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	Writer ···	Owner	Cogniz	zant Organization
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1 PURPOSE AND SCOPE [Ref. 6.8]

- 1.1 The purpose of this document is to define the Expanded System Readiness Review (ESRR) Program and provide guidance in evaluating and determining system restart readiness for the Cook plant. This document also describes the process for scoping work items as either required or not required to support unit restart. These guidelines support startup from the current extended outage in support of Reference 6.1.
- 1.2 Several independent reviews, including a self assessment of the Auxiliary Feedwater System and an independent Engineering Issues Review Group report identified vulnerabilities in the preservation of the Design and Licensing Basis that suggested a need for a more rigorous review of overall system readiness.
- 1.3 The ESRR will provide reasonable assurance that:
 - the plant systems are capable of meeting their safety and accident mitigation functions as defined in their design and licensing bases [Ref. 6.11], and
 - in conjunction with other ongoing improvement programs and resolution of identified issues, that the plant is modified, tested, operated and maintained consistent with the design and licensing bases. [Ref. 6.12]
- 1.4 The Expanded System Readiness Review consists of four phases

Phase 1: Initial Expanded System Readiness Review

Phase 2: Restart Activities Monitoring

Phase 3: Final Expanded System Readiness Review

Phase 4: Startup and Power Ascension

- 1.4.1 Phase 1 is to include the following:
 - a. Formation and training of system teams for readiness review using representatives from various station departments.
 - b. A review of system design and license basis documentation.
 - c. Review of safety and accident mitigation functions for each system.
 - d. Verification, in conjunction with other ongoing improvement programs and resolution of identified issues, that the plant is modified, tested, operated and maintained consistent with the design and licensing bases.



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e. Evaluation of the extent of condition for identified proble identification of potential programmatic or generic issues			oblems and sues.	
	f.	Performance of multi-disciplined s	ystem walkdowns.	
g. Evaluation of open items in the System Indexed DataBase System (SIDS) (see definitions) against the Restart Screening Criteria.			Base System Criteria.	
NOTE:	The I to be uses Speci	Plant Nuclear Safety Review Comm known as Plant Operations Review the acronym, PORC, but documents ifications may use PNSRC that repre	ittee (PNSRC) is n Committee (POR s such as the UFSA esents the same gro	naking a transition C). This document AR and Technical oup.
	h.	Presentation of the Initial Expande to the System Readiness Review B Operations Review Committee (PC	d System Readines oard (SRRB) and t DRC).	s Review Report ´ he Plant
	i. ⁻	Begin scheduling restart work in th	e Restart Schedule	.
1.4.2 Phase 2 is to include the following:				
	a.	Continued review of open corrective	ve action items three	ough closure.
	Ъ.	Continued evaluation of emergent a the Restart Screening Criteria.	action items for un	it restart against
	c.	Scheduling of restart work into the	appropriate System	n Work Window.
	. d.	Monitoring of field work, including appropriate.	g presence at the jo	ob site, when
•	e.	Development of System Test Plans	•	•
	f.	Preparation for System Window clo System Readiness Reviews.	osure and the Fina	l Expanded
	g.	Completion of regularly scheduled (See definitions).	walkdowns by the	System Manager
	h.	Management of work closure throu and priorities.	gh use of perform	ance indicators

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- 1.4.3 Phase 3 is to include the following:
 - a. .. Final System Readiness Walkdown completed by the System Manager and an assigned Operations representative.
 - b. Presentation of the Final System Readiness Report, prior to restart, to the SRRB and PORC by the assigned operations representative and the System Manager.
 - c. Review work that is not complete prior to restart and review the aggregate impact of deferred work.
 - d. Finalize the System Test Plans.
 - e. System Manager will develop performance monitoring baseline for system performance tracking and trending
- 1.4.4 Phase 4 is to include the following:
 - a. Designated test results identified by the Test Review Board (TRB).
 - b. System Manager will implement performance monitoring baseline for system performance tracking and trending.
 - c. System Manager will monitor any new corrective maintenance activities or condition reports, and continuously monitor system readiness.

d. Completion of System Readiness Affirmation.

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2 DEFINITIONS AND ABBREVIATIONS

Term	Meaning
System Assessment Matrix	The matrix consists of attributes (rows) and topic review areas
	(columns) that define the scope of the work and when
	completed provides the summary basis of the conclusions of the
	Expanded System Readiness Review. Figure 1 provides the
	Assessment Matrix Form. Figure 2 provides a sample
	completed assessment matrix.
Attribute	Key system parameters, including system safety and accident
	mitigation functions, that will be evaluated against various
	topic review areas. The attributes make up the rows of the
	matrix and are selected by the Expanded System Readiness
	Review Teams using guidance provided in Section 4.3.4.
Topic Review Area	Consists of documents and sources of information in the
	following areas: Design, Licensing, Operations, Maintenance,
	Surveillance, Physical Plant, and Programs, Processes and
	Procedures. The review areas make up the columns of the
	assessment matrix. The addendum to Figure 1 provides
	guidance on the evaluation to be performed for each topic area.
Discrepancy	A finding generated as a result of an activity performed in
	accordance with this procedure which indicates a potential need
	for corrective action or process improvement. Discrepancies
	identified during the Expanded System Readiness Review are
	documented via Condition Reports (CRs) in the Cook Plant
	Corrective Action Program (CAP) or as Action Requests in the
	work Control System (NPM). A Condition Report shall be
	A stion Dreaman
Conorio Ionno	Action Program.
Generic Issue	Discrepancies identified in several systems for the same topic
	nuclear and polentially identify a process or programmatic
Aggagement Data Paga	The date have used to desument Europeded States Destinger
Assessment Data Base	Prive data base used to document Expanded System Readiness
	keview assessments, commining consistent use of design and licensing information, and identifying discremencies
	i incensing information, and identifying discrepancies.





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2 DEFINITIONS AND ABBREVIATIONS (Continued)

Term	Meaning
System Indexed Data Base	The SIDS data base is used to track open items identified as
System	required for restart. Open items in this data base include open
	items from existing plant data sources, and discrepancies
	identified by the Expanded System Readiness Review. Thus,
	discrepancies identified during the Expanded System Readiness
	Review generate entries in the SIDS Database.
Current Licensing Basis	The set of NRC information applicable to a specific plant and a
	licensee's written commitments for ensuring compliance with,
	and operating within applicable NRC requirements and the
	plant specific design basis, including all modifications and
	additions to such commitments over the life of the license, that
	are docketed and in effect. The CLB includes NRC regulations
	contained in 10CFR Parts 2, 19, 20, 21, 26, 30, 40, 50, 51,
u and a start of the	54, 55, 70, 72, 73, 100 and appendices thereto: Orders,
	License Conditions, Exemptions, and Technical Specifications
	(TSs). It also includes the plant specific design basis
	information defined in 10CFR 50.2 as documented in the most
	recent UFSAR as required by 10CFR 50.71 and NRC
•	commitments remaining in effect that were made in docketed
	licensing correspondence such as responses to NRC Bulletins,
	Generic Letters and enforcement actions, as well as licensee
	commitments documented in the NRC Safety Evaluations or
	Licensee Event Reports.
Design Basis	Information that identifies the specific functions to be
(as Defined by IUCFR 50.2)	performed by a structure, system or component of a facility
	and the specific values or range of values chosen for
•	controlling parameters as reference bounds for design. These
ر دینی ہے۔ 	values may be (1) restraints derived from generally accepted
	state-or-the-art practices for achieving functional goals or (2)
	requirements derived from analysis (dased on calculations
	which a structure, system or component must meet functional
	which a structure, system of component must meet functional
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2 DEFINITIONS AND ABBREVIATIONS (Continued)

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Term	Meaning
Engineering Design Basis	The Engineering Design Basis is the entire set of design
	restraints that are implemented, including (1) those that are part
	of the current licensing basis, and (2) those that are not part of
	the current licensing basis, but are implemented to achieve
	certain economies of operation, maintenance, procurement,
	installation, or construction. (See Reference 6.2 for further
	clarification, including definitions of Design Basis Document,
	Design Requirements and Design Output Document).
Vertical Slice Review	A structured review approach derived from the Safety System
	Function Inspection (SSFI) methodology that allows an
	assessment of specific aspects of the plant physical
	configuration and operation against selected aspects of the plant
	licensing and design basis. The scope of the ESRR vertical
	slice review for each system is defined by the System
	Assessment Matrix.
Horizontal Slice Review	A review of a programmatic issue or plant documents across
	multiple plant systems, such as a review of the UFSAR,
	evaluation of Appendix R fire protection requirements, review
	and upgrade of calculations, etc.
System Readiness Review	A board of personnel conducting business in accordance with
Board (SRRB)	the Charter in Attachment 1.
System Manager	The leader of the Expanded System Readiness Review Team
	and engineering owner of assigned systems for purposes of this
	procedure.
System Manager	Indicates the fact that the System Manager has reviewed the
APPROVE".	scope (i.e., the screening of the item as required or not
	required to support unit restart) of an item in SIDS and the
	associated comments that justify the item's scope. This
1	"APPROVAL" is denoted in the Initial System Manager
	Approval box in SIDS and is used by the System Manager
	during all Phases for new items in SIDS, meaning that the
	System Manager agrees with the scope and justification.



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2 DEFINITIONS AND ABBREVIATIONS (Continued)

Term	Meaning
System Manager "CONCUR"	During Phases 3 and 4, "CONCURRENCE" is denoted in the Final System Manager Approval box in SIDS and is used by the System Manager to mean that restart items, or post restart items that are not included in the aggregate impact, have been completed to the satisfaction of the System Manager.
System Manager "EXCEPT"	Indicates that this Restart scoped SIDS item is not complete and remains an exception to restart (i.e., completion of the item still needed to enter the mode identified in the mode restraint box in SIDS). This "EXCEPTION" is denoted in the Final System Manager Approval box in SIDS and is used by the System Manager during Phases 3 and 4.
System Manager "Post Restart Deferred"	Denoted on items associated with a component that has (2) or, more post restart deferred items, excluding PM post restart items.
System Manager "Restart Required Deferred"	Denoted on items with deferred scope that were initially approved, as restart required. These items must be accompanied by a Restart Required Scope Deferral Form (Attachment 10).



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3 RESPONSIBILITIES

- 3.1 The Director of Engineering Restart is responsible for overseeing Engineering preparations for restart, for the ESRR Program and, when needed, for chairing the System Readiness Review Board; ensuring that the requisite members are in attendance.
- 3.2 The System Readiness Review Manager is responsible for:
 - 3.2.1 Expanded System Readiness Review Program content and oversight.
 - 3.2.2 Determination of the scope of the review for ESRR systems.
 - 3.2.3 Ensuring adequate training and logistics support is provided to participating team members
 - 3.2.4 Reviewing the results of the individual system readiness reviews, identifying any generic concerns and defining programmatic corrective action (if any).
 - 3.2.5 Reviewing the attribute selection and the corresponding Assessment Matrix for each system (Figure 1).
 - 3.2.6 Maintaining and revising this procedure on the Expanded System Readiness Review Program, annotating the changes made, and obtaining appropriate approvals.
 - 3.2.7 Owns and manages the SIDS Database
- 3.3 The System Engineering Manager is responsible for the assignment of System Managers to systems being reviewed, and for providing additional oversight for the ESRR Program.
- 3.4 The System Engineering Supervisors are responsible for supervision and effective implementation of the Expanded System Readiness Review Program through active coaching, counseling and oversight of the System Manager. The System Engineering Supervisors jointly own the ESRR results with the System Managers.
- 3.5 The Expanded System Readiness Review Program Coordinator is responsible for:
 - 3.5.1 Expanded System Readiness Review program development and implementation.
 - 3.5.2 Schedule and coordination

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	<u> </u>	EXPA	NDED SYSTEM READINESS I	REVIEW PROGRA	M
	3.5.3	Revie corres	wing and recommending for approponding Assessment Matrix for e	oval the attribute seach system.	election and the
	3.5.4	Revie	w the Assessment Data Base to ic	lentify generic issu	es and trends.
3.6	The As	sessmen	t Data Base Coordinator is respo	nsible for:	
	3.6.1	Assur ESRR	ing that the Assessment Data Bas teams.	e is operational and	i available to the
	3.6.2	Maint summ	aining the data in the Assessment ary reports regarding the ESRR.	t Data Base and pro	viding status and
3.7	The SI	DS Data Base Coordinator is responsible for:			
	3.7.1	Assur teams	ing that the SIDS Data Base is op, and to program owners and fund	perational and avail ctional area manag	able to the ESRR · ers (or designees).
	3.7.2	Makir	ng any required changes or impro	vements to the SIE	S Data Base.
	3.7.3	Ensur Base.	ing that items required to be scop	ed are entered into	the SIDS Data
	3.7.4	Provid	ling and maintaining the quality o	of SIDS reports.	
3.8	System	Manage	ers are responsible for:		
	3.8.1	Overa	ll system health and performance	;•	•
	3.8.2	Organ the new System	izing and leading each ESRR Tea cessary multi-discipline resources n Readiness Reviews. The Syste	am. The Team wil s to conduct Phase m Teams will inclu	l be composed of 1 of the Expanded ide the following:
		a. Sv	ystem Manager		٠

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- b. Operations Representative
- c. Design Engineering Representative
- d. Maintenance Representative
- e. Licensing Representative

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f. Outside member(s) with varied industry experience

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3.	8.3 Coo docu	rdinating team requests for system mentation from the appropriate so	a design and licensi ources.	ng basis
3.	8.4 Perf	orming Phases 1, 2, and 3, and su	pporting Phase 4 c	of the ESRR.
3.	8.5 Coo pres	rdinating preparation of the ESRR enting these reports to the SRRB :	Initial and Final F and PORC.	Reports, and
3.	8.6 Asse Data	mbling ESRR review results and base for tracking.	restart action items	into the SIDS
3.	8.7 Main plan	ntaining a status of restart action i t management as required.	tems and submittin	g status updates to
3.	8.8 Coor mem	rdinating and conducting system where the system where th	valkdowns with app	oropriate team
3.	8.9 Orga	nizing and leading each work wir	ndow team (see Sec	tion 4.4.2b.1).
3.9 TI	ne Expanded	1 System Readiness Review Team	s are responsible for	or:
3.	9.1 Iden	tifying attributes appropriate to th	eir specific system	for assessment.
3.	9.2 Selec	cting topic areas appropriate to the	e identified attribut	es.
3.9	9.3 Perfe resul	orming system attribute checks an ts, including any noted discrepane	d walkdowns and c cies, in the assessm	locumenting the ent data base.
3.9	9.4 Revi	ewing items in the SIDS data base on 4.3.12.	e and scoping the it	ems as discussed in

3.9.5 Entering the deficiencies in the Cook Plant Corrective Action Program and/or Work Control System as appropriate.

3.9.6 Providing assistance to the System Manager as required.

3.10 The Operations Manager is responsible for assigning appropriate Operations representatives to support the ESRR Program.

3.11 The Director of Regulatory Affairs is responsible for assigning appropriate Licensing representatives to support the ESRR Program.

3.12 The Maintenance Manager is responsible for assigning appropriate maintenance representatives to support the ESRR Program.





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- 3.13 The Design Engineering Director is responsible for assigning appropriate Design Engineering representatives to support the ESRR Program.
- 3.14 The SRRB will perform management oversight and assessment of the Expanded System Readiness Review Program and will be the final approval authority over work item screening. The SRRB will perform activities applicable to this procedure, including approval of the System Assessment Matrices. The SRRB Charter is included in Attachment 1. An expected product of the SRRB oversight is improved consistency and technical depth of the ESRR results.
- 3.15 The PORC is responsible to provide a cross-discipline oversight of the ESRR Program by reviewing ESRR reports for each system and the Integrated Readiness Review Report.
- 3.16 The Outage Scope Management Team (OSMT) will review emergent work items following Phase 1 to determine whether or not they are required to support Unit restart.

4 DETAILS

- 4.1 Scope And Selection Of Systems For Expanded System Readiness Review
- NOTE: The term "TBDL" when used in this document refers to detail To Be Developed Later and incorporated in a subsequent revision to this document or in other documents.
 - 4.1.1 Previously, a plant System Readiness Review was conducted as discussed in Reference 6.3. A graded approach was used in performing the previous system readiness reviews, with high-risk systems receiving more thorough reviews. The previous system readiness reviews were broken down into three levels, with the following attributes:
 - a. Level 1 Systems
 - .1. Risk-significant Maintenance Rule systems
 - 2. Some important non-risk significant standby maintenance rule systems
 - b. Level 2 Systems
 - 1. Remaining non-risk significant Maintenance Rule systems
 - c. Level 3 Systems

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- 1. Non-Maintenance Rule systems that support power generation
- 2. Systems that are required for plant operation, are easily monitored in service, and present little or no challenge to safety
- 4.1.2 The 21 Level 1 systems included in the previous System Readiness Review are listed in Attachment 2.
- 4.1.3 Subsequent to the completion of the previous System Readiness Review, several independent reviews were conducted, including a Safety System Functional Inspection (SSFI) of the Auxiliary Feedwater System (Reference 6.4) and an Engineering Issues Review Group final report (Reference 6.5). These reviews identified vulnerabilities with respect to the scope of systems reviewed during the previous System Readiness Review, additional system design basis and licensing basis issues, and weaknesses in engineering programs and processes.
- 4.1.4 The classification of Level 1, 2, and 3 systems utilized during the previously performed system readiness reviews were based solely on Maintenance Rule input. The Level 1 systems were Maintenance Rule Risk Significant systems. Level 2 systems were non-risk significant systems, but systems that were still included in the scope of the Maintenance Rule. Level 3 systems were systems that were not included in the scope of the Maintenance Rule.
- 4.1.5 The classification of Level 1 and 2 systems utilized during the ESRR Program is based on Maintenance Rule input, systems required for safe shutdown, significant attendant/support systems, and systems identified by the System Engineering Manager that have experienced an abnormal amount of corrective maintenance. The basis for the selection of Level 1 systems is found in Attachment 3.
- 4.1.6 Expanded System Readiness Reviews under this procedure will be conducted on all of the previous Level 1 systems, plus additional systems that were determined to warrant an Expanded System Readiness Review (Refer to Attachment 4). Expanded System Readiness Reviews for the new Level 2 Systems will be addressed in a separate procedure.
- 4.2 Use Of The System Assessment Matrix, SIDS, And The Assessment Data Base
 - 4.2.1 The ESRR employs a vertical slice approach derived from the methodology of a Safety System Functional Inspection (SSFI). This approach evaluates system safety important to safe operation and accident mitigation functions (Attributes) against plant configuration and design, licensing, operations and maintenance documents (Topic Areas).

