

CATEGORY 1

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50-287 Oconee Nuclear Station, Unit 3, Duke Power Co.			05000287
50-369 William B. McGuire Nuclear Station, Unit 1, Duke Powe			05000369
50-370 William B. McGuire Nuclear Station, Unit 2, Duke Powe			05000370
50-413 Catawba Nuclear Station, Unit 1, Duke Power Co.			05000413
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SUBJECT: Forwards response to NRC 951222 ltr re violations noted in insp repts 50-269/95-02, 50-270/95-02, 50-287/95-02, 50-369/95-02, 50-370/95-02, 50-413/95-02 & 50-414/95-02.C/A: completed audit of small local vendors.

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DUKE POWER

January 22, 1996

U. S. Nuclear Regulatory Commission
ATTN: Document Control Desk
Washington, D. C. 20555

Subject: Oconee Nuclear Station Units 1, 2 and 3
Docket Nos 50-269/270/287
McGuire Nuclear Station Units 1 and 2
Docket Nos 50-369/370
Catawba Nuclear Station Units 1 and 2
Docket Nos 50-413/414
Violation 50-413, 414/95-23-02
50-269 ,270, 287/95-24-02,
50-369,370/95-26-02,
Reply to a Notice of Violation

Gentlemen:

Attachment 1 is the response to a Notice of Violation dated December 22, 1995 concerning allowing a vendor to continue to implement the access authorization program after determining that the vendor failed to assure that individuals who were granted unescorted access are trustworthy and reliable.

Additional inspector observations were noted in the report. These inspector observations were placed in the Duke Power Company Problem Investigation Process Program for evaluation and determination of appropriate corrective actions.

This letter contains one commitment; specifically, to conduct an audit of the Access Authorization Program to verify that corrective actions taken in response to the violation have been effective.

Should there be any questions concerning this response, contact Ron Eller at (704) 382-3144.

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Q PDR

Handwritten signature/initials

U. S. Nuclear Regulatory Commission
January 22, 1996
page 2

A handwritten signature in cursive script that reads "M. S. Tuckman". The signature is written in dark ink and is positioned above the typed name.

M. S. Tuckman
Senior Vice President
Nuclear Production

attachment

U. S. Nuclear Regulatory Commission
January 22, 1996
page 3

cc: w/attachment

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Attachment 1
Reply to Notice of Violation
Violation 50-413, 414/95-23-02,
50-269 ,270, 287/95-24-02,
50-369,370/95-26-02

10 CFR 73.56, "Personnel Access Authorization Requirements for Nuclear Power Plants, "requires licensees to establish and implement a program for granting unescorted access to protected and vital areas, with a program objective of providing high assurance that individuals granted unescorted access are trustworthy and reliable.

Section 6.1, "Personnel Reliability," of the Duke Power Company Physical Security Plan states, "A program entitled Duke Power Company Nuclear Access Program has been established which implements all elements of Regulatory Guide 5.66, Access Authorization Program for Nuclear Power Reactors."

Regulatory Guide 5.66, Appendix NUMARC 89-10, Attachment A, establishes the guidelines for auditors to use to meet the minimum audit criteria to provide a high assurance that individuals granted unescorted access are trustworthy and reliable.

Contrary to the above, for approximately 11 months, the licensee had allowed a vendor to continue to implement the access authorization program after determining that the vendor failed to assure that the individuals who were granted unescorted access were trustworthy and reliable.

RESPONSE:

1. Reason for the violation:

The primary reason for the violation was an inadequate process for conducting and responding to audits of small local vendors' access authorization programs. Specifically, the process lacked a procedure and specific accountabilities.

2. Corrective steps that have been taken and the results achieved:

- a. An audit of all records of the small local vendor was completed on December 8, 1995. Three findings were

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50-269 ,270, 287/95-24-02,
50-369,370/95-26-02

identified during this audit. Corrective actions for the three findings were identified, reviewed and found to be acceptable prior to the completion of the audit.

- b. A process for conducting audits of vendor's access authorization programs has been developed and was implemented January 9, 1996. This new procedure, "Procedure For Evaluating Contractors/Vendors' Access Authorization and Fitness For Duty Programs, Rev 0", delineates the roles and responsibilities of both the Supplier Verification section and Workforce Processing for conducting audit activities.
- c. The Duke Power Company Nuclear Access Program has been revised to further define the roles and responsibilities of Workforce Processing and Supplier Verification and the process for responding to audits and audit findings. This revision, Revision 8, requires audit findings to be addressed in a timely manner. This revision also indicates Workforce Processing, with the assistance of Supplier Verification, is responsible for approving a vendor's program thereby assuring vendor/contractor employees with unescorted access authorization to Duke Power Company facilities meet the requirements of the Duke Power Company Access Authorization Program.

3. Corrective steps that will be taken to avoid further violations:

An audit of the Access Authorization Program will be conducted by December 31, 1996. The primary focus of this audit will be to ensure that corrective actions taken in response to this violation have been effective.

4. Date when full compliance will be achieved:

Duke Power Company is in full compliance.