



OFFICE OF THE
INSPECTOR GENERAL

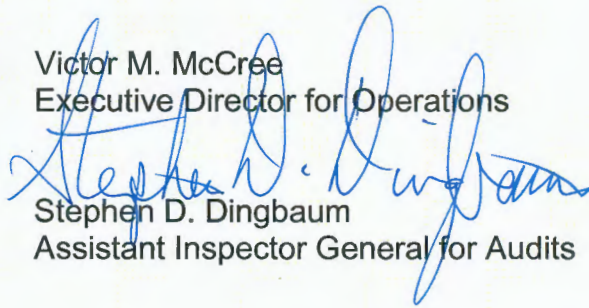
UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

May 18, 2016

MEMORANDUM TO:

Victor M. McCree
Executive Director for Operations

FROM:


Stephen D. Dingbaum
Assistant Inspector General for Audits

SUBJECT:

STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
TECHNICAL ASSISTANCE REQUEST PROCESS
(OIG-16-A-11)

REFERENCE:

ACTING DEPUTY EXECUTIVE DIRECTOR FOR
MATERIALS, WASTE, RESEARCH, STATE, TRIBAL,
COMPLIANCE, ADMINISTRATION, AND HUMAN CAPITAL
MEMORANDUM DATED MAY 2, 2016

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations 1, 2, and 3 as discussed in the agency's response dated May 2, 2016. Based on this response, recommendations 1, 2, and 3 are resolved. Please provide an updated status of the resolved recommendations by January 9, 2017. If you have questions or concerns, please call me at 415-5915, or Sherri Miotla, Team Leader, at 415-5914.

Attachment: As stated

cc: R. Lewis, OEDO
H. Rasouli, OEDO
J. Jolicoeur, OEDO
J. Bowen, OEDO
EDO_ACS_Distribution

Audit Report

AUDIT OF NRC'S TECHNICAL ASSISTANCE REQUEST PROCESS

OIG-16-A-11

Status of Recommendations

Recommendation 1: Create a central Office of Nuclear Material Safety and Safeguards (NMSS) TAR SharePoint site to include information such as TAR procedures, points of contact, TAR status logs, and a link to a TAR data repository.

Agency Response Dated
May 2, 2016:

Agree. Staff recognizes that a central TAR SharePoint site would be beneficial to both NMSS and regional staff. Staff believes this TAR SharePoint site will increase ease of access of information from TARs, help the regions and NMSS divisions track the status of TARs, and help meet the needs of the agency by doing so.

Staff will develop a SharePoint site to store the recommended information stated in Recommendation 1. After the database is established, staff will enter new TARs as they occur.

Target Completion Date: September 29, 2016

Point of Contact:

Douglas Bollock, NMSS/MSTR, (301) 415-6609

OIG Analysis:

The actions proposed by the agency meet the intent of the recommendation. This recommendation will be closed when the TAR SharePoint site is developed and the information stated in Recommendation 1 is included within the site.

Status:

Resolved.

Audit Report

AUDIT OF NRC'S TECHNICAL ASSISTANCE REQUEST PROCESS

OIG-16-A-11

Status of Recommendations

Recommendation 2: Create an easily searchable TAR data repository to include all past and future TARs as well as their corresponding ML numbers, subject matters, dates, and the requesting office.

Agency Response Dated
May 2, 2016:

Agree. Staff recognizes the importance of ensuring that information is accessible by staff in the regions and NMSS, and therefore each division will create a searchable TAR data repository as stated in Recommendation 2, on the SharePoint site created for Recommendation 1. All future TARs will be captured in the SharePoint repository.

Unbudgeted resources are needed to locate all historic files and an extensive Agencywide Documents Access and Management System (ADAMS) search will be required. However, staff is committed to locating historic files to make this repository useful, consistent with the allocation of appropriate resources to complete this task.

Target Completion Date: September 29, 2017

Point of Contact: Douglas Bollock, NMSS/MSTR

OIG Analysis: The actions proposed by the agency meet the intent of the recommendation. This recommendation will be closed when each division has created a searchable TAR data repository and historical TAR data is inputted into the repository.

Status: Resolved.

Audit Report

AUDIT OF NRC'S TECHNICAL ASSISTANCE REQUEST PROCESS

OIG-16-A-11

Status of Recommendations

Recommendation 3:

Finalize draft TAR procedures for each NMSS division with regional input. These procedures should contain instructions on maintaining TAR records for all NMSS divisions within the TAR data repository.

**Agency Response Dated
May 2, 2016:**

Agree. Each division in NMSS will develop or update its TAR process, and create an Office Instruction to include all TAR processes.

Target Completion Date: December 16, 2016

Point of Contact:

Douglas Bollock, NMSS/MSTR

OIG Analysis:

The actions proposed by the agency meet the intent of the recommendation. This recommendation will be closed when each NMSS division issues finalized TAR procedures containing instructions on maintaining TAR records within the TAR data repository.

Status:

Resolved.