



UNITED STATES  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

March 16, 2018

Sherrie Flaherty, MHP, DC, Supervisor  
Radioactive Materials Unit  
Minnesota Department of Health  
PO Box 64975  
St. Paul, MN 55164-0975

Dear Dr. Flaherty:

We have reviewed the proposed and revised final regulations to the Minnesota Radioactive Materials Rules, Minnesota Rules, Chapter 4731, received by our office on January 29, 2018. These regulations were reviewed by comparison to the equivalent U.S. Nuclear Regulatory Commission (NRC) rules and Regulation Amendment Tracking System Identification Numbers (RATS IDs) 2013-1, 2015-1, 2015-3, 2015-4, 2015-5, and other provisions not associated with a RATS ID, as identified in the enclosed State Regulation Status (SRS) Data Sheet. We discussed our review of the regulations with you on March 13, 2018.

As a result of our review, we have four comments that have been identified in the enclosure. Please note that we have limited our review to regulations required for compatibility and/or health and safety. Under our current procedure, a finding that the Minnesota regulations meet the compatibility and health and safety categories of the equivalent NRC regulation may only be made based on a review of the final Minnesota regulations. However, we have determined that if your proposed regulations were adopted, incorporating our comments and without other significant change, they would meet the compatibility and health and safety categories established in the Office of Nuclear Material Safety and Safeguards (NMSS) Procedure SA-200, "Compatibility Categories and Health and Safety Identification for NRC Regulations and Other Program Elements."

We request that when the proposed regulations are adopted and published as final regulations, a copy of the "as published" regulations be provided to us for review. As requested in NMSS Procedure SA-201, "Review of State Regulatory Requirements," please highlight the final changes, and provide a copy to Division of Materials Safety, Security, State, and Tribal Programs, NMSS.

The SRS Data Sheet summarizes our knowledge of the status of other Minnesota regulations, as indicated. Please let us know if you note any inaccuracies, or have any comments on the information contained in the SRS Data Sheet. This letter, including the SRS Data Sheet, is posted on the NMSS State Communication Portal: <https://scp.nrc.gov/rulemaking.html>.

If you have any questions regarding the comments, the compatibility and health and safety categories, or any of the NRC regulations used in the review, please contact Michelle Beardsley, State Regulation Review Coordinator, at (267) 884-2305 ([Michelle.Beardsley@nrc.gov](mailto:Michelle.Beardsley@nrc.gov)) or Lizette Roldan Otero at (817) 200-1596 ([Lizette.Roldan@nrc.gov](mailto:Lizette.Roldan@nrc.gov)).

Sincerely,

***/RA Paul Michalak for/***

Linda Howell, Acting Deputy Director  
Division of Materials Safety, Security, State  
and Tribal Programs  
Office of Nuclear Material Safety  
and Safeguards

Enclosures:

1. Compatibility Comments
2. Minnesota SRS Data Sheet

SUBJECT: MINNESOTA REVISED FINAL REGULATIONS TO RATS ID 2013-1, AND PROPOSED REGULATIONS TO RATS IDS 2015-1, 2015-2, 2015-3, 2015-4, 2015-5, AND OTHER PROVISIONS NOT ASSOCIATED WITH A RATS ID

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NAME	LRoldan	MBeardsley	APessin NLO via email	PMichalak	PMichalak for LHowell
DATE	2/9/18	2/13/18	3/13/18	3/16/18	3/16/18

