



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

June 29, 2017

MEMORANDUM TO: Victor M. McCree
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
NETWORK SECURITY OPERATIONS CENTER
(OIG-16-A-07)

REFERENCE: CHIEF INFORMATION OFFICER, MEMORANDUM DATED
JUNE 22, 2017

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated June 22, 2017. Based on this response, recommendation 3 is closed and recommendations 1, 2, and 4 remain resolved. Please provide an updated status of the resolved recommendations by September 29, 2017.

If you have questions or concerns, please call me at (301) 415-5915, or Beth Serepca, Team Leader at (301) 415-5911.

Attachment: As stated

cc: R. Lewis, OEDO
H. Rasouli, OEDO
J. Bowen, OEDO
J. Jolicoeur, OEDO
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Audit Report

AUDIT OF NRC'S NETWORK SECURITY OPERATIONS CENTER

OIG-16-A-07

Status of Recommendations

Recommendation 1: Revise information technology service contract requirements to include SOC-specific performance objectives

Agency Response Dated
June 22, 2017:

SOC specific performance objectives have been incorporated into the statement of work (SOW) for the follow-on information technology services contract (GLINDA). Due to a protest of the initial GLINDA contract award, the release of the GLINDA SOC task order has been delayed. The GLINDA SOC task order will be made available to the participating vendors by August 30, 2017. OCIO will make the SOC SOW available for OIG review at that time.

Revised Target Completion Date: August 30, 2017

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that NRC revised the requirements to include SOC specific performance objectives in the contract.

Status:

Resolved.

Audit Report

AUDIT OF NRC'S NETWORK SECURITY OPERATIONS CENTER

OIG-16-A-07

Status of Recommendations

Recommendation 2: Revise information technology service contract requirements to define SOC functional requirements.

Agency Response Dated
June 22, 2017:

SOC functional requirements have been incorporated into the statement of work (SOW) for the follow-on information technology services contract (GLINDA). Due to a protest of the initial GLINDA contract award, the release of the GLINDA SOC task order has been delayed. The GLINDA SOC task order will be made available to the participating vendors by August 30, 2017. OCIO will make the SOC SOW available for OIG review at that time.

Revised Target Completion Date: August 30, 2017

OIG Analysis: The proposed actions meet the intent of the recommendation. OIG will close this recommendation when OIG receives verification that NRC revised the information technology service contract requirements to define the SOC functional requirements.

Status: Resolved.

Audit Report

AUDIT OF NRC'S NETWORK SECURITY OPERATIONS CENTER

OIG-16-A-07

Status of Recommendations

Recommendation 3: Define in policy, SOC functions and support obligations to NRC stakeholders, with emphasis on information reporting and technical support requirements.

Agency Response Dated
June 22, 2017:

The OCIO Incident Response (IR) policy and procedure documents currently provide guidance for SOC functions, support obligations, roles and responsibilities. The OCIO IR policy and procedure documents have been updated to include clear SOC roles and responsibilities to support obligations to NRC stakeholders. Refer to ADAMS documents *Incident Response Policy Team Version 3* (ML17047A282), *Incident Response Plan Version 3 2017* (ML17047A316), and *NRC CSIRT Incident Response SOP Version 3.4 2017* (ML17047A361). OCIO is requesting that Recommendation 3 be closed.

OIG Analysis:

OIG reviewed the OCIO Incident Response policy and procedures and found that SOC functions and support obligations to NRC stakeholders, with an emphasis on information reporting and technical support requirements are included. This recommendation is therefore considered closed.

Status:

Closed.

Audit Report

AUDIT OF NRC'S NETWORK SECURITY OPERATIONS CENTER

OIG-16-A-07

Status of Recommendations

Recommendation 4: Revise the information technology services contract to align with agency policy defining SOC functions and support obligations to NRC stakeholders.

Agency Response Dated
June 22, 2017:

The SOC statement of work (SOW) for the follow-on information technology services contract (GLINDA) is in alignment with agency policy defining SOC functions and support obligations to NRC stakeholders. Due to a protest of the initial GLINDA contract award, the release of the GLINDA SOC task order has been delayed. The GLINDA SOC task order will be made available to the participating vendors by August 30, 2017. OCIO will make the SOC SOW available for OIG review at that time.

Revised Target Completion Date: August 30, 2017

OIG Analysis: The proposed actions meet the intent of the recommendation. OIG will close this recommendation when NRC provides evidence that the contract has been revised to align with agency policy defining SOC functions and support obligations to NRC stakeholders.

Status: Resolved.