintenance of records of radiation  
16 protection programs are defined by 10 CFR § 20.2102.

17 **1.16.3 Records of Surveys**

18 For the purpose of this Part, requirements for maintenance of records of surveys  
19 are defined by 10 CFR § 20.2103.

20 **1.16.4 Records of Tests for Leakage or Contamination of Sealed Sources**

21 Records of tests for leakage or contamination of sealed sources required by §  
22 1.26 of this Part shall be kept in units of becquerel or microcurie and maintained  
23 for inspection by the Agency for five (5) years after the records are made.

24 **1.16.5 Records of Planned Special Exposures**

25 For the purpose of this Part, requirements for maintenance of records of planned  
26 special exposures are defined by 10 CFR § 20.2105.

27 **1.16.6 Records of Individual Monitoring Results**

28 For the purpose of this Part, requirements for maintenance of records of  
29 individual monitoring results are defined by 10 CFR § 20.2106.

1 **1.16.7 Records of Dose to Individual Members of the Public**

2 For the purpose of this Part, requirements for maintenance of records of dose to  
3 individual members of the public are defined by 10 CFR § 20.2107.

4 **1.16.8 Records of Waste Disposal**

5 For the purpose of this Part, requirements for maintenance of records of waste  
6 disposal are defined by 10 CFR § 20.2108.

7 **1.16.9 Form of Records**

8 For the purpose of this Part, requirements regarding the form of records are  
9 defined by 10 CFR § 20.2110.

10 **1.17 Reports**

11 **1.17.1 Reports of Theft or Loss of Licensed Material**

12 For the purpose of this Part, requirements regarding reports of theft or loss of  
13 licensed material are defined by 10 CFR § 20.2201.

14 **1.17.2 Notification of Incidents**

15 A. Immediate Notification. Notwithstanding other requirements for notification, each  
16 licensee or registrant shall immediately report each event involving a source of  
17 radiation possessed by the licensee or registrant that may have caused or  
18 threatens to cause any of the following conditions:

19 1. Immediately notify the Agency of each event involving a source of  
20 radiation possessed by the licensee or registrant that may have caused or  
21 threatens to cause any of the following conditions:

22 a. An individual to receive:

23 (1) A total effective dose equivalent of 0.25 Sv (25 rem) or more;  
24 or

25 (2) A lens dose equivalent of 0.75 Sv (75 rem) or more; or

26 (3) A shallow dose equivalent to the skin or extremities or a total  
27 organ dose equivalent of 2.5 Gy (250 rad) or more; or

28 b. The release of radioactive material, inside or outside of a restricted  
29 area, so that, had an individual been present for twenty-four (24)  
30 hours, the individual could have received an intake five times the  
31 occupational ALI. This provision does not apply to locations where



1 personnel are not normally stationed during routine operations,  
2 such as hot-cells or process enclosures.

3 2. Immediately notify the Agency as soon as possible, but not later than four  
4 (4) hours after the discovery, of an event (e.g., fire, explosion toxic gas  
5 release, etc.) that prevents immediate protective actions necessary to  
6 avoid exposures to radiation or radioactive materials that could exceed  
7 regulatory limits or releases of licensed material that could exceed  
8 regulatory limits.

9 B. Twenty-Four Hour Notification. Each licensee or registrant shall, within twenty-  
10 four (24) hours of discovery of the event, report to the Agency each event  
11 involving loss of control of a licensed or registered source of radiation possessed  
12 by the licensee or registrant that may have caused, or threatens to cause, any of  
13 the following conditions:

14 1. An individual to receive, in a period of twenty-four (24) hours:

15 a. A total effective dose equivalent exceeding 0.05 Sv (5 rem); or

16 b. A lens dose equivalent exceeding 0.15 Sv (15 rem); or

17 c. A shallow dose equivalent to the skin or extremities or a total organ  
18 dose equivalent exceeding 0.5 Sv (50 rem); or

19 2. The release of radioactive material, inside or outside of a restricted area,  
20 so that, had an individual been present for twenty-four (24) hours, the  
21 individual could have received an intake in excess of one occupational  
22 ALI. This provision does not apply to locations where personnel are not  
23 normally stationed during routine operations, such as hot-cells or process  
24 enclosures.

25 3. An unplanned contamination event that:

26 a. Requires access to the contaminated area, by workers or the  
27 public, to be restricted for more than twenty-four (24) hours by  
28 imposing additional radiological controls or by prohibiting entry into  
29 the area; and

30 b. Involves a quantity of material greater than five times the lowest  
31 annual limit on intake specified for the material in § 1.19 of this  
32 Part; and

33 c. Has access to the area restricted for a reason other than to allow  
34 isotopes with a half-life of less than twenty-four (24) hours to decay  
35 prior to decontamination.

