



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

July 20, 2017

MEMORANDUM TO: Maureen E. Wylie
Chief Financial Officer

Victor M. McCree
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
BUDGET EXECUTION PROCESS (OIG-13-A-18)

REFERENCE: CHIEF FINANCIAL OFFICER MEMORANDUM DATED
JULY 10, 2017

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated July 10, 2017. Based on this response, recommendation 3 remains in resolved status. Recommendations 1, 2, and 4 through 8 were previously closed. Please provide an updated status on the resolved recommendation by April 13, 2018.

OIG issued this report in final on May 7, 2013, and by memorandum dated June 20, 2013, OCFO acknowledged actions taken or planned on this recommendation, supplemented by an email dated July 5, 2013, acknowledging agreement with OIG on this recommendation. Office of Management and Budget Circular No. A-123 (M-16-17), Section C, dated July 15, 2016, states that "Management has a responsibility to complete action, in a timely manner, on audit recommendations on which agreement with the OIG has been reached." This audit recommendation has been in resolved status for more than 4 years.

If you have any questions or concerns, please call me at (301) 415-5915 or Eric Rivera, Team Leader, at (301) 415-7032.

Attachment: As stated



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Audit Report

AUDIT OF NRC'S BUDGET EXECUTION PROCESS

OIG-13-A-18

Status of Recommendations

Recommendation 3: Enforce the use of correct budget object codes.

Agency Response Dated
July 10, 2017:

Status: Ongoing

Corrections to Identified BOC Exceptions: The Division of the Controller, Financial Reporting and Accounting Branch continues to work with the Office of Administration, Acquisition Management Division (AMD) to make the BOC corrections to obligation transactions in both Financial Accounting and Integrated Management Information System (FAIMIS) and Strategic Acquisition System (STAQS). Corrections to obligation transactions in FAIMIS will be done using the Document Re-organization Notebook functionality. AMD staff are working with their STAQS Shared Service Provider to develop a solution that will allow for the correction to the BOC code on obligation transactions in STAQS. The estimated completion date for deploying the solution to STAQS production has been extended to March 30, 2018, primarily due to budget funding constraints.

Target Completion Date: 2nd Quarter FY 2018

OIG Analysis: The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG reviews agency documentation of completed reviews of the accuracy and consistent use of budget object codes.

Status: Resolved.