

Modernization Plan #1-Protection Against Common Cause Failure

Public Meeting to Discuss
NEI 16-16 [Draft 2]
September 07, 2017
MP #1B/1C Working Group
Mauricio Gutierrez, Working Group Lead

Outline

- Opening Remarks, Safety, Introductions
- Objectives of MP #1B and #1C
- NEI 16-16 Review Recap
- Discussion of NEI 16-16 [Draft 2] Comments
- Stakeholder Participation
- Summary and Action Items

Objectives

- MP #1B - Evaluate Industry's proposed guidance in NEI 16-16.
- MP #1C – Evaluate the current position of the Nuclear Regulatory Commission (NRC) on protection of DI&C systems and components against CCF.

For more details, see Revision 1-Draft to Integrated Action Plan (IAP) to Modernize Digital Instrumentation and Controls Regulatory Infrastructure [Agencywide Document Access and Management System (ADAMS) Accession Number [ML16326A275](#)]. Note that IAP Revision 2 is in development (Accession Number not yet available) and will be publicly available in October/November 2017.

NEI 16-16 Review Recap

- NEI 16-16 [Draft 1]
 - December 2016 - NEI 16-16 [Draft 1] submitted to NRC (ADAMS Accession Number [ML17033B139](#))
 - February 8, 2017 – Public Meeting on NEI 16-16 and NEI 96-07 (ADAMS Accession Number [ML17004A259](#))
 - March 13, 2017 – NRC comments sent to NEI (ADAMS Accession Number [ML17072A142](#))
 - March 29, 2017 – NRC and NEI discussed comments (ADAMS Accession Number [ML17073A002](#))
- NEI 16-16 [Draft 2]
 - May 12, 2017 – NEI 16-16 [Draft 2] submitted to NRC (ADAMS Accession Number [ML17135A253](#))
 - July 14, 2017 – NRC comments sent to NEI (ADAMS Accession Number [ML17195A282](#)).
 - August 1, 2017 – Public Meeting on NEI 16-16 and NEI 96-07, Appendix D (ADAMS Accession Number [ML17137A326](#))
 - August 10, 2017 – NEI provides informal reply to staff's comments (ADAMS Accession Number [ML17241A028](#))

Discussion of NEI 16-16 [Draft 2]

- This portion of the meeting turned over to NEI to lead discussion on NEI 16-16 [Draft 2].

Next Actions

- NEI and NRC to continue discussion of comments on NEI 16-16 [Draft 2].
- NEI to submit NEI 16-16 [Draft 3].
- NRC to complete review and provide comments on NEI 16-16 [Draft 3].
- NRC to work on SECY on Protection of DI&C Systems against Common Cause Failure Concerns.

Path forward – Draft Schedule MP #1B

Evaluation of NEI 16-16

	Activity	Schedule
B.1	Begin staff evaluation of NEI 16-16 [Draft 1] received 12/22/2016 and develop staff comments/gap analysis.	December 2016 (c)
B.2	Meeting to discuss NEI's plans for completion of CCF likelihood technical basis, associated defensive measures, Appendices, and the balance of NEI 16-16 content.	February 8-9 2017 (c)
B.3	NRC to provide comments on NEI 16-16 [Draft 1]	March 13, 2017(c)
B.4	Meeting to discuss and clarify NRC comments on NEI 16-16 [Draft 1]	March 29, 2017(c)
B.5	Meeting to preview NEI 16-16 Appendix A content to be included in Draft 2 of NEI 16-16.	April 11, 2017(c)
B.6	NEI delivery of Draft 2 of NEI 16-16, including technical basis, examples, and Appendices.	May 12, 2017(c)
B.7	NRC provided comments on NEI 16-16 [Draft 2].	July 14, 2017(c)
B.8	Meeting to discuss NRC comments on NEI 16-16 [Draft 2]	September 7, 2017(c)
B.9*	NEI to deliver draft updates of NEI 16-16.	October 2017

* The schedule beyond B.9 may be adjusted as the staff and NEI engage in the review of NEI 16-16.

Path forward – Draft Schedule MP #1B

Evaluation of NEI 16-16

	Activity	Schedule
B.10	Meetings to discuss methodology, content, and technical basis of NEI 16-16.	December 2017
B.11	NRC staff to review and provide comments on NEI 16-16 draft updates.	January 2018
B.12	Complete staff review of NEI 16-16, and make determination as to its “endorse-ability” or partial “endorse-ability.”	March 2018
B.13	Draft final staff evaluation of NEI 16-16.	April-May 2018
B.14	NEI to submit NEI 16-16 Final.	June 2018
B.15	Present to ACRS	July 2018
B.16	Issue NRC Management’s letter with regard to recommendations on endorsing NEI 16-16.	August 2018
B.17+	Issuance of Staff evaluation and implementation of NEI 16-16 (post Commission decisions, if needed, with regard to MP #1C).	August-September 2018
B.18	Issuance of staff evaluation and implementation of NEI 16-16 (post Commission decisions, if needed, with regard to MP #1C).	October 2018

+ This item is related to item C.3.

Path forward – Draft Schedule MP #1C

Evaluation of NRC’s current position on defense against CCF in digital I&C systems and components

	Activity	Schedule
C.1	Begin staff review to identify specific aspects of NRC’s position on CCF and communicate any policy issues that need to be modified. Meet with DI&C Steering Committee and other stakeholders as needed.	April-July 2017 (c)
C.2	Develop and finalize list of specific aspects of NRC’s position on CCF impacted by review of NEI 16-16 draft updates and alert Commission of policy issues that will require attention.	April - August 2017(c)
C.3	Begin development of SECY on recommendations regarding NRC policy to protect digital I&C systems against CCF concerns.	September 2017
C.4	Produce technical basis document to support affirmation or modification of NRC’s position.	December 2017
C.5	Engage outside peer reviewer to assess staff’s findings.	February – April 2018
C.6	Present to ACRS DI&C Subcommittee and Full ACRS Committee.	March and April 2018
C.7	Submit SECY paper (with technical basis document) identifying proposed position to address CCF concerns in digital systems to the Commission.	June 2018
C.8	Implement resolution as determined by the Commission.	June – September 2018

Acronyms

ACRS – Advisory Commission on Reactor Safeguards

ADAMS - Agencywide Document Access and Management System

CCF – Common Cause Failure

DI&C – Digital Instrumentation and Controls

MP – Modernization Plan

NEI – Nuclear Energy Institute

NRC – Nuclear Regulatory Commission
