

Rulemaking Dataset Dictionary

Purpose: The definitions contained in this data dictionary are to be used solely for NRC rulemaking tracking and reporting purposes.

Abstract

Petition for Rulemaking - A brief, plain language description of the petitioner's requested rulemaking and the basis for the request.

Rulemaking - A brief, plain language description of why the rulemaking is necessary and what it would accomplish.

ANPR Publication Date

The anticipated or actual date that the NRC publishes the advance notice of proposed rulemaking in the *Federal Register*.

Affected CFR Parts

A list of all parts in the *Code of Federal Regulations* affected by the rulemaking.

Area of Regulatory Responsibility

Corporate Support - The rulemaking primarily concerns NRC infrastructure and/or support functions that are necessary for the conduct of NRC programs.

Decommissioning and Low-level Waste - The rulemaking primarily concerns licensing and oversight of licensed and unlicensed decommissioning sites, the licensing and oversight of new and operating uranium recovery facilities, and/or the oversight of the national low-level waste management program.

Fuel Facilities - The rulemaking primarily concerns the regulation of fuel cycle facilities such as conversion, enrichment, and fuel fabrication facilities; research and pilot facilities; and/or licensees that possess greater than critical mass quantities of special nuclear material, such as universities and research and testing facilities.

New Reactors - The rulemaking primarily concerns regulatory activities associated with locating, licensing, and/or overseeing construction of new nuclear power reactors.

Nuclear Materials Users - The rulemaking primarily concerns the regulatory safety and security infrastructure needed to process and handle nuclear materials, including licensing, inspection, event evaluation, research, incident response, allegation, enforcement programs, import/export authorizations, and/or major information technology systems.

Operating Reactors - The rulemaking primarily concerns the regulation of civilian nuclear power and non-power production and utilization facilities.

Spent Fuel Storage and Transportation - The rulemaking primarily concerns the regulation of storage of spent fuel and the transport of radioactive materials to support domestic and international commerce.

Associated Guidance Documents

No – The NRC does not anticipate new or revised regulatory guidance associated with the rulemaking.

Yes – The NRC anticipates new or revised regulatory guidance associated with the rulemaking.

Associated PRM Numbers

The PRM Number for each petition for rulemaking being considered in the rulemaking or being considered with a similar petition for rulemaking.

Category

Petition for Rulemaking - A member of the public uses this process to ask the NRC to conduct rulemaking. See Subpart H, "Rulemaking," of Part 2, "Agency Rules of Practice and Procedure," of Title 10 of the *Code of Federal Regulations*.

Rulemaking - The NRC's process for developing and amending its regulations in Chapter I of Title 10 of the *Code of Federal Regulations*.

CPR Factors

Factor A – This factor includes rulemaking activities that support the NRC's Strategic Plan goals of ensuring the safe and secure use of radioactive materials.

Factor A Score – This factor is scored between 0 and 20.

Factor B – This factor includes rulemaking activities that support the NRC's Strategic Plan cross-cutting strategies for enhancing regulatory effectiveness and/or openness in the conduct of regulatory activities.

Factor B Score – This factor is scored between 0 and 10.

Factor C – This is a governmental factor representing the relative interest of the NRC, Congress, or other governmental bodies in the rulemaking activity.

Factor C Score – This factor is scored between 0 and 10.

Factor D – This factor is an external factor representing the relative interest in the rulemaking activity to members of the public, non-governmental organizations, the nuclear industry, vendors, and suppliers.

Factor D Score – This factor is scored between 0 and 5.

CPR Priority

The rulemaking's priority (High, Medium, or Low) is set by the Common Prioritization of Rulemaking working group, overseen by the Office of the Executive Director for Operations, and reviewed by the Commission during the annual budget process. The methodology used by the Common Prioritization of Rulemaking working group to set rulemaking priorities is available in the NRC's Agencywide Documents Access and Management System under Accession No. ML15086A074. A rulemaking's priority is subject to change.

CPR Priority Justification

The rulemaking priority's justification based on the Common Prioritization of Rulemaking methodology.

CPR Score

The rulemaking priority is determined by adding the scores for Factors A, B, C, and D together for each rulemaking activity. A high-priority rulemaking activity scores between 31-45, a medium-priority rulemaking activity scores between 16-30, and a low-priority rulemaking activity scores between 0-15.

