

**Non-Proprietary**

# **Human-System Interface Design Implementation Plan**

**Revision 1**

**Non-Proprietary**

**March 2017**

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**REVISION HISTORY**

Revision	Date	Page	Description
0	December 2014	All	First Issue
1	March 2017	x (Abstract)	Description for HSI Inventory added. R_383-8458(110) Predecessor design is changed to reference plant. R_383-8458(112) RCC added to HSI facility. R_502-8647(17)
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		7 (3.1)	Predecessor plant is changed to reference plant. R_383-8458(112)
		7 (3.1)	Description for HSI Toolset revised. R_400-8425(114)
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		11 (3.3.1)	HSI/I&C is changed to I&C engineering. R_250-8282(27)
		12 (3.5.3)	Description for HSI Inventory added. R_383-8458(110)
		12 (3.5.5)	RCC added to HSI facility. R_502-8647(17)
		13 (3.6.2)	Description for HSI Inventory added. R_383-8458(106)
		14 (3.6.2)	Description for Intersystem Leakage Monitoring added. R_400-8425(122)
		14 (3.7)	Description for HD Input revised. R_383-8458(112)
		14 (3.7)	Description for HSI Toolset revised. R_400-8425(114)
		14 (3.8)	Description for HSI Design Modification added. R_374-8481(101)
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		18 (4.1.1.2)	RCC added to HSI facility. R_502-8647(17)
		18 (4.1.1.2)	Predecessor plant is changed to reference plant. R_383-8458(112)
		18 (4.1.1.3)	RCC added to HSI facility. R_502-8647(17)
		20 (4.1.1.5)	Basic HSI Style Guide is changed to Style Guide. R_374-8481(97)
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		26 (4.1.4.7)	Description for PAMI added. R_400-8425(119)
		26 (4.1.4.8, 4.1.4.9)	Description related with Code Requirement revised. R_400-8425(120)
		26 (4.1.4.11)	Description for Radiation Monitoring Variable revised. R_400-8425(124)
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		27 (4.1.4.18)	Examples and references are added. R_373-8480(95)
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Revision	Date	Page	Description
		(4.1.4.19)	R_373-8480(91)
		28 (4.1.5)	Terms predecessor design revised to reference plants. R_383-8458(112)
		30 (4.1.6)	Description for Implementation Plan revised. R_374-8481(97)
		30 (4.1.6.1)	RCC added to HSI facility. R_502-8647(17)
		31 (4.1.6.1)	The term functional revised to based on the HFE program results. R_374-8480(97)
		31 (4.1.6.2)	HSI/I&C is changed to I&C engineering. R_250-8282(27)
		31 (4.1.6.2)	Description for references added. R_374-8481(97)
		32 (4.1.6.3)	Description for references and information added. R_374-8481(97)
		33 (4.1.6.4)	The term As an input changed to Overall. R_374-8481(97)
		33 (4.1.7)	Description for Basic HSI Test/Evaluation added. R_518-8654(130)
		34 (4.1.7)	Description for referenes and information added. R_358-8449(89)
		34 (4.1.7)	Description for HSI Test and Evaluation added. R_374-8481(99)
		36 (4.2)	HSI/I&C is changed to I&C. R_250-8282(27)
		34, 35, 36, 37, 38, 40, 41, 42, 44, 46 (4.2)	Descriptions for APR1400 HSIS revised. R_383-8458(112)
		36 (4.2.1)	Description for Radiation Monitoring Variable revised. R_400-8425(124)
		36 (4.2.1)	Description for HSI Inventory added. R_383-8458(106)
		36 (4.2.1)	Description for CSF of LDP added. R_400-8425(125)

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		36 (4.2.1)	Description for Critical Safety Function added. R_518-8654(131)
		37 (4.2.2)	Description for Radiation Monitoring Variable revised. R_400-8425(124)
		37 (4.2.2)	Description for instrumentation added. R_400-8425(122)
		37 (4.2.2)	Description for inputs from APR 1400 plant design added. R_383-8458(106)
		37, 38 (4.2.2)	Terms predecessor design revised to reference plants. R_383-8458(112)
		38 (4.2.2)	HSI/I&C is changed to I&C. R_250-8282(27)
		39 (4.2.3)	Description for inputs from APR 1400 plant design added. R_383-8458(106)
		39 (4.2.3)	Terms predecessor design revised to reference plants. R_383-8458(112)
		39 (4.2.3)	HSI/I&C is changed to I&C. R_250-8282(27)
		39 (4.2.3)	Terms predecessor design revised to reference plants. R_383-8458(112)
		39 (4.2.3)	HSI/I&C is changed to I&C. R_250-8282(27)
		41 (4.2.5)	Description for visual devices added. R_383-8458(106)
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		41 (4.2.5)	Description for inputs from previous APR1400 HFE PEs added. R_383-8458(110)
		42 (4.2.5)	Description for performance based tests added. R_383-8458(112)
		42 (4.2.5)	HSI/I&C is changed to I&C. R_250-8282(27)
		42	Terms predecessor design revised to reference plants.

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		43 (4.2.6)	Terms predecessor design revised to reference plants. R_383-8458(112)
		44 (4.2.7)	Description for performance based tests added. R_383-8458(112)
		44 (4.2.7)	HSI/I&C is changed to I&C. R_250-8282(27)
		45 (4.2.8)	RCC added to HSI facility. R_502-8647(17)
		45 (4.2.8)	Terms predecessor design revised to reference plants. R_383-8458(112)
		46 (4.2.8)	Description for Traffic and visibility evaluations added. R_373-8480(94)
		46 (4.2.9)	Description for Protective Clothing in the LCS added. R_374-8481(100)
		46 (4.2.9)	Terms predecessor design revised to reference plants. R_383-8458(112)
		46 (4.2.9)	HSI/I&C is changed to I&C. R_250-8282(27)
		48 (5)	HSI/I&C is changed to I&C. R_250-8282(27)
		49 (6)	Description for Result Summary Report revised. R_374-8481(102)
		49 (6)	Description for HD ReSR added. R_358-8449(89)
		52 (8)	RCC added to HSI facility. R_502-8647(17)
		A3 (Appendix A)	Basic HSI Style Guide is changed to Style Guide. R_374-8481(97)
		A5 (Appendix A)	Description for HSI Design Modification added. R_374-8481(101)
		A10-A13 (Appendix A)	Clarify the responsibility of the COL applicant and the scope of the HD IP with regard to the EOF



<b>Revision</b>	<b>Date</b>	<b>Page</b>	<b>Description</b>
		x, xv, 2, 3, 9, 10, 12, 14, 15, 25, 34, 50 (Overall)	Editorial corrections. (typos, acronyms, references)

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## **ABSTRACT**

This document provides the implementation plan (IP) for the human factors engineering (HFE) human-system interface design (HD) program element (PE), one of 12 PEs in the Advanced Power Reactor 1400 (APR1400) HFE Program. This IP governs the technical activities conducted in the HD PE by defining the scope, methodology, output products, and the qualifications of the personnel who conduct the PE.

The main purpose of the HD PE is to create functional designs for the following:

1. The detailed design for the APR1400 Basic Human-System Interface (HSI), which establishes the generic indication, alarm, control, and procedural methods applied to all systems and functions controlled from the main control room (MCR), remote shutdown room (RSR), and remote control center(RCC). The same HSI methods apply to the safety parameter display system (SPDS) indications in the MCR and the technical support center (TSC). The APR1400 Basic HSI also defines indication, alarm, and control methods for local control stations (LCSs) used for important human actions (IHAs). The detailed design for the APR1400 Basic HSI is an extension of the conceptual design described in APR1400 Basic Human-System Interface Technical Report (TeR) (Reference 2); the conceptual design of the APR1400 Basic HSI is based on the Basic HSI of the reference plants (Shin Kori Nuclear Power Plant Units 3 and 4 [SKN 3&4]).
2. The APR1400 HSI System (HSIS), which establishes soft and conventional indications, alarms, controls and operating procedures that encompass the HSI inventory in the task analysis (TA) HFE PE and APR1400 plant system designs, within the generic HSI methods defined in the APR1400 Basic HSI.
3. APR1400 HSI Facilities, which include the APR1400 MCR, RSR, RCC, and TSC. The facility designs accommodate the APR1400 HSIS as well as storage, communication, meeting, and other habitability features important to support required operations crew performance during all facets of plant operation.

The integration of the APR1400 HSIS and APR1400 HSI Facilities is referred to as the APR1400 HSI Design.

This HD IP controls the HSI design process and scope, including the translation of HSI inventory requirements from the TA PE into the detailed designs of alarms, displays, controls, and other aspects of the HSI. This includes HSI inventory requirements to support degraded instrumentation and control (I&C) systems, automation failures, and degraded HSI conditions. Key HD outputs include soft graphical displays, soft and conventional controls, alarm prioritization and applicability logic, computer-based operating procedures, control consoles and the configuration of control rooms. This IP provides reasonable assurance that these functional designs reflect the systematic application of HFE principles and criteria through the generation of design documents, prototypes, part-task simulators and focused design tests.

HD uses input from the following APR1400 HFE PEs to create its outputs: functional requirements analysis and function allocation (FRA/FA), treatment of important human actions (TIHA), TA, staffing and qualifications (S&Q), and procedure development (PD). The end product of the HD is the functional design of the APR1400 HSI (i.e., the APR1400 HSI Design), which is incorporated into the detailed designs of HSI hardware, software, and physical facilities. The APR1400 HSI design is then formally verified and validated in the human factors verification and validation (V&V) HFE PE through high fidelity simulation.

The HD for the APR1400 Basic HSI may be conducted at any time because it does not depend on the output of other APR1400 HFE PEs, which are incorporated primarily to generate the APR1400 HSI inventory. The HD for the APR1400 HSIS is conducted after the Basic HSI is documented (as defined herein) after the APR1400 HSI inventory is identified through the HFE PEs identified above and after the instrumentation and control (I&C) design requirements are established by the mechanical and I&C system

designers for each APR1400 plant system. The piping and instrumentation diagrams (P&ID) are the starting point for creating HSI indication and control designs during the HD PE. The APR1400 conventional paper-based plant operations procedures, developed during PD are the starting point for the APR1400 computer-based procedures, which are a key component of the APR1400 HSI.

The HD is a one-time, nonrecurring HFE PE whose closure is marked by issuance of the HD results summary report (ReSR). Plant design changes are made in accordance with engineering change procedures, which include an evaluation of the APR1400 HSI design. During the APR1400 design process, the APR1400 HSI design is revised as needed in accordance with the engineering change process. APR1400 HSI design changes occurring prior to completion of the HD are reflected in the HD ReSR; APR1400 HSI design revisions occurring after completion of the HD are available for regulatory inspection. After completion of the V&V, site-specific changes, including any required changes to the APR1400 HSI Design, are managed within the design Implementation PE, which is a recurring PE for each plant.

Section 1 of this document defines the HD purpose, Section 2 establishes the scope, Section 3 provides a methodology overview, Section 4 provides the details of the methodology, including the content of each HD output product, Section 5 establishes the qualification requirements for the HD implementation team, and Section 6 defines the required content of the HD ReSR, which demonstrates that the HD PE was conducted in accordance with this IP. Appendix A demonstrates conformance of this IP to the review criteria in NUREG-0711 for the HD.

