



Westinghouse Electric Company  
New Plants and Major Projects  
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HSP\_NRC\_000021

May 31, 2016

**Subject: Westinghouse Specialized Seismic Option Report – Response to NRC Request for Augmentation and/or Clarification Supplemental Questions**

Enclosed are the proprietary and non-proprietary versions of the Westinghouse response to supplemental NRC questions resulting from the acceptance review of the Westinghouse AP1000 Specialized Seismic Option report.

Enclosures 3 (Proprietary) and 4 (Non-proprietary) provide the response to the following NRC questions:

- SSO-001 Revision 1
- SSO-002 Revision 1
- SSO-003 Revision 1

Questions or requests for additional information related to the content and preparation of this response should be directed to Westinghouse.

Also enclosed are:

1. An Application for Withholding Proprietary Information from Public Disclosure, AW-16-4411 (Non-Proprietary) with Proprietary Information Notice and Copyright Notice
2. An Affidavit.

This submittal contains proprietary information of Westinghouse Electric Company LLC. In conformance with the requirements of 10 CFR Section 2.390, as amended, of the Commission's regulations, we are enclosing with this submittal an Application for Withholding Proprietary Information from Public Disclosure and an Affidavit. The Affidavit sets forth the basis on which the information identified as proprietary may be withheld from public disclosure by the Commission.

YGD  
NRD

Correspondence with respect to the proprietary aspects of the Application for Withholding or the Westinghouse Affidavit should reference AW-16-4411 and should be addressed to James A. Gresham, Manager, Regulatory Compliance, Westinghouse Electric Company, 1000 Westinghouse Drive, Building 3 Suite 310, Cranberry Township, Pennsylvania 16066.

Very truly yours,

A handwritten signature in black ink that reads "Jill S. Monahan". The signature is written in a cursive style with a large initial "J" and "M".

Jill S. Monahan, Manager  
Specialized Seismic Option Licensing  
New Plants and Major Projects

/Enclosures

1. AW-16-4411 "Application for Withholding Proprietary Information from Disclosure," dated May 31, 2016
2. AW-16-4411, Affidavit, Proprietary Information Notice, Copyright Notice dated May 31, 2016
3. Specialized Seismic Option Response to NRC Supplemental Request for Augmentation and/or Clarification - RAI-SSO-001 Revision 1, RAI-SSO-002 Revision 1, and RAI-SSO-003 Revision 1.- (Proprietary)
4. Specialized Seismic Option Response to NRC Supplemental Request for Augmentation and/or Clarification - RAI-SSO-001 Revision 1, RAI-SSO-002 Revision 1, and RAI-SSO-003 Revision 1.- (Non-proprietary)

cc:	Bruce Baval	U.S. NRC
	John McKirgan	U.S. NRC
	Robert Sisk	Westinghouse
	Rita Bowser	Westinghouse
	Michael Corletti	Westinghouse
	Erik Zambelli	Westinghouse
	Lee Tunon-Sanjur	Westinghouse
	Jason Young	Westinghouse
	Jennifer Zhang	Westinghouse

ENCLOSURE 1

AW-16-4411

APPLICATION FOR WITHHOLDING  
PROPRIETARY INFORMATION FROM DISCLOSURE



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AW-16-4411

May 31, 2016

APPLICATION FOR WITHHOLDING PROPRIETARY  
INFORMATION FROM PUBLIC DISCLOSURE

Subject: Westinghouse Specialized Seismic Option Report – Response to NRC Supplemental Request for Augmentation and/or Clarification (Proprietary)

The Application for Withholding Proprietary Information from Public Disclosure is submitted by Westinghouse Electric Company LLC (Westinghouse), pursuant to the provisions of paragraph (b)(1) of Section 2.390 of the Commission's regulations. It contains commercial strategic information proprietary to Westinghouse and customarily held in confidence.

The proprietary information for which withholding is being requested is identified in the proprietary version of the subject report. In conformance with 10 CFR Section 2.390, Affidavit AW-16-4411 accompanies this Application for Withholding Proprietary Information from Public Disclosure, setting forth the basis on which the identified proprietary information may be withheld from public disclosure.

Accordingly, it is respectfully requested that the subject information which is proprietary to Westinghouse be withheld from public disclosure in accordance with 10 CFR Section 2.390 of the Commission's regulations.