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4.2.2	The scope of the vertical slice review performed on a system is shown in a
	System Assessment Matrix. The system attributes selected for each
	system's review form the rows of this matrix, as further discussed in
	section 4.3.4. The sources of information or topic areas that are included
	in the vertical slice form the columns of the matrix.

- 4.2.3 The System Manager, accompanied by the cognizant System Engineering Supervisor, presents a description of the system scope and the system specific Assessment Matrix to the SRRB for approval. This helps the SRRB ascertain that attributes are appropriate.
- 4.2:4 The requirements associated with each of the attributes are evaluated against specific topic areas or sources of information.
- 4.2.5 The assessments include comparison and evaluation of documents as well as observations of plant condition and configuration during system walkdowns. The ESRR will emphasize those attributes, topic areas and activities necessary to satisfy the program purpose.
- The results are documented using Attribute Assessment Forms (Figure 3) in 4.2.6 the Assessment Data Base. Discrepancies are entered into the Cook Plant Corrective Action Program and/or Work Control System (NPM). Assessment Data Base manipulation and reporting capabilities assist in reporting, tracking and identification of generic issues and trends.
- 4.2.7 By assessing the results for all topic areas across all the systems reviewed, the ESRR can assist in determining the extent of condition of vulnerabilities in plant programs and processes already identified by previous inspections and reviews (e.g., References 6.4 and 6.5). The ESRR will also be used to identify other potential vulnerabilities and the potential need for further improvement in plant processes and programs.
- 4.2.8 In summary, the ESRRs result in a vertical slice evaluation of selected design and licensing bases for the ESRR systems. These evaluations do not guarantee that future external or internal assessments will not uncover additional problem reviews. However, in conjunction with other ongoing horizontal slice restart programs at Cook Plant and resolution of issues that are recommended for restart, the ESRRs provide reasonable assurance that key systems are capable of meeting their safety and accident mitigation functions as defined in their design and licensing basis.





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- 4.2.9 The SIDS Data Base is being used to track items being evaluated, scoped and justified as either Restart or Post Restart for both Units. This data base is the central repository for such items. SIDS will generate reports listing Restart and Post Restart items for SRRB and PORC approval. This data base will be managed and controlled by the System Manager for his particular system(s).
- 4.3 Phase 1 Initial Expanded System Readiness Review
 - 4.3.1 Expanded System Readiness Review Team Formation
 - a. The System Engineering Manager will assign a System Manager to each of the Expanded System Readiness Review teams.
 - b. The System Manager will lead the Expanded System Readiness Review Team comprised of a licensed member from Operations and members from Design Engineering, Maintenance, Licensing, and outside members with strong industry experience.

4.3.2 Training

- a. Prior to performing the reviews, training will be conducted for the AEP System Managers and the ESRR Team members on Expanded System Readiness Review Program knowledge and skill needs. These needs are defined in Attachment 5.
- b. The training for the AEP System Managers and the ESRR Teams was determined based on an evaluation of the tasks to be performed and lessons learned form the original System Readiness Reviews, the original System Engineering Review Board, and previously performed engineering and programmatic assessments.
- 4.3.3 Review Design and Licensing Basis Documentation
 - a. The ESRR Team shall become familiar with the design and licensing basis of the system to gain an understanding of the system functional and safety requirements under normal operating and accident conditions. The design and license basis documentation listed in Attachment 6 will be available to the ESRR Team.
 - b. Access to the following will be provided to the ESRR Teams.
 - 1. EQ information
 - 2. Appendix R information

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a		3. Station Blackout information	-	
		4. ISI/IST Program Information	4	
		5. Seismic qualification informat	ion	
		6. Electrical design criteria (sepa	ration, etc.)	1
•		7. Maintenance Rule Program In	formation	
		8. Individual Plant Examination	(IPE) Results	ι.
•		9. High Energy Line Break Prog	ram Information	
		10. Generic Letters GL89-13, GL thereto.	83-28, GL96-01, a	ind responses "
		11. Generic Letter GL89-10 progr	am information.	
		12. Generic Letter GL96-06 infor	mation (as availabl	e) 、
		13. LER 97-021 and associated sin	ngle failure commi	tments
		14. 1998 AFW SSFI Report		
		15. 1998 Containment Spray SSFI	Report	
		16. Engineering Issues Review Gr	oup Report (Refer	ence 6.5)
,	с.	The expectation is that the System information to determine its impac	Manager will revie t on his system.	ew the above
	d.	Licensing will provide the results of a system basis.	of a review of licer	using commitments
4.3.4	Defi	ne System Specific Attributes		
, ,	a.] (During the review and evaluation of each ESRR Team will develop a lis assessment on the System Assessm	of design and licen st of attributes to b ent Matrix.	sing information, we included in the

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b. Attributes will be selected by a thorough review of the design and licensing basis to identify the system safety and accident mitigation functions. Additional functional requirements of the system and critical components may also be selected. Information from the Licensing Review Program will be considered as will PRA insights on risk significant components and functions. Functionality of attendant/support systems and important passive equipment (e.g., tanks, bladders, strainers) will also be considered in selection of system attributes. Generic requirements such as Station Blackout, EQ, Appendix R, etc. will also be selected as system attributes when appropriate. A detailed example of and some requirements for attribute selection and their bases are provided in the Figure 2 addendum.

4.3.5 Establish Scope of Review

- a. Each ESRR Team will perform a vertical slice review of its assigned system by evaluating the system and common attributes against specific topic review areas as documented in the system Assessment Matrix. The topic areas to be assessed for each attribute will be defined in an initial System Assessment Matrix at the start of the ESRR, taking into account the results of previous evaluations at Cook Plant. This initial System Assessment Matrix will be reviewed and recommended by the ESRR Program Coordinator or Manager and the Nuclear Licensing Manager or Director of Regulatory Affairs, and approved by the SRRB. Changes made in response to SRRB comments need not be re-reviewed by the other parties who previously reviewed the matrix. These reviews and approvals will be based on the matrix and other supporting material as dictated by the board. Typically, this information will consist of:
 - A listing of the system safety and accident mitigation functions
 - The matrix itself, showing the attributes chosen and the topics to be reviewed for each
 - A marked-up system flow diagram or schematic depicting system boundaries

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- A separate word description of system physical boundaries and functional boundaries. Functional boundaries are those interfaces with other systems or the environment that must be proper in order for the system to function as designed. An example would be a circuit breaker in a non-safety related system that must open to protect a connected safety-related system if the non-safety related system incurs a fault.
- A description of the attributes chosen and the sub-attributes of each which the team intends to pursue.

- b. The System Assessment Matrix will be used by each ESRR team as a guideline to ensure adequate coverage of topic areas and all attributes.
- c. Based on the additional knowledge and understanding gained in the course of the review, specifically including the results of the review of licensing commitments, actual coverage of specific topic areas for particular attributes may expand and deviate from the initial matrix, provided that coverage of appropriate topic areas and all attributes is maintained. If ongoing licensing or ESRR reviews identify the need to add attributes to the assessment matrix, these additions will be approved by the ESRR Manager or the ESRR Program Coordinator. Such additions will be communicated in a timely manner between Licensing and the ESRR Manager. Actual coverage will be documented in the final System Assessment Matrix, which will be reviewed by the ESRR Program Manager or Program Coordinator and will be included in the Initial Expanded System Readiness Review report.

4.3.6 Review System Condition Documentation

a. Data sources in SIDS will be reviewed by the ESRR Teams to gain a comprehensive understanding of the current system condition, to assess the system functional requirements for readiness, and to evaluate the conformance of the system condition to its design basis. A specific focus of this effort shall be the identification of fundamental vulnerabilities in the system's ability to perform its safety and accident mitigation functions as defined in the Design and Licensing Basis.

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b. During the review and evaluation of these data sources, the ESRR team may develop additional specific requirements to be checked. For example, if a previously conducted assessment identified a discrepancy between a component capability and a design requirement, this design requirement would be a candidate for investigation and the satisfactory closure of the previously identified discrepancy would be assessed as part of the ESRR. The same strategy may be applied to assess the satisfactory closure of open items that were identified when the Design Basis Documents were created.

4.3.7 Perform Assessment of System Attributes and Topic Areas

- a. The checks of specific attributes against the topic areas will assess the internal consistency of system documents, procedures, and plant configuration and their conformance with design and licensing requirements. The ESRR Team will document conformance with requirements as well as discrepancies on an Attribute Assessment Form. An example of a completed Attribute Assessment Form is provided in Figure 4 (Actual form may vary slightly as it will be computer generated).
- b. Each Attribute Assessment Form shall list the documentation reviewed or walkdown observations made to arrive at the assessment conclusions. The list of reviewed documents shall contain revision numbers and/or date if applicable. The assessment forms shall describe the assessment and indicate the number of attribute checks covered by the assessment form and the number of attribute checks which resulted in identification of discrepancies. The ESRR team shall determine the extent of condition for discrepancies identified within their system by conducting additional checks as necessary.
- c. A summary of the evaluation for each attribute will be documented in the Initial System Readiness Report. This summary will document the checks made to verify the capability of the system to perform its safety and accident mitigation functions.
- 4.3.8 Perform Walkdown of System

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- a. The System Manager shall develop the walkdown strategy in accordance with the System Walkdown Guidelines and brief the ESRR Team (see Attachment 7). The walkdowns conducted as part of the previous System Readiness Reviews tended to focus on material condition. The ESRR walkdowns will include evaluation of material condition, but will also focus on configuration or environmental issues that could also impact the design and licensing basis. [Ref. 6.13]
- b. System safety and accident mitigation function flow paths should be indicated on system flow diagrams. The marked up drawings will be used to assist the System Manager to verify the system safety and accident mitigation functions during the system walkdowns. Other drawings may be used by teams to check specific configuration or as-built details against drawing requirements.
- c. Participants in the walkdowns will include the System Manager, the ... Operations representative, a Maintenance representative, and outside team member(s). Participation by representatives of design engineering and licensing may also be obtained when required by the System Manager.
- d. The assessment performed during the walkdown will be documented, together with discrepancies noted, in the assessment data base.
- e. Corrective action items identified during the walkdowns shall be entered into the Corrective Action Program and/or Work Control System by initiating a Condition Report or Action Request respectively. These corrective action items will also be captured in the SIDS data base and the Assessment Data Base.
- f. A Walkdown Report (Attachment 15) is to be assembled by the System Manager-and included in the Initial Expanded System Readiness Report. The report will discuss the following:
 - 1. Walkdown purpose and scope
 - 2. Walkdown findings
 - 3. Necessary corrective actions identified
 - 4. Summarization of system concerns and condition
 - 5. Summarization and justification for portions of systems that are not walked down.

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- g. During the review and evaluation of the walkdowns, the System Team may develop additional checks to make beyond those on the Walkdown Guidelines.
- 4.3.9 Identify Long Standing or Recurring Equipment Performance Issues
 - a. Each ESRR team will obtain information from previous Reliability Centered Maintenance (RCM) evaluations, the IST program self assessment, and Maintenance Rule evaluations to identify potential long-standing or recurring equipment performance issues. Such issues shall be identified for follow-up in the Assessment Data Base and the Corrective Action Program and/or Work Control System and the results will be documented in the Initial Expanded System Readiness Review Report. A Condition Report shall be issued for any item that falls within the scope of the Corrective Action Program.
- 4.3.10 Evaluate Extent of Condition for Identified Problems
 - a. Each system team shall assess recurring issues to determine whether further extent of condition determination is required (beyond the planned scope as documented in the initial system Assessment Matrix) and document any such conditions in the Initial Expanded System Readiness Review Report. [Ref. 6.14]
 - b. The ESRR Program Manager and Program Coordinator shall review the results across all the reviewed systems to identify potential programmatic or generic issues. If appropriate, this information will be used to recommend corrective actions of a programmatic nature. The results will be documented in the Expanded System Readiness Review Integrated Results, described in Attachment 14.
- 4.3.11 Document review results and enter discrepancies into ESRR Assessment Data Base and the Cook Plant Corrective Action Program and/or Work Control System. A Condition Report shall be issued for any items that fall within the scope of the Corrective Action Program.
 - a. Attribute checks performed as well as discrepancies found in this review are to be documented in the Assessment Data Base. Discrepancies shall also be entered into the Cook Plant Corrective Action Program by Condition Report or the Work Control System by Action Request.

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b. Condition Reports and Action Requests will automatically be loaded into the SIDS data base. This SIDS data base will allow tracking of . open items required for restart and will allow evaluation of potential aggregate effects of those items not required to be resolved prior to restart.

NOTE: Program owners or functional area managers (or designee) perform scoping, monitoring, and closure of non system-specific programmatic or functional area discrepancies as discussed further in PMP 7200.RST.009 and PMP 7200.RST.010.

4.3.12 Determine Restart Workscope

- a. All system-specific items in the SIDS data base will be reviewed against the Restart Issues Selection/Screening Criteria (see Attachment 8). The System Manager is responsible for adding any known open corrective action items not present in SIDS for his system. These items are to be evaluated for Restart Readiness Scope. PRA risk-based insights may be helpful in deciding marginal cases.
- b. Each system-specific item in the SIDS database will be evaluated using the evaluation process outlined in Attachment 8. The ESRR Team will recommend one of the following classifications for each such item in SIDS in order to enable return of the Cook Plant Units to operation in a safe and reliable manner:
 - 1. RESTART1 Activities required to be completed prior to entering Startup/Power Ascension of Unit 1. This classification includes necessary restart and power ascension testing activities as well as definition of the applicable mode restraint.
 - 2. RESTART2 Activities required to be completed prior to entering Startup/Power Ascension of Unit 2. This classification includes necessary restart and power ascension testing activities as well as definition of the applicable mode restraint.
 - 3. POST RESTART1 Activities which should be completed on a schedule consistent with the Unit 1 normal plant work controls process.
 - 4. POST RESTART2 Activities which should be completed on a schedule consistent with the Unit 2 normal plant work controls process.

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- 5. NOT APPLICABLE Activities that are not required to be completed.
- c. These classifications will be used to determine and schedule the work within the appropriate restart time frame.

d. Preventive Maintenance activities are unique since they often provide a means of enhancing equipment performance. However, the equipment being serviced may require being removed from operation. As a result, the ESRR must take these activities into consideration when evaluating PM activities. The following expectations are associated with the evaluations of PM activities:

• All PMs scheduled for completion before August 31, 2001 are to be reviewed.

- PMs scheduled (next required date) prior to March 31, 2000 are to be categorized as RESTART1 or RESTART2.
- PMs that are scheduled or planned during the operating cycle, and require a unit outage to complete, are to be categorized as RESTART1 or RESTART2 as appropriate.
- PMs scheduled (next required date) prior to August 31, 2001 that are not part of on-line maintenance or a Functional Equipment Group (FEG) outage, and will impact a surveillance test, or initiate an unplanned or unscheduled LCO during the operating cycle, are to be categorized as RESTART1 or RESTART2.
- PMs that are scheduled as a function of a pre-planned or prescheduled FEG outage, with or without an ensuing LCO, and do not challenge unit/system operability, are to be categorized as POST RESTART1 or POST RESTART2.

Some re-screening will be necessary if the assumed dates above change.

- e. All system leaks are to be evaluated. Scope determination guidelines are as follows:
 - Any leak location with no available isolation is to be categorized as RESTART1 or RESTART2, unless reasonable justification for a different categorization is provided.

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- Any ASME code component/vessel/piping leakage is to be categorized as RESTART1 or RESTART2.
- Leakage that can only be isolated by using a "freeze seal" is to be categorized as RESTART1 or RESTART2.
- Any leakage identified within containment requiring corrective action is to be categorized as RESTART1 or RESTART2.
- Leakage identified on systems outside containment, on non-ASME code components/vessels/piping, that is isolable, and would not challenge system operability or reliability if worked non-outage, is to be categorized as POST RESTART1 or POST RESTART2.
- Leakage from systems outside containment that would or could contain radioactive fluids that could violate leakage requirements in Section 14.3 of the UFSAR is to be categorized RESTART1 or RESTART2.