**ML18044A260**

**OFFICIAL RECORD COPY**

**Package ML18032A473**

**COMPATIBILITY COMMENTS ON MINNESOTA PROPOSED REGULATIONS**

STATE SECTION		NRC SECTION	RATS ID	CATEGORY	SUBJECT and COMMENTS
1	4731.0406 Subpart 2 and 4731.0409 Subpart 2	71.17 71.101(b) and (c)(1)	2015-3	C	<p><b>Quality assurance requirements</b></p> <p>In part 4731.0406, Subpart 2 and part 4731.0409, Subpart 2, Minnesota needs to replace the references to NRC with their State agency as follows:</p> <p>“Subp. 2. <b>Approved quality assurance program.</b> Except as otherwise provided in parts 4731.0400 to 4731.0424, the general license issued under subpart 1 applies only to a licensee who has a quality assurance program approved by the NRC [insert Minnesota agency] as complying with Code of Federal Regulations, title 10, part 71, subpart H.”</p> <p>Minnesota needs to make the change indicated above in order to meet the Compatibility Category B designation assigned to 10 CFR 71.17 and the Compatibility Category C designation assigned to 71.101(b) and (c)(1).</p>
2	4731.0420 Subpart 1	71.101(g)	2015-3	C	<p><b>Quality assurance requirements</b></p> <p>Minnesota needs to revise part 4731.0420, Subpart 1, Item D by replacing “item A” with “items A-C.” One of the requirements of 10 CFR 71.101(g) is that the Agreement State’s program must meet the requirements of 10 CFR 34.31(b). Item A of part 4731.4090, subpart 2 only corresponds to part of 10 CFR 34.31(b)(1). The remainder of 10 CFR 34.31(b)(1) (i.e., “replacement components meet design specifications”) is covered in item B and 10 CFR 34.31(b)(2) is covered in item C, respectively, of part 4731.4090, subpart 2.</p>

STATE SECTION		NRC SECTION	RATS ID	CATEGORY	SUBJECT and COMMENTS
					Minnesota needs to make the change indicated above in order to meet the Compatibility Category C designation assigned to 10 CFR 71.101(g).
3	4731.2950 Subpart 2.F.	10 CFR Part 20 Appendix G	2015-5	B	<p><b>Standards for protection against radiation</b></p> <p>In Part 4731.2950, Subpart 2.F., Minnesota needs to remove the phrase "Office of Information Resource Management" and add in its place the phrase "Office of the Chief Information Officer".</p> <p>Minnesota needs to make the change indicated above in order to meet the Compatibility Category B designation assigned to 10 CFR Part 20, Appendix G.</p>
4	4731.0419	71.97(a)	NA	B	<p><b>Advanced notification of shipment of irradiated fuel and nuclear waste</b></p> <p>Minnesota needs to include the NRC in these notifications so that an Agreement State licensee will notify the NRC of a shipment of licensed material that meets the requirements of 10 CFR 71.97(b), that would enter or cross through a non-Agreement State.</p> <p>Minnesota needs to make the change indicated above in order to meet the Compatibility Category B designation assigned to 10 CFR 71.97(a).</p>

**EDITORIAL COMMENT**

STATE SECTION	Preliminary Determinations
4731.0414	Minnesota should revise its proposed language to part 4731.0414 by deleting the word "part" before "71.85" and replacing it with the word "section" or "\$" as there is no "part 71.85" in NRC regulations.

**STATE REGULATION STATUS**

State: Minnesota

Tracking Ticket Number: 18-21

Date: 3/16/2018

[ # amendment(s) reviewed identified by a \* at the beginning of the equivalent NRC requirement.]

RATS ID	NRC Chronology Identification	Date Due for State Adoption	Incoming Letter	Outgoing Package	Notes
1991-1	Safety Requirements for Radiographic Equipment Part 34 55 FR 843 <b>(Superceded by 1997-5)</b>	01/10/1994	Final ML050140452	No Comments 03/04/2005 ML050690100	Minnesota has adopted Final Regulations equivalent to RATS ID: 1997-5.
1991-2	ASNT Certification of Radiographers Part 34 56 FR 11504 <b>(Superceded by 1997-5)</b>	none	Not Required	Not Required	Minnesota has adopted Final Regulations equivalent to RATS ID: 1997-5.
1991-3	Standards for Protection Against Radiation Part 20 56 FR 23360; 56 FR 61352; 57 FR 38588; 57 FR 57877; 58 FR 67657; 59 FR 41641; 60 FR 20183;	01/01/1994	Final ML050140452	No Comments 03/04/2005 ML050690100	
1991-4	Notification of Incidents Parts 20, 30, 31, 34, 39, 40 and 70 56 FR 64980;	10/15/1994	Final ML050140452	No Comments 03/04/2005 ML050690100	
1992-1	Quality Management Program and Misadministrations Part 35 56 FR 34104 <b>(Superceded by 2002-2)</b>	01/27/1995	Final ML050140452	No Comments 03/04/2005 ML050690100	Minnesota has adopted Final Regulations equivalent to RATS ID: 2002-2.