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## **ACRONYMS AND ABBREVIATIONS**

3D	three dimensional
AMI	accident monitoring instrumentation
APR1400	Advanced Power Reactor 1400
BISI	bypassed and inoperable status indication
BTP	Branch Technical Position
CBP	computer-based procedure
CCF	common-cause failure
CFM	critical function monitoring
CFR	Code of Federal Regulations
COL	combined license
CPC	core protection calculator
CSF	critical safety function
CPPF	critical power production function
D3CA	diversity and defense-in-depth coping analysis
DCD	Design Control Document
DI	design implementation
DIHA	deterministically important human actions
DIS	diverse indication system
DMA	diverse manual actuation
DPS	diverse protection system
EO	electrical operator
EOF	emergency operation facility
EOP	emergency operating procedure
ESCM	engineered safety features component control system soft control module
ESF	engineered safety features
ESF-CCS	engineered safety features – component control system
ESFAS	engineered safety features actuation system
FPD	flat panel display
FA	function allocation
FRA	functional requirements analysis
GDC	General Design Criterion
HD	human-system interface design
HED	human engineering discrepancy
HFE	human factors engineering
HFEP	Human Factors Engineering Program Plan
HSI	human-system interface
HSIS	human-system interface system
I&C	instrumentation and control
IEEE	Institute of Electrical and Electronics Engineers
IFPD	information flat panel display
ISG	interim staff guidance
IHA	important human action
IP	implementation plan
IPS	information processing system
ISV	integrated system validation
KEPCO	Korea Electric Power Corporation
KHNP	Korea Hydro & Nuclear Power Co., Ltd.
LCS	local control station
LDP	large display panel
MIC	minimum inventory control
MCR	main control room
NRC	Nuclear Regulatory Commission

NSSS	nuclear steam supply system
OER	operating experience review
OPR	Optimized Power Reactor
P-CCS	process- component control system
PE	program element
PD	procedure development
P&ID	pipng & instrumentation diagram
PPS	plant protection system
PRA	probabilistic risk assessment
QIAS-N	qualified indication and alarm system-non-safety
QIAS-P	qualified indication and alarm system-p
RCC	remote control center
ReSR	results summary report
RG	Regulatory Guide
RO	reactor operator
RSR	remote shutdown room
RT	reactor trip
S&Q	staffing and qualifications
SDCV	spatially dedicated and continuously visible
SKN 3&4	Shin-Kori Nuclear Power Plant Units 3 and 4
SLI	system level initiation
SME	subject matter expert
SPDS	safety parameter display system
SPM	success path monitoring
SS	shift supervisor
STA	shift technical advisor
TA	task analysis
TAA	transient and accident analysis
TeR	technical report
TIHA	treatment of important human actions
TO	turbine operator
TS	trade secret
TSC	technical support center
V&V	verification and validation
VDU	visual display unit



## 1 PURPOSE

This document provides the implementation plan (IP) for the human factors engineering (HFE) human-system interface design (HD) program element (PE), which is one of 12 PEs in the APR1400 HFE Program. This IP governs the technical activities conducted in the HD PE by defining the scope, methodology, output products, and the qualifications of the personnel who conduct the PE.

The HD PE creates the functional designs of the APR1400 Human-System Interface (HSI Design), which includes:

1. The detailed design of the APR1400 Basic HSI, which establishes the generic indication, alarm, control, and procedural methods applied to all systems and functions controlled from the main control room (MCR), remote shutdown room (RSR), and remote control center (RCC). The same HSI methods apply to the safety parameter display system (SPDS) indications provided in the technical support center (TSC). The APR1400 Basic HSI also defines indication, alarm, and control methods for local control station (LCSs) used for important human action (IHAs). The HD uses the APR1400 Basic HSI to provide reasonable assurance that the HSI design is consistently applied throughout the APR1400 plant systems and at the HSI locations credited for controlling the critical safety functions (CSFs) and critical power production functions (CPPFs) defined by the functional requirements analysis / function allocation (FRA/FA), during normal and degraded HSI conditions.

The conceptual design of the APR1400 Basic HSI is described in "APR1400 Basic Human-System Interface" (Reference 2) and APR1400 Style Guide (Reference 4). The Basic HSI concept includes the HSI accommodations for the plant's operations staff, such as the ergonomic designs of operator consoles, the safety console, and their architectural configurations to provide reasonable assurance of visibility and audibility for crew coordination.

The Basic HSI concept also defines the criteria and methods for spatially dedicated and continuously visible (SDCV) HSI, the methods for Class 1E and diverse HSI, and the strategies for managing degraded HSI. This IP governs the evolution of the APR1400 Basic HSI concept into APR1400 Basic HSI detailed design through the documentation of detailed functional designs, prototype development, and design tests using U.S. licensed reactor operators.

2. The APR1400 HSIS, which refers to the soft and conventional indications, alarms, controls and operating procedures that encompass the HSI inventory defined by the TA and plant system designs, within the HSI methods defined in the APR1400 Basic HSI. The APR1400 HSIS encompasses all plant operating modes, including shutdown and refueling, for both normal and abnormal conditions. Abnormal conditions include degraded I&C systems, automation failures, and degraded HSI conditions.

While the TA and plant system designs define the HSI inventory using text descriptions and characterizations, the HD reflects the inventory in graphical displays, soft controls, and conventional controls that integrate multiple, related inventory components. The HD integration is based on the inventory component relationships within plant systems, operator tasks, and plant functions using the generic techniques defined in the APR1400 Style Guide. The HD also expands the alarm inventory from the TA and plant system designs, to establish prioritization of and applicability to plant and system operating modes. The HD results in a hierarchical structure of alarms, displays, controls, and procedures that promote a mental model of the plant and plant-wide situation awareness, from the highest level functions to the success path actions needed to maintain these functions.

The HD process for the APR1400 HSIS starts with the APR1400 Basic HSI and the HSI inventory defined in Chapter 7 of the APR1400 Design Control Document (DCD) (Reference 11) to fulfill

regulatory guidance, such as indications, alarms, and controls for credited manual actions, controls for manual initiation of automated protective functions, indications on the SPDS, and indications and controls for common cause failure (CCF) conditions. HD creates graphic displays, soft controls and conventional controls to fulfill that DCD inventory and to fulfill the expanded HSI inventory defined by the TA and plant system designs.

3. The APR1400 HSI facilities, which are the MCR, RSR, RCC, and TSC, which accommodate the APR1400 HSIS as well as storage, communication, meeting, and other habitability features important to facilitating required operations crew performance during all facets of plant operation. The HD establishes the architectural configuration of operations crew offices, kitchen, and restroom facilities; meeting areas; and facility lighting requirements. It provides reasonable assurance that the HSI facilities accommodate face-to-face interaction between the operations crew and other plant staff without interfering plant operations. The HD also establishes the functional design of voice communications and paging throughout the plant and in designated offsite facilities for emergency plan coordination during abnormal events. The HD generates the three-dimensional plant model for the MCR and the functional aspects of the MCR simulator specification for the integrated system validation (ISV), which is conducted during verification and validation (V&V).

The integration of the APR1400 HSIS and APR1400 HSI Facilities is referred to as the APR1400 HSI Design. This HD IP defines the activities that are necessary to develop the APR1400 HSI Design. The activities include the incorporation of HFE design standards and guidance applicable to the APR1400 HSIS, as defined in the APR1400 Style Guide and NUREG-0700 (Reference 5), into the APR1400 HSI Facilities. This IP provides a systematic approach to integrating the HFE design standards, guidance, and results of other HFE PEs into a comprehensive HSI design process. The integration provides reasonable assurance that the resulting HSI resources and facilities effectively support performance of operational functions and tasks.

The end product of the HD PE is the functional design of the APR1400 HSI (i.e., the APR1400 HSI Design), which is implemented into the detailed designs of HSI hardware, software, and physical facilities by APR1400 engineers in multiple disciplines. The APR1400 HSI Design is formally verified and validated during the V&V through high-fidelity simulation.

A key purpose of the HD is to provide reasonable assurance that the end product (i.e., APR1400 HSI Design) reflects the resolutions of all human engineering discrepancies (HEDs) generated in previous HFE PEs and the resolutions of any HEDs that may have been generated during the HD. While the APR1400 HSI Design must reflect all HED resolutions, closure of all HEDs is not required for completion of the HD because some HEDs may require resolution to be successfully demonstrated during the ISV of the V&V.

As demonstrated in Appendix A, this IP conforms to the review criteria in Section 8 of NUREG-0711, "Human Factors Engineering Program Review Model," Rev. 3 (Reference 10).

This document defines the qualifications of the subject matter experts (SMEs) required to conduct HD and the independent review of its output products. This document also defines the required content of the HD ReSR, which demonstrates that the HD was conducted in accordance with this IP.

## 2 SCOPE

The scope of the HD includes the facility design of the MCR, RSR, RCC, and TSC. The scope also includes the HSI design of the MCR and a subset of the HSI, which is applied to the RSR, RCC, and TSC. For the emergency operation facility (EOF), the scope of the HD is limited to the HSI inventory for the SPDS. For the LCSs, the scope of the HD is limited to the HSI used for IHAs.

The HD scope is divided into the following areas:

- APR1400 Basic HSI
- APR1400 HSI System
- APR1400 HSI Facilities

The scope of each area is defined in Subsections 2.1, 2.2, and 2.3.

### 2.1 APR1400 Basic HSI

The APR1400 Basic HSI encompasses the physical design of the MCR, which includes operator consoles, the safety console, the large display panel (LDP), and furnishings such as book cases and work tables. The APR1400 Basic HSI defines the generic methods for controls, alarms, information displays, and procedure displays. These generic HSI methods are applied to Basic HSI functions, such as CBPs, critical function monitoring (CFM), success path monitoring (SPM), accident monitoring instrumentation (AMI), and bypassed and inoperable status indication (BISI). All Basic HSI functions are seamlessly integrated through Basic HSI features such as the information display hierarchy, single point alarm acknowledgment, intuitive diagnostic drill down and inter-function navigational hyperlinks. These physical and functional resources constitute the APR1400 Basic HSI, within which the HSI inventory for the APR1400 plant system designs is implemented.

Based on the results from the HFE program plan (HFEPP) (Reference 1, Figure 4-3 and Section 4.7.3.6), the HD initially expands the APR1400 Basic HSI concept, which is described in the APR1400 Basic HSI Description technical report (TeR) in conjunction with the APR1400 Basic HSI Style Guide. The scope of the APR1400 Basic HSI includes:

1. The design basis (i.e., the HSI inventory selection criteria) for SDCV indications and alarms to be displayed on the non-safety LDP and safety related displays. SDCV alarms and indications promote plant level situation awareness.
2. The design basis for SDCV controls and their location within the HSI facilities.
3. The methods (e.g., dynamic video symbols, conventional HSI components) for all displays, alarms and controls, including distinctions required to accommodate Class 1E HSI, diverse HSI, and LCS HSI, and for providing operator feedback to control actions.
4. Criteria for alarm applicability and prioritization and the display and control methods for alarm states and priorities.
5. Design criteria for graphic displays including density, graphic symbol and character size, line thickness, and information orientation.
6. The video display hierarchy, including the function, task, and system design content of each hierarchical level.
7. The navigation methods between and within hierarchical display levels and between alarms, displays, controls, and CBPs and methods for providing operator feedback to screen selection actions.
8. CBP methods, including navigation within and among procedures, place keeping, annotations and bookmarks, multiple procedure usage, independent step verification, archiving, automated data checking, and provisions for continuous action steps.
9. Configuration of operator consoles and the safety console and their arrangement within the HSI facilities.

10. Methods for control transfer between HSI facilities.
11. Nomenclature and labeling standards for all elements of both soft and conventional HSI, including abbreviations and syntax for labels and alarm messages.

