



**Defense Nuclear Facilities
Safety Board**

Washington, DC 20004-2901

**Office of the
Inspector General**

August 22, 2016

MEMORANDUM TO:

Mark T. Welch
General Manager

Katherine Herrera
Deputy General Manager

FROM:


Steven E. Zane
Acting Assistant Inspector General for Audits

SUBJECT:

**STATUS OF RECOMMENDATIONS: AUDIT OF DNFSB'S
INFORMATION SECURITY PROGRAM (DNFSB-16-A-02)**

REFERENCE:

**GENERAL MANAGER, DEFENSE NUCLEAR FACILITIES
SAFETY BOARD, CORRESPONDENCE DATED AUGUST 4,
2016**

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in DNFSB's response dated August 4, 2016. Based on this response, recommendations 2, and 3 are resolved and recommendation 1 is closed. Please provide an updated status of the resolved recommendations by October 27, 2016.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated.

cc: R. Howard, OGM

Audit Report

AUDIT OF DNFSB'S INFORMATION SECURITY PROGRAM

DNFSB-16-A-02

Status of Recommendations

Recommendation 1:

Update information security guidance for classified and sensitive unclassified information so that it addresses management of information security breaches and protection of electronically processed information.

Agency Response Dated
August 4, 2016:

The Incident Response Plan was updated to include reporting and coordination requirements for major computer security incidents that begin on page 9 of the supporting documentation included.

We request that this recommendation be closed.

OIG Analysis:

OIG reviewed the included documentation and determined that the guidance was updated to include management of information security breaches and protection of electronically processed information. This recommendation is therefore considered closed.

Status:

Closed.

Audit Report

AUDIT OF DNFSB'S INFORMATION SECURITY PROGRAM

DNFSB-16-A-02

Status of Recommendations

Recommendation 2: Implement technical controls in the DNFSB SharePoint site that limit access to UCNl documents on a need-to-know basis.

Agency Response Dated
August 4, 2016:

Installation and configuration of the Veritas tool is expected to be completed at the end of the 4th quarter. We request an extension until 9/30/16 for full implementation of this recommendation.

Implementation of this recommendation is still in progress.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives the verification that the technical controls have been implemented.

Status:

Resolved.

Audit Report

AUDIT OF DNFSB'S INFORMATION SECURITY PROGRAM

DNFSB-16-A-02

Status of Recommendations

Recommendation 3:

Incorporate into DNFSB policy the requirement for technical controls in SharePoint to control access to UCNI documents on a need-to know basis.

**Agency Response Dated
August 4, 2016:**

Installation and configuration of the Veritas tool is expected to be completed at the end of the 4th quarter. We request an extension until 9/30/16 for full implementation of this recommendation.

Implementation of this recommendation is still in progress.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that the policy has a requirement that technical controls in SharePoint has a need to know basis to control access to UCNI documents.

Status:

Resolved.