1992-2	Eliminating the Recordkeeping Requirements for Departures from Manufacturer's Instructions Parts 30 and 35 57 FR 45566	none	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility.
1993-1	Decommissioning Recordkeeping and License Termination: Documentation Additions [Restricted areas and spill sites] Parts 30 and 40 58 FR 39628	10/25/1996	Final ML050140452	No Comments 03/04/2005 ML050690100	
1993-2	Licensing and Radiation Safety Requirements for Irradiators Part 36 58 FR 7715	07/01/1996	Final ML050140452	No Comments 03/04/2005 ML050690100	
1993-3	Definition of Land Disposal and Waste Site QA Program Part 61 58 FR 33886	07/22/1996	Not Applicable	Not Applicable	Minnesota does not have authority to regulate this material under its Agreement.
1994-1	Self-Guarantee as an Additional Financial Mechanism Parts 30, 40 and 70 58 FR 68726; 59 FR 1618	none	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility.
1994-2	Uranium Mill Tailings Regulations: Conforming NRC Requirements to EPA Standards Part 40 59 FR 28220	07/01/1997	Not Applicable	Not Applicable	Minnesota does not have the authority to regulate this material under its Agreement.
1994-3	Timeliness in Decommissioning Material Facilities Parts 30, 40 and 70 59 FR 36026	08/15/1997	Final ML050140452	No Comments 03/04/2005 ML050690100	
1995-1	Preparation, Transfer for Commercial Distribution, and Use of Byproduct Material for Medical Use Parts 30, 32 and 35 59 FR 61767; 59 FR 65243; 60 FR 322	01/01/1998	Final ML050140452	No Comments 03/04/2005 ML050690100	

1995-2	Frequency of Medical Examinations for Use of Respiratory Protection Equipment Part 20 60 FR 7900	03/13/1998	Final ML050140452	No Comments 03/04/2005 ML050690100	
1995-3	Low-Level Waste Shipment Manifest Information and Reporting Parts 20 and 61 60 FR 15649; 60 FR 25983	03/01/1998	Final ML050140452	No Comments 03/04/2005 ML050690100	
1995-4	Performance Requirements for Radiography Equipment Part 34 60 FR 28323 <b>(Superceded by 1997-5)</b>	06/30/1998	Final ML050140452	No Comments 03/04/2005 ML050690100	Minnesota has adopted Final Regulations equivalent to RATS ID: 1997-5.
1995-5	Radiation Protection Requirements: Amended Definitions and Criteria Parts 19 and 20 60 FR 36038	08/14/1998	Final ML050140452	No Comments 03/04/2005 ML050690100	
1995-6	Clarification of Decommissioning Funding Requirements Parts 30, 40 and 70 60 FR 38235	11/24/1998	Final ML050140452	No Comments 03/04/2005 ML050690100	
1995-7	Medical Administration of Radiation and Radioactive Materials Parts 20 and 35 60 FR 48623 <b>(Superceded by 2002-2 and 2005-2)</b>	10/20/1998	Final ML050140452	No Comments 03/04/2005 ML050690100	Minnesota has adopted Final Regulations equivalent to RATS IDs: 2002-2 and 2005-2.
1996-1	Compatibility with the International Atomic Energy Agency Part 71 60 FR 50248; 61 FR 28724 <b>(Superceded by 2004-1)</b>	04/01/1999	Final ML050140452	No Comments 03/04/2005 ML050690100	Minnesota has adopted Final Regulations equivalent to RATS ID: 2004-1.



