



Entergy Operations, Inc.  
Entergy Nuclear Operations, Inc.  
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Tel. 601-368-5516

**Bryan S. Ford**  
Sr. Manager, Fleet Regulatory Assurance

December 20, 2016  
CNRO-2016-00024

Document Control Desk  
Information Security Branch  
Division of Security Operations  
Office of Nuclear Security and Incident Response  
U.S. Nuclear Regulatory Commission  
11555 Rockville Pike  
Rockville, Maryland 20852-2738

**SUBJECT:** Entergy – 2016 Recertification of Foreign Ownership Control or Influence (FOCI) and Request for FOCI Redetermination

Arkansas Nuclear One, Units 1&2; Big Rock Point; Grand Gulf Nuclear Station; Indian Point Energy Center, Units 1, 2, and 3; James A. FitzPatrick Nuclear Power Plant; Palisades Nuclear Plant, Pilgrim Nuclear Power Station, River Bend Station, Vermont Yankee Nuclear Power Station, and Waterford 3 Steam Electric Station

- References:**
1. NRC letter, Bernard W. Stapleton to John F. McCann, dated December 21, 2011, regarding Entergy – 2010 Recertification of Foreign Ownership Control or Influence (FOCI) and Request for FOCI Redetermination
  2. Entergy response to FOCI RAIs dated August 23, 2004, (CNRO-2004-00056)

Dear Sir or Madam:

Entergy Operations, Inc., (EOI) and Entergy Nuclear Operations, Inc. (ENOI) (herein after collectively referred to as "Entergy") are submitting with this letter their 2016 annual recertification of any Foreign Ownership Control or Influence (FOCI) changes. This recertification is required to retain a favorable FOCI determination. Entergy is also filing documentation necessary to initiate the FOCI redetermination process due 5 years after the date of the last final facility clearance approval (Reference 1.)

Since their previous filings, EOI and ENOI have undergone some transition in their officers, directors and executive personnel, as reflected in the attached Owners, Officers, Directors, and Executive Personnel (OODEP) forms. Of note, Mr. Jeff Forbes retired and was succeeded by Mr. A. Christopher Bakken III as CEO and CNO of EOI and ENOI. As was

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with Mr. Forbes, all nuclear employees ultimately report to Mr. Bakken who is currently applied for L-clearance to classified information. The current rosters of EOI and ENOI officers are as shown on the attached OODEP forms. All Entergy nuclear sites captioned above are covered by this FOCI recertification and redetermination request. None of the Entergy's nuclear operating officers or its nuclear employees holds positions with any foreign companies.

In accordance with national policy, Entergy hereby certifies that (1) no significant changes have occurred in the extent and nature of FOCI that would affect Entergy's answer to the questions provided in its Certificate Pertaining to Foreign Interest, (2) no changes have occurred in the organization ownership, and (3) changes that have occurred in the organization's owners, officers, directors, and executive personnel are as shown in the attached OODEP forms for EOI and ENOI.

Entergy will re-certify our FOCI annually from the date of the next FOCI redetermination or one year from the date of this letter if earlier, or when otherwise requested by the NRC.

We understand that when the time limit from the date of our Certificate Pertaining to Foreign interests expires and an active need still exists that requires a FOCI determination, a new comprehensive Certificate Pertaining to Foreign Interests, along with current financial information must be submitted to NRC/DNS for a FOCI redetermination. Our current FOCI determination expires December 20, 2016. Enclosed herewith is the documentation necessary for NRC/DNS to initiate its redetermination process.

For the reasons previously outlined in Reference 2 we are providing the information requested in Form SF 328a sit pertains to EOI and ENOI, and not to Entergy Corporation. The Board resolutions adopted in 2004 by EOI, ENOI, and Entergy Corporation (EC) remain in effect serving as insulation tools to ensure that EOI and ENOI have been delegated full authority to act independently of the aforementioned parent organization (EC) in matters which relate to the responsibility of safeguarding classified information to which EOI and ENOI has access. The resolutions also ensure that EC will not have access to classified information being used or handled by EOI and ENOI.

This letter contains no new commitments. Should you have any questions please contact Mr. Bryan S. Ford at (601) 368-5516.

Sincerely,



BSF/aye/ab

Attachment: 1. ORIGINAL OODEP and SF 328 for Entergy Operations, Inc.  
2. ORIGINAL OODEP and SF 328 for Entergy Nuclear Operations, Inc.  
3. List of Documents on Compact Disc

Enclosure: 1. Compact Disc containing PDF of documents listed in Attachment 3.

cc: (All w/ Attachments, SSN redacted, w/o Enclosure)

Mr. A.C. Bakken III (ECH)

Mr. J.A. Ventosa (ECH)

Mr. T.J. Vitale (IPEC)

Mr. B. Sullivan (JAF)

Mr. C. Chappell (VY)

Mr. V. Fallacara (GGNS)

Mr. M.R. Chisum (WF3)

Mr. F.R. Dacimo (License Renewal)

Mr. L. Coyle (ECH)

Ms. D. Jacobs (ECH)

Mr. J. Dent (PNPS)

Mr. C.F. Arnone (PAL)

Mr. R.L. Anderson (ANO)

Mr. W.F. Maguire (RBS)

Mr. Jack R. Davis (ECH)

NRC Regional Administrators (Regions I, III, and IV)

NRC PMs (ANO, GGNS, IPEC, JAF, PAL, PNPS, RBS, WF3, VY)

Site Resident Inspectors

Mr. D. Hase (NRC-ISB)