




**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
WASHINGTON, D.C. 20555-0001

OFFICE OF THE
INSPECTOR GENERAL

August 26, 2016

MEMORANDUM TO: Victor M. McCree
Executive Director for Operations

FROM: 
Steven E. Zane
Acting Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
PROCESS FOR REVISING MANAGEMENT DIRECTIVES
(OIG-14-A-19)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION,
MEMORANDUM DATED JULY 29, 2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated July 29, 2016. Based on this response, recommendations 2, 4, and 9 are closed. Recommendations 1, 3, 5, 6, 7, and 8, were previously closed. All recommendations related to this report are now closed.

If you have any questions or concerns, please contact me at 415-5915 or Eric Rivera, Team Leader, at 415-7032.

Attachments: As stated

cc: R. Lewis, OEDO
H. Rasouli, OEDO
J. Jolicoeur, OEDO
J. Bowen, OEDO
EDO_ACS_Distribution

Audit Report

AUDIT OF NRC'S PROCESS FOR REVISING MANAGEMENT DIRECTIVES

OIG-14-A-19

Status of Recommendations

Recommendation 2: Require a suspense due date for final concurrence/approval by the Executive Director for Operations and Chairman when submitting MD concurrence packages to those offices, so that the MD can be tracked in the Office of the Executive Director for Operations' tracking system.

Agency Response Dated
July 29, 2016:

On March 24, 2016, SECY issued the updated Internal Commission Procedures (Handbook) (ML16111B158), and MDs to be signed by the Chairman will be tracked through the correspondence process (e.g., draft transmittal memo, package: ML16209A072). The OEDO action due dates are captured on the MD routing and transmittal form, as well as tracked in the OEDO tracking system. The Office of Administration (ADM) considers this recommendation closed. The POC for this initiative is Helen Chang.

OIG Analysis:

OIG reviewed the updated Internal Commission Procedures (Handbook) and supplementary information. For MD's requiring final concurrence/approval by the EDO and Chairman, SECY will assign due dates and track MD's through its correspondence process tracking system. Therefore, this recommendation is closed.

Status:

Closed.

Audit Report

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Status of Recommendations

Recommendation 4: Develop and implement a performance measure that effectively evaluates MD revision timeliness for MDs that begins with the kickoff meeting and ends with the issuance date of the MD. In addition, provide the reported results quarterly to the Office of the Executive Director for Operations and office directors.

Agency Response Dated
July 29, 2016:

On August 28, 2015, the Performance Improvement Panel voted to incorporate the reclassified agencywide indicator into the fiscal year (FY) 2016 performance measures. The performance measure begins from the required kickoff meeting and ends at MD issuance (see the OEDO SharePoint page for [FY 2016 Performance Indicators](#)). FY 2016 Q3 is the first reported quarter. ADM considers this recommendation closed. The POC for this initiative is Helen Chang.

OIG Analysis:

OIG reviewed documentation that shows (1) ADM has developed and implemented a FY 2016 performance measure (indicator) that evaluates MD revision timeliness beginning with the kickoff meeting and ending with MD issuance, and (2) the agency provided the first quarterly results to the Office of the Executive Director for Operations and office directors on July 26, 2016. Therefore, this recommendation is closed.

Status:

Closed.

Audit Report

AUDIT OF NRC'S PROCESS FOR REVISING MANAGEMENT DIRECTIVES

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Status of Recommendations

<u>Recommendation 9:</u>	Revise MD 3.16 to require an expiration date for yellow policy announcements.
Agency Response Dated July 29, 2016:	ADM revised MD 3.16, "NRC Announcement Program," to ensure that the information identified in Recommendations 7, 8, and 9 is incorporated in agencywide guidance. MD 3.16 was issued on June 2, 2016 (ADAMS Accession No. <u>ML16105A195</u>). ADM considers this recommendation closed. The POC for this initiative is Helen Chang.
OIG Analysis:	OIG reviewed revised Management Directive 3.16, and determined it requires an expiration date for yellow policy announcements. Therefore, this recommendation is closed.
Status:	Closed.