1996-2	One Time Extension of Certain Byproduct, Source and Special Nuclear Materials Licenses Parts 30, 40 and 70 61 FR 1109	02/15/1999	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility.
1996-3	Termination or Transfer of Licensed Activities: Record keeping Requirements Parts 20, 30, 40, 61 and 70 61 FR 24669	06/17/1999	Final ML050140452	No Comments 03/04/2005 ML050690100	
1997-1	Resolution of Dual Regulation of Airborne Effluents of Radioactive Materials; Clean Air Act Part 20 61 FR 65120	01/9/2000	Final ML050140452	No Comments 03/04/2005 ML050690100	
1997-2	Recognition of Agreement State Licenses in Areas Under Exclusive Federal Jurisdiction Within an Agreement State Part 150 62 FR 1662	02/27/2000	Final ML050140452	No Comments 03/04/2005 ML050690100	
1997-3	Criteria for the Release of Individuals Administered Radioactive Material Parts 20 and 35 62 FR 4120	05/29/2000	Final ML050140452	No Comments 03/04/2005 ML050690100	
1997-4	Fissile Material Shipments and Exemptions Part 71 62 FR 5907 <b>(Superseded by 2004-1)</b>	02/10/2000	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility. (See STP-97-078)  Minnesota has adopted Final Regulations equivalent to RATS ID: 2004-1.
1997-5	Licenses for Industrial Radiography and Radiation Safety Requirements for Industrial Radiography Operations Parts 30, 34, 71 and 150 62 FR 28947	06/27/2000	Final ML050140452	No Comments 03/04/2005 ML050690100	

1997-6	Radiological Criteria for License Termination Parts 20, 30, 40, and 70 62 FR 39057	08/20/2000	Final ML050140452	No Comments 03/04/2005 ML050690100	
1997-7	Exempt Distribution of a Radioactive Drug Containing One Microcurie of Carbon-14 Urea Part 30 62 FR 63634	01/02/2001	Final ML050140452	No Comments 03/04/2005 ML050690100	
1998-1	Deliberate Misconduct by Unlicensed Persons Parts 30, 40, 61, 70, 71 and 150 63 FR 1890; 63 FR 13773	02/12/2001	Final ML050140452	No Comments 03/04/2005 ML050690100	
1998-2	Self-Guarantee of Decommissioning Funding by Nonprofit and Non-Bond-Issuing Licensees Parts 30, 40 and 70 63 FR 29535	07/01/2001	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility.
1998-3	License Term for Medical Use Licenses Part 35 63 FR 31604 <b>(Superceded by 2002-2)</b>	07/10/2001	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility. (See STP-98-074)  Minnesota has adopted Final Regulations equivalent to RATS ID: 2002-2.
1998-4	Licenses for Industrial Radiography and Radiation Safety Requirements for Industrial Radiographic Operations Part 34 63 FR 37059	07/09/2001	Final ML050140452	No Comments 03/04/2005 ML050690100	
1998-5	Minor Corrections, Clarifying Changes, and a Minor Policy Change Parts 20, 32, 35, 36 and 39 63 FR 39477; 63 FR 45393	10/26/2001	Final ML050140452	No Comments 03/04/2005 ML050690100	
1998-6	Transfer for Disposal and Manifests: Minor Technical Conforming Amendment Part 20 63 FR 50127	11/20/2001	Final ML050140452	No Comments 03/04/2005 ML050690100	

