



UNITED STATES
NUCLEAR REGULATORY COMMISSION

REGION I
2100 RENAISSANCE BLVD., SUITE 100
KING OF PRUSSIA, PA 19406-2713

June 30, 2016

MEMORANDUM TO: David C. Lew
Deputy Regional Administrator
Region I

FROM: James M. Trapp, Director /RA/
Division of Nuclear Materials Safety

SUBJECT: CALENDAR YEAR 2016 EVALUATION OF REGION I
DECOMMISSIONING FINANCIAL ASSURANCE PROGRAM

The enclosed report documents a self-assessment of the Region I Materials Decommissioning Financial Assurance (FA) Program performed by Farrah Gaskins and James Cassata between February 1, 2016, and April 29, 2016.

Financial instruments and supporting documentation for all 73 material licensees requiring FA were evaluated against the regulatory requirements of 10 CFR 30.35; the criteria in Management Directive (MD) 8.12; and NUREG-1757, Volume 3, Revision 1, "Consolidated NMSS Decommissioning Guidance - Financial Assurance, Recordkeeping, and Timeliness."

In summary, there were no significant problems identified. All FA instruments were accounted for and all were properly safeguarded. Licensee obligated funds were sufficient to match standard amounts required by 10 CFR 30.35 or were for amounts determined in an approved decommissioning funding plan (DFP). All the criteria listed in MD 8.12 had been met with only three minor administrative findings noted to include:

1. The security label on the Controlled Inventory List (CIL) incorrectly read, "SENSITIVE- PROPRIETARY INFORMATION," instead of "OFFICIAL USE ONLY- PROPRIETARY INFORMATION" or "OFFICIAL USE ONLY-SENSITIVE INTERNAL INFORMATION," as required by MD 8.12.
2. An inventory log to account for instruments being temporarily removed from the safe for review by staff members was not being kept as required by MD 8.12.
3. There were four cases where the FA documents incorrectly listed the old NRC Region I mailing address. These documents need to be updated with the current Region I mailing address.

CONTACT: Farrah Gaskins
610-337-5143

Enclosure contains Sensitive Unclassified Non-Safeguards Information. Upon separation, this cover memo is decontrolled.

D. Lew

2

Corrective actions have been completed for findings 1 and 2. Corrective actions for finding 3 have been assigned and are pending. Seven recommendations were identified for consideration and are listed in the self-assessment report (Enclosure).

Enclosure:

Self-Assessment Report w/ Attachments

OFFICIAL USE ONLY – SENSITIVE INTERNAL INFORMATION

D. Lew

2

Corrective actions have been completed for findings 1 and 2. Corrective actions for finding 3 have been assigned and are pending. Seven recommendations were identified for consideration and are listed in the self-assessment report (Enclosure).

Enclosure:
Self-Assessment Report w/ Attachments

DISTRIBUTION: (via e-mail)

- DDorman, RA
- JTrapp, DNMS
- JNick, DNMS
- MScott, DRP
- DPelton, DRP
- RLorson, DRS
- TWalker, DRM
- CTodd, DRM
- JDwyer, DNMS
- BWelling, DNMS
- RPowell, DNMS
- SMoore, NMSS
- JMunday, NMSS
- JTappert, NMSS
- AKoch, NMSS

SUNSI Review Complete: FGaskins (Reviewers Initials)

ML16183A377

DOCUMENT NAME: C:\Users\TMH\Documents\2016 SA FA Program-public.docx

To receive a copy of this document, indicate in the box: C = Copy w/o attach/encl E = Copy w/ attach/encl N = No copy

OFFICE	RI/DNMS	N	RI/DNMS	N	RI/DNMS	RI/DNMS
NAME	JCassata/jc		FGaskins/jpd f/		JPDwyer/jpd	JTrapp/jmt
DATE	06/28/16		06/28/16		06/28/16	06/30/16

OFFICIAL RECORD COPY