Contract \$¹

Estimated contract funds per fiscal year needed to complete the rulemaking.

Date of Notice of Docketing

Date the NRC publishes a *Federal Register* notice to inform the public that the agency has docketed the petition for rulemaking, as described in § 2.803 of Title 10 of the *Code of the Federal Regulations*, "Petition for Rulemaking - NRC Action."

Date PRM Docketed

Date the NRC docketed the petition for rulemaking as described in § 2.803 of Title 10 of the *Code of the Federal Regulations*, "Petition for Rulemaking - NRC Action."

¹ Consistent with budget practices, pre-decisional budget information will not be provided to the public.

Date PRM Received

Date the NRC received the petition for rulemaking.

Docket ID

The NRC and the public use the docket identification (ID) to track all documents related to a rulemaking or a petition for rulemaking. The Docket ID is assigned by the NRC to each rulemaking when the Commission approves the associated rulemaking plan or when the NRC staff initiates a Commission delegated rulemaking that does not require a rulemaking plan. The Docket ID is assigned by the NRC to each petition for rulemaking when the NRC docket the petition for rulemaking as described in § 2.803 of Title 10 of the *Code of the Federal Regulations*, "Petition for Rulemaking - NRC Action." A Docket ID will remain inactive on www.regulations.gov until the NRC issues an action on the rulemaking or petition for rulemaking and posts that action in the docket.

Direct Final Rule

A direct final rule is an abbreviated rulemaking action that the NRC employs for rulemakings which are not overly technical nor controversial. The process does allow for the possibility that the agency would need to address a significant adverse comment. See the NRC's Direct Final Rule webpage for additional information.

Final Rule Compliance Date

The anticipated or actual deadline by which affected licensees must comply with the final rule.

FR Citation for ANPR

The *Federal Register* volume number and page number for the public notice that the NRC is considering amending its regulations.

FR Citation for Final Rule

The *Federal Register* volume number and page number for the public notice that the NRC is amending its regulations.

FR Citation for Notice of Docketing

The *Federal Register* volume number and page number for the public notice that the NRC has docketed the petition for rulemaking.

FR Citation for PRM Disposition Notice

The *Federal Register* volume number and page number for the public notice that describes how the NRC has dispositioned the issues raised in the petition for rulemaking.

FR Citation for Proposed Rule

The *Federal Register* volume number and page number for the public notice that the NRC is requesting comments on the proposed rule.

FR Citation for Regulatory Basis

The *Federal Register* volume number and page number for the public notice that the NRC is requesting comments on a draft regulatory basis for a rulemaking and/or making a final regulatory basis available to the public.

Final Rule Publication Date

The anticipated or actual date that the NRC publishes the final rule in the *Federal Register*

Final Rule to Signature Authority

The anticipated or actual date that the NRC staff provides the final rule to NRC management with authority to sign the final rule *Federal Register* notice (i.e., Commission, Executive Director for Operations, Chief Financial Officer, and Other (Director of the Office of Nuclear Reactor Regulation and Chief of the Rules, Announcements, and Directives Branch of the Office of Administration)).

Full Time Equivalent (FTE)²

Estimated number of full-time employees per fiscal year needed to complete the rulemaking.

NRC Contact

Name, phone number, and e-mail address of the rulemaking or the petition for rulemaking project manager.

NRC Office

The lead NRC office responsible for managing the rulemaking or the petition for rulemaking.

² Consistent with budget practices, pre-decisional budget information will not be provided to the public.

Petitioner

The name of a petition for rulemaking's lead petitioner.

Petitioner Type

The lead petitioner type (i.e., private citizen, industry representative, private company, Government, advocacy group, licensee, and non-governmental organization).

PRM Disposition Notice Publication Date

The anticipated or actual date that the NRC publishes the petition for rulemaking disposition notice in the *Federal Register*.

PRM Number

The NRC assigns this number to a docketed petition for rulemaking. The PRM Number consists of two hyphenated numbers (e.g., PRM-50-2). The first number represents the primary *Code of Federal Regulations* part that would be affected by the petitioner's requested action, and the second number represents the sequential number of petitions the NRC has docketed in that *Code of Federal Regulations* part.

Proposed Rule Publication Date

The anticipated or actual date that the NRC publishes the proposed rule in the *Federal Register*.