The APR1400 Basic HSI also establishes standard functional specifications for the indications and controls associated with plant instrumentation and components, referred to as the basic component control and instrumentation design guide. The guide provides reasonable assurance of HSI consistency across all APR1400 plant systems.

## **2.2 APR1400 HSIS**

The HD implements the HSI inventory defined by the TA and plant system designs in the Basic HSI methods described above, which encompass both video and conventional devices. For plant systems that are site specific, such as the switchyard and ultimate heat sink, the HD is based on generic assumptions that are made to establish a complete plant design that is reflected in the complete APR1400 HSIS. These generic assumptions are modified as necessary for each plant-specific application of the APR1400 during the design implementation (DI) HFE PE.

The scope of the APR1400 HSIS encompasses soft displays and controls, and conventional displays and controls for all aspects of the APR1400 Basic HSI, as follows:

1. Large display panel (LDP); SDCV sections
2. Information flat panel displays (IFPDs); selectable displays with soft controls, including SPDS (displays are also applicable to the selectable sections of the LDP)
3. Engineered safety features control modules (ESCMs); selectable soft controls
4. Qualified indication and alarm system — non-safety (QIAS-N); SDCV and selectable displays
5. Qualified indication and alarm system – post accident monitoring (QIAS-P); SDCV and selectable displays
6. Plant protection system (PPS) and core protection calculator (CPC) operator modules; selectable displays with soft controls
7. Reactor trip (RT) and engineered safety features (ESF) system-level initiation (SLI) controls; conventional SDCV controls
8. Minimum inventory controls (MICs); conventional SDCV controls
9. Diverse manual actuation (DMA) controls; conventional SDCV controls
10. Diverse indication system (DIS); selectable displays
11. Safety console configuration (encompassing all items above, except LDP and IFPD)
12. Alarms that are displayed on the LDP, IFPDs, and QIAS-N displays
13. LCS; conventional indications and controls

For all items in the above list, the HD generates pictorial design drawings with a database that correlates each pictorial element to a unique instrumentation or control item in the plant system designs. The pictorial designs integrate the HSI inventory defined by the TA and plant system designs in the information hierarchy of the APR1400 Basic HSI, using the conventions established by the APR1400 Style Guide.

As for all computer driven displays, the HD graphical design output for the SPDS is implemented in software for the MCR, RSR, RCC, and TSC. However, for the EOF the HD output for the SPDS is provided only to define the HSI inventory requirements and to provide guidance for graphical implementation of the SPDS within the EOF. The EOF HSI system is provided by the combined license (COL) applicant. Therefore, the COL applicant provides the actual graphical design and software for the SPDS in the EOF, in accordance with the EOF HSI system style guide to provide reasonable assurance of conformance with the HFE criteria for the EOF.

The HD PE also includes the CBPs that are used for the scenarios conducted during the ISV. Other procedures that are unrelated to the V&V scenarios are not within the scope of the HD PE because they have their own development and verification program through the procedure development (PD) PE.

Placeholders for the additional procedures are included in the APR1400 HSIS to provide reasonable assurance of completeness of the information inventory and to avoid operator bias during the ISV.

### **2.3 APR1400 HSI Facilities**

The APR1400 HSI Facilities included in this HD PE are the MCR, RSR, RCC, TSC, and LCSs. The EOF facility is in the scope of the COL applicant; therefore, the EOF is outside the scope of the APR1400 HD PE (except for the HSI inventory requirements and implementation guidance for the SPDS, as described above).

For the MCR, RSR, RCC, and TSC, the HD generates functional designs or design requirements that address the follow facility characteristics:

1. Arrangement of operator consoles, safety console, and LDP
2. Arrangement of meeting tables and chairs, desk areas and laydown areas for plant drawings, documents, and procedures
3. Location and functional configuration of communications devices for paging, plant announcements, and telecommunications
4. Location of personal computers, printers, and other components of the plant's information technology system
5. Storage facilities for documents, drawings, operating procedures and equipment for the plant's emergency plan
6. Location of entries and exits, kitchen, and restroom facilities
7. Location of offices for plant personnel and meeting rooms with an emphasis on their visibility and accessibility into the control areas
8. Ambient noise requirements, and facility features to minimize noise and provide reasonable assurance that normal voice communications are audible in required locations
9. Lighting requirements, and facility features to provide reasonable assurance that lighting minimizes glare on visual display units and conventional control devices and that facility features provide reasonable assurance that lighting is adequate in areas where documents and drawings must be read.
10. Requirements for environmental conditions that promote comfortable working conditions.

For LCSs, the HD provides reasonable assurance of timely area accessibility, adequate task lighting and a non-hazardous environment that does not require onerous personnel hazard protection.

### **3 METHODOLOGY OVERVIEW**

The HD generates the designs for:

- APR1400 Basic HSI
- APR1400 HSIS
- APR1400 Facilities

The HD process for each is depicted in Figure 3-1, and overviews are provided in Subsections 3.1 through 3.6.



**Figure 3-1 HSI Design Overview**

#### **3.1 APR1400 Basic HSI**



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**3.2 APR1400 HSIS**

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**3.2.1 Critical Safety Function Displays**

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**3.2.2 System Displays**

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**3.2.3 Task Displays**

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**3.2.4 Application Displays**

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**3.2.5 Alarms**

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**3.2.6 Computer-Based Procedures**

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**3.2.7 Performance-Based Tests**

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**TS**



**3.2.8 Safety Console**

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**3.2.9 Local Control Stations**

**TS**



**3.3 APR1400 Facilities**

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**3.3.1 Central Facilities**

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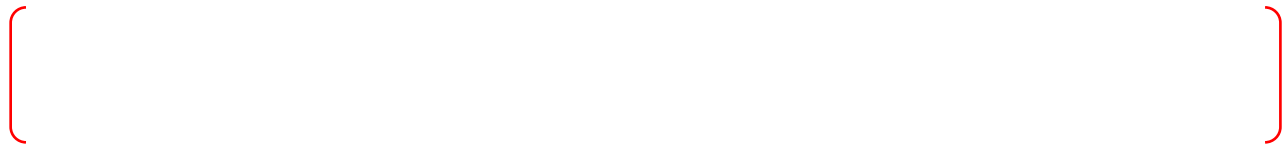
**3.3.2 Local Control Station Facilities**

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**3.4 Independent Review**

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**3.5 HD Interfaces with Other HFE Program Elements**

The HD interfaces with other HFE PEs as described in Subsections 3.5.1 through 3.5.9.

**3.5.1 Operating Experience Review**

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**3.5.2 Functional Requirements Analysis and Function Allocation**

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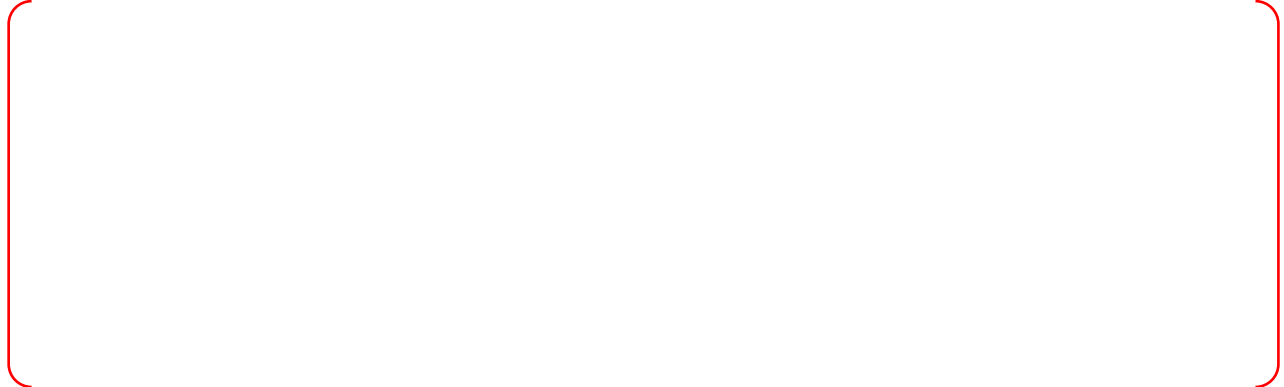
**3.5.3 Task Analysis**

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**3.5.4 Treatment of Important Human Actions**

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**3.5.5 Staffing and Qualifications**

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**3.5.6 Procedure Development**

[ ] **TS**

**3.5.7 Training Program Development** **TS**

[ ]

**3.5.8 Human Factors Verification and Validation** **TS**

[ ]

**3.5.9 Design Implementation** **TS**

[ ]

**3.6 HD Interfaces with the APR1400 Plant Design**

The HD interfaces with the APR1400 plant design in the following key areas:

- o I&C system designs
- o Plant system designs




The interfaces are described in Subsections 3.6.1 and 3.6.2.

**3.6.1 Instrumentation and Control System Designs** **TS**

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**3.6.2 Plant System Designs** **TS**

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	<b>3.7 HD Input from Predecessor Design and Reference Plants</b>	<b>TS</b>
		
<b>3.8 HSI Design Modifications</b>		<b>TS</b>
		

## **4 IMPLEMENTATION**

This section describes the HD implementation plan for:

- APR1400 Basic HSI (Subsection 4.1)
- APR1400 HSIS and APR1400 Facilities (Subsection 4.2)

### **4.1 APR1400 Basic HSI**

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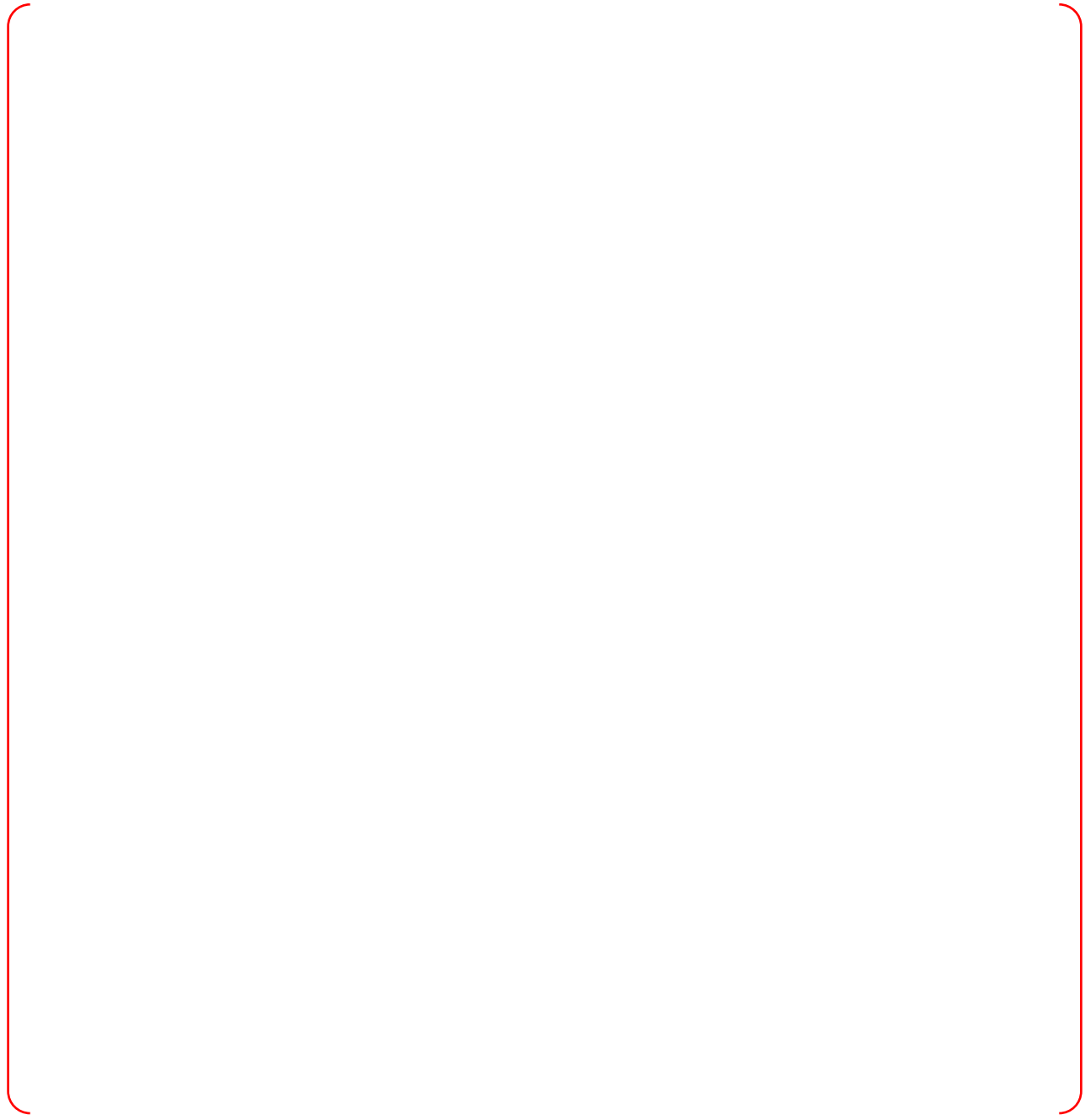


