

August 1, 2017

MEMORANDUM TO: Dr. Brett M. Baker  
Assistant Inspector General for Audits  
Office of the Inspector General

FROM: Frederick D. Brown */RA/*  
Deputy Executive Director for Materials, Waste,  
Research, State, Tribal, Compliance, Administration,  
and Human Capital Programs  
Office of the Executive Director for Operations

SUBJECT: RESPONSE TO THE RECOMMENDATION FROM THE  
INSPECTOR GENERAL INDEPENDENT EVALUATION OF  
NRC'S IMPLEMENTATION OF THE FEDERAL INFORMATION  
SECURITY MODERNIZATION ACT OF 2014 FOR FY 2017  
REGION I, KING OF PRUSSIA, PA (OIG 17-A-17)

The Office of Inspector General (OIG) conducted an independent evaluation of the U.S. Nuclear Regulatory Commission's (NRC) implementation of the Federal Information Security Management Act 2014 for Fiscal Year 2017 at Region I May 22-26, 2017, and documented the results in the subject July 3, 2017, report. This memorandum is in response to your request to provide information on actions taken or planned on the recommendation identified in the subject report. I appreciate the OIG's observation that the Region I information technology (IT) security program, including Region I IT security policies, procedures, and practices, is generally effective.

Enclosed is NRC's response to the recommendation identified in the OIG evaluation report including the region's planned actions. It is understood that actions taken or planned are subject to OIG follow up as stated in Management Directive 6.1.

Enclosure: Response to Recommendation 1

cc: Chairman Svinicki  
Commissioner Baran  
Commissioner Burns  
SECY

CONTACT: Michael Dean, RI/DRM  
(610) 337-5079

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 INDEPENDENT EVALUATION OF NRC'S IMPLEMENTATION OF THE  
 FEDERAL INFORMATION SECURITY MODERNIZATION ACT OF 2014 FOR FY  
 2017 REGION I, KING OF PRUSSIA, PA (OIG 17-A-17), DATED: August 1, 2017

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 Ticket No: OEDO-17-00429 ADAMS Package ML17184A163/ML17206A286 \* Concurred via email

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Audit Report

IMPLEMENTATION OF FEDERAL  
INFORMATION SECURITY MODERNIZATION ACT OF 2014 FOR FY 2017  
REGION I – KING OF PRUSSIA, PA

OIG-17-A-17

Region I's Response to the Office of the Inspector General Recommendation

- Recommendation 1: Remediate the identified vulnerabilities within the timeframes specified in ISD standard ISD-STD-0020, *Organization Defined Values for System Security Controls*, or submit a deviation request in accordance with Information Security Directorate (ISD) Process ISD-PROS-1324, *Deviation Request Process*.
- Agency Response: Agree. Region I will either remediate the identified vulnerabilities within the timeframes specified in ISD standard ISD-STD-0020, *Organization Defined Values for System Security Controls*, or submit a deviation request in accordance with ISD Process ISD-PROS-1324, *Deviation Request Process*.
- Estimated Completion: Region I anticipates completing the remediation/deviation request process for the identified high risk findings by August 17, 2017, and October 1, 2017, for the identified moderate risk finding. Region I anticipates completing all remediation/deviation requests by December 29, 2017, in conjunction with the Regional Information System Authority to Operate.
- Contact: Michael Dean, Region I ISSO

Enclosure