May 4, 2017

MEMORANDUM TO: Glenn Sklar
                   General Manager

                   Katherine R. Herrera
                   Deputy General Manager

FROM: Dr. Brett M. Baker */RA/*
                   Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF DNFSB'S
                   INFORMATION SECURITY PROGRAM (DNFSB-16-A-02)

REFERENCE: DEPUTY GENERAL MANAGER, DEFENSE NUCLEAR
                   FACILITIES SAFETY BOARD, CORRESPONDENCE
                   DATED APRIL 28, 2017

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in DNFSB's response dated October 24, 2017. Based on this response, recommendation 2 is closed and recommendation 3 remains resolved. Recommendation 1 was closed previously.

Please provide an updated status of the resolved recommendation by July 28, 2017.

If you have any questions or concerns, please call me at (301) 415-5915 or Beth Serepca, Team Leader, at (301) 415-5911.

Attachment: As stated

cc: R. Howard, OGM

AUDIT OF DNFSB'S INFORMATION SECURITY PROGRAM

DNFSB-16-A-02

Status of Recommendations

<u>Recommendation 2:</u>	Implement technical controls in the DNFSB SharePoint site that limit access to UCNI documents on a need-to-know basis.
Agency Response Dated April 28, 2017:	Part one of this effort was the agency's initial focus on procuring, installing and utilizing a data discovery capability called Varonis. It would allow the agency to discover where UCNI was stored and located on SharePoint. Part one is complete.
	Part two of the project required Varonis to identify the compartments within DNFSB SharePoint that contain UCNI data. This is complete. The identified UCNI files and locations were provided to the data owner in the Office of the Technical Director. The data owner reviewed the files and reduced the amount of UCNI data on SharePoint.
	The third part of the effort was to implement technical controls in the SharePoint environment to limit access to UCNI data. The existing SharePoint technical controls were reviewed, adjusted and corrected. This stage is also complete.
	The supporting documentation below from the Chief Information Officer (CIO) certifies that technical controls are established and operational for controlling access to UCNI data on the DNFSB SharePoint environment.
	We request closure of this recommendation based on the latest status update and supporting documentation.
OIG Analysis:	OIG reviewed the supporting documents and determined that technical controls have been implemented in the SharePoint site. This recommendation is therefore considered closed.
Status:	Closed.

Recommendation 3:	Incorporate into DNFSB policy the requirement for technical controls in SharePoint to control access to UCNI documents on a need-to-know basis.
Agency Response Dated April 28, 2017:	The updated policies are included in the draft DNFSB Continuous Monitoring - Strategic and Operational Management Policy that is under review by the CIO. We anticipate agency coordination and review of the updated manual by the end of 3rd quarter FY 2017. Implementation of this recommendation is still in progress.
OIG Analysis:	The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives and reviews finalized DNFSB policy that includes the requirement that technical controls in SharePoint establish a need-to-know basis to control access to UCNI documents.
Status:	Resolved.