1999-1	Radiological Criteria for License Termination of Uranium Recovery Facilities Part 40 64 FR 17506	06/11/2002	Not Applicable	Not Applicable	Minnesota does not have the authority to regulate this material under its Agreement.
1999-2	Requirements for Those Who Possess Certain Industrial Devices Containing Byproduct Material to Provide Requested Information Part 31 64 FR 42269	10/04/2002	Not Required	Not Required	These regulation changes are not required to be adopted for purposes of Compatibility.
1999-3	Respiratory Protection and Controls to Restrict Internal Exposure Part 20 64 FR 54543; 64 FR 55524	02/02/2003	Final ML050140452	No Comments 03/04/2005 ML050690100	
2000-1	Energy Compensation Sources for Well Logging and Other Regulatory Clarifications Part 39 65 FR 20337	05/17/2003	Final ML050140452	No Comments 03/04/2005 ML050690100	
2000-2	New Dosimetry Technology Parts 34, 36 and 39 65 FR 63750	01/08/2004	Final ML050140452	No Comments 03/04/2005 ML050690100	
2001-1	Requirements for Certain Generally Licensed Industrial Devices Containing Byproduct Material Parts 30, 31 and 32 65 FR 79162	02/16/2004	Final ML050140452	No Comments 03/04/2005 ML050690100	
2002-1	Revision of the Skin Dose Limit Part 20 67 FR 16298	04/05/2005	Final ML050140452	No Comments 03/04/2005 ML050690100	
2002-2	Medical Use of Byproduct Material Parts 20, 32 and 35 67 FR 20249	10/24/2005	Final ML050140452	No Comments 03/04/2005 ML050690100	
2003-1	Financial Assurance for Materials Licensees Parts 30, 40 and 70 68 FR 57327	12/03/2006	Final ML073201223	No Comments 12/14/2007 ML073470558	

2004-1	Compatibility With IAEA Transportation Safety Standards and Other Transportation Safety Amendments Part 71 69 FR 3697	10/01/2007	Final ML073201223	No Comments 12/14/2007 ML073470558	
2005-1	Security Requirements for Portable Gauges Containing Byproduct Material Part 30 70 FR 2001	07/11/2008	Final ML073201223	No Comments 12/14/2007 ML073470558	
2005-2	Medical Use of Byproduct Material - Recognition of Specialty Boards Part 35 70 FR 16336; 71 FR 1926	04/29/2008	Final ML073201223	No Comments 12/14/2007 ML073470558	
2005-3	Increased Controls for Risk-Significant Radioactive Sources (NRC Order EA-05-090) 70 FR 72128	12/01/2005	License Condition ML053220236	No Comments 11/18/2005 ML053220444	
2006-1	Minor Amendments Parts 20, 30, 32, 35, 40 and 70 71 FR 15005	03/27/2009	Final ML073201223	No Comments 12/14/2007 ML073470558	
2006-2	National Source Tracking System - Serialization Requirements Part 32 with reference to Part 20 Appendix E 71 FR 65685	02/06/2007	Final ML090550203	No Comments 03/23/2009 ML090760101	
2006-3	National Source Tracking System Part 20 71 FR 65685, 72 FR 59162	01/31/2009	Final ML090260708  License Condition ML090260708	No Comments 03/23/2009 ML090760101  No Comment 02/06/2009 ML090290322	
2007-1	Medical Use of Byproduct Material - Minor Corrections and Clarifications Parts 32 and 35 72 FR 45147, 54207	10/29/2010	Final ML090550203	No Comments 03/23/2009 ML090760101	

2007-2	Exemptions From Licensing, General Licenses, and Distribution of Byproduct Material: Licensing and Reporting Requirements Parts 30, 31, 32 and 150 72 FR 58473	12/17/2010	Final ML090550203	No Comments 03/23/2009 ML090760101	
2007-3	Requirements for Expanded Definition of Byproduct Material Parts 20, 30, 31, 32, 33, 35, 61 and 150 72 FR 55864	11/30/2010	Final ML090550203	No Comments 03/23/2009 ML090760101	
2007-4	Order Imposing Fingerprinting Requirements and Criminal History Records Check Requirements for Unescorted Access to Certain Radioactive Material NRC Order EA-07-305 72 FR 70901	06/05/2008	License Condition ML080530108	No Comments 03/12/2008 ML080720050	
2008-1	Occupational Dose Records, Labeling Containers, and Total Effective Dose Equivalent Parts 19 and 20 72 FR 68043	02/15/2011	Final ML090550203	No Comments 03/23/2009 ML090760101	
2009-1	Medical Use of Byproduct Material – Authorized User Clarification Part 35 74 FR 33901	09/28/2012	Final ML113202693	No Comments 12/21/2011 ML113400191	
2011-1	Decommissioning Planning Parts 20, 30, 40 and 70 76 FR 35512	12/17/2015	Proposed ML14234A134  Final ML15237A085	No Comments 10/21/2014 ML14232A319  No Comments 10/15/2015 ML15236A292	