4.3.13 Labeling

- Any labeling issues that have potential impact to work control tagging are to be categorized as RESTART1 or RESTART2 with the mode identified.
- Any labeling issues with any potential impact to system operability or reliability are to be categorized as RESTART1 or RESTART2.
- Only labeling issues that have no impact on components/systems work control tagging are to be categorized as POST RESTART1 or POST RESTART2.
- 4.3.14 Prepare Initial Expanded System Readiness Report and Present to The System Readiness Review Board.
 - a. The information gathered throughout the system readiness review and walkdown shall be compiled by the ESRR Team into an Initial Expanded System Readiness Review Report. Each member of the review team, including participants in the walkdowns, will be identified in the report.
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- b. The System Manager will ensure that each open corrective action item identified during the ESRR and walkdown is initiated either as a Condition Report or Action Request, and, if system-specific, is evaluated and scoped in the SIDS data base prior to the SRRB presentation.
- c. The report content is as depicted in Attachment 12 and will be presented to the SRRB for approval. Minor changes in the report format are permitted with the concurrence of the ESSR program manager or coordinator. The System Manager will be accompanied by the Engineering Supervisor cognizant over the system.
- d. When the Initial Expanded System Readiness Report is approved by the SRRB, the System Manager will next present the report to the PORC for approval. If the report is approved by the SRRB with comments, the System Manager will resolve all SRRB comments and the original signees of the report will re-review the report and approve comment resolution. If the SRRB disapproves the report, the System Manager must re-present the report to the SRRB until approval is obtained, obtaining re-reviews as necessary.

e. After the report has been presented to the SRRB, the SRRB Secretary will provide the cognizant Engineering Supervisor with a list of all comments the System Manager needs to address. In some instances when the new technical issues are identified during SRRB reviews, the System Manager will be required to write a Condition Report on the issue. In this case, the respective Engineering Supervisor is to debrief the System Manager, and record the debrief in the CR. The debrief will address all comments recorded at the SRRB presentation. When ESRR program implementation problems are identified, the SRRB secretary will write a Condition Report on the issue.

f. After SRRB approval, the System Manager presents the Initial Expanded System Readiness Review Report to the PORC. The PORC will approve or disapprove the report, from a nuclear safety standpoint, keeping an overview perspective that focuses on ensuring that interdisciplinary concerns were adequately addressed. PORC should not normally focus on approving SRRB action on each discrepant condition but rather should probe into methods and overall results. If approved, the action items will be included in the restart effort. Any changes to the scope (restart/post restart) of individual items will be recorded in the PORC meeting minutes. If disapproved, the System Manager will take appropriate actions and re-present the report to the PORC.

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- g. The cognizant Engineering Supervisor will ensure incorporation of PORC comments within the report and SIDS data base. PORC comments are to be incorporated in SIDS by the SIDS Data Base
 "Coordinator, and reviewed by the System Manager. PORC comments within the report are to be incorporated by the System Manager.
- 4.3.15 Functional Areas and Programmatic Issues
 - a. Open items associated with functional areas and programs will be loaded into the SIDS data base.
 - b. If the open functional area or programmatic items affect specific systems, these items will be transferred to the appropriate system, within SIDS, where the ESRR Teams will scope the items.
 - c. The SRRB will review the scoping of programmatic and functional area work items that are not system specific subject to a schedule and protocol established by the Director of Restart.
 - d. Functional area and programmatic issue items will be reviewed and approved by SRRB.
 - e. For open functional area and programmatic items that are not system specific, the appropriate functional area or program owners are responsible for ensuring that the functional areas and programs are ready to support restart in accordance with the Restart Plan.
- 4.4 Phase 2 Restart Activities Monitoring

NOTE: Program owners or functional area managers (or designee) perform scoping, monitoring, and closure of non system-specific programmatic or functional area discrepancies as discussed further in PMP 7200.RST.009 and PMP 7200.RST.010

- 4.4.1 General Description of Phase 2 Activities
 - a. The System Manager will use SIDS to monitor approved system work scope and identify any new action items. Work activities should be frequently field verified and monitored to insure corrective actions are being taken and no adverse plant conditions develop.
 - b. The System Manager will perform the following activities:
 - 1. Monitor closure of approved system-specific workscope items using SIDS and available performance indicators.

2. Identify and evaluate new emergent system-specific action items for restart; consider using risk-based insights where available to enhance decision-making in marginal cases.

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- 3. Perform routine field walkdowns
- 4. Make presentations to the SRRB as requested
- 5. Review system work window planning and scheduling to ensure work is being properly scheduled
- 6. Provide input to and review System Test Plans
- 7. Update SIDS to reflect proper completion of open system-specific items and to document exceptions to completed work
- c. For Restart Required items that are not planned to be completed prior to restart, the system-specific Restart Required Scope Deferral Form (Attachment 10) is to be reviewed through the same level of approval as when the item was originally approved for restart.
- d. Applicable Restart Required Scope Deferral Forms are to be completed prior to closure of the applicable System Work Window. For Condition Reports not associated with corrective maintenance, the deferral form is to be completed no later than the Final Expanded System Readiness Review.

4.4.2 Management of Workscope

NOTE: Emergent items scoped as restart must be scheduled in the appropriate System Work Windows.

- a. Identify and Scope Emerging (New) Open Items
 - Phase 2 work items that are evaluated and scoped for accomplishment prior to restart after the Expanded System Readiness Review Initial Report is prepared will be reviewed using the following process in accordance with Attachment 9.
 - a) The System Manager will review, evaluate and scope new or emergent system-specific items within SIDS in accordance with Attachment 8, "Criteria for Work Included in Restart Scope,"

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b) The System Manager is also responsible for review and evaluation of any newly initiated Operating Experience items impacting his respective systems. The new Operating Experience items are to be scoped for applicability to the unit restart. The System Manager is to ensure that Operating Experience items that are scoped as restart items are resolved and follow-up actions completed prior to restart.

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- c) System-specific items that result in newly created corrective maintenance or new design change requests are to be monitored for closure.
- 2. Phase 2 Outage Scope Approval Process Additions
 - a) Once new emergent field work items (ARs/JOs/JOAs) are scoped by the System Manager, they will be presented to the OSMT for review and approval by the cognizant Engineering Supervisor or his designee in order to be added to the Restart Schedule. These new emergent work items are subsequently (usually weekly) presented to the SRRB (or subcommittee thereof) for review and approval by the System Manager. PORC approval of restart items is not required during Phase 2.
 - b) All other emergent system-specific items that are not field work related (broke/fix) are scoped by the System Manager and presented to the SRRB (or subcommittee thereof) for review and approval. PORC approval of these items is not required during Phase 2.
- 3. Phase 2 Outage Scope Approval Process Deletions
 - a) When deferring items that have been previously approved by the SRRB and PORC as restart items, The Restart Required Scope Deferral Form is to be used. The form will be reviewed through the same level of approval that the restart item originally received.
- b. System Windows
 - -1. System Window Scheduling

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- a) The System Manager and Operations personnel should ensure that the applicable restart work is contained within the respective system work window or other appropriate work opportunity. Actual system work windows are developed and scheduled using a System Work Window Team that assists the scheduling organization. System window planning and scheduling will be accomplished by the team under the supervision of the System Manager as follows:
 - Interface with the appropriate planner and scheduler and obtain the planned system windows for review.
 - Review the system schedule for completion. The System Manager should record system schedule additions, changes, conflicts, and omissions within an internal memorandum sent to Planning and Scheduling.
 - Through System Work Window Team meetings the System Manager will ensure that the System Work Window Team is aware of restart task requirements to support System Work Window schedules.
- 2. System Window Work Management
 - a) The following issues are to be monitored on systems with only one work window, or monitored during the final system work window of a system:
 - Ensure Restart Required scope items are completed. The System Manager will review completed items to ensure the work was completed to his satisfaction.
 - The System Manager is to assure that the final comment field in SIDS is completed.
 - For Restart Required items, the mode field and the test restraints within the issue field are to be completed in SIDS by the System Manager.

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• Closed restart scope items are to be reviewed and approved by the System Manager and comments entered into the Final Comments Screen of each item in SIDS. An approval designates that the responsible department for completing the item has statused the item as closed or complete.

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- b) The following issues are to be monitored by the system work window team on systems with multiple system work windows.
 - Ensure "Evaluation Type" open items (i.e., CRs, AR Evals etc.) are completed.
 - Corrective maintenance items generated from "Evaluation Type" activities are scoped within SIDS.
 - Ensure emergent work items scoped as Restart are scheduled for completion prior to the end of the last available system work window.
- c. System Test Plans
 - 1. The System Managers are responsible for assisting in the development of the Startup Testing Program for their respective systems. The System Manager will support the Start-up and Power Ascension Organization/Operations organizations in this capacity.
 - 2. The System Manager will start developing System Test Plans in accordance with PMP-7200.RST.005 (when approved). Test Plans will be developed for appropriate systems based on the reviews performed in Phase 1.
 - 3. For systems where the System Manager concludes that System Test Plans are not appropriate, justification as to why a System Test Plan is not required will be developed.
- d. System Walkdown
 - 1. System Manager should complete a system walkdown on a routine basis. The purpose of these walkdowns is to monitor satisfactory completion of work and to identify emergent deficient conditions.
- e. Interaction with the System Readiness Review Board

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1. Any new technical issues identified in a system by SRRB during Phase 2 will be documented in a Condition Report. The Condition Report will be generated by the SRRB secretary or individual System Managers will write the Condition Reports if the issue was identified during a SRRB meeting or presentation at which they are present.

4.5 Phase 3 - Final Expanded System Readiness Review

NOTE:	Program owners or functional area managers (or designee) perform scoping,
	monitoring, and closure of non system-specific programmatic or functional area
. '	discrepancies as discussed further in PMP 7200.RST.009 and PMP 7200.RST.010.

4.5.1 General Scope

a. For Restart Required items that are not planned to be completed prior to restart, the Restart Required Scope Deferral Form (Attachment 10) is to be reviewed through the same level of approval as when the item was originally approved for restart.

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- b. Applicable Restart Required Scope Deferral Forms are to be completed prior to closure of the applicable System Work Window. For Condition Reports not associated with corrective maintenance, the deferral form is to be completed no later than the Final Expanded System Readiness Review.
- c. Restart Activities
 - 1. System Managers will review all items scoped RESTART1 or RESTART2 in SIDS to ascertain their completion prior to affirming final system readiness for Restart.
 - 2. A final system readiness review walkdown will be completed by the System Manager and a licensed operations representative.
 - 3. A Final Expanded System Readiness Review Report will be developed.
 - 4. The System Test Plan will be revised to include all items applicable to Restart and Power Ascension that were not approved in previous revisions of the Test Plan.

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- 5. The Final Expanded System Readiness Review is completed when the System Test Plan has been revised and received Test Review Board (TRB) (reference PMP-7200.RST.005 (when approved)) concurrence and the Final Expanded System Readiness Review Report has been completed, presented to the SRRB and the PORC, and PORC approval has been given for system Restart.
- Restart Required system-specific items that are not completed are to be coded as an Exception within SIDS. Refer to Attachment 11, "Final Expanded System Readiness Review Clarification Sheet," for detailed information.
- 7. Items that are coded as an Exception do not require comments in the Final Comment field in SIDS.
- 8. Restart Required system-specific items that are not planned to be . completed prior to restart are to be coded as Restart Required Deferred in the Final System Manager Approval box within SIDS. Refer to Attachment 11, "Final Expanded System Readiness Review Clarification Sheet," for detailed information.
- d. Post Restart Activities
 - System Managers will review all system-specific items scoped Post Restart1 or Post Restart2 as appropriate in SIDS for final approval for applicability to Restart. They then complete the Final Comment field in SIDS for all Post Restart items.
 - 2. For components that have two (2) or more associated Post Restart items, the Post Restart items are to be coded as Post Restart Deferred in the Final System Manager Approval box within SIDS. Refer to Attachment 11, "Final Expanded System Readiness Review Clarification Sheet," for detailed information.
 - 3. Post Restart items related to components with multiple (two or more) deferred issues, excluding post restart PMs, are to have the Final Comment section completed in SIDS providing technical justification for restart by evaluating the aggregate impact of the multiple deferred tasks on the component. These comments are to be referenced in the Final Expanded System Readiness Review Report.

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4. Marked-up drawings identifying the components with multiple deferred issues will be included with the Final Expanded System Readiness Review Report.

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- 5. The aggregate impact of all outstanding items against a given component will be reviewed, assessing the operability of the component. Justification for exclusion of the items for Final System Readiness will be included in the Final Expanded System Readiness Review Report.
- 6. The aggregate impact of all affected components will be evaluated against the given system assessing the operability of the system, and this evaluation will also be included in the Final Expanded System Readiness Review Report.

4.5.2 Final Restart Work Closure

- a. All system-specific Restart Scope items within SIDS are to be reviewed and concurred with by the System Manager. An approval designates that the responsible department for completing an item has statused the item as Work Complete or Closed. Any known exceptions to the items are to be noted as specified in Section 4.5.1c.6 prior to System Manager approval. Items not administratively closed are to be addressed within the final comments as to how these items are to be closed.
- b. The System Manager collects the Restart Required Scope Deferral Forms completed on each system and reviews the Deferred Restart Required Work and Post Restart Scoped Work, excluding post restart PMs, for aggregate impact to the system, and prepares technical justification for system operability in accordance with its design basis functions....This review is to be included within the Final Expanded System Readiness Review Report as discussed in Section 4.5.4a.
- 4.5.3 Final System Walkdown and Walkdown Report
 - a. The ESRR Team, under the leadership of the System Manager, will conduct a final system walkdown in accordance with the guidance of Attachment 7. The purpose of the walkdown is to ascertain proper accomplishment of identified work and to identify any previously undocumented system deficiencies.
 - b. Minimum walkdown attendance will require the System Manager and a licensed operations representative.

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- c. Upon completion of the System Team Walkdown, the System Manager will complete a Walkdown Report. This report will cover the topics identified in Attachment 15 and will form a part of the Final Expanded System Readiness Review Report.
- d. Discrepancies identified during this walkdown will be entered into the Corrective Action Program and/or Work Control System.
- 4.5.4 Final Expanded System Readiness Review Report
 - a. The Final Expanded System Readiness Review Report will include the following items:
 - 1. Final Expanded System Readiness Review SIDS Report.
 - 2. Final Expanded System Readiness Review System Walkdown Report (Attachment 15).
 - 3. The report will include a cover sheet similar to Attachment 13, titled Final Expanded System Readiness Review Report.
 - 4. Copies of all Restart Required Scope Deferral Forms for the system are to be attached.
 - 5. Impact of deferred work assessment (See 4.5.4b below).
 - 6. System Test Plan
 - b. The impact of deferred work (work scoped as Post-restart) will be assessed, first individually, then in aggregate on individual system components, then on the system overall readiness for restart as discussed further below.
 - 1. The following items will be evaluated individually regarding the impact on a component basis, aggregate impact on a component basis, and aggregate impact on a system basis:
 - Post Restart Scope, system components with multiple (Two or more) deferred work items, excluding post restart PMs.
 - All Restart required deferred scope Components.

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2. The evaluation will first address system components with multiple deferred work items. At the component level, the deferred work issues, then the aggregate impact of the deferred work for each component will be addressed.

- 3. This discussion will include the technical justification allowing the component to return to service with the identified deferred work issue(s).
- 4. System components with multiple (two or more) Post Restart deferred work items, or any component with a deferred Restart Required work item are to be identified on the applicable drawing(s) (Flow Diagrams, Wiring Diagrams, General Arrangement Diagrams), with the deferred work identification number(s) noted next to the affected component. Alternately, thecomponent can be identified on the drawing and a list of the deferred work identification numbers for each affected component attached.
- 5. The aggregate impact evaluation will conclude with a discussion on system readiness to return to service. The aggregate impact on the system and its capacity to perform its design basis function is to be discussed, addressing the cumulative impact of system components with deferred work. A statement will be included explaining how the deferred work is to be scheduled.
- c. Final Expanded System Readiness Review Presentations
 - 1. The Final Expanded System Readiness Review Reports are to be reviewed by the respective Operations and Licensing representatives, Engineering Supervisor, then scheduled for presentation to the SRRB.
 - 2. The Final Expanded System Readiness Review Report is to be presented to the SRRB and PORC by the System Manager, the cognizant Engineering Supervisor and a licensed operations representative. SRRB or PORC comments or open items are to be addressed as discussed in Sections 4.3.14e through 4.3.14g for the Initial Expanded System Readiness Review Report.
- d. System Test Plans

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1. System Test Plans are to be finalized as specified in (PMP-7200.RST.005 (when approved)). The plans will include all items applicable to Restart and Power Ascension which have been finalized subsequent to previous revisions of the Test Plan.

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- 2. System Test Plans or Justifications for not having System Test Plans will be reviewed and approved by the Test Review Board.
- 3. System Test Plans will be implemented through Phase 3 and Phase 4 of the ESRR Program.
- 4.5.5 Phase 3 Emergent Work Management
 - a. Emergent corrective action items are to be continuously scoped for restart applicability throughout Phase 3. Use of PRA risk-based insights may help with marginal cases.
 - b. After initial comments and scoping on an emergent system-specific work item is incorporated in the SIDS database, the item is coded "APPROVE". The System Manager also reviews the scoping of the item and if Restart required, then annotates it in the SIDS database as "EXCEPT" (to designate the item as a restart exception if not. completed).
 - c. For a system-specific item scoped as Post Restart, comments are entered in the Final Comments field and the System Manager codes the item as Post Restart Deferred.
 - d. For emergent system-specific field work items, refer to Section 4.4.2a.2.a) for the approval process.
 - e. For all other emergent system-specific items that are not field work related (broke/fix), refer to section 4.4.2a.2.b) for the approval process.
 - f. The system-specific Exception List in SIDS is to be continually managed by the System Manager until the system is turned over to Operations for testing.
 - g. For those system specific items that are completed and satisfy restart requirements, change the "EXCEPT" to "CONCUR" and complete the Final Comments section in SIDS.
- 4.6 Phase 4 Startup And Power Ascension

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NOTE: Program owners or functional area managers (or designee) perform scoping, monitoring, and closure of non system-specific programmatic or functional area discrepancies as discussed further in PMP 7200.RST.009 and PMP 7200.RST.010.