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**4.1.1 Basic HSI Design**

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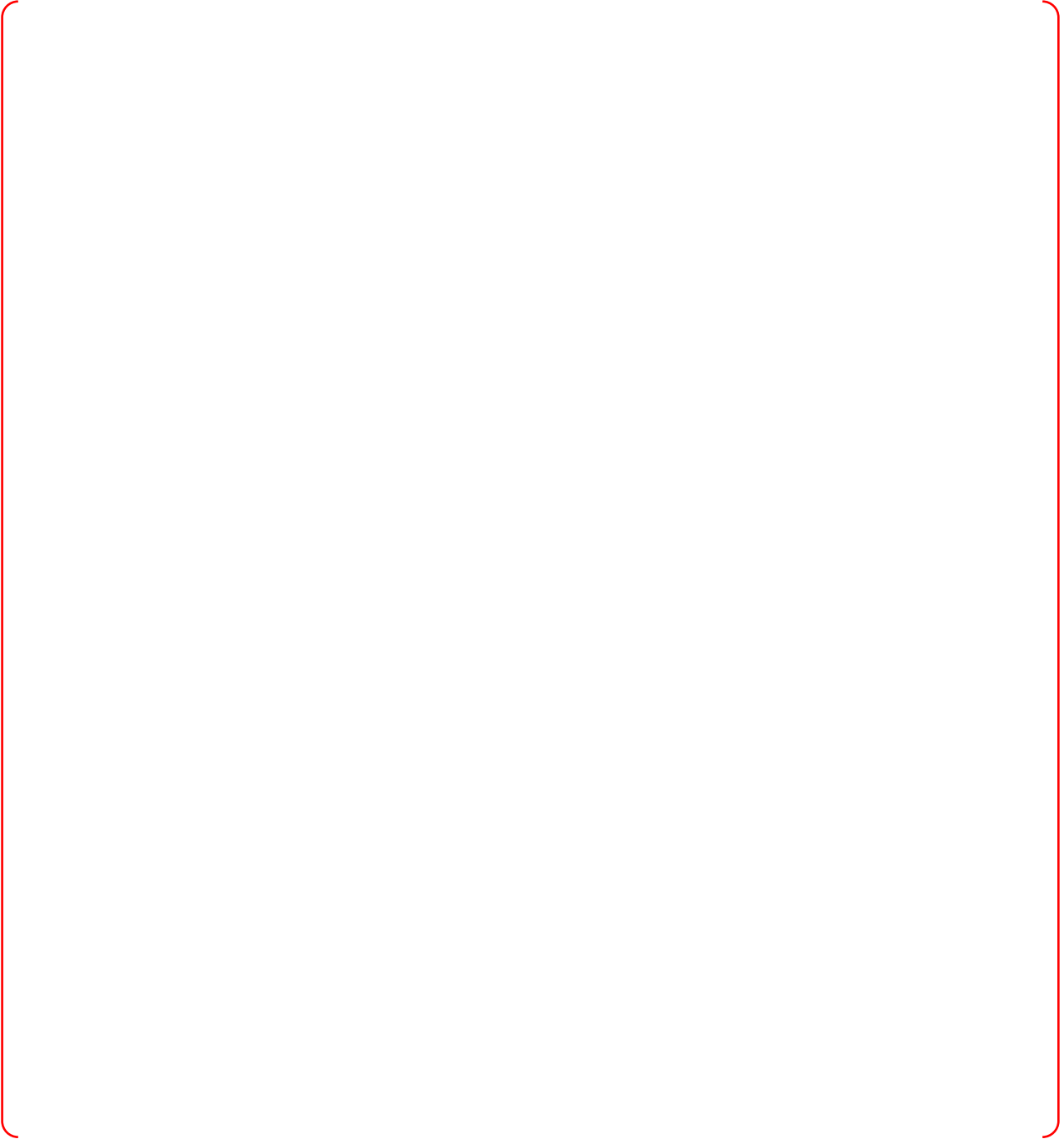


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**4.1.1.1 LDP**

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**4.1.1.2 Soft Control**

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**4.1.1.3 Information Display Hierarchy**

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**4.1.1.4 Computer-Based Procedures**

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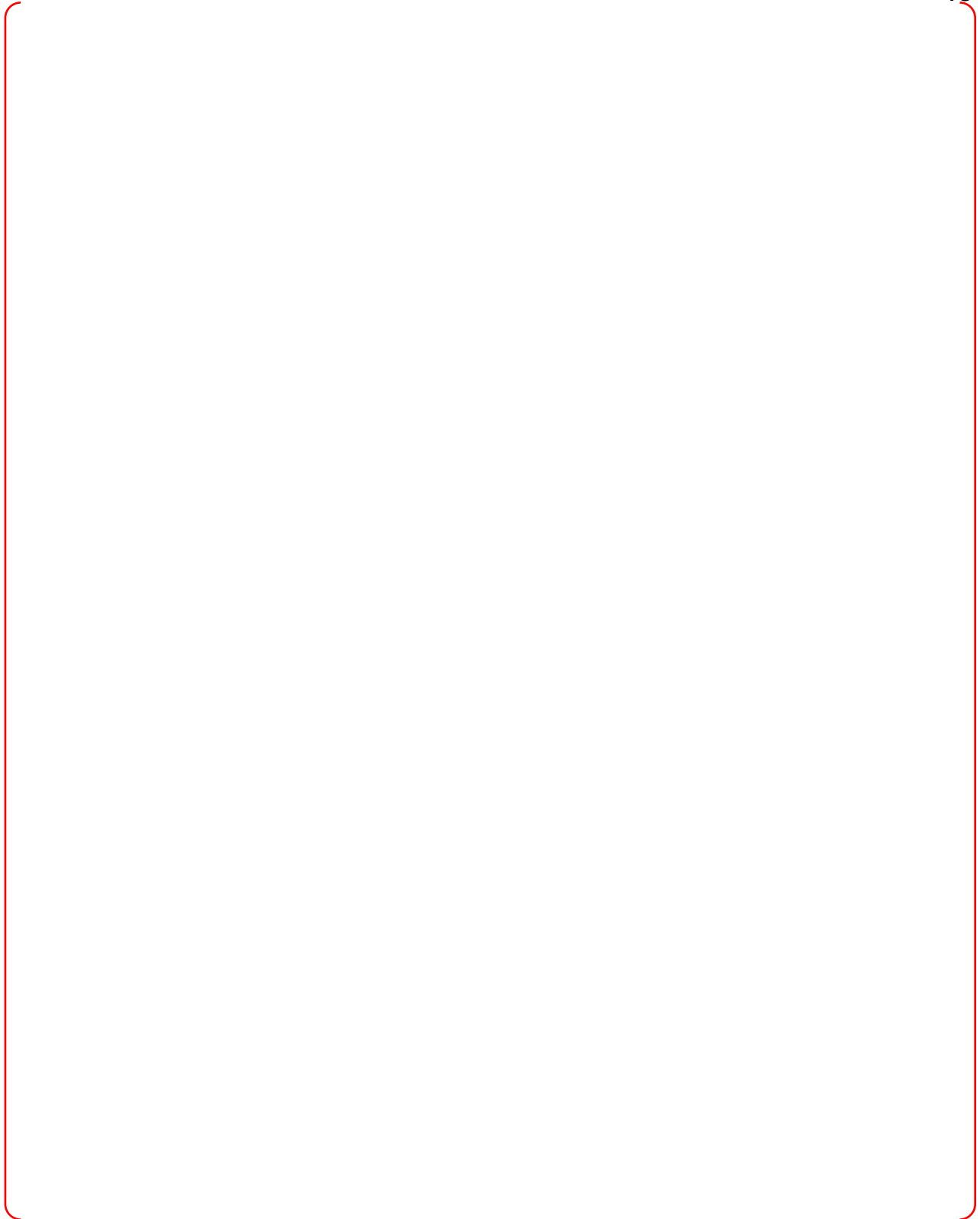


**4.1.1.5 Alarms**

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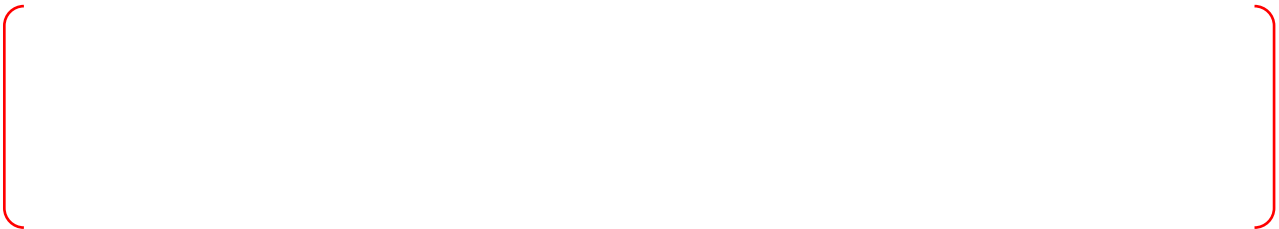
**4.1.1.6 Communications**

**TS**



**4.1.1.7 Equipment Tag-out**

**TS**



**4.1.2 HSI Concept of Use**

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#### 4.1.3 Basic HSI Concept for Degraded I&C and HSI Conditions

The APR1400 Basic HSI accommodates the following degraded HSI conditions:

- Failure of individual HSI components
- Loss of all non-safety HSI
- CCF of all digital safety systems
- MCR evacuation

The design basis and design features of the APR1400 Basic HSI to cope with each condition are described in Subsections 4.1.3.1 through 4.1.3.5.

##### 4.1.3.1 Failure of Individual HSI Components

**4.1.3.2 Loss of All Non-safety HSI**

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**4.1.3.3 CCF of All Digital Safety Systems**

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**4.1.3.4 MCR Evacuation**

**TS**



**TS**



**4.1.3.5 Failure of I&C Components**

**TS**



**4.1.4 Basic HSI Conformance with Regulatory Guidance**

The regulatory guidance that influences the APR1400 Basic HSI and ultimately the HSI inventory included in the APR1400 HSIS is described in Subsections 4.1.4.1 through 4.1.4.18.

