



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

June 29, 2016

MEMORANDUM TO: Victor M. McCree
Executive Director for Operations

FROM: *Stephen D. Dingbaum*
Stephen D. Dingbaum
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
USE OF CONFIRMATORY ACTION LETTERS
(OIG-12-A-09)

REFERENCE: DIRECTOR, OFFICE OF ENFORCEMENT,
MEMORANDUM DATED JUNE 21, 2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated June 21, 2016. Based on this response, recommendation 2 remains in resolved status. Recommendations 1, 3, and 4 were previously closed. Please provide an updated status on this recommendation by December 23, 2016.

If you have any questions or concerns, please call me at 415-5915 or Paul Rades, Team Leader, at 415-6228.

Attachment: As stated

cc: P. Holahan, OE
R. Lewis, OEDO
H. Rasouli, OEDO
J. Bowen, OEDO
J. Jolicoeur, OEDO
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Audit Report

AUDIT OF NRC'S USE OF CONFIRMATORY ACTION LETTERS

OIG-12-A-09

Status of Recommendations

Recommendation 2:

Assess and update the *NRC Enforcement Policy*, the *NRC Enforcement Manual*, and other associated guidance to ensure that NRC's approach for utilizing CALs is consistent, effective, and efficient.

Agency Response

Dated June 21, 2016:

This is in response to your January 11, 2016, memorandum to the Executive Director for Operations, "Status of Recommendations: Audit of U.S. Nuclear Regulatory Commission (NRC)'s Use of Confirmatory Action Letters (OIG-12-A-09)." As per your memorandum, recommendations 1, 3 and 4 are closed and recommendation 2, which revises the NRC Enforcement Policy (Policy) Glossary term "Confirmatory Action Letter," is in a resolved status. Recommendation 2 is one of many staff proposed revisions to the Policy. The Commission paper requesting approval of these proposed revisions is currently being reviewed by the Commission. If approved, the Commission will issue a Staff Requirements Memorandum which will allow the staff to make the corresponding revisions to the Policy.

OIG Analysis:

The action the agency has taken to update the *Policy* continues to address the intent of the recommendation. If the staff receives Commission approval to revise the *Policy*, this recommendation will be closed when OIG reviews and verifies that the *Policy* has been revised with CAL terminology that is consistent with the CAL terminology contained in the *NRC Enforcement Manual* and other associated guidance.

Status:

Resolved.