4.6.1 General Scope

- a. Phase 4 activities include the following:
 - 1. Phase 4 commences on each system with the PORC approval of Final Expanded System Readiness Review Report for that system.
 - 2. The System Manager is to monitor through completion those system-specific work items scoped Exception within SIDS.
 - 3. Management of emergent system-specific work items through the . normal work control process and Scope Addition/Deletion Cover Sheet (TBDL).
 - 4. The System Manager is to complete System Readiness Affirmation after the retest portion of the system work window and prior to restoration of system operability to support unit Restart.
 - 5. System Readiness Affirmation and submittal of the System Readiness Affirmation Report (Attachment 16).
 - 6. Each System Manager is to monitor the conduct of the Station Startup and Power Ascension Plan as it relates to his system.
 - 7. The System Manager is to implement the baseline system performance monitoring, tracking and trending.
 - 8. System Work Window Teams are to continue to meet on a regular basis. The System Manager will lead the team in managing future system work windows, as well as monitoring open corrective action items, operability issues, and reliability issues on the system.
 - 9. Phase 4 is complete when all power ascension testing is complete and the respective unit attains 100% rated unit load for (TBDL) days.
- 4.6.2 Phase 4 Emergent System-Specific Work Management, Prior to System Affirmation

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- a. Emergent issues are to be reviewed to support the system work window schedule.
- b. Emergent corrective action items are to be presented to the OSMT with an attached Scope Addition/Deletion Cover Sheet (TBDL).
- c. Emergent items are to have initial comments entered into SIDS and to be scoped as follows:
 - 1. Emergent items that are required for restart will be scoped Restart1 or Restart2, in accordance with Attachment 8, "Criteria for Work Included in Restart Scope."
 - 2. Items that are identified to be worked in accordance with the normal Work Control system requirements are to be scoped as WORK CONTROL PROCESS (WCP). Items scoped as WCP will include the technical basis for when the item should be completed. These conditions shall support work order priorities as defined in PMI-2291 to ensure continued system readiness.
 - 3. An explanation, providing technical justification (Reference item 6 on Attachment 8) is to be entered in the Initial Comments Field in SIDS.
 - 4. The System Manager is to "APPROVE" items in the Initial System Manager Approval box in SIDS once he is satisfied with the scope of the item.
- d. Corrective maintenance work items are to be presented to the OSMT with a Scope Addition/Deletion Cover Sheet (TBDL). Engineering issues that may impact the system affirmation process are to be included and managed via the Restart Schedule.
- e. Restart Required items that are not complete are to become "Exceptions," and added to the "Exceptions" list. When an item is completed, the System Manager is to review and denote as "CONCUR" within the Final System Manager Approval box in SIDS.
- 4.6.3 System Readiness Affirmation
 - a. The System Manager will complete a System Readiness Affirmation Report which will include the following information:

1. Current system License Change Request(s).

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- 2. Current list of operator workarounds.
- 3. Current corrective actions that involve Control Room equipment.
- 4. Currently installed Temporary Modifications.
- 5. Active Operability Determinations (ODs) and Justifications for Continued Operation (JCOs), with the associated 10CFR50.59 screen or evaluation for each OD or JCO.
- 6. The remaining open system-specific "Exceptions" items and any system-specific "Exceptions" identified during the affirmation process. Exceptions are remaining open restart required items.
- 7. Any other operations focus list concerns involving the system.
- 8. Reference to the Initial Expanded System Readiness Review Report
- 9. Reference to the system tests specified in the System Test Plan.
- b. The System Manager and licensed operations representative will conduct a System Readiness Affirmation walkdown and document walkdown on System Walkdown Report (Attachment 15). The results of the walkdown will be included in the System Readiness Affirmation Report.
- c. The licensed operations representative will co-sign the System Readiness Affirmation Report with the System Manager.
- d. Any corrective actions identified during the System Readiness Affirmation are to be addressed within the work control process and identified to the Outage Control Center for resolution. Engineering action items are to be added to the Engineering Priority List with the designated engineer identified as the lead to resolve the issue.
- e. The System Manager and the licensed operations representative will present the System Readiness Affirmation Report to SRRB and PORC.
- f. Any comments received from SRRB during the Phase 4 require resolution and response within three weeks from the date of the SRRB meeting.
- g. The system is turned over to operations after PORC approval of the System Readiness Affirmation Report.

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4.6.4 System Test Plans

- a. The System Managers are to monitor the results of each respective System Test Plan. The System Managers are to follow-through on deficient test plan results the Corrective Action Program and/or Work Control System as appropriate. A Condition Report shall be issued for any items that fall within the scope of the Corrective Action Program.
- b. System Test Plan results are to be selectively incorporated within the System Manager Performance Monitoring Program (TBDL).
- 4.6.5 Emergent System-Specific Work Management After System Affirmation
 - a. All emergent issues are to be scoped as "WCP" or "Work Control Process" in the SIDS database. Emergent work is to be addressed within the work control process as described within the Station Administrative Procedures.
 - b. Emergent corrective maintenance issues are to be presented to the OSMT. Emergent engineering issues that may impact unit start-up are to be addressed within the Engineering Priority List.

4.7 Reports

- 4.7.1 Phase 1 Initial Expanded System Readiness Review Report.
 - a. The Initial Expanded System Readiness Review Reports are to be completed in accordance with Section 4.3.14 and Attachment 12.
- 4.7.2 Phase 3 Final Expanded System Readiness Review Report
 - a. The Final Expanded System Readiness Review Reports are to be completed in accordance with Section 4.5.4 and Attachment 13.
- 4.7.3 Phase 4 System Readiness Affirmation Report
 - a. The System Readiness Affirmation Reports are to be completed IAW Section 4.6.3a.
- 4.7.4 Integrated System Readiness Report
 - a. The ESRR Manager or his designee shall prepare a report which integrates the results of the individual system ESRR efforts.

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EXPANDED SYSTEM READINESS REVIEW PROGRAM

b. The integrated report (see Attachment 14) shall summarize the results of the ESRR activities in Phases 1 and 2, and identify the status of other programs, reviews and upgrades that are supportive of the ESRR in providing reasonable assurance of being in conformance with licensing and design basis.

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- c. The intent of this integrated report and associated backup information is to provide assurance that the plant design, testing, maintenance, operation and configuration conforms to the licensing and design requirements and that the plant is in a condition to support plant operation for the next run cycle.
- d. The final integrated System Readiness Report will be presented to the SRRB for review and to the PORC for approval.

5 FINAL CONDITIONS

5.1 None

6 **REFERENCES**

- 6.1 Cook Nuclear Plant Restart Plan
- 6.2 NUREG 1397, An Assessment of Design Control Practices and Design Reconstitution Programs in the Nuclear Power Industry
- 6.3 Restart Strategy for Restart Issue 5455, Plant Systems Readiness Assessment
- 6.4 Auxiliary Feedwater SSFI Report (November 1998)

6.5 Engineering Issues Review Group Final Report (December 19, 1998)

6.6 PMP 7200.RST.006, Expanded System Readiness Review Program For Level 2 Systems

6.7 PMP-7200.RST.005 (when approved), Restart Startup Power Ascension Program

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6.8	CR 98-8122, S Verifying Syste	ystem Readiness Reviews for 21 em Operability	Systems May Not	t be Effective in
6.9	PMP-7200.RS	1.009, Functional Area Restart R	leadiness	
6.10	PMP-7200.RS7	r.010, Programmatic Restart Rea	Idiness	
6.11	Commitment M	lanagement System Commitment	7280	
6.12	Commitment M	lanagement System Commitment	7197	
6.13	Commitment M	lanagement System Commitment	7303	*
6.14	Commitment M	lanagement System Commitment	6783	
6.15	Commitment M	lanagement System Commitment	7319	•

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EXPANDED SYSTEM READINESS REVIEW PROGRAM

Attachment 1

SYSTEM READINESS REVIEW BOARD (SRRB) CHARTER

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SYSTEM READINESS REVIEW BOARD (SRRB) CHARTER

PURPOSE:

Perform a system-based, multi-disciplinary technical review of potential restart issues associated with risk significant plant equipment. This board is intended to ensure consistent application of the restart criteria contained in the Cook Nuclear Plant Restart Plan among system managers, and that restart decisions reflect the shared concerns of Operations, Maintenance and Engineering. The result of this review will be to define the equipment related work that is needed to provide reasonable assurance of a safe and reliable startup and achieve a safe, reliable, and efficient post startup operating cycle.

MEMBERS:

Director of Engineering Restart (Chair) Director of Plant Engineering (Vice Chair) System Engineering Manager (Vice Chair) Vice President of Nuclear Engineering Manager of System Readiness Reviews Director of Design Engineering (Vice Chair) Director of Nuclear Fuels, Safety and Analysis (Vice Chair) Operations representative - Bob Heathcote (primary) or Rodney Foster (alternate) EOP Project representative - Kevin White (primary) or Jim Abshire (alternate) External consultants: John Durham** Greg Gibbs** Phil Hildebrandt**

Larry Demick** Jeff Bass** (primary) or Bill Bryant** (alternate) Westinghouse representative

System engineering supervisors **Board Secretary**

Non-voting members: Additional occasional attendance by members of the Plant Operations Review Committee, the Restart group, and the Engineering Effectiveness organization is expected to reinforce expectations and provide oversight for the restart issue review process. Additionally, the SRRB Chairman may invite guest, non-voting members to supplement the board when reviewing non-engineering program or functional area assessment results or SIDS issues.

OUORUM: (ESRR Related Board Functions) Chair (or Vice Chair), an Operations and EOP Project representative, and a minimum of two of the double asterisked named members, and one engineering supervisor not associated with the system or document/program under review. A SRRB Secretary shall also be present but may be one of the SRRB members. No board will consist of more than six voting members. Other members may participate at their discretion as non-voting members in such cases.

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Attachment 1

SYSTEM READINESS REVIEW BOARD (SRRB) CHARTER

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QUORUM (Programmatic and Functional Area Readiness Board Functions) Chair (or Vice Chair), minimum of two of the double asterisked members and two other members. One of the additional members shall be an engineering representative for reviews of engineering programs. No board shall consist of more than five voting members. An SRRB Secretary shall also be present but may be one of the SRRB members. Other members may participate at their discretion as nonvoting members in such cases.

ACTIONS: The SRRB will:

- Serve as the primary independent engineering review body for 0350 checklist item restart action plans as required by PMP 7200.RST.001 and, as delineated further in PMP 7200.RST.004 and PMP 7200.RST.006, expanded system readiness review identified issues and reports. The SRRB will also perform functions related to assuring proper dispositioning of programmatic and function area readiness discrepancies as detailed in PMP 7200.RST.009 and PMP 7200.RST.010. The SRRB affirms and approves the scoping of potential deficient conditions as either restart or post-restart based on criteria defined in the restart plan.
- 2. In its reviews, enforce technical rigor, consistency of approach, and a thorough assessment of system readiness. The SRRB will also challenge engineers to ensure the issues are fully understood.
- 3. Ensure a record of decisions and concerns raised by the SRRB review is documented for future review. Programmatic implementation concerns raised by the SRRB will be documented on condition reports initiated by the SRRB secretary.
- 4. Ensure that nuclear engineering principles and the attributes of good engineering are demonstrated by the engineering staff.
- 5. Ensure that the engineers understand their roles and responsibilities.

The Director of Engineering Restart is responsible for management oversight of SRRB activities and for disbanding this board after startup when directed by the Site Vice President. PORC will provide oversight of the SRRB and approve Expanded System Readiness Reports as discussed in PMP 7200.RST.004 and PMP 7200.RST.006 in that oversight role.

This charter becomes effective with the issuance of PMP 7200.RST.004 REVISION 5.

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Attachment 2	Attachment 2 21 LEVEL 1 SYSTEMS INCLUDED IN THE PREVIOUS SYSTEM READINESS REVIEW							
THE 21 LEVEL 1 READINESS REV	SYSTEMS FOR THE PREVIOU IEW WERE:	S EXPANDED SY	STEM					
•	120 volt AC/CRID Inverters	ą						
•	Air Recirculation/Hydrogen Re	combiner						
•	Auxiliary Feedwater							
•	250 volt DC Station Batteries							
•	Component Cooling Water							
•	Containment							
•	Containment Spray							
•	Control Air							
÷	ECCS Accumulators		•					
•	ECCS Charging/CVCS High H	ead Injection						
¥. ●	ECCS Residual Heat Removal							
•	ECCS Safety Injection							
•	Emergency Diesel Generators	-						
•	Essential Service Water		•					
•	Ice Condenser	`						
● •	Main Steam	x						
•	Non-essential Service Water							

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Attachment 2	21 LEVEL 1 SYSTEMS INCL PREVIOUS SYSTEM READIN	UDED IN THE NESS REVIEW	Pages: 46 - 47			

- Reactor Coolant System
- Reactor Protection System/Solid-state Protection/ESFAS
- Electrical Safety Buses 4000 volt and 600 volt

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Attachment 3	RATIONALE FOR SELECT SYSTEMS FOR EXPAND READINESS REV	'ING LEVEL 1 ED SYSTEM IEW	Pages: 48 - 48

The following rationale was used in selecting the 39 systems to be reviewed in accordance with this procedure. All selected systems are identified in Attachment 4.

Maintenance Rule Risk Significant Systems

All Cook Plant Maintenance Rule Risk Significant systems were included in the Level 1 ESRR.

Systems Required to Support Safe Shutdown

The Cook Plant specific fire protection/Appendix R reports/documents were reviewed to identify systems required to support safe shutdown. All of these systems were included in the scope of the Level 1 ESSR.

Attendant/Support Systems

Attendant systems were considered during the identification of Level 1 systems being selected for the ESRR. Heating Ventilation and Air Conditioning systems such as Control Area Ventilation, Switchgear Ventilation and Containment Ventilation were included in the scope of the ESRR. The Screenwash and Fire Protection Systems were also included as attendant/support system.

Historical Performance of Cook Plant Systems

The historical performance of Cook Plant systems was considered. Based on input from the System Engineering Manager and the Operations Manager additional systems such as Emergency Lighting, and Post Accident Sampling System (PASS) were included.

Third Party Review of the Scope-

The scope of the systems chosen for ESRR was reviewed by a peer group and an independent third party to ensure that successful completion of the ESRR on these systems would provide a reasonable assurance that the Cook Plant can be restarted safely and reliably. Information

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EXPANDED SYSTEM READINESS REVIEW PROGRAM

Attachment 4

EXPANDED SYSTEM READINESS REVIEW LEVEL 1 SYSTEMS Pages: 49 - 50

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SYSTEM DESCRIPTION	ABB	<u>SYSTEM</u> <u>LEVEL</u>	RELATED SYSTEMS	<u>RISK SIG</u>	SAFE SHUT DOWN	SIG SUPPORT SYSTEM	SIG CORRECTIVE MAINT.
ECCS CHARGING	<u>CVCS</u>	1	Includes Charging, Letdown, Boron Path and SINJ	<u>¥</u>	Ϋ́		
ECCS SI	<u><u>s</u>i</u>	1		<u>Y</u>	<u>Y</u>		
POST ACCIDENT SAMPLING SYSTEM	PASS	1		<u>N</u>		-	<u>Y</u>
AUX BUILDING VENT ESF	AES	1	Includes ABV GEN & AF/ESW V	<u>Y</u>			
CONTROL ROOM COMPLEX VENTILATION	CRAC	1		<u>N</u>		Y	
SWITCHGEAR VENTILATION	SWGR	1		N		<u>Y</u>	
250 VDC STATION BATTERIES	250 VDC	<u><u>1</u></u>	Includes N TRN	<u>Y</u>	<u>s</u>		
ICE CONDENSER	ICE	<u>1</u>		<u>Y</u>			
CONTROL ROD & CONTROL ROD DRIVE	CRDM	1	Includes RPI	<u> </u>			
RPS/SSPS/ESFAS	RPS	1		<u>Y</u>			
LOCAL SHUTDOWN INDICATION SYSTEM	<u>LSI</u> -	<u>1</u>		<u>N</u>	<u>s</u>		
RADIATION MONITORING	RMS	1		• <u>Y</u>			
RVLIS	RVLIS	1		<u>Y</u>			
COMPONENT COOLING WATER	<u>CCW</u>	1		<u>Y</u>	<u>Y</u>		
CNTMT ISOLATION COMPONENTS	<u>CIV</u>	1		<u>Y</u>			
SPENT FUEL PIT COOLING	SFP	1		<u>Y</u>			
120 VAC VITAL BUSES & CRID INVERTER	CRID	1		<u>Y</u>	<u>s</u>		
MAIN STEAM	<u>MS</u>	1	Includes DUMP & N2 for PORVs	<u>Y</u>	<u>Y</u>		
AIR RECIRC/H2 SKIMMER	<u> </u>	1		<u>¥</u> .			
CONTAINMENT VENTILATION	<u>cv</u>	1		N		<u>Y</u>	
VENTILATION - DIESEL GENERATOR	DG VENT	1		<u>Y</u>			
EMERGENCY DIESEL GENERATORS	DG	1		Ϋ́	<u>Y</u>		