**4.1.4.1 Safety Parameter Display System**

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**4.1.4.2 Bypassed and Inoperable Status Indication**

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**4.1.4.3 Relief and Safety Valve Position Monitoring**

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**4.1.4.4 Manual Feedwater Control**

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**4.1.4.5 Containment Monitoring**

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**4.1.4.6 Core Cooling**

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**4.1.4.7 Post-Accident Monitoring Instrumentation**

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**4.1.4.8 Auxiliary Heat Removal**

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**4.1.4.9 Reactor Level Monitoring**

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**4.1.4.10 Leakage Control**

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**4.1.4.11 Radiation Monitoring**

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**4.1.4.12 Manual Initiation of Protective Actions**

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**4.1.4.13 Diversity and Defense-in-Depth**

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**4.1.4.14 Important Human Actions**

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**4.1.4.15 Computer-Based Procedure Systems**

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**4.1.4.16 Alarms for Credited Manual Operator Actions**

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**4.1.4.17 Safe Shutdown from Outside the MCR**

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**4.1.4.18 Technical Support Center**

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**4.1.4.19 Emergency Offsite Facility**



**4.1.5 Basic HSI Design Inputs**

The APR1400 Basic HSI and the Basic HSI for the reference plants, SKN 3&4, are the same or similar except for the changes described in Subsections 4.1.5.1 and 4.1.5.2. The evolution of SKN 3&4 is also described.

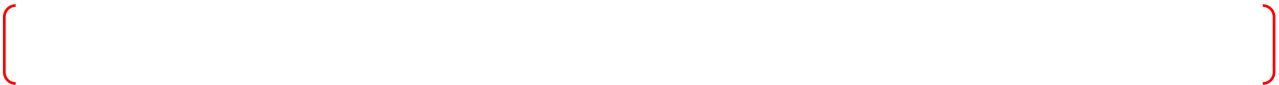
**4.1.5.1 Design Evolution**

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**4.1.5.2 Changes from SKN 3&4**

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**4.1.6 Implementation Plan for Basic HSI Detailed Design**

The design concept described in the APR1400 Basic HSI TeR (Reference 2) is expanded and, revised based on the outputs from the HFE program and the final detailed plant design, to create a detailed design, as described in this implementation plan, and shown in Figure 3-1. The generation of the documents described in Subsections 4.1.6.1 through 4.1.6.4 support this revision process and assures design acceptance, consistency, and a verifiable process..

**4.1.6.1 Functional Specifications**



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**4.1.6.2 Style Guide**

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**4.1.6.3 Nomenclature and Labeling Guide**

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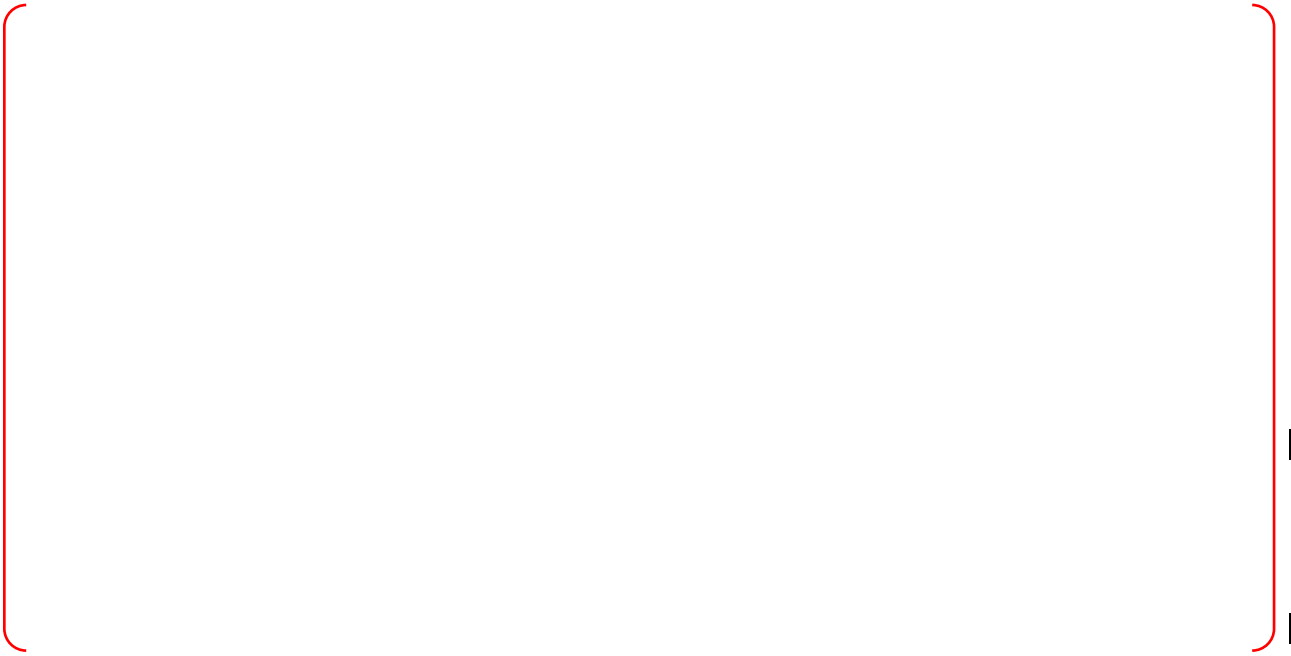
**4.1.6.4 Component Control and Instrumentation Design Guide**

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**4.1.7 Basic HSI Tests and Evaluations**

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**4.2 APR1400 HSIS and Facilities**

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**4.2.1 Critical Safety Function Displays**

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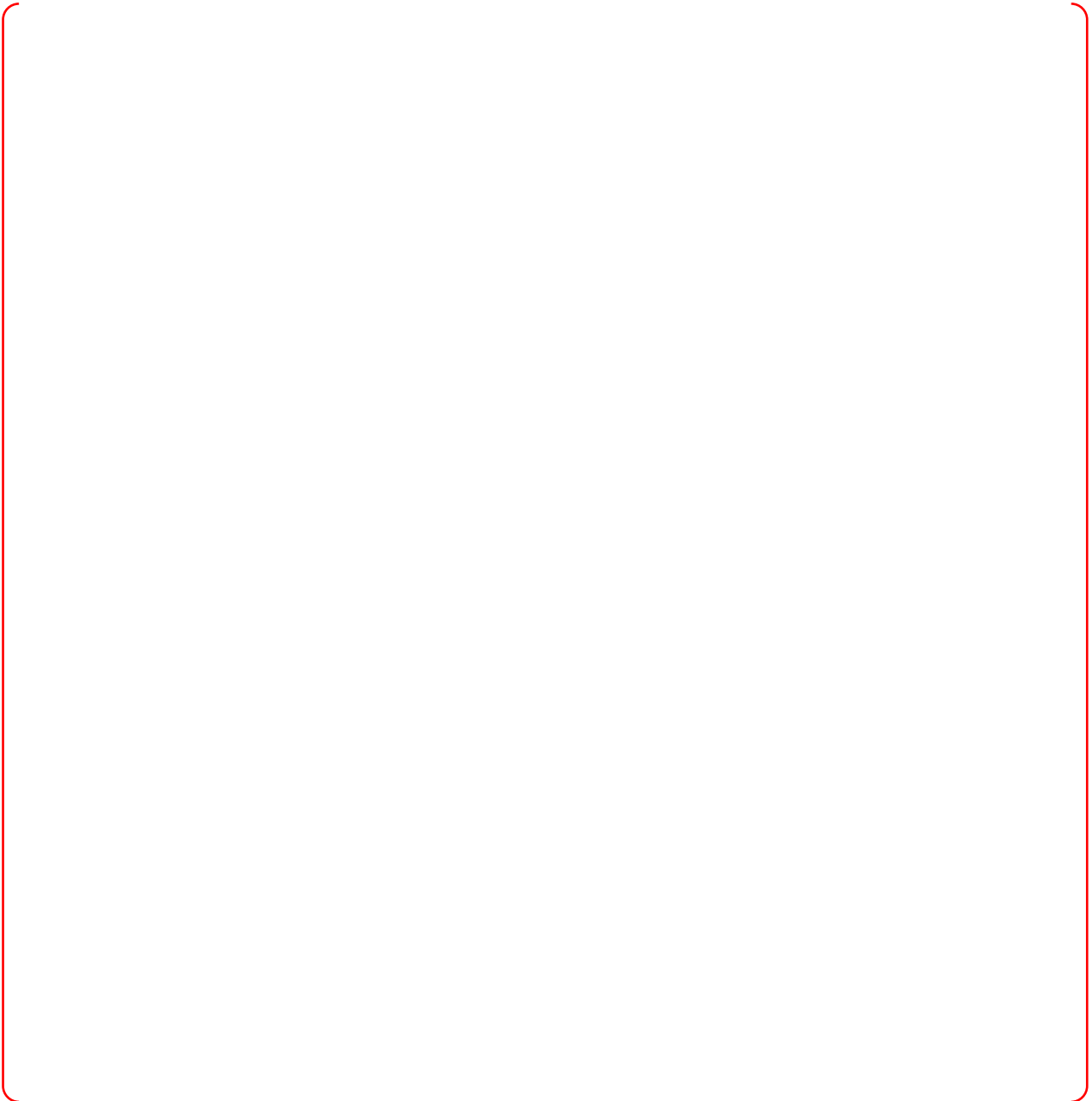


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**4.2.2 System Displays**

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**4.2.3 Task Displays**

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**4.2.4 Application Displays**

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**4.2.5 Alarms**

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**4.2.6 Computer-Based Procedures**

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**4.2.7 Safety Console**

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**4.2.8 Central Facilities**

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**4.2.9 Local Control Stations and Facilities**

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## 5 IMPLEMENTATION TEAM

SMEs are used for various functions throughout the HD process. The SMEs who implement the HD PE activities for each HD output are described in Section 4 and summarized in Table 5-1.

Table 5-1 HD Implementation Summary

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## 6 RESULTS SUMMARY REPORT

The results of the HD are documented in the HD results summary report (ReSR), either directly or through reference to HD documentation. The ReSR demonstrates that the APR1400 HSI design process was conducted in accordance with this IP.

The HD ReSR includes the following:

1. HD results overview, which describes the principal findings of the HFE PE, including confirmation that the APR1400 HSIS supports correct execution of IHAs. This confirmation provides preliminary validation of the time margin result from the TA for the credited DIHAs defined in the TAA and D3CA.
2. Overview of all HED resolutions implemented in the APR1400 HSI Design, including identification of any HED resolutions that require demonstration during V&V for HED closure.
3. Each HD team member's name, SME position, and the HD outputs generated by the team member or the independent review the team member conducted.
4. HD execution results, which include summaries and references to all details that demonstrate conformance with Section 4 of the IP, using the output format defined in Section 4. The output format includes the following:
  - a. APR1400 Basic HSI test report
  - b. Specifications for each HSI element within the APR1400 HSIS
  - c. APR1400 HSIS database(s) for alarms and graphic displays
  - d. CBPs for the ISV
  - e. Performance test reports
  - f. Safety console specification
  - g. LCS specifications
  - h. Specifications for each facility within the APR1400 HSI Design
  - i. Traffic flow and visibility report for each APR1400 Facility
5. A conclusion that the HD PE:
  - a. Has been conducted in accordance with this HD IP
  - b. Has generated an APR1400 HSI Design whose HSIS and Facilities support the functional, task and staffing requirements defined by previous HFE PEs

The HD is a one-time, nonrecurring HFE PE whose closure is marked by the HD ReSR. The output of HD reflects the resolution of all HEDs generated by previous APR1400 HFE PEs or HEDs generated due to plant design changes. Any HEDs generated during subsequent V&V are evaluated during V&V or DI for any potential changes needed in the APR1400 HSI Design. These changes are managed through the HED resolution process.