2011-2	Licenses, Certifications, and Approvals for Materials Licensees Parts 30, 36, 39, 40, 70 and 150 76 FR 56951	11/14/2014	Proposed ML14234A134  Final ML15237A085	No Comments 10/21/2014 ML14232A319  No Comments 10/15/2015 ML15236A292	
2012-1	Change of Compatibility of Parts 31.5 and 31.6 (See RATS ID: 2001-1 for Rule text) 77 FR 3640	01/25/2015	Proposed ML14234A134  Final ML15237A085	No Comments 10/21/2014 ML14232A319  No Comments 10/15/2015 ML15236A292	
2012-2	Advance Notification to Native American Tribes of Transportation of Certain Types of Nuclear Waste Part 71 77 FR 34194	08/10/2015	Proposed ML14234A134  Final ML15237A085	No Comments 10/21/2014 ML14232A319  No Comments 10/15/2015 ML15236A292	
*2012-3	Technical Corrections Parts 30, 34, 40 and 71 77 FR 39899	08/06/2015	Proposed ML14234A134  Final ML15237A085  Revised Final ML18032A474	No Comments 10/21/2014 ML14232A319  Comment 10/15/2015 ML15236A292  No Comments 03/16/2018 ML18032A473	

2012-4	Requirements for Distribution of Byproduct Material Parts 30, 31, 32, 40 and 70 77 FR 43666	10/23/2015	Proposed ML14234A134  Final ML15237A085	No Comments 10/21/2014 ML14232A319  No Comments 10/15/2015 ML15236A292	
*2013-1	Physical Protection of Byproduct Material, 10 CFR Parts 20, 30, 32, 33, 34, 35, 36, 37, 39 and 71 78 FR 16922	03/19/2016	Proposed ML14234A134  Final ML15237A085  Revised Final ML18032A474	Comments 10/21/2014 ML14232A319  Comments 10/15/2015 ML15236A292  No Comments 03/16/2018 ML18032A473	
2013-2	Distribution of Source Material to Exempt Persons and to General Licensees and Revision of General License and Exemptions Parts 30, 40, and 70 78 FR 32310	08/27/2016	Proposed ML14234A134  Final ML15237A085	No Comments 10/21/2014 ML14232A319  No Comments 10/15/2015 ML15236A292	
*2015-1	Domestic Licensing of Special Nuclear Material – Written Reports and Clarifying Amendments Part 70 79 FR 57721, 80 FR 143	01/26/2018	Proposed ML18032A474	No Comments 03/16/2018 ML18032A473	
*2015-2	Safeguards Information - Modified Handling Categorization, Change for Materials Facilities Parts 30, 37, 73 and 150 79 FR 58664, 80 FR 3865	01/28/2018	Proposed ML18032A474	No Comments 03/16/2018 ML18032A473	

*2015-3	Revisions to Transportation Safety Requirements and Harmonization with International Atomic Energy Agency Transportation Requirements Part 71 80 FR 33987	07/13/2018 *extended to 08/15/2020 See STC 17-060	Proposed ML18032A474	Comments 03/16/2018 ML18032A473	
*2015-4	Miscellaneous Corrections, Parts 37 and 40 80 FR 45841	09/02/2018	Proposed ML18032A474	No Comments 03/16/2018 ML18032A473	
*2015-5	Miscellaneous Corrections, Parts 19, 20, 30, 32, 37, 40, 61, 70, 71 and 150 80 FR 74974	12/31/2018	Proposed ML18032A474	Comments 03/16/2018 ML18032A473	
N/A	Provision corresponding to Parts 19, 20, 30, 31 35 not associated with specific RATS IDs	N/A	Proposed ML14234A134  Final ML15237A085	Comments 10/21/2014 ML14232A319  No Comments 10/15/2015 ML15236A292	
*N/A	Provisions corresponding to Parts 30, 35, 70, 71 150 not associated with specific RATS IDs	N/A	Proposed ML18032A474	Comment 03/16/2018 ML18032A473	Comment re: 71.97(a)