"S" in the "Safe Shutdown Column" indicates a support system for safe shutdown

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EXPANDED SYSTEM READINESS REVIEW PROGRAM

Attachment 4

EXPANDED SYSTEM READINESS REVIEW LEVEL 1 SYSTEMS

SYSTEM DESCRIPTION	ABB	SYSTEM	RELATED SYSTEMS	RISK SIG	SAFE SHUT	SIG SUPPORT	SIG -
		LEVEL			DOWN	SYSTEM	CORRECTIVE
							MAINT.
ELECTRICAL SAFETY BUSES	<u>T BUS</u>	1	Includes 4KV & 600 V	<u>Y</u>	<u> </u>		:
SCREENWASH	SCRN	1	Includes Screenhouse/Intake	<u>Y</u>		<u>Y</u>	
FIRE PROTECTION - HALON & CARDOX	i FP	1	Includes RCP FP	<u>N</u>		<u>Y</u>	
AUXILIARY BUILDING	<u>AB</u>	<u>1</u>		<u>Y</u>	<u>s</u>		
TURBINE BUILDING	TB	1		<u>Y</u>	<u>s</u>	-	
CONTROL AIR	; <u>CA</u>	1	Includes Compressed Air Header & CNTMT Control Air Header	<u>Y</u>			,
PLANT AIR COMPRESSOR	PAC	1	Includes BAC	<u>Y</u>		Ì	
CONTAINMENT	CNTMT	<u>1</u>	Includes DIS & H2 REC & HDS	<u>Y</u>			
CONTAINMENT SPRAY	CTS	1		<u>Y</u>			
AUX FEEDWATER SYSTEM	AFW	1	Includes CST	<u>Y</u>	<u>Y</u>		
ECCS RHR	RHR	1	Includes MLMS	Ϋ́	<u>Y</u>		
ECCS ACCUMULATORS	ACCUM	1		<u>Y</u>	<u>Y</u>	٤	
RCS/RCS PRESSURE RELIEF	RCS	1		<u> </u>	Ϋ́		
EMERGENCY LIGHTING PACKS	<u>E LTG</u>	<u>1</u>		N			<u>¥</u>
OFFSITE POWER	<u>OFPW</u>	1	Includes SWYD	Ϋ́			
ESSENTIAL SERVICE WATER	ESW	1		<u>Y</u>	<u>Y</u>		
NON ESSENTIAL SERVICE WATER	NESW	1		<u>Y</u>			
NUCLEAR INSTRUMENTATION	<u>NI</u>	1	Includes T/C	<u>N</u>	<u>Y</u>		

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"S" in the "Safe Shutdown Column" indicates a support system for safe shutdown

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EXPANDED SYSTEM READINESS REVI		REVIEW	Pages:
Attachment 5	TRAINING REQUIREMENT	rs	51 - 51

1.0 TECHNICAL TRAINING

- 1.1 Human Error Reduction Techniques for Engineers* (including record review and verification)
- 1.2 Electronic Corrective Action System
- 1.3 Folio Database*
- 1.4 Calculation Matrix
- 1.5 System Design and Licensing Basis*
- 1.6 SRO level self taught systems training with test and remediation*
- 1.7 Causal Analysis and effective problem solving techniques
- 1.8 Operability Determinations*
- 1.9 50.59 Screens and Evaluations*
- 1.10 PRA/Maintenance Rule
- 1.11 INPO Database/NRC Web Page*
- 1.12 Environmental Qualification
- 1.13 Generic Letter 89-13
- 1.14 Generic Letter 89-10
- 1.15 High Energy Line Break Analysis
- 1.16 Appendix R
- 1.17 Station Blackout
- 1.18 Communications-Elevating Issues to Mgnt.

2.0 PROCESS TRAINING

- 2.1 The Enhanced System Readiness Review Program and Procedure
- 2.2 Use of the Assessment Database
- 2.3 Systems Indexed Database System (SIDS), access and data entry
- 2.4 Use of computers
- 2.5 Lessons Learned and Vulnerabilities from previous independent inspections and reviews.
- * For System Managers only. Seminars to indoctrinate other team members in design and licensing basis fundamentals and key concepts and definitions related to operability determinations and 50.59 screens and evaluations will also be conducted for other team members.


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Attachment 6

SYSTEM READINESS REVIEW DOCUMENTATION AND DATA SOURCES

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DESIGN BASIS DOCUMENTATION

P&IDs Elementary and One Line Diagrams		
DBDs & Associated Notebooks		·····
Calculations	N	
Modifications – Open and Closed		· · · · · · · · · · · · · · · · · · ·
Safety Evaluations and Screenings		
TMODS · ·		-
Component Evaluations		
Design Change Determinations		

LICENSE BASIS DOCUMENTS

UFSAR, FSAR & SER including Amendments	······································	
General Design Criteria & FSAR Q&A		
Licensing History Books	•	· · · · · ·
Commitment Database		
Reg Guides		
LERs & NOVs		
Tech Specs and Bases		
NRC Open Item List		

DATA SOURCES

Operating Procedures
Abnormal Operating Procedures
Emergency Operating Procedures
Annunciator Response Procedure
Preventive Maintenance Procedures/PMCR
Surveillance Test Procedures
Ops Training Lesson Plans
Condition Reports - Open and Closed
Previously conducted audits, assessments (SSFIs, EDSFIs, QA/PA, 3rd party reviews)
Results of relevant NRC & INPO inspections (Inspection Reports, etc.)
Generic Letters and Responses
IENs ,
IEBs and Responses
SOERs
SERs
Part 21 Notifications
Westinghouse Information
Vendor Manuals
JO/ARs including AR Evals
Operability Determinations & TDMs
Ops Open Item Log
DATA SOURCES (CONTINUED)
Operator Workarounds/Concerns
Restart Plan
Strategies
Engineering Evaluations
Specifications and Standards
PRA Components Based and Operator Action Based Risk Ranking
Engineering Memos



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Dedication Plans Long Standing Clearances/Caution Tags Operator Aids

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1.0 PURPOSE

1.1 This walkdown guideline is to be used as a reference while conducting the Expanded System Readiness Review Team (or individual) walkdowns. The completed walkdowns, during the initial SRR, should be taken into account in setting the scope of the ESRR walkdowns.

2.0 GENERAL METHODS

- 2.1 Define the purpose of the walkdown, areas to be visited/observed, roles of the individual team members, and assistance from Health Physics or other departments to access areas.
- 2.2 Plan the walkdown execution and implementation. Pre-define how the walkdown will assess the conformance of the plant with design and licensing basis requirements (For example, piping and component configuration, electrical separation, etc.). Ensure remote electrical cabinets, tanks, etc. and instrumentation including control Room and Remote Shutdown Panel instruments are included in the walkdown plan. Contact Health Physics supervision to obtain any special ALARA reviews prior to actual system walkdowns.
- 2.3 Brief the team on the purpose and plan.
- 2.4 Conduct the walkdown. Focus the team on observation of equipment. Work independently and discuss concerns for clarity. Take concise and accurate notes to quantify and describe findings in sufficient detail to prevent having to walkdown the item twice.
- 2.5 Conduct a formal post walkdown briefing. Review the notes, discuss issues and document the results on the ESRR Assessment forms, entering the data into the ESRR Assessment database. Identify any impact on equipment or system operation. Immediate concerns are to be identified to the engineering supervisor and the operations Shift Manager.
- 2.6 Identify the items that need to be completed for the system to meet its intended design function. Assess each item against the restart criteria, Attachment 8. The items are to be documented for disposition and tracking in SIDS.

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3.0 GUIDELINES

- 3.1 The team (lead by the System Manager) is to outline the system walkdown strategy. Key system components and system concerns are to be identified.
- 3.2 The team is to identify necessary operational or plant configuration drawings to accompany the team during the walkdown.
- 3.3 Throughout the walkdown, the team is to function as a cohesive unit, maintaining focus on either individual system components or specific system areas.
- 3.4 The walkdown is to focus on operability issues as well as conformance with system design configuration and design basis. Other conditions including potential impact on equipment reliability should be noted during the walkdown.
- 3.5 Each Team member is expected to record detailed notes identified during the walkdown. Any areas of the system that could not be walked down shall be noted and justified in the walkdown report.
- 3.6 Examples of team focus areas include:
 - Condition of snubbers, pipe supports, hangers and fasteners
 - Condition and placement of coatings and insulation
 - Evidence of corrosion
 - Evidence of boric acid or boric acid buildup especially on carbon steel components, and material wastage
 - Unauthorized modifications, partial modifications or temporary modifications not in accordance with station programs
 - Surface condition of visible structural welds
 - Condition of barrier or penetration seals
 - Use of unauthorized chemicals on components

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	• ·	Evidence of bolt torque relaxati	on.	
	•	Evidence of discoloration on re components	layș, cable insulatio	on or electrical
4	•	Condition inside electrical cabin	nets	
	•	Unauthorized or expired scaffol impacting equipment performar	Iding in unauthorized Ice	d locations or
•	•	Hoses or other connections for	vents or drains	
•	•	Presence of abandoned equipme	ent not clearly label	ed .
3.7	The fol physica	lowing is a general list of electric l plant inspection items:	al and mechanical o	quipment
	3.7.1	Electrical Panels Equipment		
v	ı	• Identify missing water plu (water or moisture intrusion equipment).	gs or holes/opening on can degrade or d	s in cabinets amage
		• Identify missing or loose to violate seismic evaluation	oolts or thumb screw and it is not good w	ws (if missing can vork practice).
×.		• Identify open doors or bac latched, otherwise can vio water, etc. to enter panel).	k panels (ensure pr late seismic evaluat	operly closed and ion or allow dirt,
		• Identify mis-positioned bro different).	eaker switches (any	thing that is
		• Identify improper labeling (ensure that they are accur drawings; also includes me	on key component ate and legible per odules, relays, cond	breakers/switches controlled luit).
		• Identify dirt or debris cover blocked, can prevent cooli subsequent equipment deg	ering cooling gratin ng and cause heat b radation).	gs or filters (if buildup and

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	• Feel panels and equipment check for excessive heat b degraded equipment; initia	t enclosures where uildup (can be an i te request for then	appropriate to indication of nography check).
	• Check cable trays. (Cable cable tray; can overstress	should not be han cable tray).	iging outside of
	• Check cables and conduit chafed, bend radius, etc.).	for degradation (cr	acked, brittle,
	• Check MCCs overall for a	nything that is dif	ferent.
	• Note any deficiency tags, These may need increased but the tags have not been	especially old tags priority or have h removed.	not being worked. ad work completed
	• Check for any abnormal so cabinet (may indicate degr	ounds or smells co aded equipment)	ming from the ,
	• Verify equipment-grounding	ng cable is connect	ed and intact.
	• Look at general materiel c consider qualitative impact	ondition of cabine t on heat transfer).	t (rust, dirt,
	• Inspect seismic supports as	nd trays.	*
	• / Inspect overall condition o connections (lug landings)	f terminal strips an	nd mechanical
	• Inspect conduit and junction conduit connections and m	on boxes for extern issing parts and fa	al damage, loose steners.
	• Inspect solenoid valves and damage or equipment degr	d motor covers for radation.	evidence of
3.7.2	Mechanical Components		
	• Identify any abnormal sour motor operation.	nds or smells comi	ing from pump or
	• Bearing housings and moto signs of excessive heat or	ors and pumps are vibration.	to be inspected for

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	• Look at general material consteam, oil, water leaks, proglasses (and properly mark conduit installation.	ondition of pump o oper levels in oil r (ed), missing insul	or motor for eservoirs or sight ation, proper
	• Identify pinned hangers.		
	• Inspect system gauges for	proper operation o	or typical values.
	• Look for pump rotation or train common header syste degradation or isolation va	reverse rotation if om (could indicate lves leaking by)	f part of a dual check valve -
	• Identify dirt or debris cove blocked can prevent coolin subsequent equipment degr	ering cooling grating g and cause heat b radation)	ngs or filters (if buildup and
	• Note any deficiency tags, e worked. (These may indic they may be an indication t that the tags have not been	especially old tags ate a need for incr that the work was removed.)	not being eased priority or completed but
	• Check equipment/equipment bolts present with proper the flush or better)	nt skid/foundation rread engagement?	bolting. (Are all)(minimum of
• •	• Review trends of parameter visually inspect identified e <u>performance</u> .	rs on key system e equipment with dea	equipment; graded
	• Visually inspect for old lea Modifications exist to resto fittings.	k repair fittings, e pre components wi	nsure Temporary th leak repair
•	• Inspect equipment labeling, legible per controlled draw	/tags (ensure they a ings).	are accurate and
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3.8	The fol operab	llowing list contains material conditions indicating equility issues:	lipment
,	3.8.1	Operability Issues	
	•	Leaks (water, steam, oil, air-packing, flanges, rust or floor).	on components
	• .	Lubrication (sight glasses, bull's eyes, grease cups, valve stems).	, grease fittings,
	•	Handwheels/valve operators (missing, key or pin m not labeled).	ussing, unlocke
	•	Filters, screen or louvers (clogged, dirty, missing).	
	•	Instruments or gauges (out of calibration, inoperabl	e, bent pointers
~	•.	Drains or drain holes (clogged, blocked, full, scree missing).	ns or grating
	•	Lines or pipes (loose, unbracketed, vibrating, insuldamaged, not properly sloped).	ation missing or
	•	Fasteners/bolts (loose, stripped, corroded, missing)	•
*	•	Indicating lamps (missing, burned out, missing cov	ers).
	•	Control room annunciators.	
	•	Panels (covers missing, bolt missing or not tightene inside).	ed, dirt and deb
	•	Area lighting (bulbs missing or burned out, inadequativities).	ate to support
	•	Look for consistency between similar parameters.	
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	EXPA	NDED SYSTEM READINESS F	REVIEW PROGR	AM
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	• .	Cables or leads (unsecured, wor terminations).	rn or frayed insula	tion, improper
	•	Motors or generators (dirty, bru dust, excessive noise or vibration	ush rigging pigtails on, ground straps le	broken, carbon oose or missing).
	•	Preservation (rust, corrosion, m lagging).	iissing or damaged	insulation or
	•	Check valves (oscillating lever,	banging, stuck op	en).
	• '	MOVs (lubricant leaks, missing	T-Drains).	
	•	Valves (dried grease caked on s stem).	tem, packing or st	em leaks, bent
3.9	The fol mechan	lowing is a general list of addition ical and electrical equipment:	nal inspection items	s that apply to bot
3.9	The fol mechan 3.9.1	lowing is a general list of addition ical and electrical equipment: Mechanical or Electrical Equipm	nal inspection items nent	s that apply to bot
3.9	The fol mechan 3.9.1	 lowing is a general list of addition lical and electrical equipment: Mechanical or Electrical Equipment Identify inadequate or degraded 	nal inspection items nent raded structural co	s that apply to bot mponents.
3.9	The fol mechan 3.9.1	 lowing is a general list of addition ical and electrical equipment: Mechanical or Electrical Equipment Identify inadequate or degate Inspect system piping for extransients, or improper verify grouting, anchors (pulled-confor further root cause analytical) 	nal inspection items nent raded structural co evidence of water h nting. Damaged su out or deformed) a ysis.	s that apply to bot mponents. nammer, severe upports, base plate re to be identified
3.9	The fol mechan 3.9.1	 lowing is a general list of addition ical and electrical equipment: Mechanical or Electrical Equipment Identify inadequate or degation. Inspect system piping for entransients, or improper verify grouting, anchors (pulled-or for further root cause analy Inspect for unauthorized low conducts or piping systems 	nal inspection items nent raded structural co evidence of water h nting. Damaged su out or deformed) a ysis.	s that apply to bot mponents. nammer, severe upports, base plate re to be identified n cable trays,
3.9	The fol mechan 3.9.1	 lowing is a general list of addition ical and electrical equipment: Mechanical or Electrical Equipment: Identify inadequate or degate Inspect system piping for entransients, or improper verify grouting, anchors (pulled-of for further root cause analy Inspect for unauthorized logication of the systems Identify undocumented systems 	nent raded structural co evidence of water h nting. Damaged su out or deformed) a ysis. pads supported from tem modifications.	s that apply to bot mponents. nammer, severe ipports, base plate re to be identified n cable trays,
3.9	The fol mechan 3.9.1	 lowing is a general list of addition ical and electrical equipment: Mechanical or Electrical Equipment: Identify inadequate or degate Inspect system piping for entransients, or improper verify grouting, anchors (pulled-or for further root cause analy Inspect for unauthorized logication conduits or piping systems Identify undocumented systems Identify damaged heat traction insulation. 	nent raded structural co evidence of water h nting. Damaged su out or deformed) a ysis. bads supported from tem modifications. e and damaged or	s that apply to bot mponents. nammer, severe upports, base plate re to be identified n cable trays, missing
3.9	The fol mechan 3.9.1	 lowing is a general list of addition ical and electrical equipment: Mechanical or Electrical Equipment: Identify inadequate or degate Inspect system piping for entransients, or improper verify grouting, anchors (pulled-of for further root cause analy) Inspect for unauthorized lower for further root cause analy Inspect for unauthorized lower for the systems Identify undocumented systems Identify damaged heat traction insulation. Note piping exhibiting cyclifatigue concerns. 	nent raded structural con evidence of water h nting. Damaged su out or deformed) at ysis. bads supported from tem modifications. e and damaged or lical vibration for p	s that apply to bot mponents. nammer, severe upports, base plate re to be identified n cable trays, missing

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3.10 The System Manager is to ensure that team members record identified walkdown issues in Walkdown Reports, and during Phase 1 on the Attribute Assessment forms. The System Manager is responsible to ensure that any discrepancies have appropriate follow-up corrective actions initiated to resolve any identified issues.

