

*U.S. Nuclear Regulatory Commission
Office of the Inspector General
Audit Overview*

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**FUEL CYCLE INFORMATION EXCHANGE
JUNE 14, 2017**

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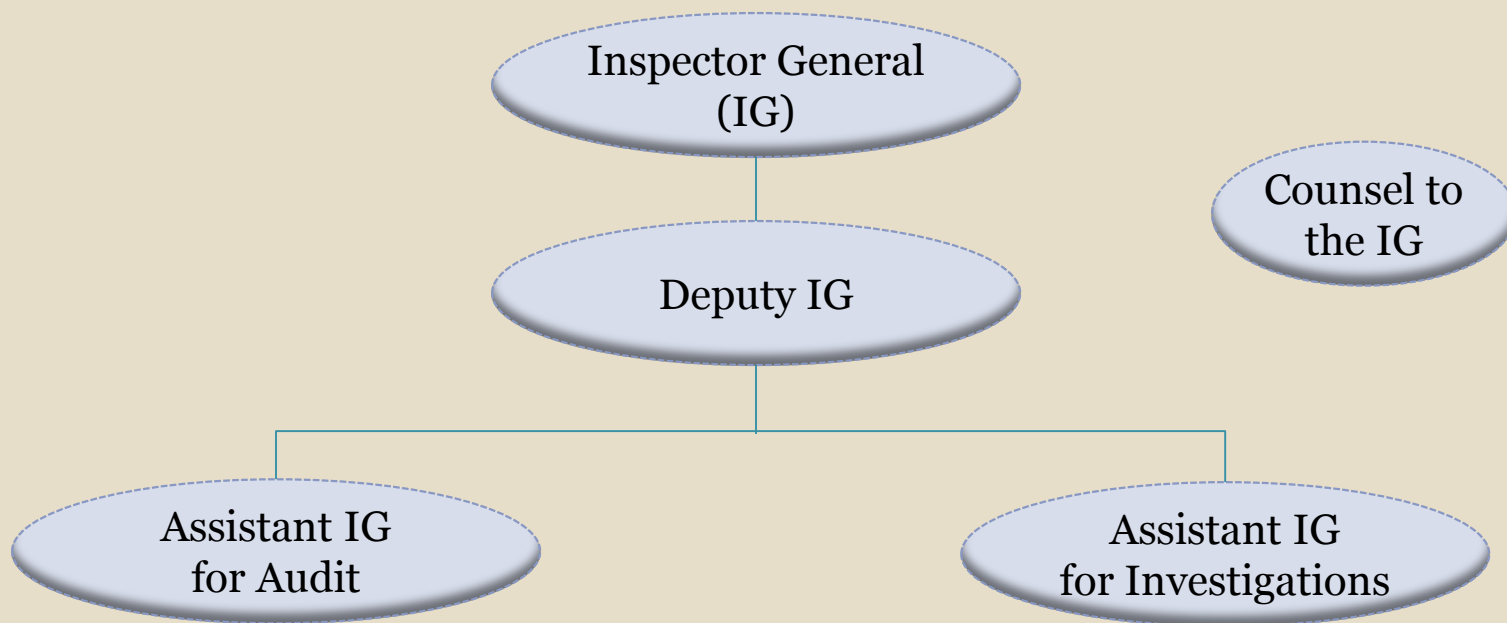
Offices of Inspectors General



- 73 federal Inspectors General (IGs)
 - Provide independent oversight of agency operations
 - Keep agency and Congress fully informed
- Mission:
 - Promote economy and efficiency
 - Prevent and detect fraud, waste, and abuse
- Established by statute:
 - 1978: The IG Act
 - 1988: The IG Act Amendments
 - 2008: The IG Reform Act
 - 2016: The IG Empowerment Act
- Work performed by audits, investigations, and evaluations



Who We Are





Audit Workplan



- Work required by law
 - Financial statement audit (CFO Act)
 - Federal Information Security Management Act (FISMA)
 - Digital Accountability and Transparency Act (DATA Act)
 - Improper Payment Elimination and Recovery Act (IPERA)

- NRC requests
- Congressional requests

- Referrals from investigations
- OIG risk-based assessments
 - Management Challenges





Audit Process



- Audit work plan
- Audit announcement and entrance conference
- Planning/survey
- Fieldwork
- Reporting
 - Discussion draft report
 - Formal draft report
 - Final report
- Audit resolution and follow-up
 - Resolution achieved when agreement on corrective action plan
 - Recommendations are closed when corrective actions are complete



Recent Fuel Cycle Audit Work



- NRC's Technical Assistance Request Process (2017)
- NRC's Compliance with 10 CFR Part 51 Relative to Environmental Impact Statements (2013)
- NRC's Safety Training and Development for Technical Staff (2013)
- Safety Culture and Climate Surveys (annually)
- Management Challenges (annually)



OIG Outreach



- Presentations at conferences and seminars
- Fact sheets and brochures, briefings, conference presentations
- OIG Semiannual Report
- OIG Hotline: 1-800-233-3497
www.nrc.gov/insp-gen/oighotline.html



Questions?

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