Correspondence with respect to the proprietary aspects of this Application for Withholding or the accompanying Affidavit should reference AW-16-4411 and should be addressed to James A. Gresham, Manager, Regulatory Compliance, Westinghouse Electric Company, 1000 Westinghouse Drive, Building 3 Suite 310, Cranberry Township, Pennsylvania 16066.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Paul A. Russ'.

Paul A. Russ, Director  
Licensing and Regulatory Affairs  
New Plants and Major Projects

ENCLOSURE 2

AFFIDAVIT

AW-16-4411, Affidavit, Proprietary Information Notice, Copyright Notice dated May 31, 2016

AW-16-4411  
May 31, 2016

AFFIDAVIT

COMMONWEALTH OF PENNSYLVANIA:

ss

COUNTY OF BUTLER:

I, Paul A. Russ, am authorized to execute this Affidavit on behalf of Westinghouse Electric Company LLC (Westinghouse), and I declare under penalty of perjury that the foregoing is true and correct.

A handwritten signature in black ink, appearing to read "Paul A. Russ", written over a horizontal line.

Paul A. Russ, Director  
Licensing and Regulatory Affairs  
New Plants and Major Projects

- (1) I am Director, Licensing and Regulatory Affairs, Westinghouse Electric Company LLC (Westinghouse), and as such, I have been specifically delegated the function of reviewing the proprietary information sought to be withheld from public disclosure in connection with nuclear power plant licensing and rule making proceedings, and am authorized to apply for its withholding on behalf of Westinghouse.
- (2) I am making this Affidavit in conformance with the provisions of 10 CFR Section 2.390 of the Commission's regulations and in conjunction with the Westinghouse Application for Withholding Proprietary Information from Public Disclosure accompanying this Affidavit.
- (3) I have personal knowledge of the criteria and procedures utilized by Westinghouse in designating information as a trade secret, privileged or as confidential commercial or financial information.
- (4) Pursuant to the provisions of paragraph (b)(4) of Section 2.390 of the Commission's regulations, the following is furnished for consideration by the Commission in determining whether the information sought to be withheld from public disclosure should be withheld.
  - (i) The information sought to be withheld from public disclosure is owned and has been held in confidence by Westinghouse.
  - (ii) The information is of a type customarily held in confidence by Westinghouse and not customarily disclosed to the public. Westinghouse has a rational basis for determining the types of information customarily held in confidence by it and, in that connection, utilizes a system to determine when and whether to hold certain types of information in confidence. The application of that system and the substance of that system constitute Westinghouse policy and provide the rational basis required.

Under that system, information is held in confidence if it falls in one or more of several types, the release of which might result in the loss of an existing or potential competitive advantage, as follows:

- (a) The information reveals the distinguishing aspects of a process (or component, structure, tool, method, etc.) where prevention of its use by any of



Westinghouse's competitors without license from Westinghouse constitutes a competitive economic advantage over other companies.

- (b) It consists of supporting data, including test data, relative to a process (or component, structure, tool, method, etc.), the application of which data secures a competitive economic advantage, e.g., by optimization or improved marketability.
  - (c) Its use by a competitor would reduce his expenditure of resources or improve his competitive position in the design, manufacture, shipment, installation, assurance of quality, or licensing a similar product.
  - (d) It reveals cost or price information, production capacities, budget levels, or commercial strategies of Westinghouse, its customers or suppliers.
  - (e) It reveals aspects of past, present, or future Westinghouse or customer funded development plans and programs of potential commercial value to Westinghouse.
  - (f) It contains patentable ideas, for which patent protection may be desirable.
- (iii) There are sound policy reasons behind the Westinghouse system which include the following:
- (a) The use of such information by Westinghouse gives Westinghouse a competitive advantage over its competitors. It is, therefore, withheld from disclosure to protect the Westinghouse competitive position.
  - (b) It is information that is marketable in many ways. The extent to which such information is available to competitors diminishes the Westinghouse ability to sell products and services involving the use of the information.
  - (c) Use by our competitor would put Westinghouse at a competitive disadvantage by reducing his expenditure of resources at our expense.

