



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

February 28, 2017

MEMORANDUM TO: Victor M. McCree  
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: INDEPENDENT  
EVALUATION OF NRC'S IMPLEMENTATION OF THE  
FEDERAL INFORMATION SECURITY MANAGEMENT  
ACT FOR FISCAL YEAR 2012 (OIG-13-A-03)

REFERENCE: CHIEF INFORMATION OFFICER MEMORANDUM DATED  
FEBRUARY 13, 2017

Attached is the Office of the Inspector General's (OIG) analysis and status of the recommendations as discussed in the agency's response dated February 13, 2017. Based on this response, recommendations 11, 12, and 13 are closed. Recommendations 1, 2, 3, 4, 5, 6, 7, 8, 9, and 10 were previously closed. All recommendations related to this report are now closed.

If you have any questions or concerns, please call me at (301) 415-5915 or Beth Serepca, Team Leader, at (301) 415-5911.

Attachment: As stated

cc: R. Lewis, OEDO  
H. Rasouli, OEDO  
J. Jolicoeur, OEDO  
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## Evaluation Report

### INDEPENDENT EVALUATION OF NRC'S IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT FOR FISCAL YEAR 2012

#### OIG-13-A-03

#### Status of Recommendations

<u>Recommendation 11:</u>	Update the IT environment contingency plan to include contingency procedures for the IT environment and other IT components supporting the one NRC remote location for which these procedures are missing.
Agency Response Dated February 13, 2017:	The IT environment contingency plan has been updated to include contingency procedures for the IT environment and other ITI managed components supporting the NRC remote locations including the TTC.
OIG Analysis:	OIG reviewed the updated contingency plan and determined that it was updated to include contingency procedures for the IT environment and other IT components supporting the one NRC remote location. This recommendation is therefore closed.
Status:	Closed.

## Evaluation Report

### INDEPENDENT EVALUATION OF NRC'S IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT FOR FISCAL YEAR 2012

OIG-13-A-03

#### Status of Recommendations

Recommendation 12: Update the COOPs for NRC remote locations that are referenced in Appendix G of the IT environment contingency plan to include current IT environment configurations at NRC remote locations and to address situations where the IT environment at those locations is unavailable for any reason.

Agency Response Dated  
February 13, 2017:

The references to COOPs for each of the NRC remote locations found in Appendix G of the ITI contingency plan have been replaced by a single regional COOP document.

The IT environment contingency plan has been updated to include current IT environment configurations at the NRC remote locations, including the TTC, and addresses situations where the IT environment at those locations is unavailable for any reason.

OIG Analysis:

OIG determined that the ITI Contingency Plan has been updated to include the current IT environment configurations at the NRC remote locations, and addresses situations where the IT environment at those locations might be unavailable for any reason. This recommendation is therefore closed.

**Status:**

Closed.

## Evaluation Report

### INDEPENDENT EVALUATION OF NRC'S IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT FOR FISCAL YEAR 2012

#### OIG-13-A-03

#### Status of Recommendations

Recommendation 13: Develop a COOP for the IT environment and other IT components supporting the one NRC remote location that does not have a COOP.

Agency Response Dated  
February 13, 2017:

The references to COOPs for each of the NRC remote locations, including the TTC, found in Appendix G of the ITI contingency plan have been replaced by a single regional COOP document.

The IT environment contingency plan has been updated to include current IT environment configurations at the NRC remote locations, including the TTC, and addresses situations where the IT environment at those locations is unavailable for any reason.

OIG Analysis: OIG determined that the updated ITI Contingency Plan includes the current IT environment configurations at the NRC remote locations, and that the singular replacement COOP incorporates all NRC remote locations, including the TTC. This recommendation is therefore closed.

**Status:** Closed.