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NOTE

CHANGES TO THE RESTART CRITERIA REQUIRE THE APPROVAL OF THE SENIOR MANAGEMENT REVIEW TEAM (SMRT)

- Review the open corrective action item against the Restart Screening Criteria. The Restart Screening Criteria is used to identify scope of items reviewed during Phases 1, 2, and 3. If none of the criteria apply, then the action item is not applicable to the restart effort. If the restart screening criteria applies the System Manager should determine when the action item must be completed to support safe and event free restart and reliable power operation. Program owners and functional area managers (or their designees) shall also follow the guidance in this attachment for scoping non system-specific programmatic and functional area SIDS items when directed by the Director of Restart. In this capacity, these owners/managers/designees function, in effect, as the System Manager for these items.
 - Restart1 Activities required to be completed prior to entering Startup/Power Ascension of Unit 1. This classification includes necessary restart and power ascension testing activities
 - Restart2 Activities required to be completed prior to entering Startup/Power Ascension of Unit 2. This classification includes necessary restart and power ascension testing activities.
 - Post Restart1 Activities which should be completed on a schedule consistent with the Unit 1 normal plant work controls process.
 - Post Restart2 Activities which should be completed on a schedule consistent with the Unit 2 normal plant work controls process.
 - Not Applicable Activities that are not required to be completed.
- 2. Enter descriptive text that describes a specific issue in the ISSUES box. This is a searchable text field of up to 255 characters and is designed to assist the System Managers in readily retrieving information on associated issues. Some examples are packing leaks, oil leaks, housekeeping, etc.

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3 If the issue is system-specific but also is related to an existing station program, consider identifying the PROGRAM by scrolling, then selecting the most appropriate program source and dragging the appropriate program(s) into the RELATED PROGRAM box. This information may help program owners identify programmatic weaknesses. Examples of program sources include High Energy Line Break, Appendix R, Station Blackout, etc. For new items placed in the "No system entered" bin in SIDS, the ESRR group will review the item and assign it to a system or programmatic and/or functional area. If a needed program is not listed, contact the SIDS data base coordinator.

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Attachment 8

CRITERIA FOR WORK INCLUDED IN RESTART SCOPE Pages: 62 - 68

- 4. Identify the applicable Restart Screening Criteria as outlined in this attachment. Enter this criteria in SIDS by scrolling and selecting the most appropriate criteria (e.g. RESTART1A, RESTART2A, RESTART2B, etc.).
- 5. Identify the applicable mode restraint for the item by scrolling, then selecting the appropriate mode (e.g., 1, 2, 3, 4, etc.).

6 Complete the INITIAL COMMENT field in the INITIAL REVIEW screen. The comment field should contain specific reasons for the item being included or excluded from the restart effort. Ensure that the information is accurate and concise, technically justifying from a design basis view point, the SCOPE and RESTART CRITERIA selected. The COMMENT field must be completed prior to System Manager approval.

- Note: The FINAL COMMENT field on the FINAL REVIEW screen is to be completed prior to the final readiness review presentations. This field is to justify that the work completed will support restart readiness.
- 7. During Phases 1, 2, and 3, the System Manager will approve SIDS items as Restart1, Restart2, Post Restart1, Post Restart2, or N/A. The System Manager will signify his approval of the scope by "Approving" the scope and justification comments in the Initial System Manager Approval Box in SIDS. Later, during Phase 3, if a restart item is not completed, the System Manager will denote the item as an "Exception" in the Final System Manager Approval Box in SIDS. If an item is satisfactorily completed, the System Manager will denote his "Concurrence" in the Final System Manager Approval Box in SIDS. If an item is satisfactorily completed, the System Manager will denote his "Concurrence" in the Final System Manager Approval Box in SIDS.
- 8. During Phase 4, items will be scoped as WCP or RESTART1 or RESTART2.
- 9. Additional information is available in the Systems Indexed Data Base Systems Operator's Manual.



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RESTART SCREENING CRITERIA

Issues will be evaluated against criteria 1 through 9. If criteria 1 through 7 do not apply, then categorize the issue using criteria 8 or 9.

Issues shall be resolved prior to restart if they are: .

1) Nuclear Safety

Required to address a nuclear safety issue.

Issues may be classified as follows:

- a) Items that could result in significant personnel radiation exposure, radioactivity release or effluent discharge, in excess of limits.
- b) Reduces cumulative deficiencies, backlogs or conditions that, in the aggregate, are evaluated to have significant negative impact on nuclear safety. (Not applicable to individual work issues).

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2) Operability

Required to address an operability issue.

Issues may be classified as follows:

- a) Eliminates an existing component failure, deficiency, or condition that could result in operation in, or entry to, an LCO action statement if left uncorrected.
- b) Would result in failure or inability to perform a required surveillance test during the current outage or the following operating cycle in accordance with the plant technical specifications.
- c) Would increase the risk to operation or safety associated with performing a surveillance.

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d	i) <u>T</u> e c d t	esting or retesting that must be per omponent operability, including te uring power ascension that result i te work being classified as a restar	formed to certify s sting on systems o n breeching a syst t issue or not.	system or r components em regardless of
e	e) R aj oj	educes cumulative deficiencies, ba ggregate, are evaluated to have sig perability. (Not applicable to indi-	cklogs or conditio nificant negative i vidual work issues	ns that, in the mpact on).
3) I	Design	Basis		
F b	Requir asis.	ed to restore acceptable design ma	rgin or conforman	ce. with the design
I	ssues	may be classified as follows:		
a)	Co tecl doc	rrects design basis deficiencies; i.e nnical specification equipment not suments.	e., deficiencies in s in conformance w	safety-related or ith design basis
4) I	Licens	e and Licensing Basis		
F	Requir conform	ed to resolve unreviewed safety qu nance with the license, license cor	estions (USQs) or ditions or licensin	to restore g basis.
I	ssues	may be classified as follows:		
a	.) Re	estores licensing basis deficiencies	to conforming cor	ditions.
b) Te	echnical Specification changes to s	upport safe plant o	peration.
5) L	icensi	ng Commitments		
R	Require elated	ed to meet restart licensing commi- programmatic issues, and confirm	ments such as A/I atory action letter	E inspection and issues.
. I	ssues	may be classified as follows:		
a) Res to n age	solves existing deficiencies or conc neet a license requirement or a res ncy.	litions that would tart commitment to	result in the failu o an outside
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6) Configuration Management

Required to address an organizational, programmatic, or process deficiency that could prevent maintenance of adequate design margins or conformance with the design or licensing basis.

Issues may be classified as follows:

a) Corrects deficiencies in configuration management programs, processes, engineering analysis codes, or operating, maintenance, or test procedures that have a reasonable probability of affecting equipment OPERABILITY.

7) Reliability

Required to address significant equipment material condition deficiencies singly or in aggregate, or repetitive failures that could affect safety system availability, impact plant reliability, or reduce the ability of operators to operate the plant safely.

Issues may be classified as follows:

- a) Reduces cumulative deficiencies, backlogs or conditions that, in the aggregate, are evaluated to have significant negative impact on safety system availability or reliable plant operation. (Not applicable to individual work issues).
- b) Restores degraded critical components or conditions that could result in a plant transient, power reduction or shutdown.
- c) Resolves conditions that have resulted in repetitive safety system or equipment failures.

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Issues that are not classified as restart should be classified as follows:

8) Post Restart Issues

- a) Issue can be scheduled for a subsequent outage.
- b) Issue can be readily worked on line, does not affect safe and reliable operation, does not represent a significant challenge to Maintenance Rule Goals or LCO allowed outage time, and does not impair operations necessary to perform surveillance or monitoring.
- c) Issue is classified as minor maintenance, or housekeeping, and does not affect plant operation.
- d) Issue is an administrative issue.
- e) Issue is a documentation deficiency that has no safety impact.

9) Industrial Safety Concern

NOTE: Industrial safety concerns will not be classified as "restart" because the priority and resolution of these concerns will be addressed under the established work control process priorities and scheduling. Although an industrial safety issue is not classified as restart, it will be worked promptly, commensurate with the safety risk.



SRRB comments by the System Manager.

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UNIT:		DATE:	
SYSTEM:			
DOCUMENT TYPE:			
	(e.g., Condition Report, Actio	n Request)	
KEY I. D:			
(e.g., C	Condition Report or Action Req	uest Number)	
Item Description:	•		, .
Initial Technical Justif scoping the item as rea Technical Justification	fication for Restart Scope Desig quired for restart is addressed): a for Restart Deferral:	nation (ensure the orig	ginal basis for
Initial Technical Justif scoping the item as rea Technical Justification New Scheduled Comp (Scope Addition/Delet	fication for Restart Scope Desig quired for restart is addressed): a for Restart Deferral: eletion Date: tion form attached if applicable)	nation (ensure the orig	ginal basis for
Initial Technical Justif scoping the item as rea Technical Justification New Scheduled Comp (Scope Addition/Delet Originator	fication for Restart Scope Desig quired for restart is addressed): a for Restart Deferral: letion Date: tion form attached if applicable	nation (ensure the orig	ginal basis for
Initial Technical Justif scoping the item as rea Technical Justification New Scheduled Comp (Scope Addition/Delet Originator System Manager	fication for Restart Scope Desig quired for restart is addressed): a for Restart Deferral: eletion Date: tion form attached if applicable)	nation (ensure the orig	ginal basis for
Initial Technical Justif scoping the item as rea Technical Justification New Scheduled Comp (Scope Addition/Delet Originator System Manager Designated Ops. Rep.	fication for Restart Scope Desig quired for restart is addressed): a for Restart Deferral: letion Date:	nation (ensure the orig	ginal basis for
Initial Technical Justif scoping the item as rea Technical Justification New Scheduled Comp (Scope Addition/Delet Originator System Manager Designated Ops. Rep. Engineering Superviso	fication for Restart Scope Desig quired for restart is addressed): a for Restart Deferral: detion Date:	nation (ensure the orig	ginal basis for
Initial Technical Justif scoping the item as rea Technical Justification New Scheduled Comp (Scope Addition/Delet Originator System Manager Designated Ops. Rep. Engineering Superviso Nuclear Licensing Ma Director of Regulatory	fication for Restart Scope Desig quired for restart is addressed): a for Restart Deferral: detion Date:	nation (ensure the orig	ginal basis for
Initial Technical Justif scoping the item as real Technical Justification New Scheduled Comp (Scope Addition/Delet Originator System Manager Designated Ops. Rep. Engineering Superviso Nuclear Licensing Ma Director of Regulatory System Engineer Mana	fication for Restart Scope Desig quired for restart is addressed): a for Restart Deferral: letion Date:	nation (ensure the orig Date: Date: Date: Date: Date:	ginal basis for
Initial Technical Justif scoping the item as real Technical Justification New Scheduled Comp (Scope Addition/Delet Originator System Manager Designated Ops. Rep. Engineering Superviso Nuclear Licensing Ma Director of Regulatory System Engineer Man SRRB	fication for Restart Scope Desig quired for restart is addressed): a for Restart Deferral: detion Date:	nation (ensure the orig	ginal basis for

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System Manager Approval

Concur Item closed and work completed satisfies restart requirements, or for those post restart items that are not included in the aggregate impact.

Except Open restart items (including those items that are "work complete" but not administratively closed), or items that are closed but do not satisfy restart requirements.

Post Restart Deferred Denoted on items associated with a component that has two (2) or more post restart deferred items, excluding PM post restart items.

Restart Required Deferred

Denoted on items with deferred scope that were initially approved, as restart required. These items must be accompanied by a Restart Required Scope Deferral Form (Attachment 10).



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INIT	TIAL EXPANDE	ED SYSTEM RE	ADINESS REPOR	Т
•		COVER SHEET		1 1
	:	SYSTEM TITLE		
	1			ĸ
		SYSTEM REVIEWERS		
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System Manager	Signat	ura / Data		• • • • • • • • • • • • • • • • • • • •
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Operations Representat	ive *	ure / Date		
			×	
Licensing Representativ	ve =	ire / Date	<u></u>	
Engineering Supervisor	•			
Engineering Supervisor	Signatu	re / Date		
ESRR Manager/Coordi	nator	-		
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	ew Board			
System Readiness Revi	±			
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System Readiness Review	w Committee	Signature / Date	· · · · · · · · · · · · · · · · · · ·	

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* These signatures maybe obtained by routing the report to both individuals simultaneously. Retain copies of both signatures with the original of the report in the SRRB meeting minutes.

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Anachment 12	REVIEW REPOR	RT	72 - 74

INITIAL EXPANDED SYSTEM READINESS REVIEW REPORT FORMAT

EXECUTIVE SUMMARY

1.0 INTRODUCTION

- 1.1 Purpose
- 1.2 Scope and Approach (Include specific identification of the system's safety and accident mitigation functions)

2.0 RESULTS AND CONCLUSIONS

- 2.1 Summary of Attribute Assessments
- 2.2 Summary of Review of SIDS Items
- 2.3 Summary of Open Items
- 2.4 Observations and Conclusions (including recurring items judged to need further extent of condition determination) (see step 4.3.10a)

3.0 ATTRIBUTE ASSESSMENTS

- 3.1 Assessment Matrix
- 3.2 Attribute Assessments and review of system functions.
- 3.3 Discrepancies

4.0 WALKDOWN RESULTS

- 4.1 Walkdown Scope
- 4.2 Observations
- 5.0 REVIEW OF SIDS ITEMS
 - 5.1 Review of Open Items (Summary Information)

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5.2 Review		of Closed Ite	ems (Summary Infor	mation)		
6.0	REF	ERENCE	ES			
	6.1	ENCLO	OSURES:			
		•	Enclosure 1	L: Attribute Asses	sment Forms	
		•	Enclosure 2	2: Restart Items (SIDS)	
	•	•	Enclosure 3	B: Post-restart Op	en Items (SIDS)	, s
•		•	Enclosure 4	Review of Clos	sed Items From SID	DS [*]
		•	Enclosure 5	5: _ System Bounda	ries	
		•	Enclosure 6	5: Walkdown Plan	1	
	6.2	FIGUR	ES AND TA	BLES		
	,	•	Figure 1:	System Boundarie	s	
		•	Table 1:	Final System Read Review Assessme	liness nt Matrix	
		•	Table 2:	Attribute Assessm	ent Summary	
	÷	•	Table 3:	Assessment Discr Corrective Action	epancies Recommen Prior to Restart	nded for
		•	Table 4:	Assessment Discre Closure Post Rest	epancies Recommer art	nded for

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FIN	IAL EXPANDED SYSTEM RI COVER SHEE	EADINESS REPORT T	
	SYSTEM TITL	Æ	
	DATE		I
	SYSTEM REVIEWERS	•	
)))	
System Manager		Date	
Operations Repres	entative *	Date	
Licensing Represen	ntative *	Date	
Engineering Super	visor	Date	
ESRR Manager/Coor	dinator	Date	
System Readiness Rev	iew Board	Date	

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* These signatures maybe obtained by routing the report to both individuals simultaneously. Retain copies of both signatures with the original of the report in the SRRB meeting minutes.

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Attachment 13 FINAL EXPA		ent 13	FINAL EXPANDED SYSTEM READINESS REVIEW REPORT		Pages: 75 - 77 FORMAT
		AL EXPA			
1.0	OVE	RVIEW			
	1.1	Summa	ry of items closed since the initial	l report issuance	
		•	91-18 Operability Determination	ns (list each item)	
	•	•	Engineering Evaluations (list ea	ch item)	
		•	DCPs (list each item)		
		•	Action Requests (list each item))	
		٠	Condition Reports (list each iter	m)	
		•	NRC Commitment Data Base it	ems (list each item)
		•	Operator Workarounds (list eac	h item)	
		• •	Outage Required PMs		η.
2.0	OPE	N ITEM	REVIEW		
	2.1	Items		4	
		•	91-18 Operability Reviews (list	each item)	
		•	Engineering Evaluations (list ea	ch item)	
		•	DCPs (list each item)		N.
		•	Work Requests (list each item)		
		•	Condition Reports (list each iter	n)	
		•	Commitment Data Base (list eac	ch item)	
		•	Operator Workarounds (list each	h item)	
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EXPA	NDED SYSTEM READINESS RE	VIEW PROGR	AM
Attachment 13	FINAL EXPANDED SYSTEM REVIEW REPORT	READINESS	Pages: 75 - 77

- 2.1 Items (Continued)
 - Outage Required PM's that will become overdue prior to next planned outage
- 2.2 Restart Scoping
- 2.3 Schedule for Resolution of Open Items

3.0 DESIGN AND LICENSE BASIS ISSUES SUMMARY

- Explain the significance of each issue (one or two sentences on safety, risk, or system operability/reliability impact.
- Discuss what plans(s) is in place for resolution of each issue. Include when the issue is scheduled to be resolved.

4.0 WALKDOWN

- 4.1 Walkdown purpose and scope
- 4.2 Walkdown Team
- 4.3 Walkdown Results
- 4.4 Conclusions
- 4.5 Restart Scoping

5.0 AGGREGATE IMPACT OF DEFERRED WORK

Attachment: Marked up drawings showing components with multiple (two or more) Post-restart or restart deferred work items.