- 1           4.     An event in which equipment is disabled or fails to function as designed  
2                 when:
- 3                 a.     The equipment is required by regulation or license/registration  
4                     condition to prevent releases exceeding regulatory limits, to prevent  
5                     exposures to radiation and/or radioactive materials exceeding  
6                     regulatory limits, or to mitigate the consequences of an accident;  
7                     and
- 8                 b.     The equipment is required to be available and operable when it is  
9                     disabled or fails to function; and
- 10                c.     No redundant equipment is available and operable to perform the  
11                    required safety function.
- 12           5.     An event that requires unplanned medical treatment at a medical facility of  
13                 an individual with spreadable radioactive contamination on the individual's  
14                 clothing or body.
- 15           6.     An unplanned fire or explosion damaging any licensed material or any  
16                 device, container, or equipment containing licensed material when:
- 17                 a.     The quantity of material involved is greater than five times the  
18                     lowest annual limit on intake specified for the material in § 1.19 of  
19                     this Part; and
- 20                 b.     The damage affects the integrity of the licensed material or its  
21                     container.
- 22    C.     The licensee or registrant shall prepare each report filed with the Agency  
23             pursuant to § 1.17.2 of this Part so that names of individuals who have received  
24             exposure to sources of radiation are stated in a separate and detachable portion  
25             of the report.
- 26    D.     Licensees or registrants shall make the reports required by §§ 1.17.2(A) and (B)  
27             of this Part to the Agency by telephone, telegram, mailgram, or facsimile to the  
28             Agency. To the extent that the information is available at the time of notification,  
29             the information provided in these reports shall include:
- 30                 1.     The name of the person making the report and their call-back telephone  
31                     number;
- 32                 2.     A description of the event, including time and date;
- 33                 3.     The exact location of the event;
- 34                 4.     The levels of radiation and the isotopes, quantities, and chemical and  
35                     physical form of the licensed material involved; and

1           5.     Any personnel radiation exposure data available.

2 E.     The provisions of § 1.17.2 of this Part. do not apply to doses that result from  
3 planned special exposures, provided such doses are within the limits for planned  
4 special exposures and are reported pursuant to § 1.17.4 of this Part.

5 **1.17.3 Reports of Exposures, Radiation Levels, and Concentrations of Radioactive**  
6 **Material Exceeding the Constraints or Limits**

7           For the purpose of this Part, requirements regarding reports of exposures,  
8 radiation levels, and concentrations of radioactive material exceeding the  
9 constraints or limits are defined by 10 CFR § 20.2203.

10 **1.17.4 Reports of Planned Special Exposures**

11           For the purpose of this Part, requirements regarding reports of planned special  
12 exposures are defined by 10 CFR § 20.2204.

13 **1.17.5 Notifications and Reports to Individuals**

14           When a licensee or registrant is required pursuant to § 1.17.3 or § 1.17.4 of this  
15 Part to report to the Agency any exposure of an individual to radiation or  
16 radioactive material, the licensee or registrant shall also notify the individual.  
17 Such notice shall be transmitted at a time not later than the transmittal to the  
18 Agency, and shall comply with the provisions of A.6.4(a).

19 **1.17.6 Reports of Transactions Involving Nationally Tracked Sources**

20           For the purpose of this Part, requirements regarding reports of transactions  
21 involving nationally tracked sources are defined by 10 CFR § 20.2207.

22 **1.17.7 Vacating Premises**

23           Each specific licensee shall, no less than thirty (30) days before vacating or  
24 relinquishing possession or control of premises which may have been  
25 contaminated with radioactive material as a result of his activities, notify the  
26 Agency in writing of intent to vacate. When deemed necessary by the Agency,  
27 the licensee shall decontaminate the premises in such a manner as the Agency  
28 may specify.

29 **1.18 Assigned Protection Factors for Respirators**

30           For the purpose of this Part, assigned protection factors for respirators are  
31 defined in Appendix A to 10 CFR Part 20.

1 **1.19 Annual Limits on Intake (ALIs) and Derived Air Concentrations**  
2 **(DACs) of Radionuclides for Occupational Exposure; Effluent**  
3 **Concentrations; Concentrations for Release to Sewerage**

4 For the purpose of this Part, Annual Limits on Intake (ALIs) and Derived Air  
5 Concentrations (DACs) of radionuclides for occupational exposure; effluent  
6 concentrations; and concentrations for release to sewerage are defined in  
7 Appendix B to 10 CFR Part 20.

8 **1.20 Quantities of Licensed Material Requiring Labeling**

9 For the purpose of this Part, quantities of licensed material requiring labeling are  
10 defined in Appendix C to 10 CFR Part 20.

11 **1.21 Requirements for Transfers of Low-Level Radioactive Waste**  
12 **Intended for Disposal at Licensed Land Disposal Facilities and**  
13 **Manifests**

14 For the purpose of this Part, requirements for transfers of low-level radioactive  
15 waste intended for disposal at licensed land disposal facilities and manifests are  
16 defined in Appendix G to 10 CFR Part 20.

17 **1.22 Nationally Tracked Source Thresholds**

18 For the purpose of this Part, requirements for nationally tracked source  
19 thresholds are defined in Appendix E to 10 CFR Part 20.