Proposed Rule to Signature Authority

The anticipated or actual date that the NRC staff provides the proposed rule to NRC management with authority to sign the proposed rule *Federal Register* notice (i.e., Commission, Executive Director for Operations, Chief Financial Officer, and Other (Director of the Office of Nuclear Reactor Regulation and Chief of the Rules, Announcements, and Directives Branch of the Office of Administration)).

RIN

The Regulation Identifier Number (RIN) is a unique number assigned by the NRC to each rulemaking when the Commission approves the associated rulemaking plan or when the NRC staff initiates a Commission delegated rulemaking that does not require a rulemaking plan.

Regulatory Basis Publication Date

The anticipated or actual date that the NRC publishes the regulatory basis in the *Federal Register*.

Rule Phase

Pre-rule - Any activity related to the rulemaking prior to the NRC staff starting to develop the proposed rule (e.g., approval of the rulemaking plan, publication of an advance notice of proposed rulemaking, developing the regulatory basis, or a public meeting seeking feedback to gauge interest in the rulemaking).

Proposed Rule - The NRC staff is actively developing the proposed rule *Federal Register* notice that announces contemplated amendments to the NRC's regulations and provides the public with an opportunity to comment on the proposed amendments.

Final Rule - The NRC staff is actively developing the final rule *Federal Register* notice that announces amendments to the NRC's regulations and states the effective date of the amendments.

Rule Type³

Administrative - Rulemaking that deals only with internal agency procedure, organization, or practice.

Could Establish New Requirements - Rulemaking that could establish new requirements.

Could Reduce or Clarify Existing Requirements - Rulemaking that could eliminate, codify, or clarify existing requirements.

Industry Requested - Rulemaking in response to an official request from industry that results in the NRC's decision to conduct rulemaking (e.g., design certification or amending/revising Certificates of Compliance).

Non-discretionary - Rulemaking required by statute, international treaties or agreements, or needed to conform to other agency requirements.

Rulemaking Initiation Date

Date the Commission approves the rulemaking plan by issuing a staff requirements memorandum or date a Commission-delegated rulemaking initiated by the NRC staff was added to the tracking system.

Rulemaking Plan Information

The ADAMS accession number and SECY number of the rulemaking plan that requested Commission approval to initiate rulemaking activities.

The ADAMS accession number of the staff requirements memorandum that approves or disapproves the rulemaking plan.

³ More than one "rule type" may be applicable to a rulemaking.

Rulemaking Plan Status

Approved – The Commission has approved the NRC staff's rulemaking plan.

Disapproved – A rulemaking that has been removed from the tracking system/annual rulemaking report because the Commission disapproved the NRC staff's rulemaking plan.

Pending – A rulemaking has been included in the tracking system/annual rulemaking report, but the Commission has not yet approved the NRC staff's rulemaking plan.

Signature Authority

NRC management with authority to sign the proposed and final rule *Federal Register* notices (i.e., Commission, Executive Director for Operations, Chief Financial Officer, and Other (Director of the Office of Nuclear Reactor Regulation and Chief of the Rules, Announcements, and Directives Branch of the Office of Administration)).

Status

Anticipated to be Complete this Fiscal Year - The NRC anticipates the final rule *Federal Register* notice, which is funded in this fiscal year, will be published this fiscal year.

Combined with Another Rulemaking (Completed) - The NRC is pursuing the issues in this rulemaking along with the issues in another similar rulemaking. This rulemaking will no longer appear as a standalone rulemaking activity.

Completed - The final rule *Federal Register* notice has been published.

Considered or Partially Considered in Rulemaking – A petition's requests will be considered in part or in whole in an existing or future rulemaking activity.

Denied - The Commission approved denying the request(s) in the petition for rulemaking.

Discontinued - The Commission has approved no longer pursuing the rulemaking.

Funded - The NRC has budgeted Full Time Equivalents and/or Contract \$ to work on this planned rulemaking in the next 2-year planning period.

Identified for Discontinuation - The NRC staff has requested or will request Commission approval to discontinue the rulemaking.

Open PRM- Petition is being reviewed under the petition for rulemaking process.

Unfunded - The NRC has not budgeted work on this planned rulemaking in the next 2-year planning period.

Withdrawn - The petition for rulemaking was withdrawn by the petitioner or the official request that results in the NRC's decision to conduct rulemaking was withdrawn by the industry.

Title

Nine words or less describing the subject of the rulemaking or the petition for rulemaking.