



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

February 10, 2017

MEMORANDUM TO: Victor M. McCree
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: AUDIT OF THE U.S. NUCLEAR REGULATORY
COMMISSION'S FOREIGN ASSIGNEE PROGRAM
(OIG-17-A-07)

REFERENCE: ACTING DEPUTY EXECUTIVE DIRECTOR FOR
MATERIALS, WASTE, RESEARCH, STATE, TRIBAL,
COMPLIANCE, ADMINISTRATION, AND HUMAN CAPITAL
PROGRAMS, MEMORANDUM DATED JANUARY 17, 2017

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated January 17, 2017. Based on this response, recommendations 1, 2, and 3 are resolved. Please provide an updated status of the resolved recommendations by November 30, 2017.

If you have any questions or concerns, please call me at (301) 415-5915, or Beth Serepca, Team Leader at (301) 415-5911.

Attachment: As stated

cc: R. Lewis, OEDO
H. Rasouli, OEDO
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Audit Report

AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S FOREIGN ASSIGNEE PROGRAM

OIG-17-A-07

Status of Recommendations

Recommendation 1: Develop a procedural document describing a consistent process for security planning, and for inviting, onboarding, and supervising foreign assignees to support information protection.

Agency Response Dated
January 17, 2017:

Agree: Staff will develop a procedural document describing a consistent process for security planning, and for inviting, onboarding, and supervising foreign assignees to support information protection. Staff will update the "Desk Guide for Supervisors of Foreign Assignees." The desk guide will provide NRC personnel with an overview of the Foreign Assignee Program, a clear understanding of individual roles and responsibilities of NRC staff. Guidance on protection of information, resource and contact information will also be provided.

Target Completion Date: Staff will complete this action by October 31, 2017.

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG reviews the updated desk guide for a consistent process for security planning, and for inviting, onboarding, and supervising foreign assignees to support information protection.

Status: Resolved.

Audit Report

AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S FOREIGN ASSIGNEE PROGRAM

OIG-17-A-07

Status of Recommendations

Recommendation 2: Develop a secure, cost-efficient method to provide foreign assignees an email account which allows for NRC detection and mitigation of inadvertent transmission of sensitive information and seek Commission approval to implement it.

Agency Response Dated
January 17, 2017:

Agree: Staff will seek Commission approval to implement a secure, cost-efficient method to provide foreign assignees an email account which allows for NRC detection and mitigation of inadvertent transmission of sensitive information. Staff will accomplish this by working with the contractor administering the agency's information technology (IT) services and developing a proposal. Then, the staff will seek Commission approval of the action and resources required through a Commission Paper. Because the implementation will be performed by the next IT contractor (starting May 2017 if no protest), the staff will complete phase 1 of this action (submit the Commission Paper) by February 28, 2018.

Target Completion Date: Staff will complete phase 1 of this action (submit the Commission Paper) by February 28, 2018.

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG reviews the Commission Paper seeking approval and resources for a secure, cost-efficient method to provide foreign assignees an email account.

Status: Resolved.

Audit Report

AUDIT OF THE U.S. NUCLEAR REGULATORY COMMISSION'S FOREIGN ASSIGNEE PROGRAM

OIG-17-A-07

Status of Recommendations

Recommendation 3: When an NRC approved email account is available, develop specific Computer Security Rules of Behavior for foreign assignees using the approved email.

Agency Response Dated
January 17, 2017:

Agree: Staff will develop Computer Security Rules of Behavior for foreign assignees, subject to Commission approval of staff's proposed approach for responding to Recommendation 2.

Target Completion Date: Staff will complete this action within 6 months following Commission approval of staff's proposed approach for responding to Recommendation 2.

OIG Analysis:

The proposed actions meet the intent of the recommendation. OIG will close the recommendation after verifying that Computer Security Rules of Behavior for foreign assignees have been developed.

Status:

Resolved.