



UNITED STATES
NUCLEAR REGULATORY COMMISSION
REGION IV
1600 EAST LAMAR BOULEVARD
ARLINGTON, TEXAS 76011-4511

February 2, 2018

MEMORANDUM TO: Dr. Brett M. Baker, Assistant Inspector General for Audits
Office of the Inspector General

FROM: Kriss M. Kennedy /RA S. Morris Acting For/
Regional Administrator

SUBJECT: RESPONSE TO THE RECOMMENDATION FROM THE
INDEPENDENT EVALUATION OF NRC'S IMPLEMENTATION
OF THE FEDERAL INFORMATION SECURITY
MODERNIZATION ACT OF 2014 FOR FISCAL YEAR 2017 –
REGION IV, ARLINGTON, TEXAS (OIG-17-A-25)

In regards to your memorandum dated September 20, 2017, Independent Evaluation of the NRC's Implementation of the Federal Information Security Moderation Act of 2014 for Fiscal Year 2017-Region IV, Arlington, Texas (OIG-17-A-25); enclosed is the Region IV updated status to recommendations 1, 2, and 3 contained in the subject report.

Enclosure:
Status of Recommendations 1-3

cc: Steven Zane, OIG

CONTACT: Jerome Murphy, RIV/DRMA
817-200-1108

RESPONSE TO THE RECOMMENDATION FROM THE INDEPENDENT EVALUATION OF NRC'S IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MODERNIZATION ACT OF 2014 FOR FISCAL YEAR 2017 – REGION IV, ARLINGTON, TEXAS (OIG-17-A-25) – DATED FEBRUARY 2, 2018

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DOCUMENT NAME: S:\DRMA\DRMADIR\Administrative Assistant\ITB\OEDO-17-00608 RIV Response to FISMA Audit Feb 2 2018.docx

ADAMS ACCESSION NUMBER: ML18033B449

SUNSI Review ADAMS: Non-Publicly Available Non-Sensitive Keyword:
 By: BJM Yes No Publicly Available Sensitive

OFFICE	D:DRMA	DRA	RA
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DATE	02/02/2018	02/02/2018	02/02/2018

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Audit Report

IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MODERNIZATION ACT OF 2014 FOR FISCAL YEAR 2017 REGION IV, ARLINGTON, TEXAS

(OIG-17-A-25)

Status of Recommendations

Recommendation 1: Update Region IV policy guides that are due for review in accordance with PG 0001.13.

Agency Response Dated
October 27, 2017

Agree. Region IV is updating policy guides that are due for review in accordance with PG 0001.13.

Target Completion Date: November 15, 2017

Agency Response Dated
February 2, 2018

The completion date for this recommendation has changed due to continuous review and concurrence to ensure policy guides are in accordance with PG 0001.13.

Revised Target Completion Date: March 2, 2018

Point of Contact: Jerome Murphy 817 200-1108

Recommendation 2: Update the backup procedures for Region IV NRC-managed servers to include backup procedures for the Region IV IT [Information Technology] support server and for sending backups to an offsite storage location.

Agency Response Date
October 27, 2017

Agree. Region IV is updating its document that describes the backup procedures for its support servers and process for sending backups to its offsite location.

Target Completion Date: November 15, 2017

Agency Response Dated
February 2, 2018

The completion date for this activity has changed to allow final review and concurrence of updated backup procedures for Region IV NRC – managed servers.

Revised Target Completion Date: March 2, 2018

Point of Contact: Jerome Murphy 817 200-1108

Enclosure

Audit Report

IMPLEMENTATION OF THE FEDERAL INFORMATION
SECURITY MODERNIZATION ACT OF 2014 FOR FISCAL YEAR 2017
REGION IV, ARLINGTON, TEXAS

(OIG-17-A-25)

Status of Recommendations

Recommendation 3: Address the identified vulnerabilities within the timeframes specified in ISD [Information Security Directorate] standard ISD-STD-0020, *Organization Defined Values for System Security Controls*.

Agency Response Date
October 27, 2017

Agree. Region IV will address identified vulnerabilities within the timeframes specified in ISD standard ISD-STD-0020, *Organization Defined Values for System Security Controls*, or submit a deviation request in accordance with ISD Process ISD-PROS-1324, *Deviation Request Process*.

Target Completion Date: December 29, 2017

Agency Response Dated
February 2, 2018

Region IV has completed the remediation for the identified high risk findings. The completion date for the remediation/deviation of moderate risk findings are now scheduled for completion by March 2, 2018.

Revised Target Completion Date: March 2, 2018

Point of Contact: Jerome Murphy 817 200-1108