After completion of V&V, site-specific changes, including any required HSI design changes, are managed within the design implementation (DI) PE, which is a recurring PE for each plant. The DI also provides reasonable assurance that all HEDs are closed.

## 7 REFERENCES

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20. GDC 19, 10 CFR 50, Appendix A, "Control Room."

21. Regulatory Guide 1.189, "Fire Protection for Nuclear Power Plants," Rev. 2, U.S. Nuclear Regulatory Commission, October 2009.
22. DI&C-ISG-04, "Highly Integrated Control Rooms & Digital Communication Systems," Rev. 1, Digital Instrumentation and Controls Interim Staff Guidance, U.S. Nuclear Regulatory Commission, March 2009.

## **8 DEFINITIONS**

APR1400 Basic Human-System Interface (HSI): Generic indication, alarm, control and procedure methods applied to all systems and functions controlled from the MCR, RSR, and RCC. The same HSI methods apply to the SPDS indications provided in the MCR and the TSC. The APR1400 Basic HSI also defines indication, alarm, and control methods for LCSs used for IHAs.

APR1400 HSI Design: Complete integration of the APR1400 HSIS and APR1400 HSI Facilities (see definitions below).

APR1400 HSI Facilities: APR1400 MCR, RSR, RCC, TSC and LCSs. The facility designs accommodate the APR1400 HSIS (see definition below) as well as storage, communication, meeting and other habitability features important to support required operations crew performance during all facets of plant operation.

APR1400 Human-System Interface System (HSIS): The soft and conventional indications, alarms, controls, and operating procedures that encompass the HSI inventory defined by the TA PE and APR1400 plant system designs within the generic HSI methods defined by the APR1400 Basic HSI.

Independent reviewer: Person with qualifications equivalent to the originator of a product but not engaged in preparing the product.

Known aspect: An aspect of the APR1400 plant design or APR1400 Basic HSI design that is documented at the time of the HFE analysis.

Performance-based testing: Testing using dynamic simulation and plant operators that includes scenarios targeted to confirm the design of specific HSI features.

Preferred emergency success path: The first set of plant structures, systems, and components, defined by the EOPs for event mitigation. EOPs may define alternate success paths if the preferred success path does not function as expected.

**APPENDIX A. NUREG-0711, REV. 3, REVIEW CRITERIA CONFORMANCE TABLE**

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
<p><b>8.4 Review Criteria</b>  <b>8.4.1 HSI Design Inputs</b>            (1) <i>Analysis of Personnel Task Requirements</i> – The applicant should use the following analyses, performed in earlier stages of the design process, to identify requirements for the HSIs:</p>	3.5
<ul style="list-style-type: none"> <li>• <i>Operational Experience Review</i> – An input to the HSI design should encompass lessons learned from other complex human-machine systems, especially predecessor designs and those involving similar HSI technology.</li> </ul>	3.5.1
<ul style="list-style-type: none"> <li>• <i>Functional Requirements Analysis and Function Allocation</i> – The HSIs should support the roles of personnel in the plant, e.g., appropriate levels of automation.</li> </ul>	3.5.2
<ul style="list-style-type: none"> <li>• <i>Task Analysis</i> – The set of requirements to support the role of personnel is provided by task analyses that should identify:               <ul style="list-style-type: none"> <li>- tasks needed to control the plant during a range of operating conditions from normal through accident conditions</li> <li>- detailed information and control requirements (e.g., requirements for display range, precision, accuracy, and units of measurement)</li> <li>- task support requirements (e.g., special lighting and ventilation requirements)</li> <li>- important HAs, as defined in Section 7 of this document, that should be given special attention in the HSI design process</li> </ul> </li> </ul>	3.5.3
<ul style="list-style-type: none"> <li>• <i>Staffing and Qualifications</i> – The findings from analyses of staffing/qualifications should provide input for deciding upon the layout of the overall control room and allocating controls and displays to individual consoles, panels, and workstations. The staffing/qualifications analyses establish the basis for the minimum and maximum number of personnel to be accommodated, and requirements for coordinating activities between them.</li> </ul>	3.5.5
<p>(2) <i>System Requirements</i> – The applicant should identify any constraints on the HSI design imposed by the overall I&amp;C system, e.g., constraints on the information that can be presented due to sensor data availability.</p>	3.1 paragraph 1, 3.2 paragraph 1, 3.3 paragraph 1
<p>(3) <i>Regulatory Requirements</i> – The applicant should identify the applicable regulatory requirements as inputs to the HSI design process.</p>	4.1.4, 4.1.5.2

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<p>(4) <i>Other Requirements</i> – The applicant should identify any other requirements, such as customer requirements, that are inputs to the HSI design.</p>	<p>3.1 paragraph 3 (input from US operators), 4.1.3.2 paragraph 2</p>
<p><b>8.4.2 Concept of Use and HSI Design Overview</b>            (1) The applicant should develop a concept of use stating the roles and responsibilities of operations personnel based upon anticipated staffing levels. The concept of use should:</p> <ul style="list-style-type: none"> <li>• provide a high-level description of how personnel will work with HSI resources</li> <li>• address the coordination of personnel activities, such as interactions with auxiliary operators and the coordination of maintenance and operations</li> </ul> <p><i>Additional Information:</i> Examples of the types of information the applicant may identify include the allocation of tasks between the main control room or to local control stations, whether personnel will work at a single large workstation or at individual ones, to what types of information each crew member will have access, and what types of information will be displayed to the entire crew.</p>	<p>4.1.1, 4.1.2</p>
<p>(2) The applicant should provide an overview of the HSI, covering the technical bases demonstrating that they constitute a state-of-the-art HSI design supporting personnel performance. These bases may include analyses of operating experience and the literature, tradeoff studies simultaneously considering multiple alternatives, and engineering tests and evaluations. The overview should include a description of:</p> <ul style="list-style-type: none"> <li>• facility layouts, including workstations, large screen displays, and the nominal staff working positions</li> <li>• key HSI resources and their functionality, such as alarms, displays, controls, computer-based procedures, and other support and job aids</li> <li>• technologies to support teamwork and communication within the main control room and between the main control room, the remote shutdown facility, the TSC, EOF, and local control stations</li> <li>• the responsibilities of the crew for monitoring, interacting, and overriding automatic systems and for interacting with computerized procedures systems and other computerized operator support systems</li> </ul>	<p>3.1, 4.1.1.1 through 4.1.1.6 (additional detail including facility layout is in the Basic HSI Description TeR)</p>

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
<p><b>8.4.3 HFE Design Guidance for HSIs</b>            Applicants should employ design-specific HFE design guidance in designing the features of the HSIs, their layout, and environments. Although design guidance documents are called by different names, NUREG-0711 refers to them as “style guides.” Applicants may use one or more individual documents to serve this purpose. The HFE guidelines in NUREG-0700 may serve to support the NRC staff’s review of the guidance in an applicant’s style guide.</p>	3.3.2, 4.1.6.2
<p>(1) The topics in the applicant’s style guide(s) should address the scope of HSIs included in the design, and address their form, function, and operation, as well as the environmental conditions in which they will be used that are relevant to human performance.  <i>Additional Information:</i> NUREG-0700 lists HSI topics around which a style guide(s) may be organized.</p>	Refer to APR1400 Style Guide TeR
<p>(2) The guidance in the applicant’s style guide(s) should be developed from generic HFE guidance and HSI design-related analyses. It should be tailored to reflect the applicant’s design decisions in addressing specific goals of the HSI design.  <i>Additional Information:</i> Analyses related to the HSI design might include an evaluation of recent literature, analysis of current industry practices and operational experience, tradeoff studies, and the findings from design-engineering experiments and evaluations.</p>	4.1.6.2 paragraph 1
<p>(3) The individual guidelines in the applicant’s style guide(s) should be expressed precisely and describe easily observable HSI characteristics, such as “Priority 1 alarms are shown in red.” The guidelines in the style guide(s) should be sufficiently detailed so that design personnel can deliver a consistent, verifiable design meeting the applicant’s guidelines.</p>	Refer to APR1400 Style Guide TeR
<p>(4) The applicant’s style guide(s) should contain procedures for determining where and how HFE guidance will be used in the overall design process. They should be written so designers can readily understand them; the text should be supplemented with graphical examples, figures, and tables to facilitate comprehension.</p>	Refer to APR1400 Style Guide TeR
<p>(5) The applicant should maintain the style guide(s) in a form that is readily accessible and usable by designers, and is easily modified and updated as the design matures. The guidance should include a reference(s) to the source upon which it is based.</p>	Refer to APR1400 Style Guide TeR

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
<p><b>8.4.4 HSI Detailed Design and Integration</b>                      The criteria in this section are divided into the following subsections:                      8.4.4.1, General                      8.4.4.2, Main Control Room                      8.4.4.3, Technical Support Center                      8.4.4.4, Emergency Operations Facility                      8.4.4.5, Remote Shutdown Facility                      8.4.4.6, Local Control Stations                      Many criteria in this section are based on HFE guidance from other documents. We listed these documents and give the full references for them, including the specific revision or year of publication, in Section 14, References.</p>	No criteria
<p><i>8.4.4.1 General</i>                      (1) For important HAs (see Element 7), the applicant's design should minimize the probability that errors will occur, and maximize the probability that any error made will be detected.</p>	3.5.4, 4.1.4.14
<p>(2) The applicant should base the layout of HSIs within consoles, panels, and workstations on (1) analyses of personnel roles (job analysis), and (2) systematic strategies for organization, such as arrangement by importance, and frequency and sequence of use.</p>	4.1.1 paragraphs 1 and 6
<p>(3) The applicant should design the HSIs to support inspection, maintenance, test, and repair of (1) plant equipment, and (2) the HSIs. The applicant should design the latter so that inspection, maintenance, test, and repair of the HSIs do not interfere with other plant-control activities (e.g., maintenance tags should not block the operators' views of plant indications).</p>	3.5.3, 4.1.3.1 last paragraph, 4.1.1.7
<p>(4) The applicant's design should support personnel task performance under conditions of minimum-, typical-, and high-level or maximum staffing.  <i>Additional Information:</i> Minimum staffing is that defined by plant's technical specifications.                      Typical staffing is that specified and used by the licensee for routine plant operations. Maximum staffing includes the augmented staff for accident situations.</p>	4.1.2
<p>(5) The applicant's design process should account for using the HSIs over the duration of a shift where decrements in human performance due to fatigue may be a concern.  <i>Additional Information:</i> As an example, simulation tests can evaluate fatigue caused by using touch screens for long periods</p>	4.1 second paragraph bullet 5