- (d) Each component of proprietary information pertinent to a particular competitive advantage is potentially as valuable as the total competitive advantage. If competitors acquire components of proprietary information, any one component may be the key to the entire puzzle, thereby depriving Westinghouse of a competitive advantage.
  - (e) Unrestricted disclosure would jeopardize the position of prominence of Westinghouse in the world market, and thereby give a market advantage to the competition of those countries.
  - (f) The Westinghouse capacity to invest corporate assets in research and development depends upon the success in obtaining and maintaining a competitive advantage.
- (iv) The information is being transmitted to the Commission in confidence and, under the provisions of 10 CFR Section 2.390, it is to be received in confidence by the Commission.
- (v) The information sought to be protected is not available in public sources or available information has not been previously employed in the same original manner or method to the best of our knowledge and belief.
- (vi) The proprietary information sought to be withheld in this submittal is that which is appropriately marked in “Westinghouse Specialized Seismic Option Report – Response to NRC Request for Augmentation and/or Clarification Supplemental Questions (Proprietary),” dated May 31 2016, for submittal to the Commission, being transmitted by Westinghouse letter (HSP\_NRC\_000020) and Application for Withholding Proprietary Information from Public Disclosure, to the Document Control Desk.

May 31, 2016

- (a) This information is part of that which will enable Westinghouse to:
  - (i) Manufacture and deliver products to utilities based on proprietary designs.
  - (ii) Advance the Specialized Seismic Option for the AP1000 and reduce the licensing risk for the application of the Specialized Seismic Option.
  - (iii) Determine compliance with regulations and standards.
  - (iv) Establish design requirements and specifications for the system.
  
- (b) Further this information has substantial commercial value as follows:
  - (i) Westinghouse plans to sell the use of similar information to its customers for the purpose of plant construction and operation.
  - (ii) Westinghouse can sell support and defense of industry guideline and acceptance criteria for plant-specific applications.
  - (iii) The information requested to be withheld reveals the distinguishing aspects of a methodology which was developed by Westinghouse.

Public disclosure of this proprietary information is likely to cause substantial harm to the competitive position of Westinghouse because it would enhance the ability of competitors to provide similar design requirements and licensing defense services for commercial power reactors without commensurate expenses. Also, public disclosure of the information would enable others to use the information to meet NRC requirements for licensing documentation without purchasing the right to use the information.

The development of the technology described in part by the information is the result of applying the results of many years of experience in an intensive Westinghouse effort and the expenditure of a considerable sum of money.

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In order for competitors of Westinghouse to duplicate this information, similar technical programs would have to be performed and a significant manpower effort, having the requisite talent and experience, would have to be expended.

Further the deponent sayeth not.

AW-16-4411  
May 31, 2016

### **PROPRIETARY INFORMATION NOTICE**

Transmitted herewith are proprietary and/or non-proprietary versions of documents furnished to the NRC in connection with requests for generic and/or plant-specific review and approval.

In order to conform to the requirements of 10 CFR 2.390 of the Commission's regulations concerning the protection of proprietary information so submitted to the NRC, the information which is proprietary in the proprietary versions is contained within brackets, and where the proprietary information has been deleted in the non-proprietary versions, only the brackets remain (the information that was contained within the brackets in the proprietary versions having been deleted). The justification for claiming the information so designated as proprietary is indicated in both versions by means of lower case letters (a) through (f) located as a superscript immediately following the brackets enclosing each item of information being identified as proprietary or in the margin opposite such information. These lower case letters refer to the types of information Westinghouse customarily holds in confidence identified in Sections (4)(ii)(a) through (4)(ii)(f) of the Affidavit accompanying this transmittal pursuant to 10 CFR 2.390(b)(1).

### **COPYRIGHT NOTICE**

The reports transmitted herewith each bear a Westinghouse copyright notice. The NRC is permitted to make the number of copies of the information contained in these reports which are necessary for its internal use in connection with generic and plant-specific reviews and approvals as well as the issuance, denial, amendment, transfer, renewal, modification, suspension, revocation, or violation of a license, permit, order, or regulation subject to the requirements of 10 CFR 2.390 regarding restrictions on public disclosure to the extent such information has been identified as proprietary by Westinghouse, copyright protection notwithstanding. With respect to the non-proprietary versions of these reports, the NRC is permitted to make the number of copies beyond those necessary for its internal use which are necessary in order to have one copy available for public viewing in the appropriate docket files in the public document room in Washington, DC and in local public document rooms as may be required by NRC regulations if the number of copies submitted is insufficient for this purpose. Copies made by the NRC must include the copyright notice in all instances and the proprietary notice if the original was identified as proprietary.