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ESRR Program Coordinator

ESRR Program Manager

Director, Engineering Restart

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System Readiness Review Board

Plant Operations Review Committee

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Date

Date

Date

Date

Date

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EXPANI	DED SYSTEM READINESS I	REVIEW PROG	RAM
Attachment 14	EXPANDED SYSTEM READINESS REVIEWS INTEGRATED RESULTS		Pages: 78 - 82

1.0 INTRODUCTION AND PURPOSE

- 1.1 Introduction
- 1.2 Purpose

2.0 SCOPE AND STRUCTURE FOR LEVEL 1 ESRRs

- 2.1 Overall Approach and Scope
- 2.2 System Prioritization
- 2.3 Structure of Level 1 ESRR Teams
- 2.4 Vertical Slice Methodology
- 2.5 Screening of Open Items for Restart Requirements
- 2.6 Reviews and Self-Assessments
- 3.0 SUMMARY OF PHASE 1 RESULTS
 - 3.1 Vertical Slice Results and Characterization of Findings
 - 3.2 Screening of Open Items
 - 3.3 Walkdown Results

4.0 ASSESSMENT OF RESULTS BY TOPIC AREA

- 4.1 Lessons learned to be included in Level 2 ESRR
- 4.2 Identification of Potential Programmatic Issues
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|------|--------|-----------|-------------------|-------------------------|----------------------------|-------------------|
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REVIEWS | ED SYSTEM
5 INTEGRAT | I READINESS
TED RESULTS | Pages:
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| 5.0 | CON | CLUSIO | ٧S | | | · |
| | 5.1 | System | Readiness | | | |
| | 5.2 | Potentia | al Programmatic | Issues | | |
| 6.0 | REFE | ERENCES | 5 | | | |
| APPE | ENDICE | <u>es</u> | | | ÷ | |
| L I | REST | ART ISSU | JES, SELECTIC | N/SCREENI | NG CRITERIA | |
| 3 | EVAL | UATION | OF DISCREPA | NCIES BY 1 | OPIC AREA | |
| | | Topic A | rea 1: Electrical | Calculations a | and Analysis | |
| | • | Topic A | ea 2: I&C Calcu | ilations and A | nalysis | |
| | 1 | Topic A | ea 3: Mechanica | al & Structura | l Calculations and Ar | nalysis |
| | | Topic A | ea 4: Accident A | Analysis | | , |
| | | Topic A | ea 5: Drawings | | x | |
| | * , | Topic Ar | ea 6: Logic Dia | grams | | |
| | | Topic Ar | ea 7: Configurat | tion Managen | ient · | |
| | | Topic Ar | ea 8: Specificati | ons | | |
| * | | Topic Ar | rea 9: DBD | | | |
| | | Topic Ar | ea 10: Change I | Documentatio | 1/50.59 Reviews | |
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| | | Topic Ar | ea 11: Tempora | ry Modification | ons/Bypasses | |

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Topic Area 13: TS and Bases

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ttachment 14	EXPANDED SYSTEM F	READINESS	Pages:
	KEVIEWS INTEGRATE	D RESULTS	1 70 - 02
 ۲ Topic	Area 14: Licensing Commitments	•	
Topic	Area 15: Notices / Bulletins/Gene	ric Tetters	
Topic 2	Area 15. Notices / Bulletins/Gener	tie Letters	
Topic A	Area 16: Procedures		κ.
	Area 17: Operator Workarounds		
Topic A	Area 18: Industry Operating Expe	rience Reviews	
Topic A	Area 19: Maintenance Procedures		•
Topic A	Area 20: Vendor Technical Inform	nation	
Topic A	Area 21: Preventive Maintenance		
Topic A	Area 22: Surveillance and Periodic	c Tests and Inspect	ions
Topic A	Area 23: ISI/Section XI IST		
Topic A	Area 24: As-Built Verification		
Topic A	Area 25: Control Room Drawings		
Topic	Area 26: Surveillance Programs		
Topic A	Area 27: Corrective Actions		
Topic A	Area 28: QA Audits and Self Asse	essments	
Topic A	Area 29: Training Lesson Plans		
Topic .	Area 30: Open Items (Backlog)		
Topic A	Area 31: Strategy Documents		
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C GROUPING OF ESRR-IDENTIFIED ITEMS BY TOPIC AREA

FIGURES

- 2.1 Graded Approach for Enhanced System Readiness Review
- 2.2 Typical Topic Areas for Enhanced System Readiness Review Vertical Slices
- 2.3 Enhanced System Readiness Review Plan
- 2.4 Level 1 Enhanced System Readiness Reviews
- 2.5 Typical System Assessment Matrix
- 4.1 Topic Review Summary Level 1 Systems Checks and Discrepancies

4.2 Topic Review Summary - Level 1 Systems Discrepancies as Percent of Checks

- 4.3 Issues by Topic Areas Level 1 Systems by severity
- 4.4 Issues by Topic Area Level 1 Systems (Restart Recommended Issues Only)

TABLES

- 3-1 Summary Statistics for Level 1 System Reviews
- 3-2 Summary of Areas Covered During the Walkdowns

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Attachment 15	SYSTEM WALKDOWN	REPORT	Pages: 83 - 83					

Date of Walkdown:

System Manager/Team Leader:

System:

Purpose of Walkdown:

Provide a brief description of the purpose of the walkdown.

Walkdown Scope:

Provide an overall synopsis as to the scope of the walkdown, primary objectives, time spent' on the walkdown, total number of all deficiencies noted as well as noting if any operability concerns were noted.

Walkdown Team:

Provide a listing of the walkdown team members as well as their respective organizations.

Walkdown Results:

Provide an overall characterization as to the items identified, and safety or operability concerns, etc.

Conclusions:

Provide an overall assessment of the system condition based on observations. Summarize any specific need for improvements and all follow-up actions being pursued.

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Attachm	Attachment 16 SYSTEM READINESS AFFIRMATION REPORT						
1.0 THI FOI	E READI LLOWIN	NESS AFFIRMATION REPOR G INFORMATION	T WILL INCLU	DE THE			
1.1	Curren	t system License Change Request	t(s).				

- 1.2 Current operator workarounds.
- 1.3 Current corrective actions required of control room equipment.
- 1.4 Currently installed T-Mods.
- 1.5 Active operability determinations and JCOs, with the 10CFR50.59 for each OD or JCO.
- 1.6 The remaining open "Exceptions" items and any "Exceptions" identified during the affirmation process. Exceptions are remaining open restart required items.
- 1.7 An Operations priorities list compiled from or identical to the Focus List or Operations Priorities Addendum List (but not necessarily limited to these lists) for the system.

1.8 Reference Initial Expanded System Readiness Review Report

1.9 Reference Final Expanded System Readiness Review report.

1.10 Reference the system tests per the System Test Plan.

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SYSTEM ASSESSMENT MATRIX

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						DES	IGN I	BASIS					LIC DC	CENS	NG ENT:	s	OPE	RATI	ONS	MAIN	ITENAI	NCE	SUR	RV.	PHY PLA	SICA	Ļ	PRC PRC PRC	OGRA	MS, S & = URES	:	
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ATTRIBUTE NUMBER	ASSESSMENT ATTRIBUTES	Electrical Calcs/Analysis	I & C Calcs/Analysis	Mech & Struct Calcs/Analysis	Accident Analyses	Drawings	Logic Diagrams	Config.Mgt. Information	Specifications	Design Basis Documents	Change Documents/50.59 Review	Temporary Mods./Bypasées	UFSAR Review	TS/Bases -	Licensing Commitments	Notices/Bulletins/GLs	Procedures	Operator Work Arounds	Industry Operating Exper. Reviews	Maintenance Procedures	Vendor Technical Information	Preventative Maintenance	Surveillance & Periodic Tests & Inspections	ISUSection XI IST	As-Built Verifications	Control Room Drawings	Surveillance Programs	Corrective Actions	PA Audits and Other Assessments	Training Lesson Plans	Opein Items (Backlog)	Strategy Documents
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Figure 1 Addendum

SYSTEM ASSESSMENT MATRIX MAJOR TOPICS

OVERVIEW

The following guidance for each of the 31 major topics in Figure 1 is provided regarding the types of documents and sources of information to be used and to provide a consistent definition of the topic areas for grouping and documenting the results. Individual reviewers will use their experience and that of the ESRR Team to determine the specific documents and sources of information to be assessed. Completed scope of the previous System Readiness Reviews should be taken into account.

DESIGN BASIS

- 1. Electrical Calcs/Analysis: Calculations related to motors, electrical devices, circuits, and associated power supplies for system components shall be reviewed. The reviews should assess the appropriateness of design inputs, assumptions, consistency with asbuilt configuration, and general approach. Also, calculation results should be verified for appropriate use in other design basis documents. The potential effect of modifications on the emergency electrical load calculation, including load sequencing, should be considered. In addition, fuse coordination shall be addressed against the requirements of IEEE 308.
- 2 I&C Calcs/Analysis: Calculations related to the performance, set points, and stability of I&C components and loops for system components shall be reviewed. The scope of the reviews should be similar to the electrical calculations. In addition, reference 6.5 identifies a potential concern with instrument uncertainty calculations, such as due to dynamic flow effects, and with lack of trending of instrument drift and use of unverified drift values provided by the equipment vendors.

3 Mech and Struct Calcs/Analysis: Calculations related to hydraulics, heat transfer, equipment/support loads, equipment/pipe stresses, and other mechanical performance issues related to system components shall be reviewed. The scope of the reviews should be similar to the electrical calculations.

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4.	Accide reviewe perform	nt Analysis: Analysis ed for assumptions re- nance and conditions	s of UFSAR (egarding syste	Chapter 14 events and configuration,	shall be						
5.	 5. Drawings: Controlled drawings documenting system design, configuration, layout, and conformance to programs (e.g., Appendix R, testing, and EQ) shall be reviewed for system design basis information and system interaction/dependency information. Drawings that are excluded from this topic are logic diagrams (covered in Topic 6), Control Room drawings (covered in Topic 25), and vendor drawings (covered in Topic 20). 										
6.	Logic l design informa	Diagrams: The logic basis information and tion.	diagrams sha d system inter	all be reviewed for action/dependency	system						
7.	Config verified ESRR for a la assump descrin	tration Management to be current, appli eams shall specifical ck of integration bet tions, design values, tion and normal and	: Cross-refere cable, and ap lly review sev ween calculat design basis emergency of	enced documents sl propriate. In parti eral input changes ions, accident anal documents, systen perating procedure	hall be cular, looking ysis n s.						

8. Specifications: Equipment design, procurement, and installation specifications shall be reviewed for consistency of system design basis information and for adherence to code and safety requirements. General design specifications shall also be reviewed for system design basis information.

and consistency with design requirements.

Additionally, the facility data base should be checked for accuracy

9. DBD: Related design basis documents shall be reviewed for system design basis requirements, identification of possible cross-referenced documents, and appropriate applicability. Potential vulnerabilities include identification of and compliance with single failure criteria. Adequate closure of open items identified during preparation of the DBDs should be considered. Based on the results in reference 6.5, this topic area should be a focus area for the ESRR.

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9	•	MAJOR TOPI	CS	85 - 94						

Change Documents/50.59 Reviews: Change documents, including 10. DCPs, Component Evaluations (CEs) and UFSAR changes, shall be assessed for completeness, consistency with the system safety and accident mitigation functions as defined in the design and licensing basis, appropriate consideration of interdisciplinary impact/input, and effective follow through (changes made or posted against the appropriate documents). 50.59 screens and 50.59 safety reviews shall be reviewed to assess whether the change may have resulted in a current plant configuration or operation that is outside the design or licensing basis. Cook Plant has, in the past, not performed some 50.59 screens when required because station personnel did not recognize that they were changing the facility as described in the UFSAR. As part of the ESRR, teams should review past 50.59 screens associated with change documents being reviewed to ensure that an excessively liberal interpretation of the words "system as described in the UFSAR" was not used. See NEI 96-07 for additional guidance and current industry standards.

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igure 1 Addendum	SYSTEM ASSESSM MAJOR TO	ENT MATRIX OPICS	Pages: 85 - 94
 11. Tempora "bypass against unreview "Bypass teams sl partially necessan identifie process Engineerin Action Red Drawing c Operability Technical NPM (Eng Evaluation Mechanica Electrical Design Ch Condition Receipt Int Design Sta 	ary Modifications/Bypasses es" shall be reviewed to ve appropriate design basis inf wed safety question. Based ses" should be a focus area hould be alert for engineering vinstalled modifications, an ry screenings were done in ad 23 specific processes with as follows: as follows: as follows: as follows: banges v determinations Direction Memos sineering) s 1 Engineering Memo Engineering Memo ange Determination Report spection Practices ndards	: Temporary modificat rify that they were eva ormation and do not re- or the results of refer- for the ESRR. Addition ng evaluations, action r d other changes to ensu- each case. Cook Plant h a potential to bypass Design Change Addend Setpoints DCDIRs Technical data books PMI-5023 addressing p fabrication and repairs Dedication Plans Old clearances/Caution Open Items Log Work Arounds/Watch T Temporary Operator A Permanent Operator Ai	ions and luated sult in an ence 6.5, nally, equests, ure that has the 50.59 la la la lant Tags List ids ds

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LICENSING DOCUMENTS -

12. UFSAR Review: Chapters related to the system, interfacing systems (e.g., power distribution, I&C, and support systems), accident analysis, and applicable design criteria shall be reviewed for regulatory design basis and licensing basis information. Scope of this review should take into account the ongoing UFSAR validation effort (which was initially restricted to 21 systems of the original SRR). Pending UFSAR changes should be assessed and selected UFSAR questions and requirements should be validated against installed equipment specifications and operational/test procedures as applicable.

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- 13. TS/Bases: LCOs, Surveillance requirements, and their bases for the system and its components shall be reviewed for regulatory design basis and licensing requirements. Other appropriate sections (e.g., for interfacing systems, EQ-related, and containment isolation-related) should also be reviewed for system/component regulatory design requirements.
- 14. Licensing Commitments: Open items from NRC inspections, commitments in the license (and not in the UFSAR or TS), and other commitments made to the NRC by docketed correspondence shall be reviewed for additional licensing basis requirements. For example, reference 6.5 notes that not all systems may meet the criteria of the response to LER 97-021-01 regarding single failure interpretation. A list of system specific licensing commitments will be provided to the ESRR by the Licensing Basis Review Group.
- 15. Notices/Bulletins/Generic Letters: NRC generic communications and evaluations and responses to them related to the system shall be reviewed for additional regulatory design basis requirements and information.

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Figure 1 Addendum

SYSTEM ASSESSMENT MATRIX MAJOR TOPICS

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OPERATIONS

- Procedures: Operating and emergency operating procedures related to the system shall be reviewed for conformance with design basis information. Based on the results of reference 6.5, Emergency
 Operating Procedures should be a focus of the ESRR.
- 17. Operator Workarounds: Operator workarounds shall be reviewed for impact on system operation or performance. The impact should be assessed for conformance with the design basis information.
- 18. Industry Operating Experience Reviews: Industry experience reviews related to the system shall be assessed and compared to current design basis information. Specifically, Operating Experience information from the INPO Industry Database and generic NRC information shall be reviewed and effectiveness of application/consideration reviewed. Based on the results of reference 6.5, industry operating experience should be a focus of the ESRR. [Ref. 6.15]

MAINTENANCE^{*}

- 19. Maintenance Procedures: Procedures for the overhaul of or general repair of system components shall be reviewed for appropriate use of and conformance with design basis information. Also, completed work documentation, including any applicable data sheets, should be assessed for adequacy of the use of the procedure. This topic does not cover preventive maintenance activities (covered in item 21) and surveillance type procedures (covered in item 22).
- 20. Vendor Technical Information: Vendor technical manuals, vendor drawings, and design data forwarded by the vendor related to system components shall be reviewed for conformance with design basis information. Based on the results of reference 6.5, vendor technical information should be a focus area of the ESRR.

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21. Preventive Maintenance: Regularly scheduled maintenance work items to assure proper equipment operation, including calibrations, lubrication, and filter flushing/changing, shall be reviewed for appropriate schedules, timeliness of performing the activity, and appropriate use of materials. Procedures for preventive maintenance scheduling and documentation shall also be reviewed.

SURVEILLANCE

22. Surveillance and Periodic Tests & Inspections: Each ESRR team shall, in the course of its assessment, verify that design basis safety and accident mitigation functions of the system are validated and verified, either by periodic testing or by engineering calculation for those portions of the system that cannot be tested periodically. Procedures for tests and inspections required to verify compliance with TS Surveillance Requirements for system components shall be reviewed to assess compliance with the TS and its Bases, appropriate methodology, and consistency with other design basis information. Results of the most recent surveillance and periodic performance tests shall also be reviewed for compliance with design basis information and for the presence of adverse trends that have not been addressed.

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23. ISI and Section XI IST: Procedures for Inservice Inspection and Inservice testing of system pumps and valves and other performance tests (e.g., heat exchanger performance tests, MOV tests, special pump/system performance tests) shall be reviewed for appropriate use of and conformance with design basis information. Results of the most recent tests shall also be reviewed for compliance with design basis information.

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SYSTEM ASSESSMENT MATRIX MAJOR TOPICS

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PHYSICAL PLANT

- 24. As-Built Verifications: Walkdowns of the system to verify conformance with design basis information, system layout against the drawings, system condition, component configuration, interactions with other systems, and other characteristics shall be performed based on checklists developed from the documentation reviews. These walkdowns should consider the adequacy of system vent provisions and how the provisions are incorporated into system procedures.
- 25. Control Room Drawings (i.e. the OP-series and the 9800 series drawings) shall be reviewed for conformance with design basis information. Also, the timeliness of the incorporation of changes to the current drawings should be assessed.
- 26. Surveillance Programs: Surveillances not associated with Items 22 and 23, such as Erosion/Corrosion, Fire Protection, or HELB, shall be reviewed for direct or indirect applicability to the system and its components and conformance with design basis. Results of the most recent surveillances should be assessed against design basis information.

