

**Status of Recommendations of OIG-12-A-10**  
**Audit of the U.S. Nuclear Regulatory Commission's Baseline Security and Safeguards**  
**Inspection Program**

Recommendation 1

Develop and maintain a centralized database of security findings data to be used for evaluating licensee performance trends, and communicating this information to the U.S. Nuclear Regulatory Commission (NRC) staff, industry, and appropriate public stakeholders.

**Status**

In response to resolved Recommendation 1 from the Office of the Inspector General's (OIG's) audit of the NRC's Management of the Baseline Security Inspection Program (OIG-12-A-10), the Office of Nuclear Security and Incident Response (NSIR) assembled a working group with representatives from the Office of Nuclear Reactor Regulation (NRR), the Office of the Chief Information Officer (OCIO), and the Computer Security Office to assess various alternatives for a technologic solution for tracking security inspection findings in a secure environment.

Based on group discussion and after analyzing the solution, NSIR agreed with OCIO's and NRR's assessment to pursue a Replacement Reactor Program System (RRPS)-based solution that encompasses specific NSIR requirements. NSIR has considered all viable solutions and considers this solution to be the most cost effective with no additional cost to NSIR and the agency.

NSIR and NRR continue to meet to ensure that NSIR requirements are accounted for in the RRPS working group. The RRPS is still in the development phase and will be implemented in a phased approach with a targeted completion date for full implementation in June 2017.

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Recommendation 2

Formalize and implement a process for maintaining current and accurate data within a centralized database.

**Status**

In response to resolved Recommendation 2 from the OIG's audit of the NRC's Management of the Baseline Security Inspection Program (OIG-12-A-10), NSIR will develop an office procedure to ensure that data from the security inspection reports are archived in a centralized database. NSIR expects to have an office procedure in place for tracking and trending security findings following the full implementation of the RRPS in June 2017. NSIR's office procedure is expected to be implemented by September 2017.

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Recommendation 3

Formalize and implement a process for ensuring Safeguards Information (SGI) findings data is current and accessible for use in trending security findings issues.

ENCLOSURE

**Status**

In response to resolved Recommendation 3 from the OIG's audit of the NRC's Management of the Baseline Security Inspection Program (OIG-12-A-10), NSIR assembled a working group with representatives from NRR, OCIO, and the Computer Security Office to assess various alternatives for a technologic solution for tracking security inspection findings in a secure environment.

Consistent with Recommendation 1 status, NSIR is pursuing an RRPS solution. Inspection reports containing SGI will continue to be stored in the Safeguards Local Area Network Electronic Safe (SLES) and RRPS will reference the inspection report document SLES tracking number. This solution further leverages NRC's investment in the RRPS at no additional cost to the agency, since tracking and trending functions can be performed within the RRPS.

The targeted completion date for full implementation of Recommendation 3 will be June 2017.

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