



**Defense Nuclear Facilities  
Safety Board**

Washington, DC 20004-2901

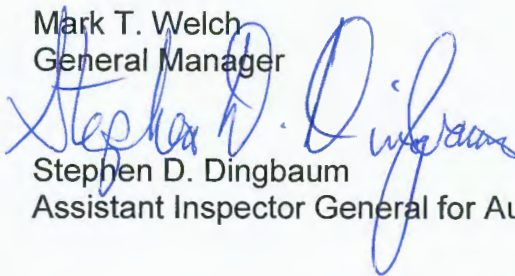
**Office of the  
Inspector General**

May 18, 2016

MEMORANDUM TO:

Mark T. Welch  
General Manager

FROM:

  
Stephen D. Dingbaum  
Assistant Inspector General for Audits

SUBJECT:

STATUS OF RECOMMENDATIONS: INDEPENDENT  
EVALUATION OF THE BOARD'S IMPLEMENTATION OF THE  
FEDERAL INFORMATION SECURITY MANAGEMENT ACT  
FOR FISCAL YEAR 2014 (DNFSB-15-A-02)

REFERENCE:

GENERAL MANAGER, DEFENSE NUCLEAR FACILITIES  
SAFETY BOARD, CORRESPONDENCE DATED APRIL 29,  
2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the Board's response dated April 29, 2016. Based on this response, recommendations 2, 4, 7, and 9 remain resolved. Recommendations 1, 3, 5, 6, and 8 were closed previously. Please provide an updated status of the resolved recommendations by December 30, 2016.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: K. Herrera, OGM  
R. Howard, OGM

## Evaluation Report

### INDEPENDENT EVALUATION OF THE BOARD'S IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT FOR FISCAL YEAR 2014

DNFSB-15-A-02

#### Status of Recommendations

Recommendation 2: Update the GSS security authorization documentation (e.g., Security Plan, Risk Assessment and the Security Assessment Report) as required.

Agency Response Dated  
April 29, 2016:

The attached Veris Assessment conducted in October 2015 formed the basis of DNFSB's FISMA evaluation, and is required update to the security assessment and the risk assessment. We request closure of this recommendation.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when the OIG's contractor can view the document electronically and verify that the GSS security authorization documentation has been updated.

**Status:**

Resolved.

## Evaluation Report

# INDEPENDENT EVALUATION OF THE BOARD'S IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT FOR FISCAL YEAR 2014

DNFSB-15-A-02

### Status of Recommendations

Recommendation 4: Update the GSS System Security Plan to document risk.

Agency Response Dated  
April 29, 2016:

We continue to mitigate the findings identified in the SAR and related POA&M. We anticipate the update to the System Security Plan to be completed by the end of 3<sup>rd</sup> Quarter FY 2016.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that the GSS System Security Plan was updated to document risk.

**Status:**

Resolved.



## Evaluation Report

# INDEPENDENT EVALUATION OF THE BOARD'S IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT FOR FISCAL YEAR 2014

DNFSB-15-A-02

### Status of Recommendations

Recommendation 7: Develop, document, and implement procedures for performing oversight of systems operated by contractors and other Federal agencies.

Agency Response Dated  
April 29, 2016:

The Operating Procedures for Information Systems Security Program Certification and Accreditation is being updated to include additional requirements for Federal and contractor-operated systems. This update is anticipated to be completed by the end of 3<sup>rd</sup> Quarter FY 2016.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that procedures for performing oversight of systems operated by contractors and other Federal agencies have been developed, documented, and implemented.

**Status:**

Resolved.

## Evaluation Report

# INDEPENDENT EVALUATION OF THE BOARD'S IMPLEMENTATION OF THE FEDERAL INFORMATION SECURITY MANAGEMENT ACT FOR FISCAL YEAR 2014

DNFSB-15-A-02

### Status of Recommendations

Recommendation 9: Develop a plan and schedule for authorizing contractor-operated systems, including cloud-based systems, in accordance with FISMA, the NIST RMF, and FedRAMP.

Agency Response Dated  
April 29, 2016:

A Change Control Board (CCB) has convened to plan and schedule authorization of contractor-operated systems, including cloud-based systems, in accordance with FISMA and the NIST FedRAMP. The CCB will meet to approve the risk regarding operating the Board's VOIP system, a new financial management system, and security controls for the mobile device system.

OIG Analysis:

The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that the Board has developed a plan and schedule for authorizing contractor-operated systems as detailed above.

**Status:**

Resolved.