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<p>(6) The characteristics of the applicant’s HSIs should support human performance under the full range of environmental conditions, ranging from normal to credible extreme conditions, such as loss of lighting and of ventilation. For the remote shutdown facility and local control stations, the applicant’s HFE design should consider the ambient environment (e.g., noise, temperature, contamination) and the need for and type of protective clothing.</p> <p><i>Additional Information:</i> For example, consideration should be given to the effects that protective clothing may have on task performance (e.g., protective gloves may make manual dexterity tasks more difficult and increase the time necessary to complete them).</p>	<p>3.3.2 4.1, second paragraph. item 8; third paragraph 4.2.8, item 5 4.2.9 item 1</p>
<p>(7) The applicant should identify how in an operating plant:</p> <ul style="list-style-type: none"> <li>• the HSIs are modified and updated</li> <li>• temporary HSI changes are made (such as modifying the set points)</li> <li>• personnel-defined HSIs are created (such as temporary displays that personnel define for monitoring a specific situation)</li> </ul>	<p>3.8</p>
<p>(8) <i>Additional Considerations for Reviewing the HFE Aspects of Plant Modifications</i></p>	<p>Not Applicable</p>
<p><b>8.4.4.2 Main Control Room</b> In some of the criteria below, we italicize and underline the word “how” to emphasize it. The word refers to the means by which the information identified in the criterion is displayed by the HSIs to personnel, e.g., how displays depict the information that operators need for monitoring tasks.</p>	<p>No criteria, additional detail for all criteria in this section is provided in the APR1400 Basic HSI Description TeR</p>

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
<p>(1) <i>Safety Parameter Display System</i> – The applicant should describe the safety parameter display system (SPDS), addressing the following:</p> <ul style="list-style-type: none"> <li>• <i>Identification of Critical Safety Functions (CSFs)</i> – The CSFs needed to meet the requirement for an SPDS should be identified. NUREG-1342 Section III.F, Minimum Parameters for Display, lists the five CSFs that personnel monitor using an SPDS for boiling water reactor (BWRs) and pressurized water reactor (PWRs). For new designs, applicants should verify that these CSFs are suitable for their design, identifying any changes needed based on their design’s detailed characteristics.</li> </ul> <p>CSFs may differ for non-light water reactor designs, such as high-temperature gascooled reactors and liquid-metal reactors.</p> <ul style="list-style-type: none"> <li>• <i>Identification of the Parameters Personnel will use to Monitor Each CSF</i> – The applicant should identify the plant parameters personnel need to monitor each CSF and describe the means by which plant data are synthesized, combined, or otherwise evaluated to provide the information presented in the SPDS display.</li> </ul> <p>Section III.F of NUREG-1342 has guidance on acceptable parameters for the current fleet of PWRs and BWRs. The applicant’s identification of parameters should consider the unique characteristics of the plant’s design.</p> <ul style="list-style-type: none"> <li>• <i>Evaluation of SPDS HSIs</i> – The applicant should verify that the SPDS HSIs conform to acceptable HFE practices using NUREG-0700, Section 5 and other SPDS HFE guidance.</li> </ul> <p><i>Additional Information:</i> SPDS requirements are described in 10 CFR 50.34(f)(2)(iv), and related guidance in NUREG-0835, NUREG-1342, Supplement 1 of NUREG-0737, and NUREG-0700, Section 5. These NUREGs discuss the NRC’s review guidance for SPDS, with NUREG-0700 being the primary one; the others encompass supplemental guidance, examples, and technical bases.</p>	<p>3.2.1, 4.1.4.1, SPDS inventory is defined by FRA/FA and TA</p>

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
<p>(2) <i>Bypassed and Inoperable Status Indication</i> – The applicant should describe <i>how</i> the HSI assures the automatic indication of the bypassed and inoperable status of a safety function, and the systems actuated or controlled by the safety function. [10 CFR 50.34(f)(2)(v) - I.D.3] Regulatory Guide 1.47 includes the following guidance related to the display of bypassed and inoperable status of safety systems:</p> <ul style="list-style-type: none"> <li>• The status indication should be in the main control room.</li> <li>• Administrative procedures should be supplemented by an automatic indication system that shows, for each affected safety system or subsystem, the bypass or deliberately induced inoperability of a safety function, and the systems it actuates or controls.</li> <li>• Provisions should be made allowing the operations staff to confirm that a bypassed safety function was properly returned to service.</li> <li>• Annunciating functions for system failure and automatic actions based on the selftest or self-diagnostic capabilities of digital computer-based I&amp;C safety systems should be consistent with the above bullets.</li> <li>• The indication system for bypass and inoperable status should include the ability to ensure its operable status during normal plant operation to the extent to which the indicating and annunciating functions can be verified.</li> <li>• Bypass and inoperable status indicators should be arranged such that personnel can determine whether it is permissible to continue operating the reactor.</li> <li>• The control room of all affected units should receive an indication of the bypass for their shared system safety functions.</li> </ul>	<p>3.2.1 paragraph 1 bullet 2, 4.1.4.2</p>
<p>(3) <i>Relief and Safety Valve Position Monitoring</i> – The applicant should describe <i>how</i> the HSI indicates the position of the relief and safety valves (open or closed) in the control room. [10 CFR 50.34(f)(2)(xi)- II.D.3]</p>	<p>4.1.4.3</p>
<p>(4) <i>Manual Feedwater Control</i> – The applicant should describe <i>how</i> the HSI provides automatic and manual initiation of the auxiliary feedwater system, and indicates auxiliary feedwater system flow in the control room. [Applicable to PWRs only, 10 CFR 50.34(f)(2)(xii) - II.E.1.2]</p>	<p>4.1.4.4</p>
<p>(5) <i>Containment Monitoring</i> – The applicant should describe <i>how</i> the control room’s HSIs (alarms and displays) inform personnel about: (A) containment pressure; (B) containment water level; (C) containment hydrogen concentration; (D) containment radiation intensity (high level); and (E) noble gas effluents for all potential, accident release points. [10 CFR 50.34(f)(2)(xvii) - II.F.1]</p>	<p>4.1.4.5</p>

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
(6) <i>Core Cooling</i> – The applicant should describe <i>how</i> the HSI provides unambiguous indication of inadequate core cooling, such as with primary coolant saturation meters in PWR’s, and a suitable combination of signals from indicators of coolant level in the reactor vessel and in-core thermocouples in PWRs and BWRs. [10 CFR 50.34(f)(2)(xviii) - II.F.2]	4.1.4.6
(7) <i>Post-accident Monitoring</i> – The applicant should describe <i>how</i> the HSI assures monitoring of plant and environmental conditions following an accident including core damage. [10 CFR 50.34(f)(2)(xix) - II.F.3, and RG1.97]	4.1.4.7
(8) <i>Auxiliary Heat Removal</i> -- The applicant should describe <i>how</i> that necessary automatic and manual actions can be taken to ensure proper functioning of auxiliary heat removal systems when the main feedwater system is not operable. [Applicable to BWRs only, 10 CFR 50.34(f)(2)(xxi) - II.K.1.22]	4.1.4.8
(9) <i>Reactor Level Monitoring</i> – The applicant should describe <i>how</i> the HSI gives a record of the reactor vessel’s water level in one location on displays that meet normal post accident recording requirements. [Applicable to BWRs only, 10 CFR 50.34(f)(2)(xxiv) - II.K.3.23]	4.1.4.9
(10) <i>Leakage Control</i> – The applicant should describe <i>how</i> the HSI provides for leakage control and detection in the design of systems outside containment that contain (or might contain) accident-source-term radioactive materials after an accident. [10 CFR 50.34(f)(2)(xxvi) - III.D.1.1]	4.1.4.10
(11) <i>Radiation Monitoring</i> – The applicant should describe <i>how</i> the HSI provides appropriate monitoring of in-plant radiation and airborne radioactivity under a broad range of routine and accident conditions. [10 CFR 50.34(f)(2)(xxvii) - III.D.3.3]	4.1.4.11
(12) <i>Manual Initiation of Protective Actions</i> – The applicant should describe <i>how</i> the HSI supports the manual initiation of protective actions at the system level for safety systems otherwise initiated automatically. [Regulatory Guide 1.62.]	4.1.4.12
(13) <i>Diversity and Defense-in-depth</i> – The applicant should describe <i>how</i> the HSI provides displays and controls in the MCR for manual, system-level actuation of critical safety functions, and for monitoring those parameters that support them. These displays and controls are independent of, and different from, the normal I&C. [I&C BTP 7-19, Point 4]	4.1.4.13

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
<p>(14) <i>Important HAs</i> – The applicant should describe <i>how</i> the HSI provides the controls, displays, and alarms that ensure the reliable performance of identified important HAs. Section 7 of this document discusses important HAs.</p>	4.1.4.14
<p>(15) <i>Computer-Based procedure platform</i> - The applicant's computer-based procedures should be consistent with the design review guidance in NUREG-0700, Section 8, Computer-Based Procedure System and in Section 1 of DI&amp;C-ISG-5 (NRC, 2008).</p>	4.1.4.15
<p><i>8.4.4.3 Technical Support Center</i>            NUREG-0696 states that HFE should be incorporated in the design of the on-site Technical Support Center (TSC), and considers both operating and maintenance personnel. The criteria in this section are applicable to the HFE aspects of the review of the TSC. The applicant's submittal should include the following:</p>	4.1.4.18, additional detail for all criteria in this section is provided in the APR1400 Basic HSI Description TeR
<p>(1) The applicant should describe <i>how</i> the HSIs give personnel the information needed to:</p> <ul style="list-style-type: none"> <li>• analyze the plant's steady-state and dynamic behavior before and throughout an accident so TSC personnel can guide the MCR operators in managing the abnormal conditions and mitigating the accident without interfering with the MCR activities</li> <li>• undertake the needed environmental- and radiological-monitoring functions of the EOF when it is not operational</li> <li>• offer technical support to personnel during recovery operations after an emergency</li> <li>• provide reliable voice-communications facilities to the control room, the operations support center, the EOF, the NRC, and with state and local operations centers</li> </ul>	1, paragraph 2, items 1 and 3 2.2, paragraph 4 2.3, paragraph 2 3.3.1 3.5.5 4.1, paragraph 1 4.1.1.1 4.1.1.3 4.1.1.4 4.1.1.5 4.1.1.6 4.1.4.18
<p>(2) The applicant should describe <i>how</i> the HSIs give personnel the information needed for:</p> <ul style="list-style-type: none"> <li>• determining the plant's steady-state operating conditions before the accident</li> <li>• ascertaining the transient conditions producing the initiating event</li> <li>• gauging plant systems' dynamic behavior throughout the accident</li> <li>• reviewing the accident sequence</li> <li>• deciding upon appropriate mitigating actions</li> <li>• evaluating the extent of any damage</li> <li>• assessing the plant's status during recovery operations</li> </ul>	1, paragraph 2, items 1 and 3 2.2, paragraph 4 2.3, paragraph 2 3.3.1 3.5.5 4.1, paragraph 1 4.1.1.1 4.1.1.3 4.1.1.4 4.1.1.5 4.1.1.6 4.1.4.18

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
(3) The applicant should describe <i>how</i> the HSIs provide an SPDS that replicates the SPDS in the MCR (to improve the exchange of information between personnel in the main control room and the EOF). If the SPDS in the main control room is composed of multiple displays, then multiple displays also should be provided in the TSC.	4.1.4.1
(4) The applicant should describe <i>how</i> the HSIs provide as a minimum, the set of variables specified in Regulatory Guide 1.97, Revision 4, plus all sensor data and calculated variables not specified in Reg. Guide 1.97 but included in the data sets for the SPDS, for the EOF, or for transmission to offsite locations.	4.1.4.1
(5) The applicant should describe <i>how</i> the HSIs allow all TSC personnel to complete their assigned tasks with unhindered access to alphanumeric and/or graphical representations of: <ul style="list-style-type: none"> <li>• plant systems variables</li> <li>• in-plant radiological variables</li> <li>• meteorological information</li> <li>• offsite radiological information</li> </ul>	4.1.4.1
(6) The applicant should describe <i>how</i> the HSIs provide the trend-information displays and time-history displays that give the TSC personnel a dynamic view of the plant's status during abnormal operating conditions.	4.1.4.1
(7) The applicant should describe <i>how</i> HFE was incorporated into the TSC design to ensure that personnel easily understand and use the HSIs.	4.1.4.18 paragraph 1
<b>8.4.4.4 Emergency Operations Facility</b> NUREG-0696 states that HFE should be incorporated in the design of the Emergency Operations Facility (EOF) considering both operating and maintenance personnel. The criteria in this section are applicable to the HFE review of the EOF.	The HSI design of the EOF is the responsibility of the COL applicant. This HD IP governs only the generation of the SPDS inventory data, which is transmitted to the EOF for display within the COL applicant's HSI design, as defined in the following sections: 2, paragraph 1 2.2, paragraph 4 2.3, paragraph 1 4.1.4.19