EXPANI	DED SYSTEM READINESS R	EVIEW PROGE	RAM
Figure 1 Addendum	SYSTEM ASSESSMENT MAJOR TOPIC	MATRIX	Pages: 85 - 94

- 27. Corrective Actions: Closed Condition Reports (CRs), Maintenance Action Requests, NPM Engineering Evaluation Requests, and other corrective action type reports related to the system shall be assessed for appropriate evaluation of problem against design basis, generic considerations, appropriate resolution, timeliness of resolution, and adverse trends. Included in this review shall be an assessment to determine if any existing conditions should be the subject of an operability Determination, 50.59 screen or justification for continued operation. (See Generic Letter 91-18 for further details).
- 28. PA Audits and other Assessments: PA audits, EDSFIs, SSFIs, and other completed similar system or program assessments related to the system including Maintenance Rule Risk Significance determination shall be reviewed to assess effectiveness of the audit, appropriate resolution, including addressing extent of condition, timeliness of resolution, and adverse trends. Implementation of any generic lessons learned from system - specific SSFIs and the 9/97 IST self assessment should be evaluated.
- 29. Training Lesson Plans: Operations and engineering training lesson plans for the system shall be reviewed for consistency with design basis information and operating procedures.
- 30. Open Items (Backlog): Maintenance, engineering, and operations open items related to the system shall be evaluated with respect to the current Restart Criteria within the SIDS data base.
- 31. Strategy Documents: Some Cook Plant systems had specific strategy documents prepared to articulate actions taken in response to NRC Identified Issues. ESRR teams shall review these documents and mark them up to reflect any changes needed to fully address issues of extent of condition, transportability to the other unit, or to ensure a technically accurate and thorough approach to resolving the issue. This markup should be brief but should focus on identifying major unaddressed or unresolved issues in the system that may impact on resolution of the issue addressed by the strategy document.

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SYSTEM ASSESSMENT MATRIX EXAMPLE - AUXILIARY FEEDWATER SYSTEM

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						DESI	GN E	BASIS	3				LIC	ENSI	NG		OPE	RATIO	ONS	MAI	TENA	NCE	SUR	V.	PHY	'SICA	L	PRO	GRA	MS, F	ROC	ESS
	~												D00	CUM	ENTS	;									PLA	NT		& PI	ROCE	DUR	ES	
,		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
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TTRBUTE NUMBER		lectrical Calcs/Analysis	& C Calcs/Analysis	lech & Struct Calcs/Analysis	ccident Analyses	rawings	ogic Diagrams	onfig.Mgt. Information	pecifications	csign Basis Documents	hange Documents/50.59 Review	emporary Mods./Bypasses	FSAR Review	S/Bases	censing Commitments	otices/Bulletins/GLs	ocedures	perator Work Arounds	dustry Operating Exper. Reviews	aintenance Procedures	endor Technical Information	eventative Maintenance	rrveillance & Periodic Tests & Inspections	USection XI IST	-Built Verifications	ontrol Room Drawings	rreillance Programs	orrective Actions	A Audits and Other Assessments	aining Lesson Plans	pein liems (Backlog)	rategy Documents
<	ASSESSMENT ATTRIBUTES	ଲ		2	<	A	E L	0	S.	A	ΰ	F	5	16		Ž	A	Õ	A	W	Ň	4	S	IS	¥	Ŭ	S	Ŭ	à	3	ō	Š
AFW01	WATERSUPPLY			X						X	X		X	X	X	-							X		x	x	X	x		├	x	
AFW02	MOTOR DRIVEN AND T.D. AFW PUMPS	X			X			X		X	X		X			X			X		X	X	_					X			x	
AFW03	INDICATION AND CONTROL		X	X	X		X			X						X			X		x	X	X				X			X		
AFW04	TURBINE AND STEAM SUPPLY			X		X		X		X			X		X	X		X	X	X	X	X	X		-x-		X	X		X		
AFW05	AFW FLOW CONTROL VALVES							X	X	X			X		X		X		X	X	x	X	X		-		X	X		<u> </u>		
																															ł	
AFWC01	PIPINGDESIGN					X			X		X									X				X	X							
AFWC02	CONTAINMENTISOLATION								X	X			X	X								X		X			X					
AFWC03	STATIONELACKOUT				X					X			X	X								_			X							
AFWC04	HIGHENERGYLINEBREAKS				X					X			X												X						x	
AFWC05	ENTRONMENTALQUALIFICATION		,					X	X	X						X			X	X	X	X	X						X			
AFWC06	APPENDIXR							X	X	X						X			X	X					X							
AFWC07	ELECTRICAL SEPARATION					X			X						X		_											X			xt	-
AFWC08	SEISMICQUALIFICATION			X				X	X	X		X																			<u> </u>	
AFWC09	Y2K							X	X		X				X	X											• •••					
AFWC10	SINGLE FAILURE CRITERIA/OMF					X	X						x										X				x			ł		—
AFWC11	GL8913			X					X													$\overline{\mathbf{x}}$	$\frac{\pi}{X}$	$\overline{\mathbf{x}}$			x			-+		
AFWC12	TEMP, EFFECTS ON SYSOPERABILITY			X	X				X	X			X	\mathbf{x}			X							~~			<u> </u>	-		ł		
AFWC13	EXTERNAL HAZARDS																														ł-	-
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EXPANDED SYSTEM READINESS REVIEW PROGRAM									
Figure 2 Addendum	1. SYSTEM ASSESSMENT MATRI	X Pages:							

ATTRIBUTE SELECTION GUIDANCE

(typical example only - not a complete treatment of the AFW system critical attributes or design considerations)

Note: System attributes are selected based on a thorough research of the system design basis. Attributes chosen for detailed review should be those vital to accomplishing the various safety and accident mitigation functions as defined in the design and licensing basis for the specified mission times (where applicable) and in the design plant conditions. Cook Plant has sometimes overlooked the critical functions of passive components (such as tanks, strainers, spray headers) to assure that appropriate performance has been demonstrated by testing, calculation or analysis. Additionally, such passive components have sometimes not received thorough materiel condition inspections to assure that the component contains the heat tracing, instrumentation, and other necessary features are functional such that the component remains operable in all required modes. ESRR teams shall assess obvious passive components for these types of issues.

SYSTEM FUNCTIONS BEING ASSESSED

- AFW01 Water Supply: An adequate water supply inventory is required for the AFW system to remove decay heat from the reactor coolant system (RCS) following events resulting in a loss of main feedwater. Issues related to water volume and level indication, switchover to other sources, and overpressure/vacuum pressure are to be assessed. Included in this assessment should be a review of the capability of backup water supplies to function as designed.
- AFW02 Motor-driven and turbine-driven auxiliary feedwater pumps (list component IDs): the <u>AFW</u>-pumps are critical components for delivery of the required AFW flow. Issues related to pump performance, NPSH, minimum flow requirements, motor and power supplies, power supply reliability, and design requirements are to be assessed.
- AFW03 Initiation and control: The auxiliary feedwater system initiation and control circuitry is required to automatically initiate the system and assure adequate decay heat removal and to allow control of cooldown rates. Issues related to initiation and control setpoints, instrumentation, flow, and level control are to be assessed.

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l '	ation	PMP 7200.RST.004	Rev. 5	Page 97 of 101
	EXPAN	NDED SYSTEM READINESS R	EVIEW PROGR	AM
Figure 2 A	ddendum	SYSTEM ASSESSMENT EXAMPLE - AUXILIARY FEEDW	MATRIX /ATER SYSTEM	Pages: 95 - 99
AFW04	Turbin auxilia are cri have a a seisn related operati alignm	e and steam supply: The turbine or ry feedwater pumps (component I tical components for the operation negative industry operating exper- nic classification (in part) that has to steam line warming and draini on, and maintenance, speed contr ent are to be assessed.	lriver for the turbi Ds) and its steam a of the pump. The ience history, and been questioned in ng, trip and gover ols, overspeed pro	ne-driven supply and exhaust ese components at Cook Plant have n the past. Issues nor valve design, stection, and pump
AFW05	AFW f flow co adequa related positio	low control valves (FMO-212, 22 ontrol valves are critical to limit fi te decay heat removal and to mini- to valve and actuator design requ- ning capability are to be assessed.	2,232,242,211,22 low to specified lin mize overcooling irements, power s	1,231,241). The nits to achieve of the RCS. Issues upplies, and
Generic Attr	ibutes <u>(to b</u>	e applied where applicable by all	ESRR teams)	
Note: The l are broadly a such, each E their system.	below listed applicable t SRR team	generic or common attributes, in based on Cook Plant operating his shall be aware of potential effects	cluding items AFV tory, experience, a of these attributes	WC01 to AFWC13, and issues and, as on functionality of
AFWC01	Pipin MAY	g design: Changes in piping desig result in confusion in piping desi	n criteria since ori	iginal plant design
Ŧ	existi mater and d	ng piping and new piping installation rial condition of buried pipe, ASM esign temperature and pressures.	ion. Other examp IE Code classification	nd inspection for ples include tion boundaries,
AFWC02	existi mater and d Conta main	ng piping and new piping installation rial condition of buried pipe, ASM esign temperature and pressures. Animent isolation: Example of pote tenance, and testing (Appendix J)	ential concerns are of containment isc	nd inspection for oles include tion boundaries, modification, plation features.

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EX	PANDED SYSTEM READINESS H	REVIEW PROGR	AM
Figure 2 Addendu	IM SYSTEM ASSESSMENT	T MATRIX	Pages:
	EXAMPLE - AUXILIARY FEED	WATER SYSTEM	95 - 99
AFWC04	High Energy line breaks: Cook Plant the effects of high energy line breaks. interdisciplinary, involving piping des flooding, barrier design, and accident barriers intended to ensure protection considered to verify their mitigation coverification of detection and mitigation	systems should be Line break issues ign, environmental analyses. Walkdow against such line b apability. Addition n schemes.	protected against are l qualification, wn of physical breaks shall be nally, consider test
AFWC05 I	Environmental qualification: EQ is a lasystem operation during adverse envir	highly regulated pr	ogram to assure
s	design basis accident conditions. The	conmental condition	ns associated with
i	independently of this review. Potentia	EQ program is be	ing assessed
i	system functions important to safe oper	al issues from that	review affecting
t	be considered.	eration and acciden	t mitigation should
AFWC06	Appendix R: Appendix R is a highly r	regulated program	that required
	significant utility effort to address. Th	le Appendix R prog	gram is being
	assessed independently of this review.	Potential issues f	rom that review
	affecting system functions important to	o safe operation an	d accident
	nitigation should be considered. Spec	cific consideration	should also be
	given to fire barriers and equipment re-	equired for safe sho	utdown:
AFWC07 H	Electrical separation: Redundant electrical separation: Redundant electric required to be separated by Regula hese requirements should be considered	rical trains and inst	trument channels
a		atory Guide 1.75. (Compliance with
t		ed in the system w	alkdowns.
AFWC08 S r t	Seismic qualification: The AFW system Category <u>L in accordance</u> with Regulat equirements and the impact on design hat the seismic classification and calcu	m is generally clas fory Guide 1.29. C a basis should be ve ulational basis are	sified as seismic compliance with the erified to the exten consistent.
AFWC09 Y	Year 2000 (Y2K): Considerable indust	try effort is being of	expended to ensure
ti	the reliable operation of microprocessory	or-based control an	ad indication
s	stems will not be vulnerable to year	2000 related problet	ems. Identify
n	nicroprocessor based controls within the	the system, confirming	n they are within
ti	the Y2K scope, and identify outstanding	og work remaining	

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	EXPAN	IDED SYSTEM READINES	S REVIEW PROGI	RAM
Figure 2 A	ddendum	SYSTEM ASSESSME EXAMPLE - AUXILIARY FEE	NT MATRIX DWATER SYSTEM	Pages: 95 - 99
FWC10	Singl singl misaj Addi adequ attend desig mode ensur shoul encou opera condi	e Failure Criteria/Common M e failure design basis is not str oplication has led to issues of a cionally, some events at Cook lately consider possible failure lant system components, ESR n basis in these areas and shou s of both the system and atten e the design is robust. (see Li d be alert for and identify com intered) during their reviews. tions, maintenance, testing, on tion.	ode Failure (CMF): aightforward and mis nonconformance in the Plant have been relate modes of both syste R teams must clearly and evaluate whether ding systems have be ER 97-021) Addition mon mode failure m These mechanisms is procurement priorit	The Cook Plant sunderstanding or he past. ted to a failure to em and supporting of understand the possible failure een assessed to nally, ESRR teams techanisms (if include inappropriat
AFWC11	Gene	ric Letter 89-13 (GL 89-13).	Poole Diant has had a	rohlama
-	imple GL 8 issues opera also 1 prope	menting Generic Letter 89-13 9-13 program is being assesses from the review affecting syst tion and accident mitigation sho e alert for instances where sen rly or effectively addressed un ting experience shows that the	on service water coo d independently of the tem functions impor- nould be considered. twice water system de inder the program or w existing provisions a	bling systems. The lis review. Potentia tant to safe ESRR teams should ead legs are not where Cook are insufficient.
AFWC12	imple GL 8 issues opera also 1 prope opera Temp prope bases desig maxii 87.5 found	menting Generic Letter 89-13 9-13 program is being assesses from the review affecting sys- tion and accident mitigation sh- be alert for instances where sen- rly or effectively addressed un- ting experience shows that the erature Effects on System Ope- rly accounted for lake tempera- basis for the system or system num seasonal lake temperature legrees during certain summer- , the effect on system operability	on service water cool on service water cool d independently of the stem functions impor- nould be considered. twice water system de nder the program or existing provisions a erability: Cook Plant ature variations in all ly assess, where appli- m components is at on expected, which cool c months. Where suc- lity shall be assessed.	bling systems. The his review. Potentia tant to safe ESRR teams should ead legs are not where Cook are insufficient. t has not yet system design licable, whether the odds with the uld be as high as ch instances are

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EXPAN	DED SYSTEM	READINESS R	EVIEW PROGRA	AM		
Figure 3	READINES	SS REVIEW AT	TRIBUTE	1(Pages:	
	ASC	SESSIVIENT FOI	KTAT	1(<i>J</i> U = 100 ⁺	
× *	Readiness Revie	w Attribute Asse	ssment Form			
Reviewer:	Date:	,	Item Number			
Reviewer Number:	System		Attribute Numb	er 🗌		
Attribute Description				· · · · · · · · · · · · · · · · · · ·		
Major topic	Attribute Checks	Major 7 CRs	Горіс		Attribute Checks	CI
Electrical Calcs/analysis		Operati	ng Procedures	16		<u> </u>
Mech./struct Calcs/Analysis	3	Industry	v On Exp Reviews	18		<u> </u>
Accident Analysis	4	Mainter	nance Procedures	19		ŀ
Drawings	5	Vendor	/Technical Info	20		
Logic Diagrams.	6	Prevent	ive Maintenance	21		
Config. Management Info.	7	Surveill Tests &	ance and Periodic	22		
Specifications	8	ISI/Sect	tion XI IST	23		
DBDs	9	As-Buil	t Verification	24		
Change Docs/50.59 Reviews	10	Control	Room Drawings	25		
Temporary Mods/Bypasses	11 💽 `	Surveill	ance Programs	26		
UFSAR Reviews	12	Correct	ion Action Program	27		
TS/Bases	13	PA Auc	lits/Other Assessments	: 28		
Licensing Commitments	14	Training	g Lesson Plans	29		
Notices, Bulletins and GLs.	15	Open It	ems (Backlog)	30		
		Strategy	/ Documents	31		

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Documents Reviewed

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Attribute Assessment

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EXPANDED SYSTEM READINESS REVIEW PROGRAM										
Figure 4	SAMPLE COMPLETED READINESS REVIEWPages:ATTRIBUTE ASSESSMENT FORM101-101									
Readiness Review Attribute Assessment Form										
Reviewer: Russell	Date: 2/5/99	Item Number								
Reviewer Number: ABR0	1 System AFW	Attribute Num	ber AFW01							
Attribute Description CO	NDENSATE STORAGE TANK	DESIGN BASIS								
Major topic.	Attribute Checks CRs	Major Topic	Attribute Checks CRs							
Electrical Calcs/analysis I&C Calcs/Analysis Mech/struct Calcs/Analysis Accident Analysis Drawings Logic Diagrams. Config. Management info. Specifications DBDs Change Docs/50.59 Reviews Temporary Mods/Bypasses UFSAR Reviews ITS/Bases Licensing Commitments Notices, Bulletins and GLs	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Operating Procedures Operator Work Arounds Industry Op Exp Reviews Maintenance Procedures Vendor/Technical Info Preventive Maintenance Surveillance and Periodic Test & Inspections Section XI-IST/Perf. Tests As-Built Verification Control Room Drawings Surveillance Programs Correction Action Program PA Audits/Other Assessment Training Lesson Plans Open Items (Backlog)	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$							

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CR Number:

CR # 98-0000 to provide basis for the statement that 174,451 gallons is sufficient to remove decay heat.

Documents Reviewed

1. DBD - DB-12, AFWS, Auxiliary Feedwater System, Rev. 0, Change 6, Section 3.0. 2. UFSAR 10.5.2, Rev.

3. TS 3.7.6 and Bases

Attribute Assessment

No Calculation or other documentation or data could be found to establish the basis for this minimum value. The basis for the 9 hour decay heat cooling capacity needs to be established

Attachment 2

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Expanded System Readiness Review Procedure For Level 2 Systems

PMP 7200.RST.006, Rev. 1

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