

Dear FOIA Requester:

The FOIA Improvement Act of 2016, which was enacted on June 30, 2016, made several changes to the Freedom of Information Act (FOIA). Federal agencies must revise their FOIA regulations to reflect those changes by December 27, 2016. In addition to revising our regulations, we intend to update the Form 464, which we use to respond to FOIA requests.

In the interim, please see the comment box in Part I.C of the attached Form 464. The comment box includes information related to the recent changes to FOIA that is applicable to your FOIA request, including an updated time period for filing an administrative appeal with the NRC.

Sincerely yours,

*Stephanie Blaney /S/*

Stephanie Blaney  
FOIA Officer (Acting)



# RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) REQUEST

2016-0640

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RESPONSE TYPE  INTERIM  FINAL

**REQUESTER:**

John Sullivan

**DATE:**

08/26/2016

**DESCRIPTION OF REQUESTED RECORDS:**

Records pertaining to reports of Part 110 violations, investigations and/or enforcement during the period 2006 through 2016 inclusive. Subject to the modifications made via conference call on August 9, 2016 and confirmed via email on that date, that the requester will receive a list of the publicly available part 110 actions and the ML Numbers.

### PART I. -- INFORMATION RELEASED

- Agency records subject to the request are already available in public ADAMS or on microfiche in the NRC Public Document Room.
- Agency records subject to the request are enclosed.
- Records subject to the request that contain information originated by or of interest to another Federal agency have been referred to that agency (see comments section) for a disclosure determination and direct response to you.
- We are continuing to process your request.
- See Comments.

### PART I.A -- FEES

AMOUNT\*

\$

\*See Comments for details

- You will be billed by NRC for the amount listed.
- None. Minimum fee threshold not met.
- You will receive a refund for the amount listed.
- Fees waived.

### PART I.B -- INFORMATION NOT LOCATED OR WITHHELD FROM DISCLOSURE

- We did not locate any agency records responsive to your request. *Note:* Agencies may treat three discrete categories of law enforcement and national security records as not subject to the FOIA ("exclusions"). 5 U.S.C. 552(c). This is a standard notification given to all requesters; it should not be taken to mean that any excluded records do, or do not, exist.
- We have withheld certain information pursuant to the FOIA exemptions described, and for the reasons stated, in Part II.
- Because this is an interim response to your request, you may not appeal at this time. We will notify you of your right to appeal any of the responses we have issued in response to your request when we issue our final determination.
- You may appeal this final determination within 30 calendar days of the date of this response by sending a letter or email to the FOIA Officer, at U.S. Nuclear Regulatory Commission, Washington, D.C. 20555-0001, or [FOIA.Resource@nrc.gov](mailto:FOIA.Resource@nrc.gov). Please be sure to include on your letter or email that it is a "FOIA Appeal."

### PART I.C COMMENTS ( Use attached Comments continuation page if required)

In conformance with the FOIA Improvement Act of 2016, the NRC is informing you that you have the right to seek assistance from the NRC's FOIA Public Liaison.

The incoming request will be made available in ADAMS as ML16216A094. Records with an ML accession number are publicly available in the NRC's Public Electronic Reading Room at <http://www.nrc.gov/reading-rm.html>. If you need assistance in obtaining these records, please contact the NRC's Public Document Room (PDR) at 301-415-4737, or 1-800-397-4209, or by email to [PDR.Resource@nrc.gov](mailto:PDR.Resource@nrc.gov).

[Continued on next page]

**SIGNATURE - FREEDOM OF INFORMATION ACT OFFICER**

*for Stephanie Blaney, Acting Karen Danoff.*

EA Number	Initiator	Licensee	Facility	License Type	Action	Significance	Action Date	ADAMS Ascession Number	Regulations
EA-05-043	OIP	Honeywell International, Inc	Honeywell International, Inc	general	NCV	SL IV	02/16/2007	ML070300620	110.23(b), General license for export of byproduct material
EA-05-044	OIP	Schlumberger Technology Corporation	Schlumberger Technology Corporation	general and specific	NCV	SL IV	03/15/2007	ML070520385	110.23(b), General license for export of byproduct material; 110.20(a)(2) failure to file an application; 110.41(a)(9) failure to allow the Executive Branch to review an application for a license to export to any country listed in 10 CFR 110.28.
EA-07-033	OIP	NRD, LLC	NRD, LLC	general	NCV	SL IV	05/08/2007	ML071290158	110.23(b), General license for export of byproduct material
EA-07-034	OIP	Eaton Corp	Eaton Corp	general	NCV	SL IV	05/09/2007	ML071230733	110.26(d), General license for the export of components
EA-07-100	OIP	U.S. Steel	U.S. Steel	general	NCV	SL IV	06/07/2007	ML071380424	110.23(b), General license for export of byproduct material
EA-07-105	OIP	Halliburton Energy Services	Halliburton Energy Services	general	NCV	SL IV	05/21/2007	ML071290281	110.23(b), General license for export of byproduct material
EA-07-106	OIP	System Sensor	System Sensor	general	NCV	SL IV	06/07/2007	ML071360360	110.23(b), General license for export of byproduct material
EA-07-107	OIP	Imaging & Sensing Technology	Imaging & Sensing Technology	general	NCV	SL IV	06/07/2007	ML071370704	110.26(d), General license for the export of components
EA-07-145	OIP	The Boeing Co	The Boeing Co	general	NCV	SL IV	06/18/2007	ML071570502	110.23(b), General license for export of byproduct material
EA-07-179	OIP	SPEC	SPEC	specific	NOV	SL IV	02/27/2008	ML080560571	110.50(b)(4) failure to notify importing country of two Ir-192 shipments
EA-09-082	OIP	Troxler Electronic Laboratories, Inc.	Troxler Electronic Laboratories, Inc.	specific	NOV	SL III	03/09/2010	ML100630249	110.20(a)(2) failure to file an application; 110.41(a)(9) failure to allow the Executive Branch to review an application for a license to export to any country listed in 10 CFR 110.28.