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
(1) The applicant should describe <i>how</i> the HSIs assure the acquisition, display, and evaluation of all radiological, meteorological, and plant-system data essential to determining offsite protective measures.	The SPDS inventory data, which is transmitted to the EOF for display within the COL applicant's HSI design, includes radiological, meteorological, and plant-system data essential to determining offsite protective measures, as defined in Section 4.1.4.1.
(2) The applicant should describe <i>how</i> the HSIs continuously indicate radiation dose-rates and concentrations of airborne radioactivity inside the EOF while it is used during an emergency, including local alarms with trip levels set to provide early warning to EOF personnel of adverse conditions that may affect the facility's habitability.	The HSI design of the EOF is the responsibility of the COL applicant, including warnings of adverse conditions that may affect the facility's habitability, as defined in Section 4.1.4.19.
(3) The applicant should describe <i>how</i> the HSIs support reliable voice communications to the TSC, the main control room, the NRC, and the state and local emergency response facilities.	The HSI design of the EOF is the responsibility of the COL applicant, including voice communications, as defined in Section 4.1.4.19.
(4) The applicant should describe <i>how</i> the HSIs supply data sufficient to assess the actual and potential onsite and offsite environmental consequences of an emergency, and information on the general condition of the plant.	The SPDS inventory data, which is transmitted to the EOF for display within the COL applicant's HSI design, includes radiological, meteorological, and plant-system data sufficient to assess the actual and potential onsite and offsite environmental consequences of an emergency, and information on the general condition of the plant, as defined in Section 4.1.4.1. The actual SPDS inventory data is an output of the HD PE.

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
<p>(5) The applicant should describe <i>how</i> the HSIs provide radiological, meteorological, and other environmental data to:</p> <ul style="list-style-type: none"> <li>• assess environmental conditions</li> <li>• coordinate radiological monitoring</li> <li>• recommend implementing offsite emergency plans</li> </ul> <p>As a minimum, the EOF data should include (1) sensor data of the variables specified in Reg. Guide 1.97, Revision 4, and (2) the meteorological variables specified in the proposed Revision 1 to Regulatory Guide 1.23, "Meteorological Measurements Programs in Support of Nuclear Power Plants," and in NUREG-0654, Revision 1, Appendix 2.</p>	<p>The SPDS inventory data, which is transmitted to the EOF for display within the COL applicant's HSI design, includes radiological, meteorological, and other environmental data, including the variables specified in RG 1.97, RG 1.23 and NUREG-0654, as defined in Sections 4.1.4.1 and 4.1.4.19.</p>
<p>(6) The applicant should describe <i>how</i> the EOF HSIs provide all data that are available for display in the TSC, including information sent from the plant to the NRC.</p>	<p>The SPDS inventory data, which is transmitted to the EOF for display within the COL applicant's HSI design, includes the same data that is available in the TSC and is transmitted to the NRC, as defined in Section 4.1.4.1.2, paragraph 1 2.2, paragraph 4 2.3, paragraph 1 4.1.4.19</p>
<p>(7) The applicant should describe <i>how</i> the HSIs allow all EOF personnel to perform their assigned tasks with unhindered access to alphanumeric and/or graphical representations of:</p> <ul style="list-style-type: none"> <li>• plant system variables</li> <li>• in-plant radiological variables</li> <li>• meteorological information</li> <li>• offsite radiological information</li> </ul>	<p>The HSI design of the EOF is the responsibility of the COL applicant. This HD IP governs only the generation of the SPDS inventory data, which is transmitted to the EOF for display within the COL applicant's HSI design, as defined in the following sections: 2, paragraph 1 2.2, paragraph 4 2.3, paragraph 1 4.1.4.19</p>



NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
<p>(8) The applicant should describe <i>how</i> the HSIs display the needed trend information and time-history data in the EOF. The displays should be partitioned to facilitate the different functional groups in the EOF retrieving this information.</p>	<p>The HSI design of the EOF is the responsibility of the COL applicant. This HD IP governs only the generation of the SPDS inventory data, which is transmitted to the EOF for display within the COL applicant's HSI design, as defined in the following sections:                  2, paragraph 1                  2.2, paragraph 4                  2.3 paragraph 1                  4.1.4.19</p>
<p>(9) The applicant should describe <i>how</i> the HSIs provide an SPDS to improve the exchange of information between the MCR and the TSC. If the SPDS in the MCR comprises multiple displays, they should also be provided in the EOF.</p>	<p>The HSI design of the EOF is the responsibility of the COL applicant. This HD IP governs only the generation of the SPDS inventory data, which is transmitted to the EOF for display within the COL applicant's HSI design, as defined in the following sections:                  2, paragraph 1                  2.2, paragraph 4                  2.3, paragraph 1                  4.1.4.19</p>
<p>(10) The applicant should describe <i>how</i> HFE was incorporated into the EOF design to ensure that personnel easily understand and use the HSIs.</p>	<p>The HSI design of the EOF is the responsibility of the COL applicant. This HD IP governs only the generation of the SPDS inventory data, which is transmitted to the EOF for display within the COL applicant's HSI design, as defined in the following sections:                  2, paragraph 1                  2.2, paragraph 4                  2.3, paragraph 1                  4.1.4.19</p>

NUREG-0711, Rev. 3, Review Criteria	IP Section and Paragraph
<p><b>8.4.4.5 Remote Shutdown Facility</b>                      (1) The applicant should describe <i>how</i> the HSI provides a design capability for remote shutdown of the reactor outside the main control room. [10 CFR 50, Appendix A, General Design Criteria 19]</p>	1, paragraph 2 item 1 and 3 2, paragraph 1 2.2, paragraph 4 2.3, paragraph 1 3.3.1 4.1, paragraph 1 4.1.1.1 through 4.1.1.6 4.1.3.4 4.1.4.1 4.1.4.17
<p>(2) The applicant should describe <i>how</i> the HSIs at the remote shutdown facility are consistent with those in the main control room.</p>	1, paragraph 2 item 1 4.1.1.2 4.1.1.3
<p><b>8.4.4.6 Local Control Stations</b>                      (1) The applicant should describe the basis for deciding which HSIs will be included in the main control room design, and which will be provided locally.</p>	3.2.9
<p>(2) The applicant should describe <i>how</i> HFE was incorporated into the HSIs for local control stations to ensure they are consistent with those in the MCR, and that personnel easily understand and use the HSIs.</p>	3.2.9, 4.2.9
<p><b>8.4.5 Degraded I&amp;C and HSI Conditions</b>                      (1) The applicant should identify:                      • the effects of automation failures and degraded conditions on personnel and plant the performance                      • HFE-significant I&amp;C degradations; i.e., the failure modes and degraded conditions of the I&amp;C system that might adversely affect the HSIs personnel use to accomplish important HAs  <i>Additional Information:</i> The I&amp;C system is made up of four subsystems: Sensor, monitoring, automation and control, and communications. In this criterion, automation is considered separately due to its well-known human performance challenges and their potential impact on safety. The focus of this criterion is on HFE-significant I&amp;C degradations. An example is a sensor degradation that results in a control room display that confuses personnel into thinking there is a process disturbance.</p>	4.1.3.1 through 4.1.3.5, 4.2.3 item 6f
<p>(2) The applicant should specify the alarms and other information personnel need to detect degraded I&amp;C and HSI conditions in a timely manner, and to identify their extent and significance.</p>	4.1.3.5, 4.1.4.2, 4.2.5 item 3a bullets 2 and 3
<p>(3) The applicant should determine any needed back-up systems to ensure that important personnel tasks can be completed under degraded I&amp;C and HSI conditions.</p>	4.1.3.1 through 4.1.3.5, 4.2.3 item 6f

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(4) The applicant should determine the necessary compensatory actions and supporting procedures to ensure that personnel effectively manage degraded I&C and HSI conditions, and the transition to back-up systems.	Actions and procedures are identified during TA
<p><b>8.4.6 HSI Tests and Evaluations</b>            Tests and evaluations (T&amp;Es) of concepts and detailed design features are conducted during the process of developing HSIs to support design decisions. This section provides review guidance for two types of T&amp;Es:</p> <ul style="list-style-type: none"> <li>• Trade-off evaluations are comparisons between design options, based on aspects of human performance that are important to successful task performance, and to other design considerations.</li> <li>• Performance-based tests involve assessing personnel performance, including subjective opinions, to evaluate design options and design acceptability.</li> </ul>	See detail below
<p><i>8.4.6.1 Trade-off Evaluations</i>            (1) In comparing design approaches, the applicant should consider those aspects of human performance important to performing tasks. The applicant should take into account the following factors when developing criteria to apply in selecting one design approach over another:</p> <ul style="list-style-type: none"> <li>• personnel-task requirements</li> <li>• human-performance capabilities and limitations</li> <li>• HSI-system performance requirements</li> <li>• inspection and testing needs</li> <li>• maintenance demands</li> <li>• use of proven technology and the operating experience of predecessor designs</li> </ul> <p><i>Additional Information:</i> Including selection criteria for human performance will help to ensure that the differential effects of design options on human performance can be assessed, along with other considerations. For example, when analyzing trade-offs between using either a mouse or a touch screen as a computer-input device, the fatigue caused by using the device, and the time required to perform actions using each device should be considered.</p>	4.1.5.1 last paragraph
(2) The applicant should state explicitly the relative benefits of design alternatives and the basis for the design approach selected.	4.1.5.2 last paragraph
<p><i>8.4.6.2 Performance-Based Tests</i>            (1) The applicant should identify the specific objectives of the tests.</p> <p><i>Additional Information:</i> Performance-based tests have many different purposes, such as choosing between design alternatives or verifying that an aspect of the HSI meets performance criteria.</p>	3.2.7, 4.1.7, 4.2.1 item 7, 4.2.3 item 7, 4.2.4 item 7, 4.2.5 item 7, 4.2.6 item 7, 4.2.7 item 7, 4.2.8 item 7

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<p>(2) The applicant should base the general approach to testing on the test's objective(s).                      The following aspects of the tests should be described (note that not all items are applicable to every type of test):</p> <ul style="list-style-type: none"> <li>• participants</li> <li>• testbed</li> <li>• design features or characteristics of the HSI being tested</li> <li>• tasks or scenarios used</li> <li>• performance measures</li> <li>• test procedures</li> <li>• data analyses</li> </ul>	<p>4.2.1 item 10, 4.2.3 item 10, 4.2.4 item 10, 4.2.5 item 10, 4.2.6 item 10, 4.2.7 item 10, 4.2.8 item 10</p>
<p>(3) The conclusions from the tests and their impact on design decisions should be described.  <i>Additional Considerations for Reviewing the HFE Aspects of Plant Modifications</i></p>	<p>4.2 last paragraph, Additional considerations for plant modifications are not applicable</p>