



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

January 9, 2017

MEMORANDUM TO: Victor M. McCree
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
TECHNICAL ASSISTANCE REQUEST PROCESS
(OIG-16-A-11)

REFERENCE: DIRECTOR, OFFICE OF NUCLEAR MATERIAL SAFETY
AND SAFEGUARDS MEMORANDUM DATED
DECEMBER 15, 2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations 1, 2, and 3 as discussed in the agency's response dated December 15, 2016. Based on this response, recommendations 1 and 3 are now closed. Recommendation 2 remains in a resolved state. Please provide an updated status of the resolved recommendation by October 16, 2017. If you have questions or concerns, please call me at (301) 415-5915, or Sherri Miotla, Team Leader, at (301) 415-5914.

Attachment: As stated

cc: R. Lewis, OEDO
H. Rasouli, OEDO
J. Jolicoeur, OEDO
J. Bowen, OEDO
EDO_ACS_Distribution

Audit Report

AUDIT OF NRC'S TECHNICAL ASSISTANCE REQUEST PROCESS

OIG-16-A-11

Status of Recommendations

Recommendation 1: Create a central Office of Nuclear Material Safety and Safeguards (NMSS) TAR SharePoint site to include information such as TAR procedures, points of contact, TAR status logs, and a link to a TAR data repository.

Agency Response Dated
December 15, 2016: Staff Response Update: Staff created an NMSS TAR SharePoint site on September 22, 2016, which includes a link to the TAR procedure, lists NMSS division point of contacts, and has the TAR data repository on the site. The staff considers this recommendation closed.

Point of Contact: Douglas Bollock, NMSS/MSTR, (301) 415-6609

OIG Analysis: OIG reviewed the NMSS TAR SharePoint site and determined that the site contains a link to the TAR procedure, points of contact, and a TAR status log/data repository. This recommendation is therefore considered closed.

Status: Closed.

Audit Report

AUDIT OF NRC'S TECHNICAL ASSISTANCE REQUEST PROCESS

OIG-16-A-11

Status of Recommendations

Recommendation 2: Create an easily searchable TAR data repository to include all past and future TARs as well as their corresponding ML numbers, subject matters, dates, and the requesting office.

Agency Response Dated
December 15, 2016:

Staff Response Update: Staff created an NMSS TAR SharePoint site and added the TAR data repository to the site on September 22, 2016. All future TARs will be captured in this repository. NMSS staff will work on populating the repository with historical TARs throughout the next year. Unbudgeted resources are being used to populate the subject repository, however staff is committed to making the repository useful by September 29, 2017, consistent with resource availability to complete the task. Staff considers these actions in progress.

Point of Contact: Douglas Bollock, NMSS/MSTR, (301) 415-6609

OIG Analysis: The actions proposed by the agency meet the intent of the recommendation. This recommendation will be closed when each division has created a searchable TAR data repository and historical TAR data is inputted into the repository.

Status: Resolved.

Audit Report

AUDIT OF NRC'S TECHNICAL ASSISTANCE REQUEST PROCESS

OIG-16-A-11

Status of Recommendations

Recommendation 3: Finalize draft TAR procedures for each NMSS division with regional input. These procedures should contain instructions on maintaining TAR records for all NMSS divisions within the TAR data repository.

Agency Response Dated
December 15, 2016:

Staff Response Update: Staff created a NMSS Policy and Procedure 7-05 "Procedures for Processing of Technical Assistance Requests" on December 9, 2016. Staff from each division worked together to create a consistent and useful procedure for all NMSS staff. Staff also received regional input in creating the procedure. The procedure includes direction to the NMSS divisions to include TARs in the data repository. The staff considers this recommendation closed.

Point of Contact:

Douglas Bollock, NMSS/MSTR, (301) 415-6609

OIG Analysis:

OIG reviewed NMSS Policy and Procedure 7-05 "Procedures for Processing of Technical Assistance Requests" and determined that it serves as an adequate TAR procedure. OIG verified that the procedure contains instructions on maintaining TAR records within the TAR data repository. This recommendation is therefore considered closed.

Status:

Closed.