EA-11-138	OIP	Humboldt Scientific, Inc.	Humboldt Scientific, Inc.	specific	choice letter	SL III	03/08/2012	ML12067A043	110.5, exported americium-241 and cesium-137 byproduct materials subject to NRC licensing jurisdiction, to embargoed destinations (i.e., Iraq and Sudan, respectively), without a specific license as required
EA-11-242	OIP	CPN Instron-Tek	CPN Instron-Tek	specific	choice letter	SL III	01/20/2012	ML12019A271	exported byproduct material to Iraq, an embargoed country, without specific authorization, in violation of 110.20(a), 110.23(a), 110.5 and 110.28.
EA-12-091	OIP	ATI Wah Chang	ATI Wah Chang	specific	NCV	SL IV	05/24/2012	ML12145A205	110.8, list of nuclear facilities and equipment under NRC export licensing authority
EA-12-181	OIP	Detector Electronics Corporation	Detector Electronics Corporation	specific	NOV	SL III	10/19/2012	ML12298A341	exported byproduct material to Iraq, an embargoed country, without specific authorization, in violation of 110.20(a), 110.23(a), 110.5 and 110.28.
EA-16-016	OIP	AREVA, Inc.	AREVA, Inc.	specific	Choice letter	SL III	04/21/2016	ML16110A402	110.5, exported nuclear equipment subject to NRC licensing jurisdiction, without a specific license as required

EA Number	Initiator	Licensee	Facility	License Type	Action	Signific	Action Date	ADAMSAccession	Regulations	Proposed CP
EA-2010-226	OIP	(blank)	Transco Products, Inc.	Vendor - Other	NOV	SL IV	01/10/2013	ML12345A313	110.5 Import / Export License,110.20 General License Information	
EA-2011-141	OIP	Thermo Fisher Scientific, Inc.	Thermo Fisher Scientific, Inc.	Import / Export	NCV	SL IV	09/05/2013	ML13231A123	110.54 - Reporting requirements	
EA-2012-060	OIP	Flowsolve Corp	Flowsolve Corp. - Pump Division, CA	Other	NOV	SL III	04/30/2012	ML12116A166	110.20 General License Information	
EA-2012-138	OIP	TRANSPORT LOGISTICS INTERNATIONAL	TRANSPORT LOGISTICS INTERNATIONAL	Import / Export	NOV	SL IV	08/16/2012	ML12222A047	110.50 - Terms,110.5 Import / Export License	
EA-2012-144	OIP	(blank)	Industrial Nuclear Corporation	Import / Export	NOV	SL IV	08/01/2012	ML12216A256	110.50 - Terms	
EA-2012-149	OIP	CURTISS-WRIGHT ELECTRO-MECH. CORP	CURTISS-WRIGHT ELECTRO-MECH. CORP	Import / Export	NCV	SL IV	08/15/2012	ML12194A159	110.54 - Reporting requirements	
EA-2012-167	OIP	QSA GLOBAL, INC.	QSA GLOBAL, INC.	Import / Export	NOV	SL IV	11/01/2012	ML12215A008	110.50 - Terms	
EA-2012-177	OIP	(blank)	Cambridge Isotope Laboratories, Inc.	Import / Export	NOV	SL III	10/31/2012	ML12276A009	110.20 General License Information	
EA-2012-178	OIP	(blank)	Dresser, Inc.	Import / Export	NOV	SL IV	12/19/2012	ML12270A030	110.54 - Reporting requirements	
EA-2013-055	OIP	PATHFINDER ENERGY SERVICES, LLC.	PATHFINDER ENERGY SERVICES, LLC.	Import / Export	NCV	SL IV	10/31/2013	ML13304C000	110.54 - Reporting requirements	
EA-2013-115	OIP	KIDDE-FENWAL, INC.	KIDDE-FENWAL, INC.	Import / Export	NCV	SL IV	07/10/2013	ML13143A010	110.54 - Reporting requirements	
			KIDDE-FENWAL, INC.	Import / Export	Discretion Letter	SL III	07/10/2013	ML13143A010	110.20 General License Information	
EA-2013-116	OIP	UTC FIRE & SECURITY AMERICAS CORP.	UTC FIRE & SECURITY AMERICAS CORP.	Import / Export	NCV	SL IV	07/10/2013	ML13143A010	110.54 - Reporting requirements	
EA-2013-117	OIP	WALTER KIDDE PORTABLE EQUIPMENT INC	WALTER KIDDE PORTABLE EQUIPMENT INC	Import / Export	NCV	SL IV	07/10/2013	ML13143A010	110.54 - Reporting requirements	
EA-2013-123	OIP	Westinghouse Electric Company	WESTINGHOUSE Electric (New Stanton, PA)	Import / Export	NCV	SL IV	05/31/2013	ML13130A279	110.7a - Completeness and Accuracy of Information,110.32 - Information Requir	
EA-2013-139	OIP	QSA GLOBAL, INC.	QSA GLOBAL, INC.	Import / Export	NCV	SL IV	07/01/2013	ML13163A358	110.50 - Terms	
EA-2013-157	OIP	ALPHA-OMEGA SERVICES INC	ALPHA-OMEGA SERVICES INC	Import / Export	NOV	SL IV	07/25/2013	ML13177A309	110.50 - Terms	
EA-2014-223	OIP	GE REUTER-STOKES	GE Reuter-Stokes	Import / Export	NCV	SL IV	02/27/2015	ML14345A190	110.54 - Reporting requirements	
EA-2014-225	OIP	Mistras Group, Inc.	Mistras Group, Inc.	Import / Export	Problem/CP	SL III	06/30/2015	ML15152A006	110.5 Import / Export License,110.54 - Reporting requirements	\$7,000
EA-2015-041	OIP	HALLIBURTON ENERGY SERVICES, INC.	HALLIBURTON ENERGY SERVICES, INC.	Import / Export	NOV	SL IV	03/23/2015	ML15077A093	110.54 - Reporting requirements	
EA-2015-042	OIP	CHARLESTON AREA MEDICAL CENTER	CHARLESTON AREA MEDICAL CENTER	Import / Export	NOV	SL IV	03/23/2015	ML15078A152	110.54 - Reporting requirements	
EA-2015-085	OIP	QSA GLOBAL, INC.	QSA GLOBAL, INC.	Import / Export	NOV	SL IV	05/07/2015	ML15114A181	110.50 - Terms	
EA-2015-086	OIP	SOURCE PRODUCTION/EQUIPMENT CO INC	SOURCE PRODUCTION/EQUIPMENT CO INC	Import / Export	NOV	SL IV	05/07/2015	ML15114A235	110.50 - Terms	
EA-2015-099	OIP	(blank)		Import / Export	NCV	SL IV	07/10/2015	ML15140A479	110.32 - Information Required for Specific License	
EA-2015-109	OIP	INDUSTRIAL NUCLEAR CO	INDUSTRIAL NUCLEAR CO	Import / Export	NOV	SL IV	06/17/2015	ML15140A411	110.50 - Terms	
EA-2015-219	OIP	(blank)	Torrey Pines Institute for Molecular Studies	Import / Export	NOV	SL IV	01/14/2016	ML15295A135	110.50 - Terms	
EA-2015-220	OIP	UNIVERSITY OF MISSOURI, COLUMBIA	U. OF MO.	Import / Export	NOV	SL IV	01/14/2016	ML15295A151	110.50 - Terms	
EA-2015-235	OIP	(blank)	Y-12 National Security Complex	Import / Export	NOV	SL IV	01/14/2016	ML15306A230	110.50 - Terms	
EA-2016-078	OIP	(blank)	Curtis-Wright Corporation	Import / Export	NOV	SL III	05/20/2016	ML16117A290	110.5 Import / Export License,110.31 - Application for a Specific License	
EA-2016-079	OIP	SOURCE PRODUCTION/EQUIPMENT CO INC	SOURCE PRODUCTION/EQUIPMENT CO INC	Import / Export	NOV	SL IV	06/10/2016	ML16158A166	110.50 - Terms	
EA-2016-080	OIP	INDUSTRIAL NUCLEAR CO	INDUSTRIAL NUCLEAR CO	Import / Export	NOV	SL IV	05/26/2016	ML16145A177	110.50 - Terms	
EA-2016-081	OIP	QSA GLOBAL, INC.	QSA GLOBAL, INC.	Import / Export	NOV	SL IV	05/25/2016	ML16055A141	110.50 - Terms	
<b>Grand Total</b>										<b>\$7,000</b>